

# Exoplanet atmospheres at high spectral resolution

**Matteo Brogi**

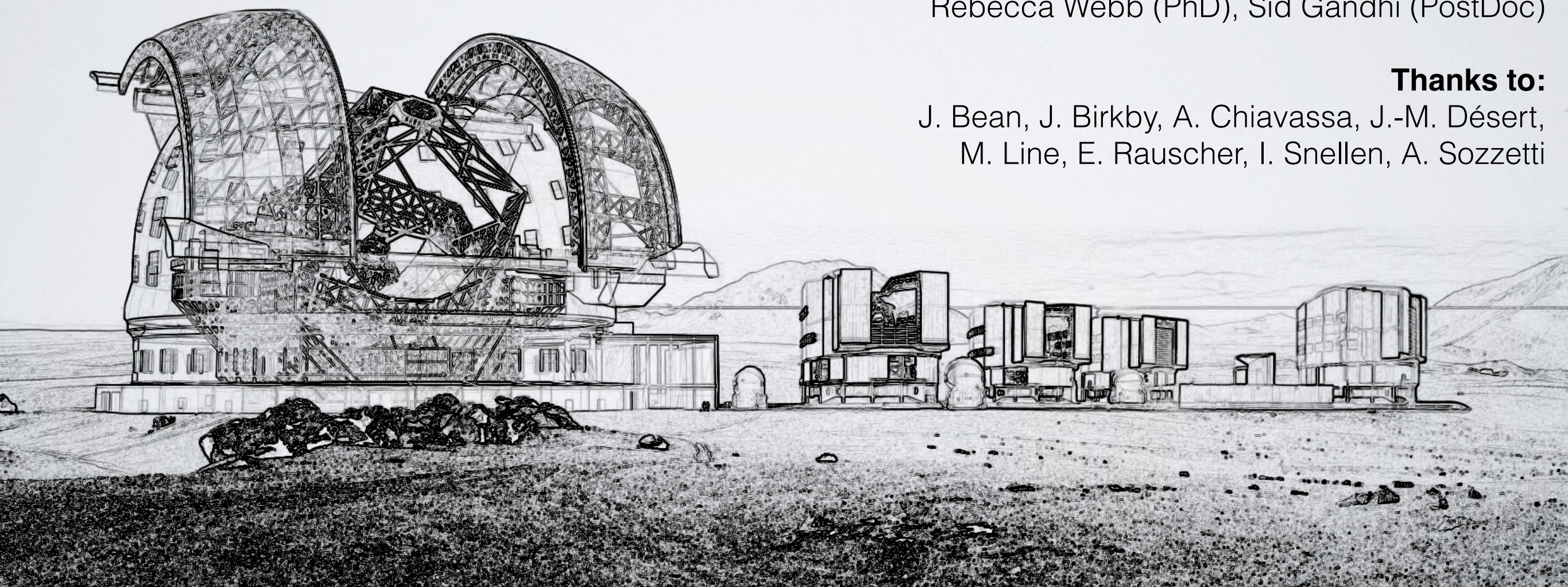
University of Warwick

**The research group:**

Rebecca Webb (PhD), Sid Gandhi (PostDoc)

**Thanks to:**

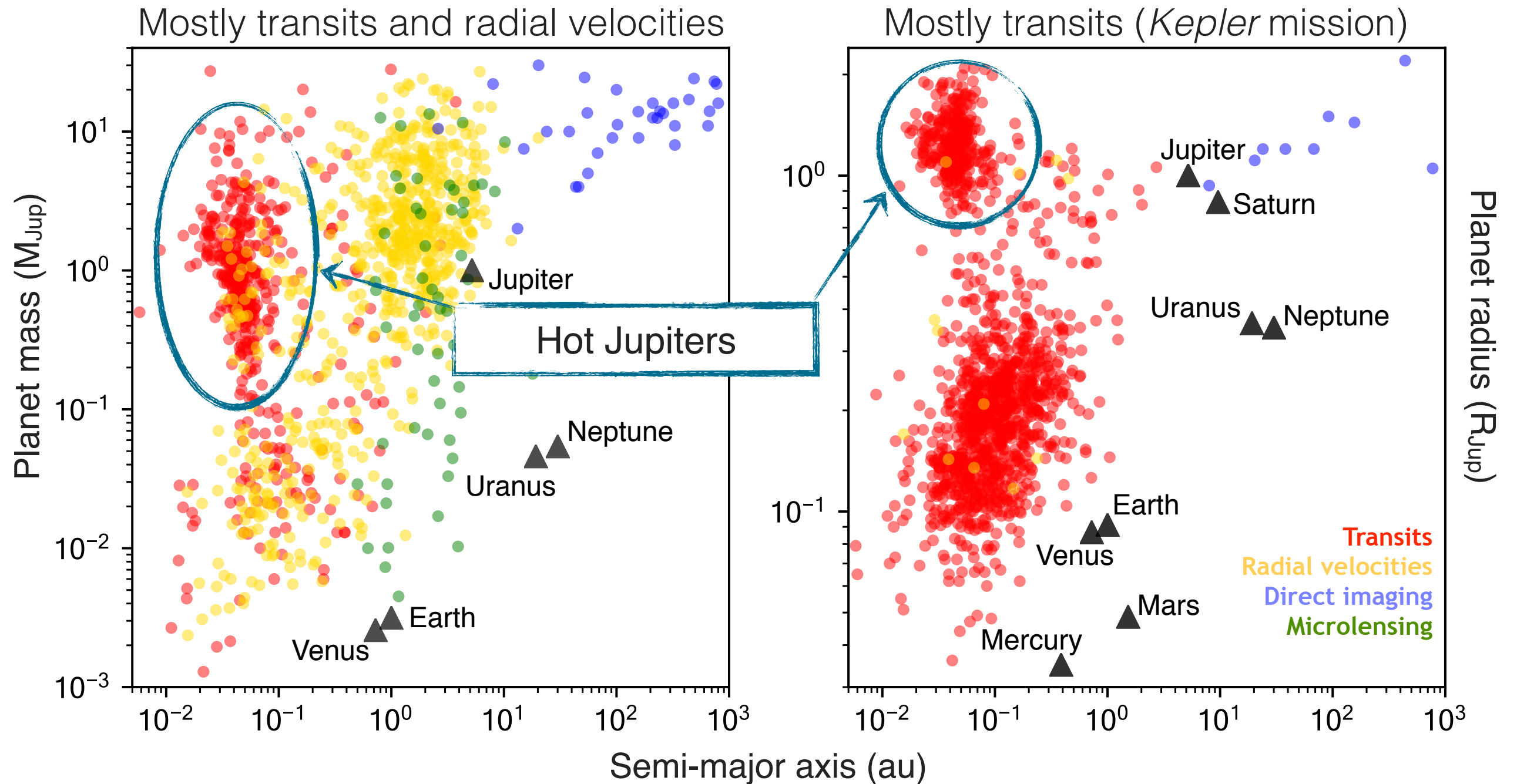
J. Bean, J. Birkby, A. Chiavassa, J.-M. Désert,  
M. Line, E. Rauscher, I. Snellen, A. Sozzetti





# The golden era of exoplanet discoveries

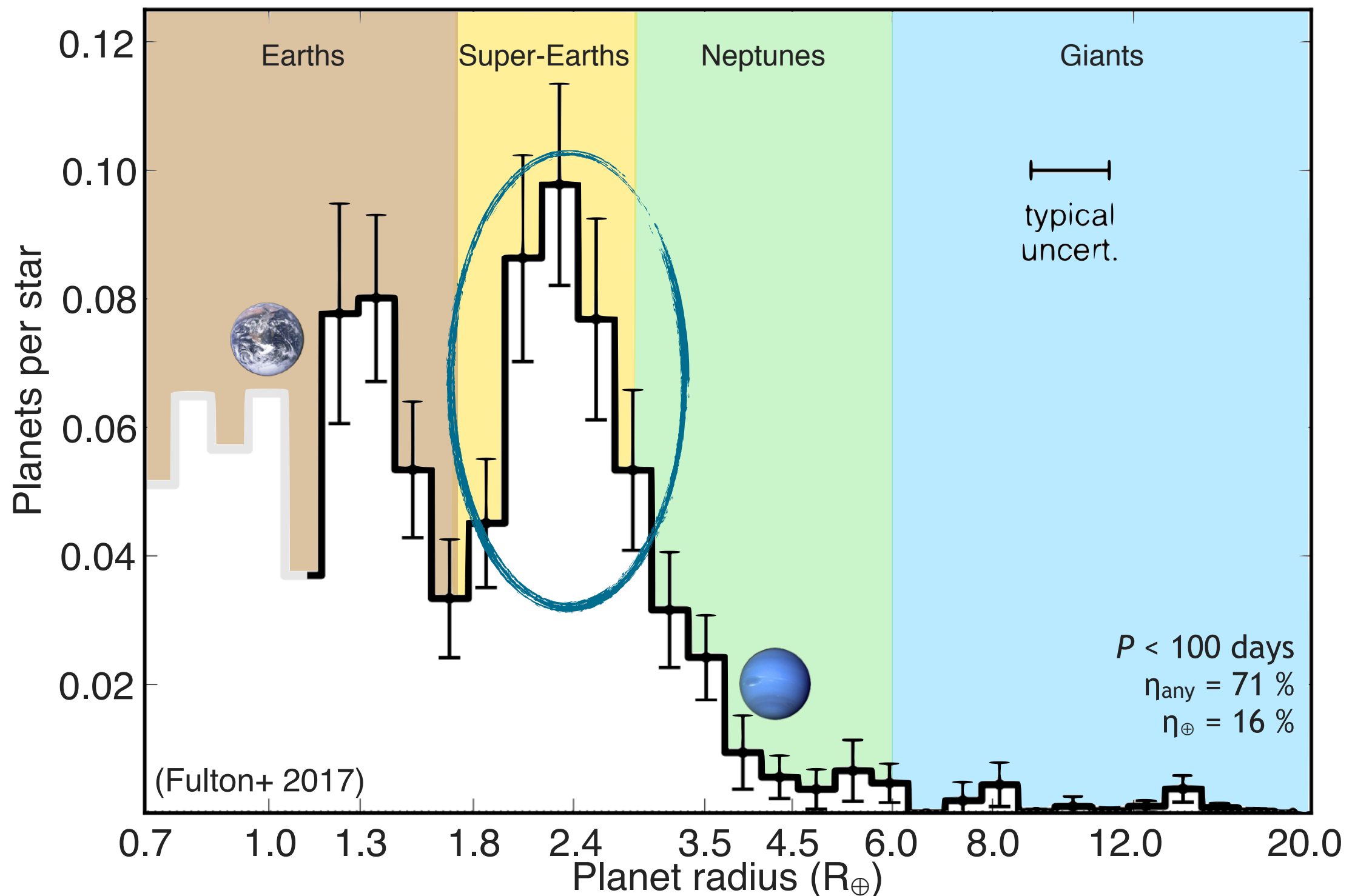
1995-2019  $\Rightarrow$  4,000+ confirmed exoplanets



Both plots are biased by *detection limits*!  
Smaller and further away planets are harder to detect

# The most common exoplanets are not giants

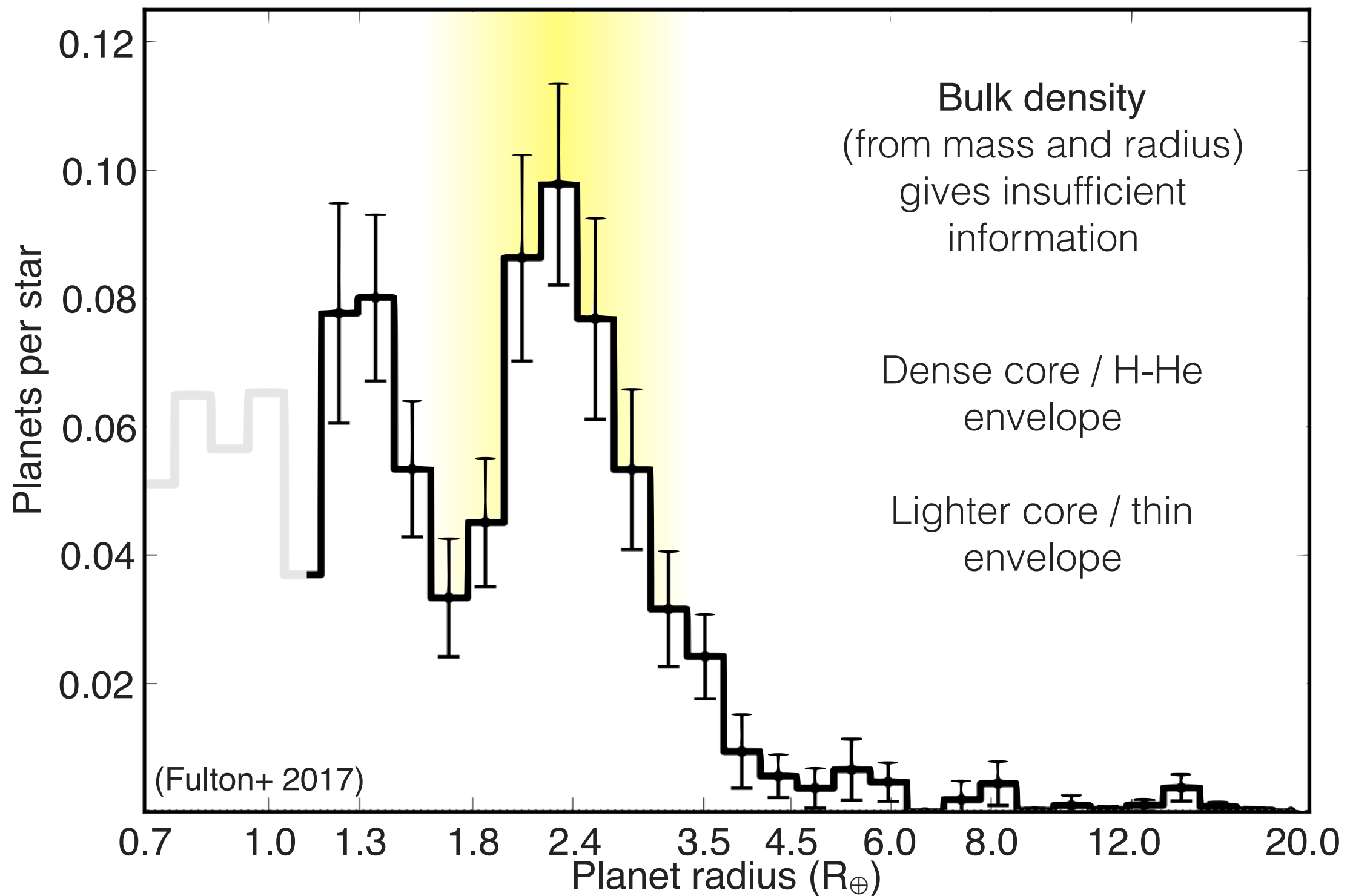
Statistics from *Kepler* detections of transiting planets around FGK stars



The most common planets have **no analogues** in the solar system  
(their size is intermediate between Earth and Neptune)

# What is the nature of the most common exoplanets?

## The mini-Neptune / super-Earth dilemma

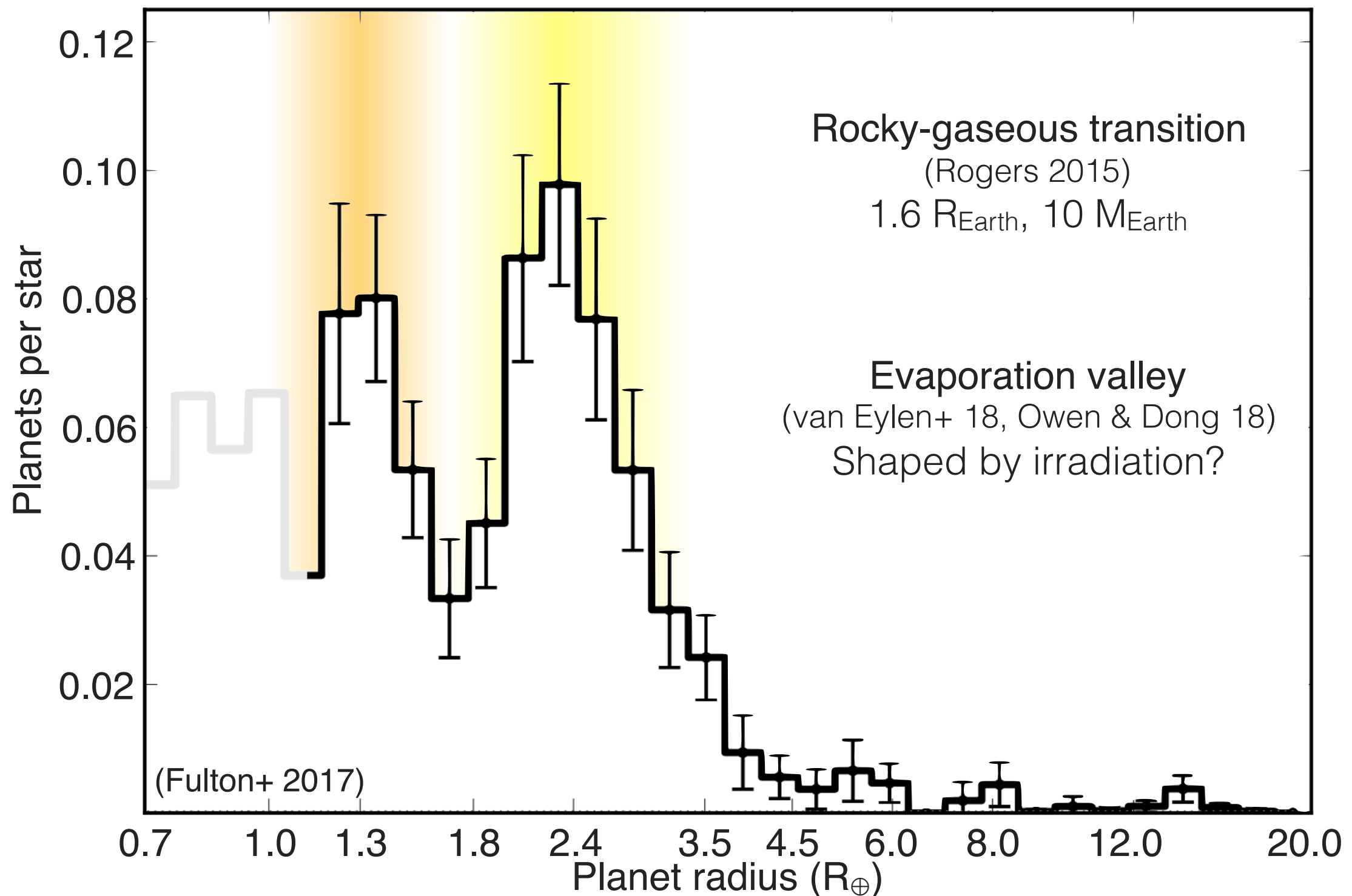


Studying the [atmospheres](#) can lift the envelope-interior degeneracy  
(Adams+08, Miller-Ricci+09 Rogers & Seager 10, Dorn+15)



# The gaseous/rocky transition and the evaporation valley

What shapes the transition between gas and rocky planets?



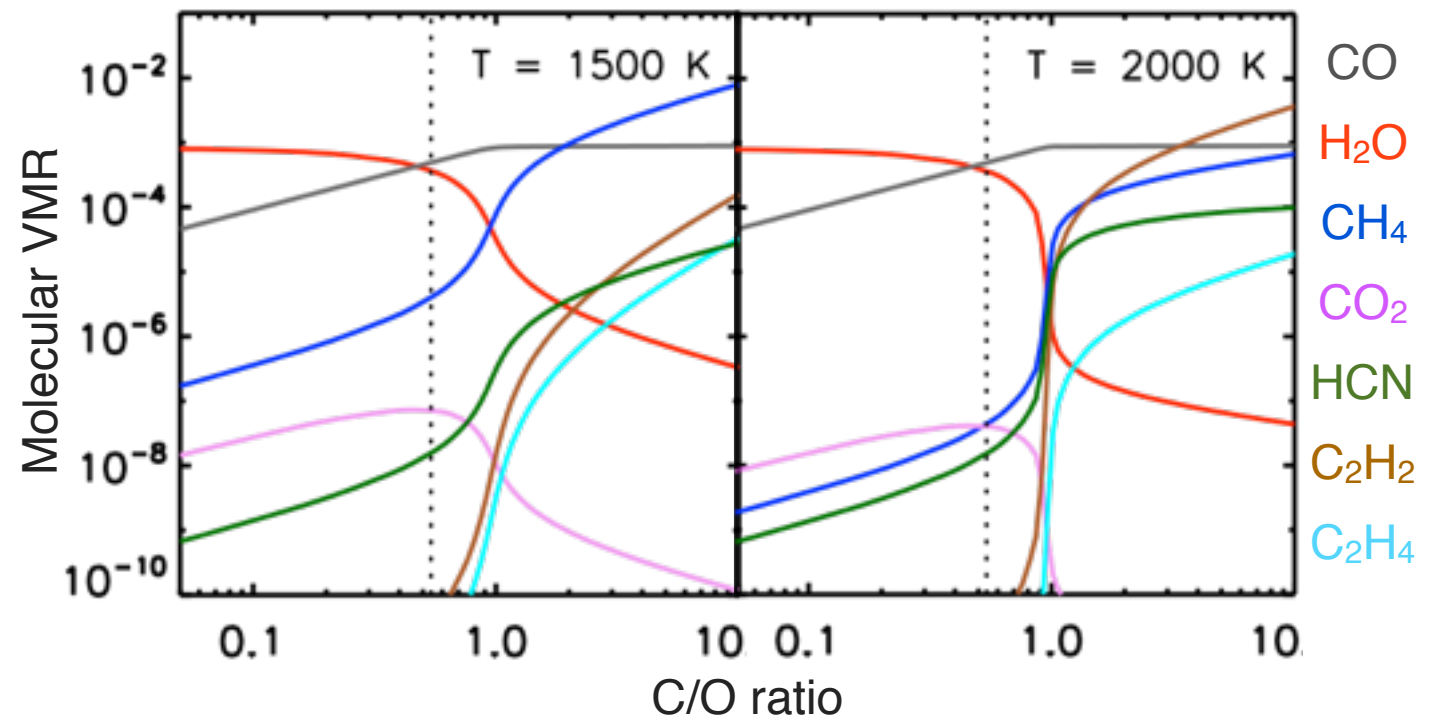
Studying the [atmospheric composition](#) (and mean molecular weight) can inform about physical processes shaping the valley

# Why hot Jupiters?

## A “simplified” chemistry

CO, H<sub>2</sub>O and CH<sub>4</sub> are the main spectroscopically active species

Relative abundances  
(especially [H<sub>2</sub>O]/[CH<sub>4</sub>])  
strong function of C/O ratio  
(e.g. Madhusudhan 12)



## No major “cold traps”

Unlike Solar System giants, HJ spectra are representative of composition

## Homogenised species

Atmospheric circulation equalises day/night abundances

## Negligible chemical evolution / mass loss

Evolved atmospheres relate to primordial atmospheres

## Can we link hot-Jupiter composition to their formation scenarios?

C/O versus snow lines (Öberg+11; Piso+15; Eistrup+18)



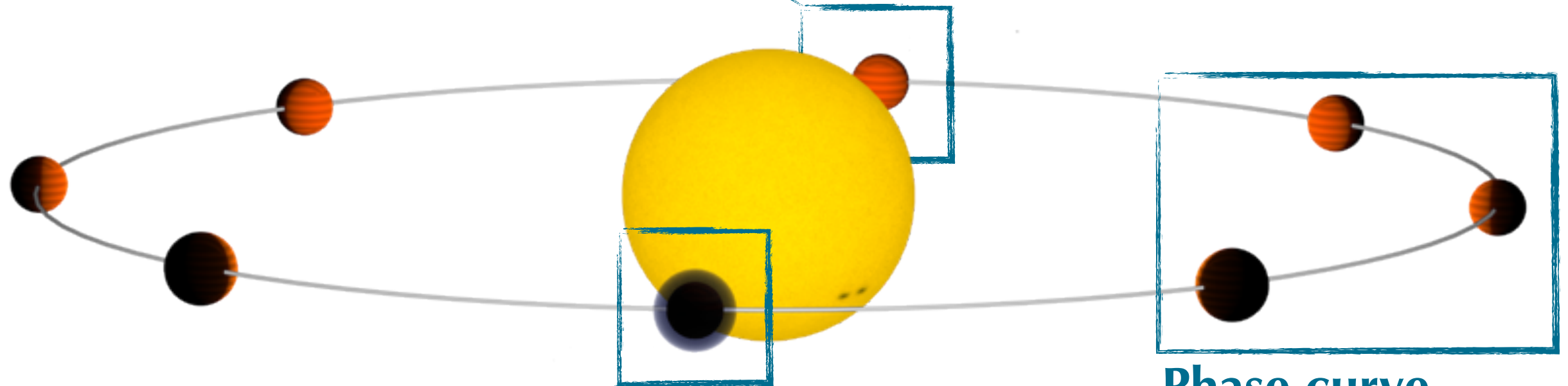
# Atmospheric characterisation of transiting planets

Star and planet are *not* spatially resolved

**Time variations** of total light from star+planet system (at various wavelengths)

## **Eclipse (Dayside spectrum)**

Planet occulted by the stellar disk  
Measuring the missing planet flux



## **Transit (Transmission spectrum)**

Starlight filtering through the planet atmosphere  
Measuring the planet radius

## **Phase curve**

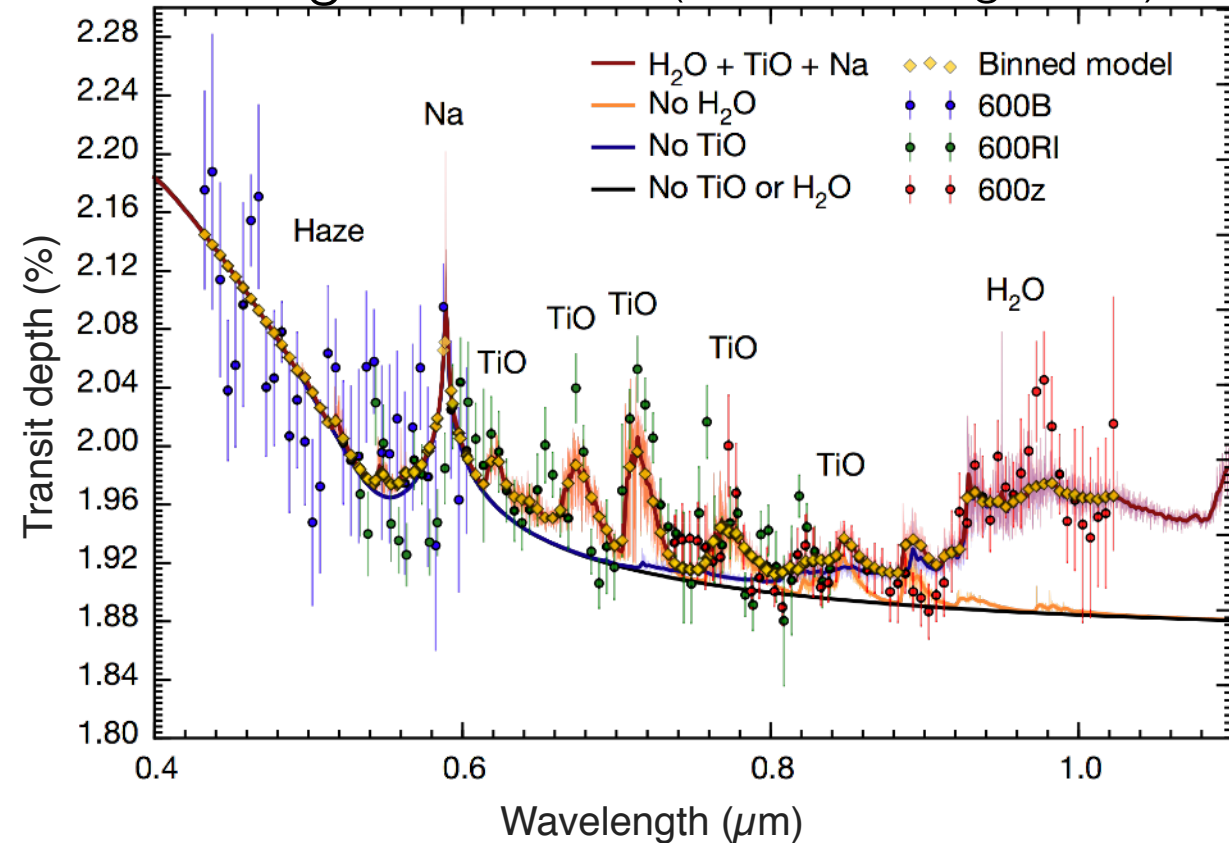
Relative contribution  
of planet day/night side

Spectra and phase curves constrain  
molecular species, abundances, atmospheric structure, energy balance

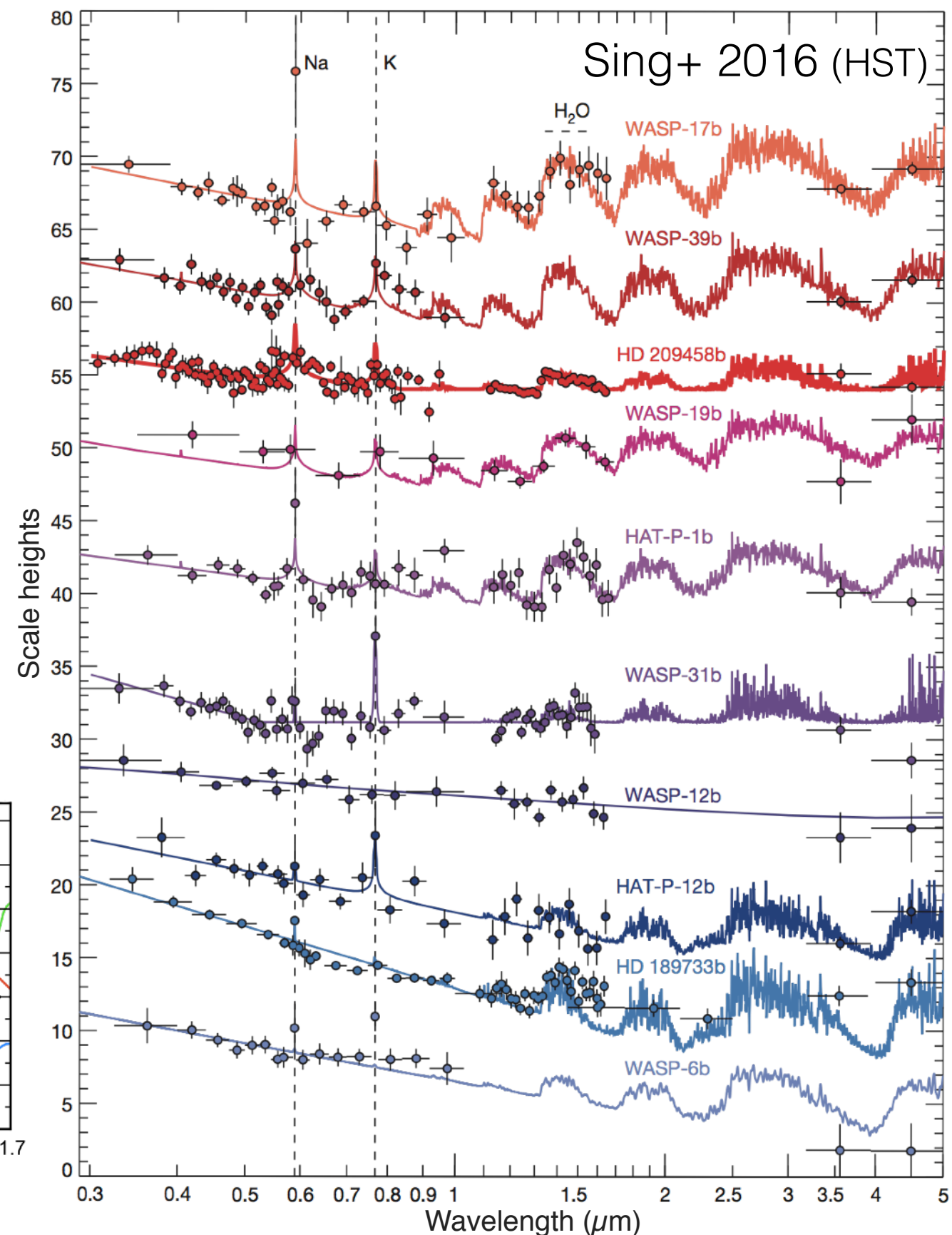
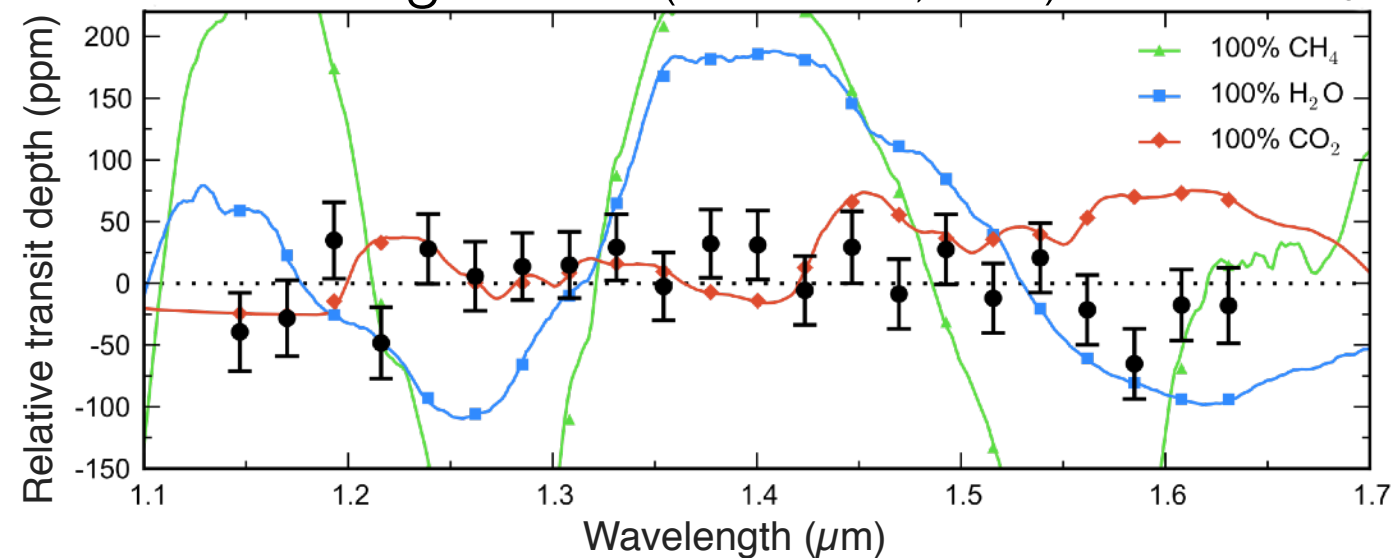
# Low-resolution spectroscopy of exoplanets

**H<sub>2</sub>O, Na, K, [TiO]** Inferred from broad-band shape of the spectrum

Sedaghati+ 2017 (WASP-19b, ground)

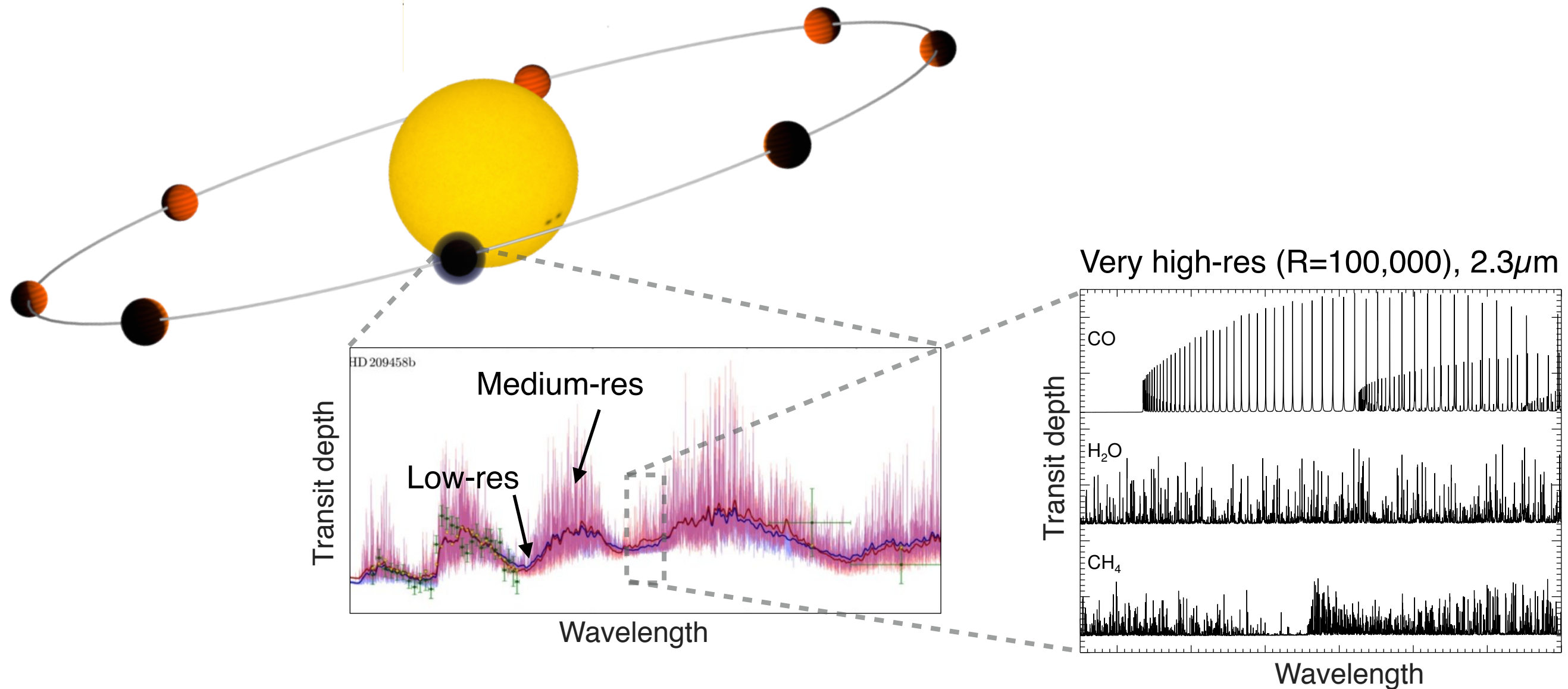


Kreidberg+ 2014 (GJ 1214b, HST)



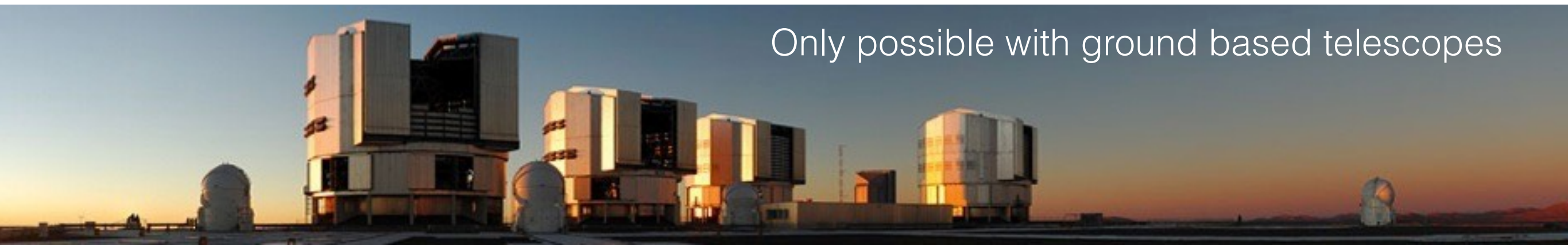


# Exoplanets at high spectral resolution



Each species has a **unique** pattern of spectral lines  
Species can be “matched” line by line to templates, e.g. via **cross correlation**

Only possible with ground based telescopes



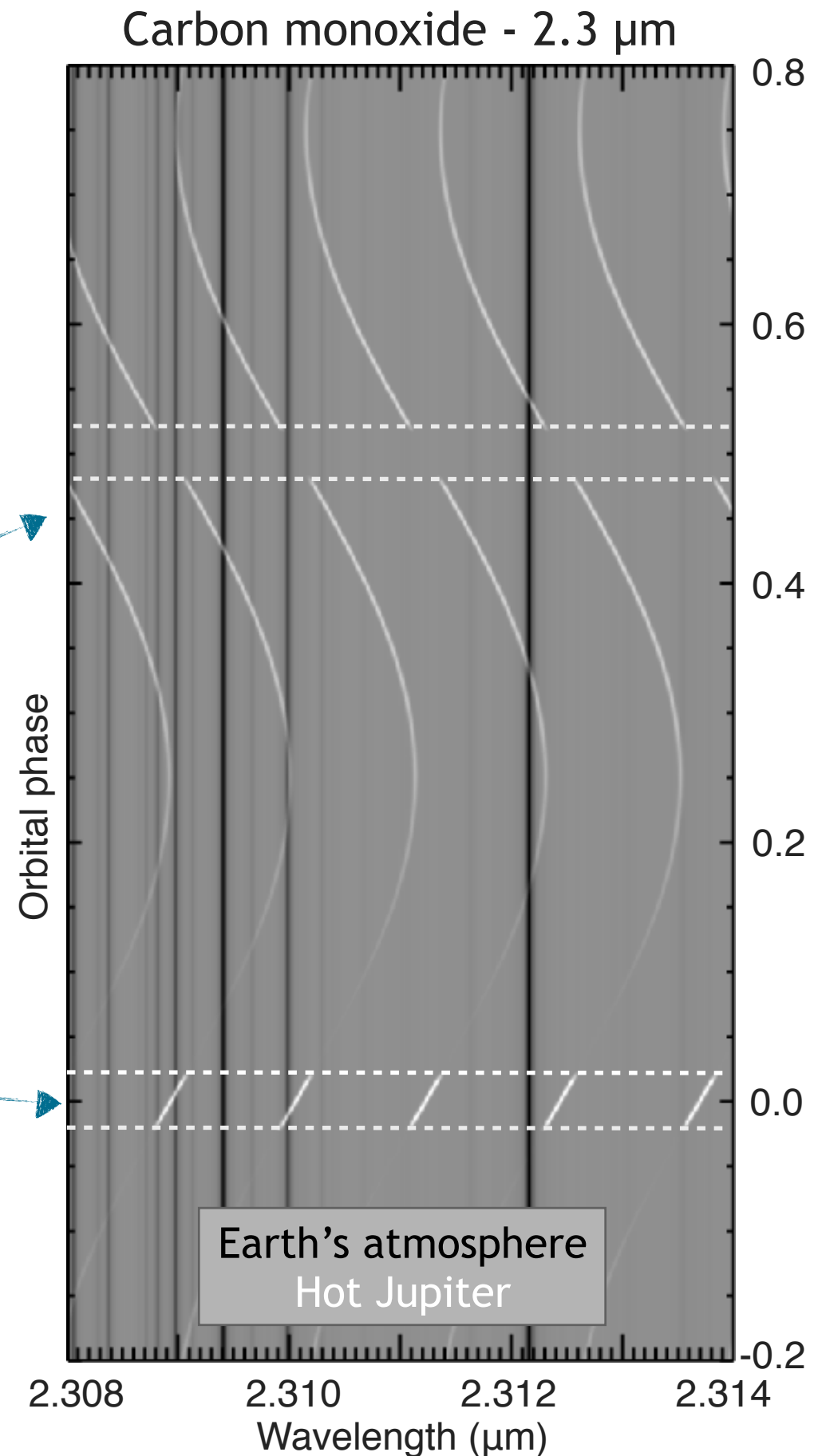
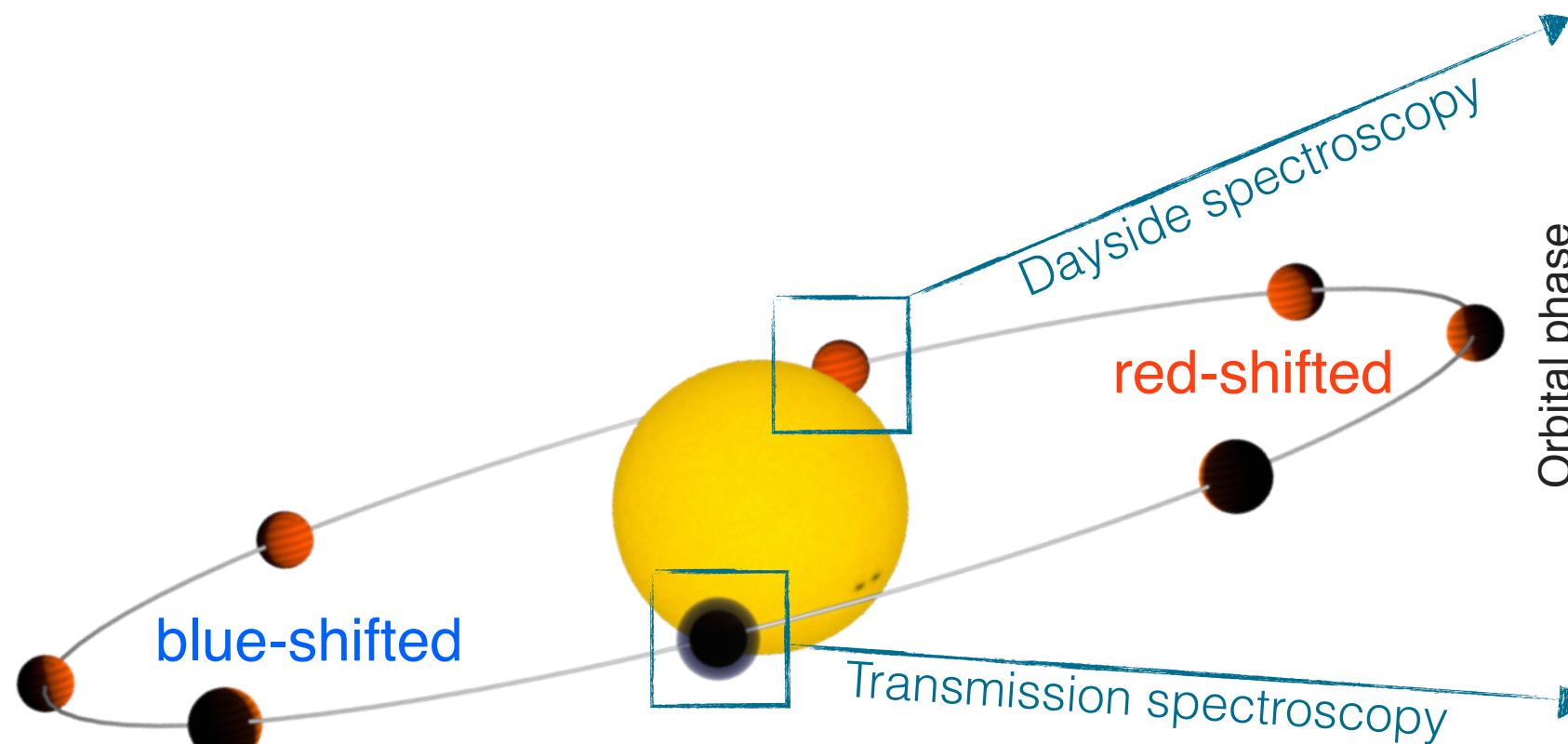
# Detecting the orbital motion of close-in planets

Detecting change in planet radial velocity  
during a few hours of observations

(Planet RV: 10-100 km/s; Stellar RV: 10-100 m/s)

⇒ Telluric and planet signal disentangled

⇒ Planet radial velocity directly measured

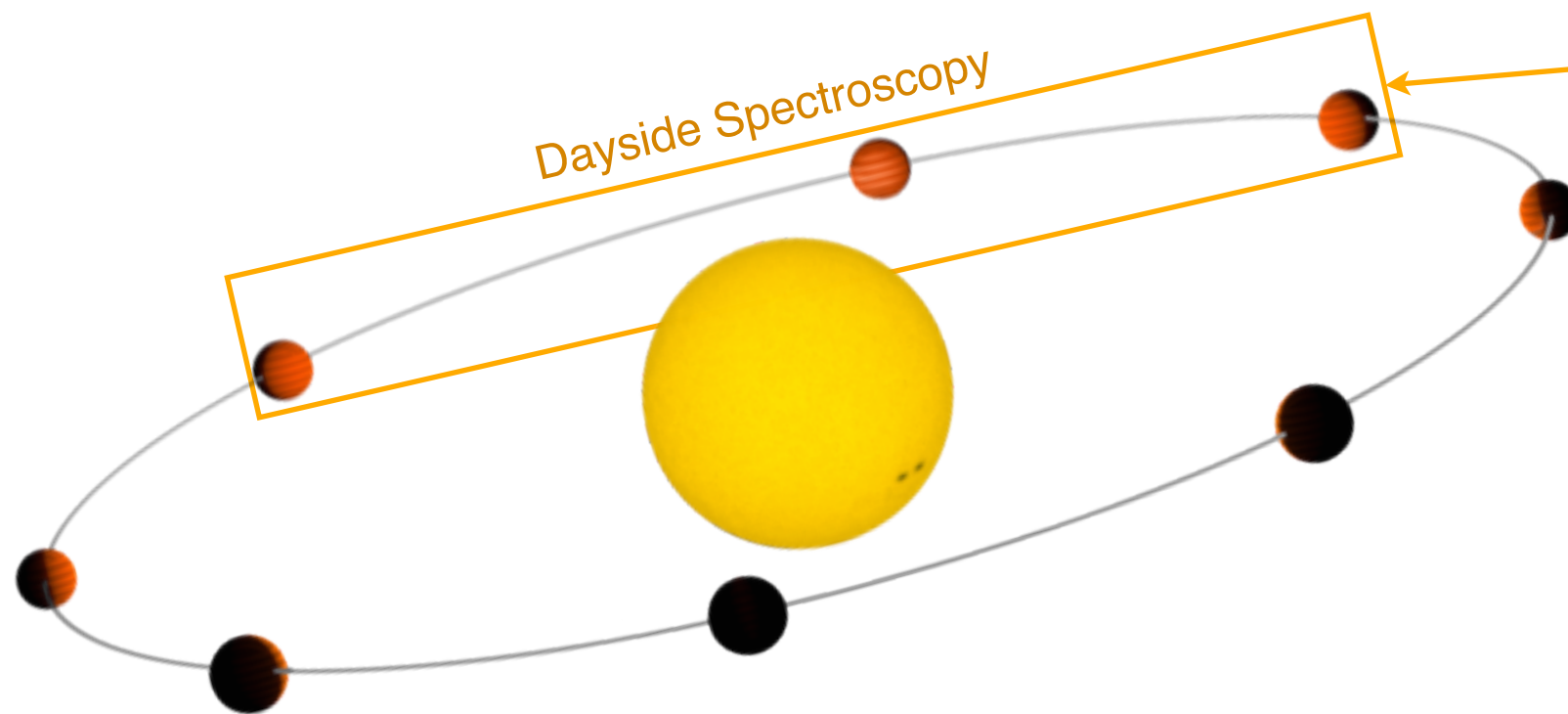




# High spectral resolution of non-transiting planets

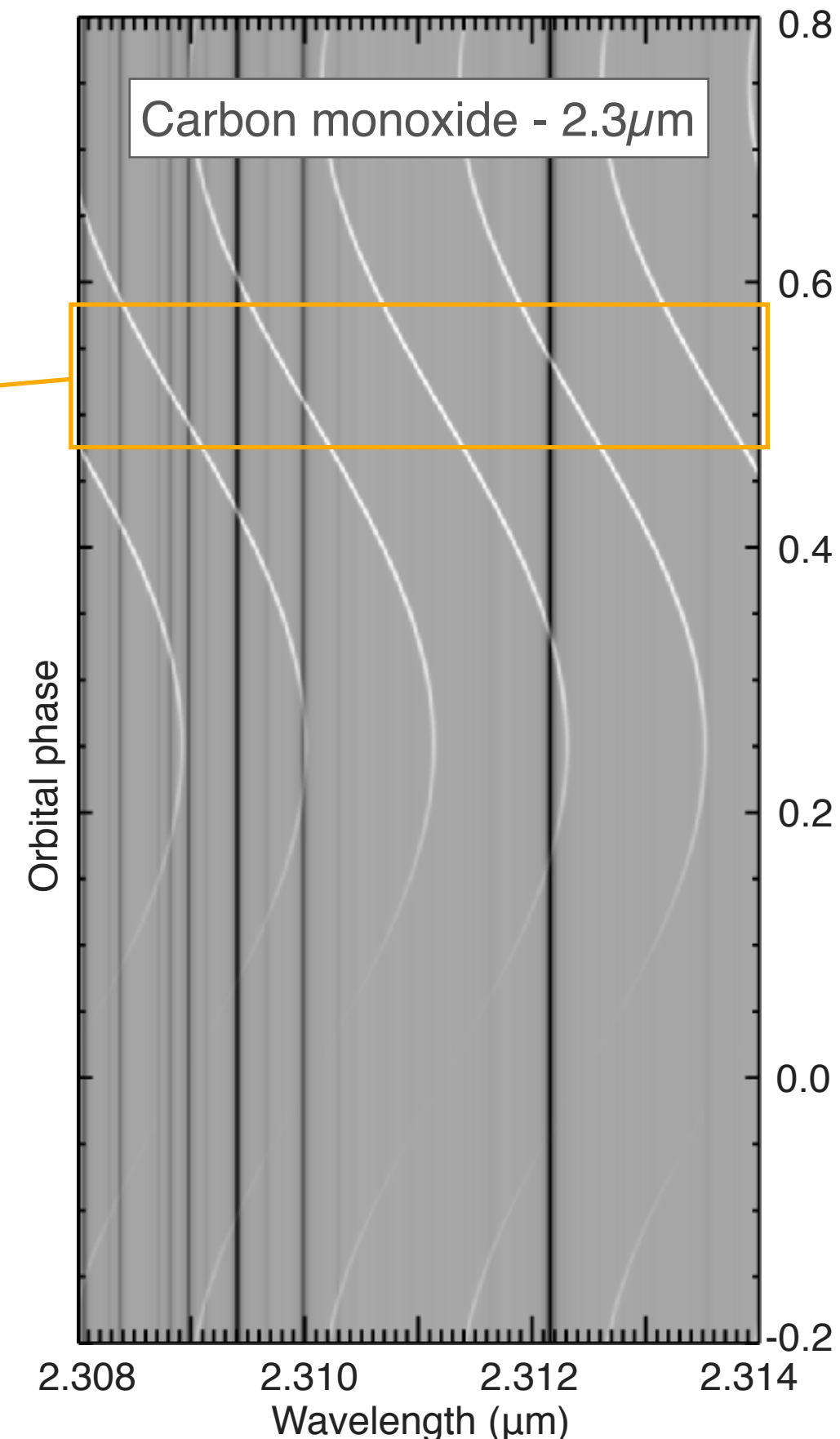
The **thermal spectrum** of the planet is targeted directly

Dayside spectroscopy applicable to **non-transiting planets!**



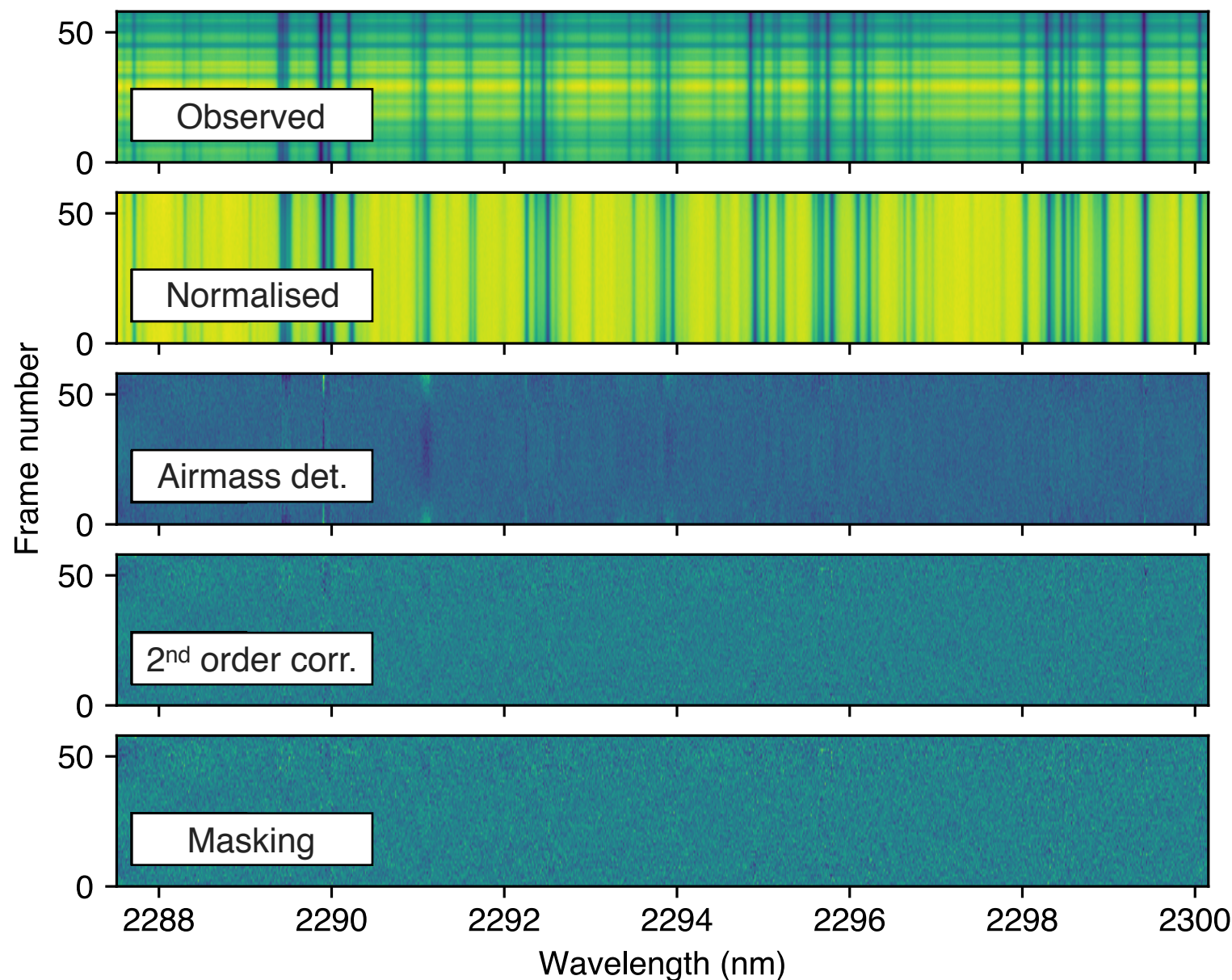
The first and only method to study atmospheres of most non-transiting planets (evolved, on close-in orbits)

Can solve for **planet mass** and orbital **inclination**



# Reverse-engineering the exoplanet spectrum

Every spectral line stationary in wavelength (vertical in our figures) is removed



Starting sequence

Divide each spectrum (each row)  
by the median

Fitting of each spectral channel  
(each column) with  $\exp(\text{airmass})$

Sampling strong residuals and  
dividing them out of each column

Final masking of very noisy  
columns

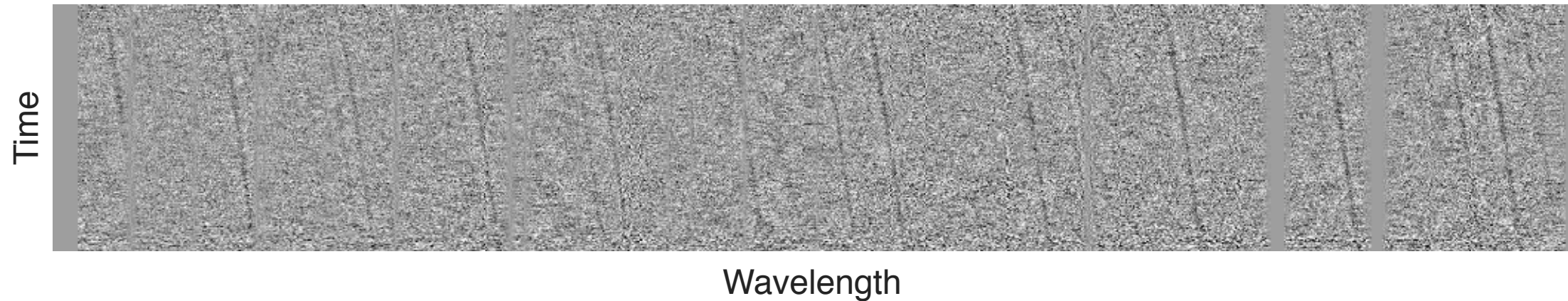
These steps can be “automated” by algorithms decomposing data  
into a linear combination of eigenvectors (e.g. PCA)

The process “auto-calibrates” the data: no reference star required!



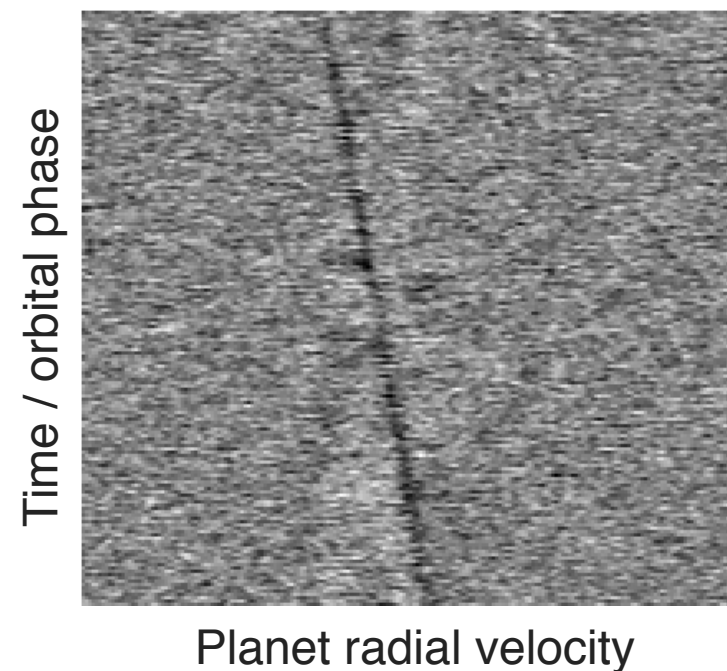
# Extracting the (faint) planet signal: cross correlation

5 hours of real data + 20x planet signal (CO)



## Cross-correlation with model spectra

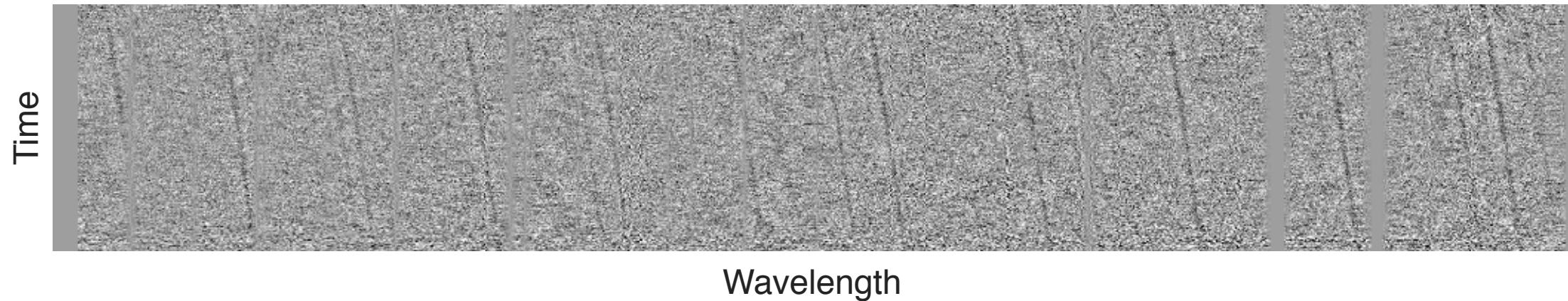
Cross-correlation matrix  
 $CC(RV, t)$



The peak CC tracks  
the planet radial  
velocity in time

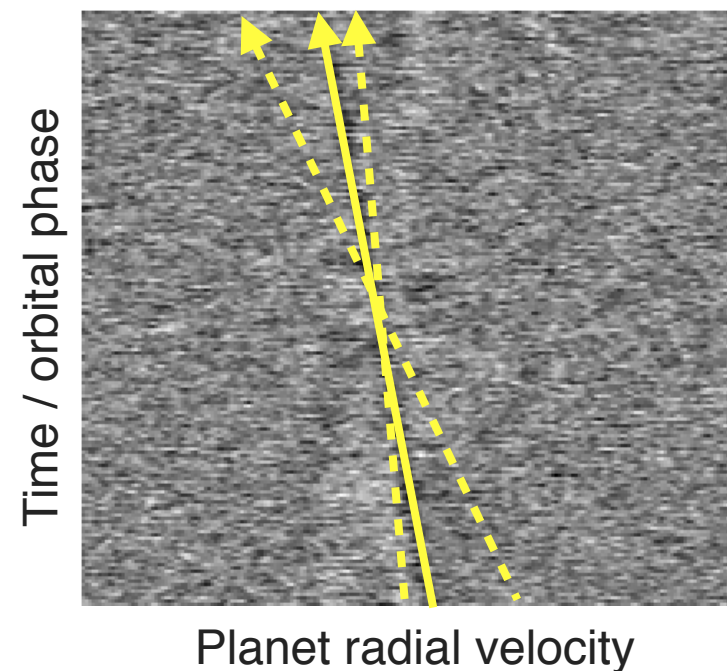
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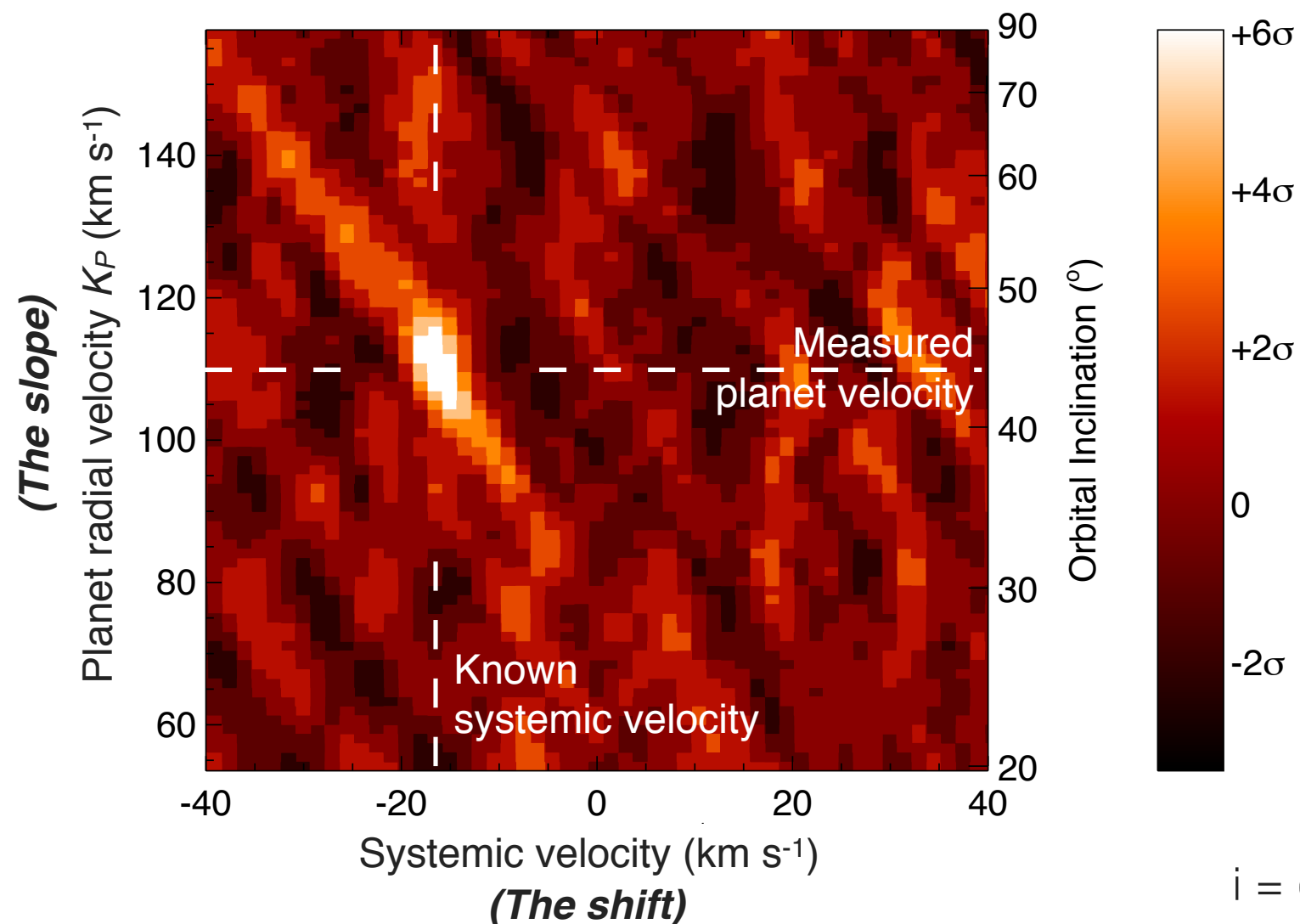
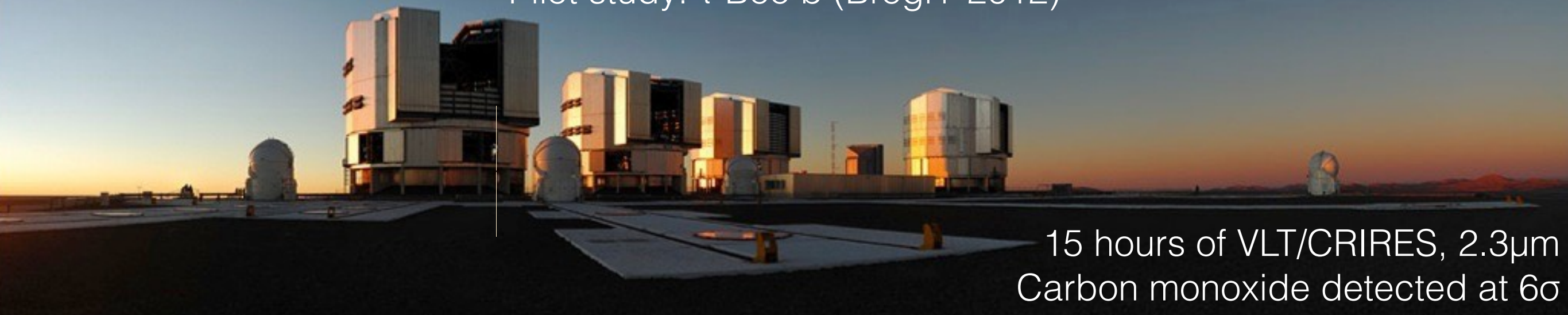
The peak CC tracks  
the planet radial  
velocity in time

Shifting and co-adding to planet rest-frame  
requires knowledge of planet orbital velocity  
(two parameters: **slope** and **shift**)



# Star and planet as spectroscopic binaries

Pilot study:  $\tau$  Boo b (Brogi+ 2012)



## Measured:

RV semi-amplitude ratio:  $K_P/K_S$   
 $\Rightarrow$  Mass ratio:  $M_P/M_S$

## Inferred:

Orbital inclination  $i$   
Planet mass  $M_P = f(M_S)$

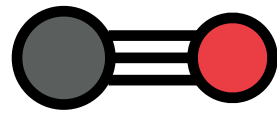
Uncertainties in planet mass  
dominated by uncertainties in  
stellar mass.

For  $\tau$  Boo b:  
 $i = (45.5 \pm 1.5) \text{ deg}$ ,  $M_P = (5.95 \pm 0.28) M_{\text{Jup}}$



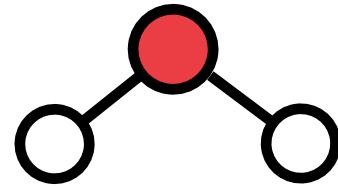
# The story so far: hot Jupiters at high spectral resolution

5 molecules (cross-correlation) + H, He, Ca, Na, Ti, Fe (single line)



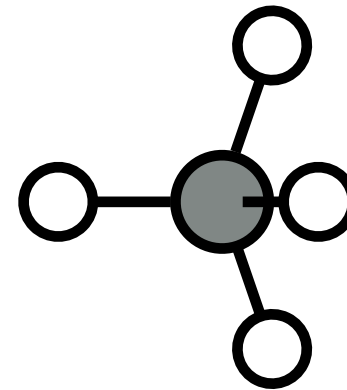
**CO**

Brogi+12,13,14  
Cabot+19  
de Kok+13  
Hawker+18  
Rodler+ 12,13  
Schwarz+16  
Snellen+10,14



**H<sub>2</sub>O**

Alonso-Floriano+19  
Birkby+13,17  
Brogi+13,14,16,18  
Cabot+19; Guilluy+19  
Hawker+18  
Lockwood+14  
Piskorz+16,17,18  
Schwarz+16



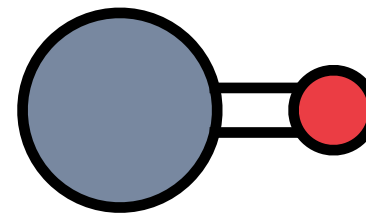
**CH<sub>4</sub>**

Guilluy+19



**HCN**

Cabot+19  
Hawker+18



**TiO**

Nugroho+17

## Observed sample

15 planets searched (5 non-transiting, 8 transiting, 2 directly imaged)

## Potential sample (pre-TESS)

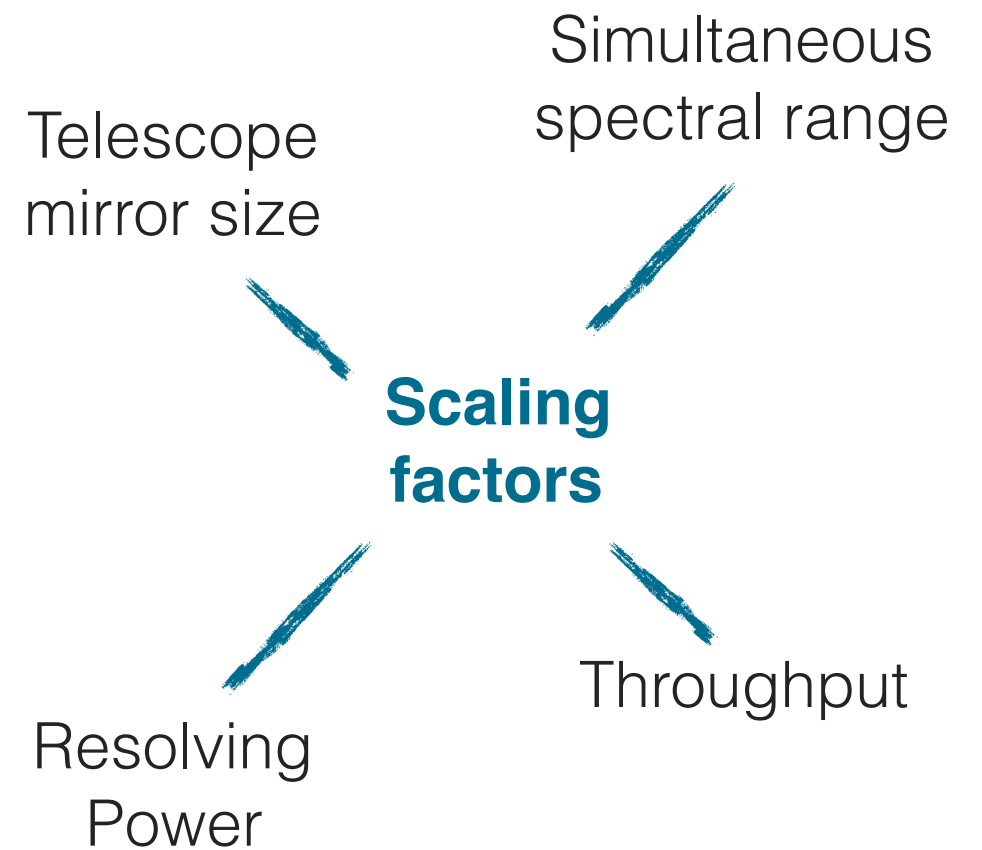
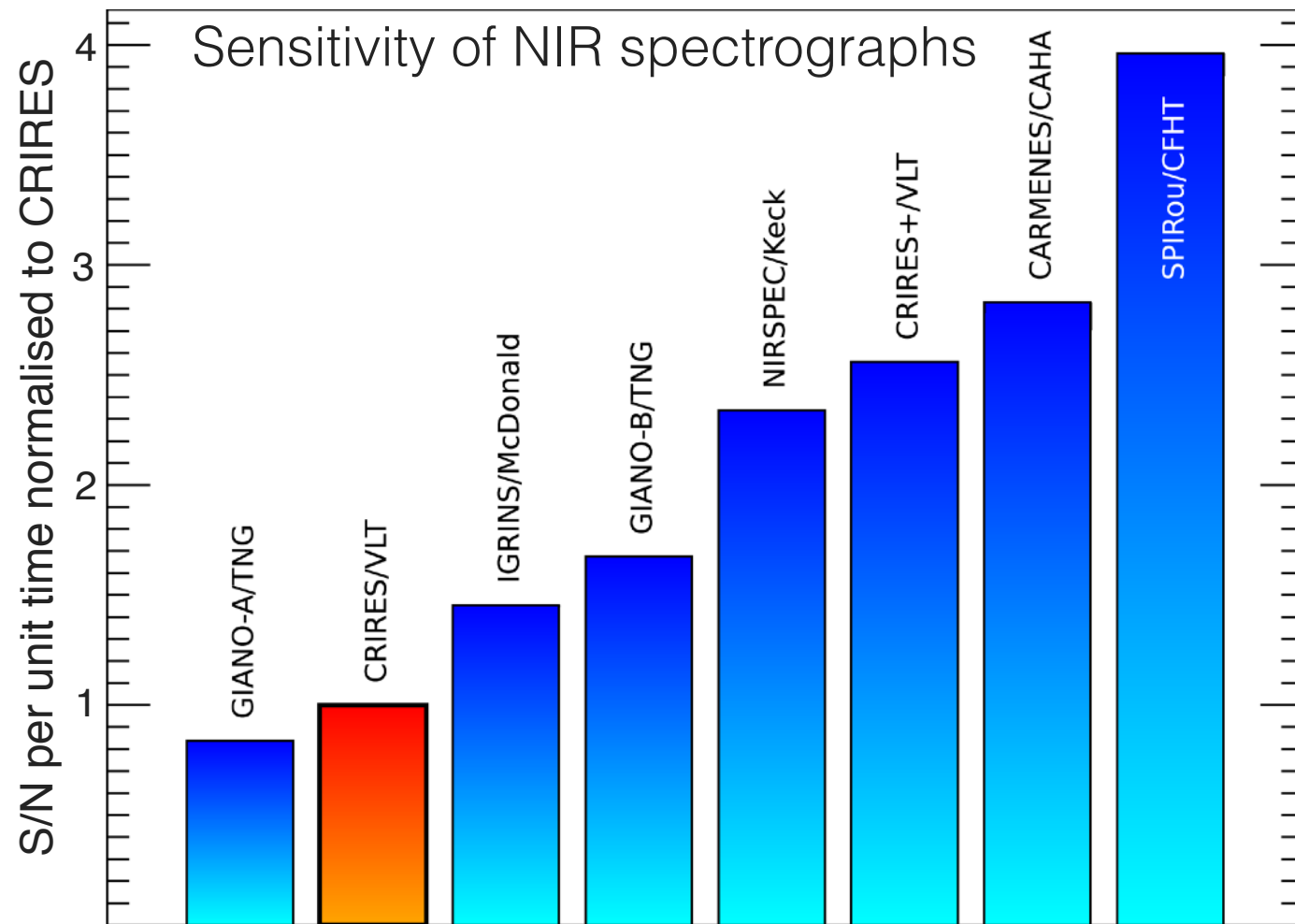
15 non-transiting ( $K < 6.5$  mag) + 15 transiting ( $K < 8$  mag)

## Constraints on C/O

3 planets, all consistent with solar (“oxygen-rich”  $C/O < 1$ )

# From demonstration to comparative exo-planetology

Modern spectrographs have wider spectral range and/or higher throughput



Past detections of H<sub>2</sub>O scaled to the TESS yield of Sullivan+ 2015

A few dozen TESS planets can be followed up at high spectral resolution  
Smaller and cooler than current hot giants - breaking the 10 ppm barrier

**Timely and legacy aspect**

Observations can be done 2 yrs in advance of JWST

# Line lists are key to modelling high resolution spectra

We do not measure spectra, but level of correlation with model spectra  
Uncertainties in line lists can bias or even prevent detection of molecular species

## Completeness (low-res) versus accuracy (high-res)

Current state of the art line lists for CO, H<sub>2</sub>O, CO<sub>2</sub>, HCN, CH<sub>4</sub>, NH<sub>3</sub>  
Led by Sid Gandhi, in collaboration with ExoMol

Reliable: **CO** (everywhere) and **H<sub>2</sub>O** (particularly around 3.1-3.5  $\mu\text{m}$ )

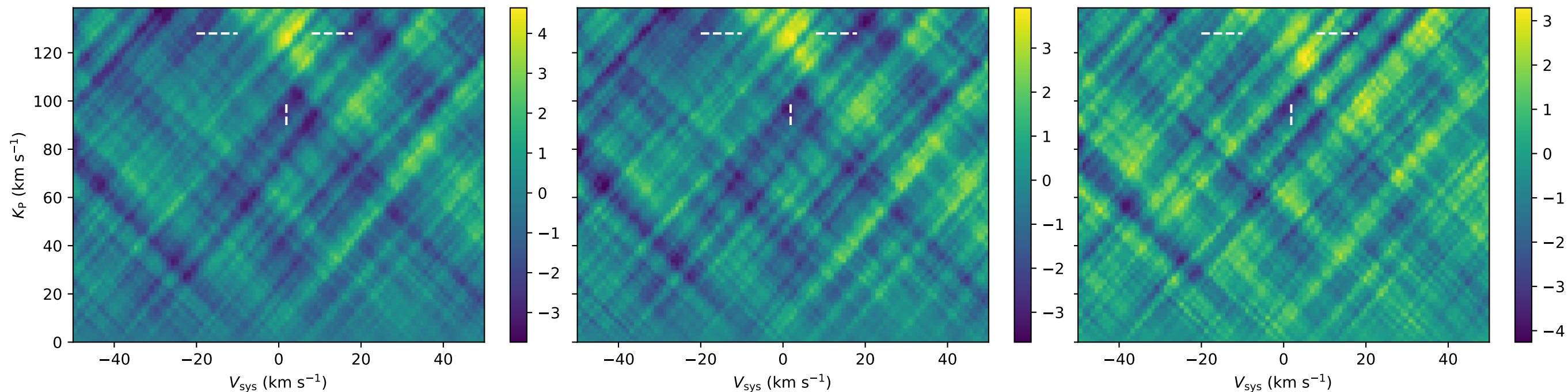
Usable: **HCN** and soon **CO<sub>2</sub>**

Example: **CH<sub>4</sub>** - First detection at high-res by Guilluy et al. (2019)

Same as Guilluy+19

Newer HITRAN

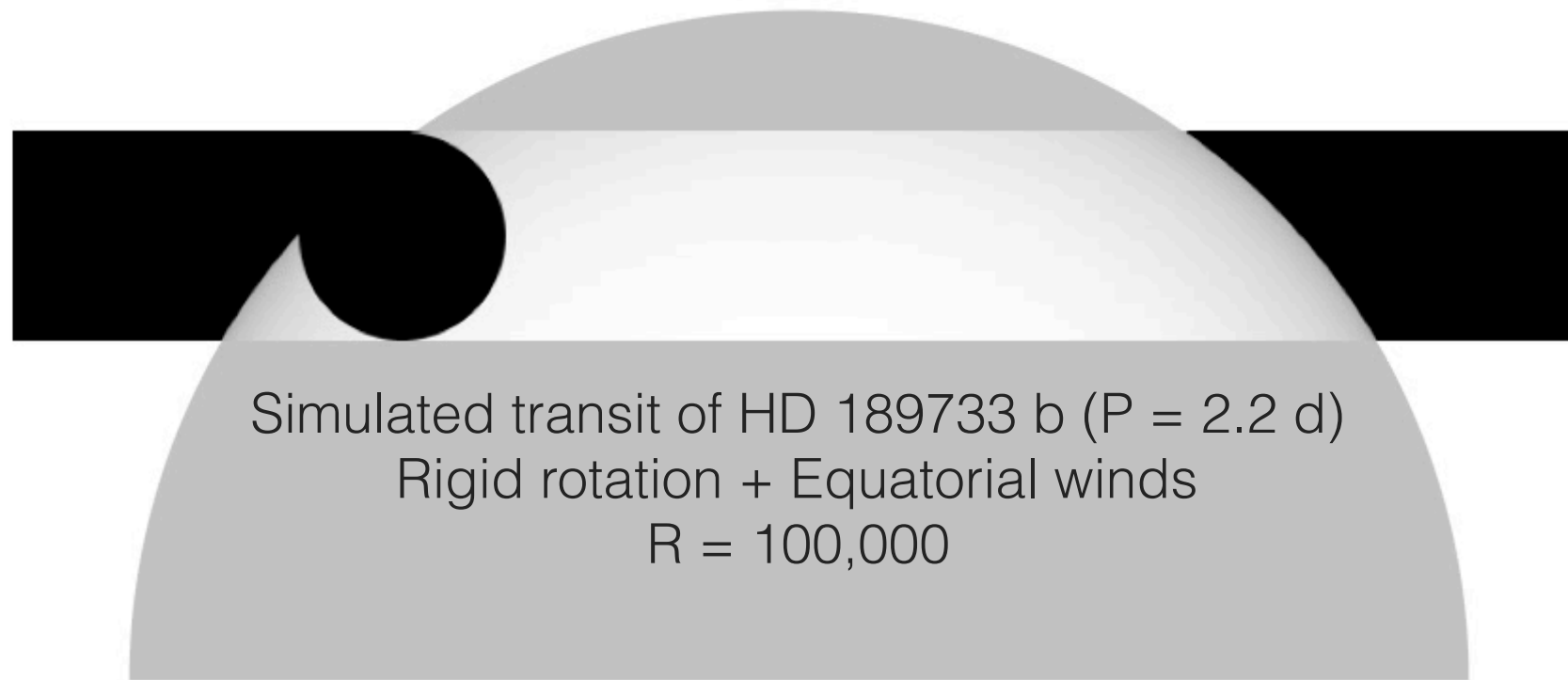
Mixed HITRAN+Experimental



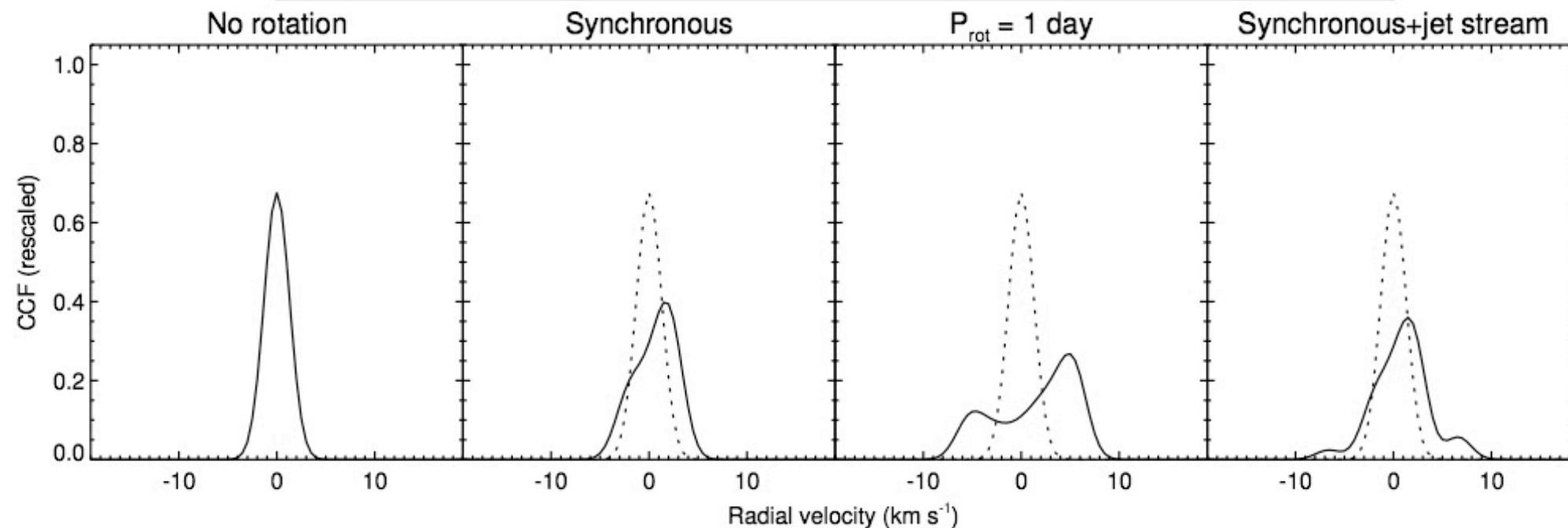


# Rotation and winds of hot Jupiters

Hot Jupiters should become tidally locked on short timescales  
Rotational and orbital periods are the same (a few days)



Simulated transit of HD 189733 b ( $P = 2.2$  d)  
Rigid rotation + Equatorial winds  
 $R = 100,000$



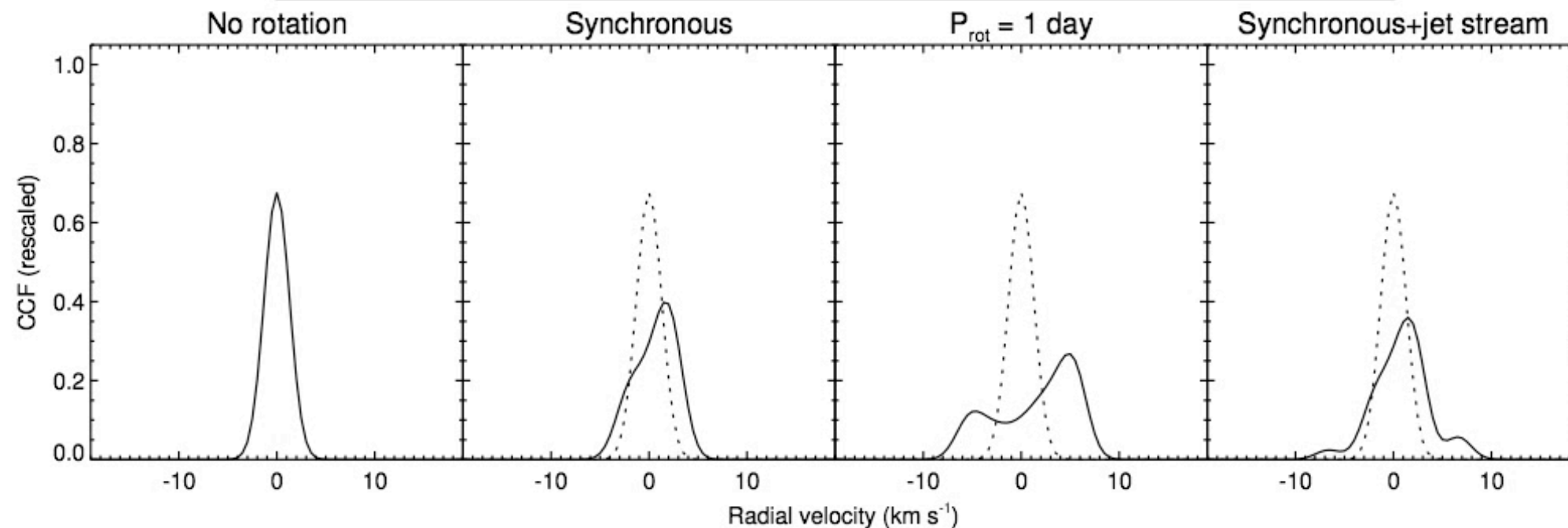
Rotation and winds distort the planet line profile  $\Rightarrow$  imprint on CCF / Na line  
(Snellen+10,14; Wyttenbach+15; Louden & Wheatley 15; Brogi+16; Schwarz+16; Flowers+18)

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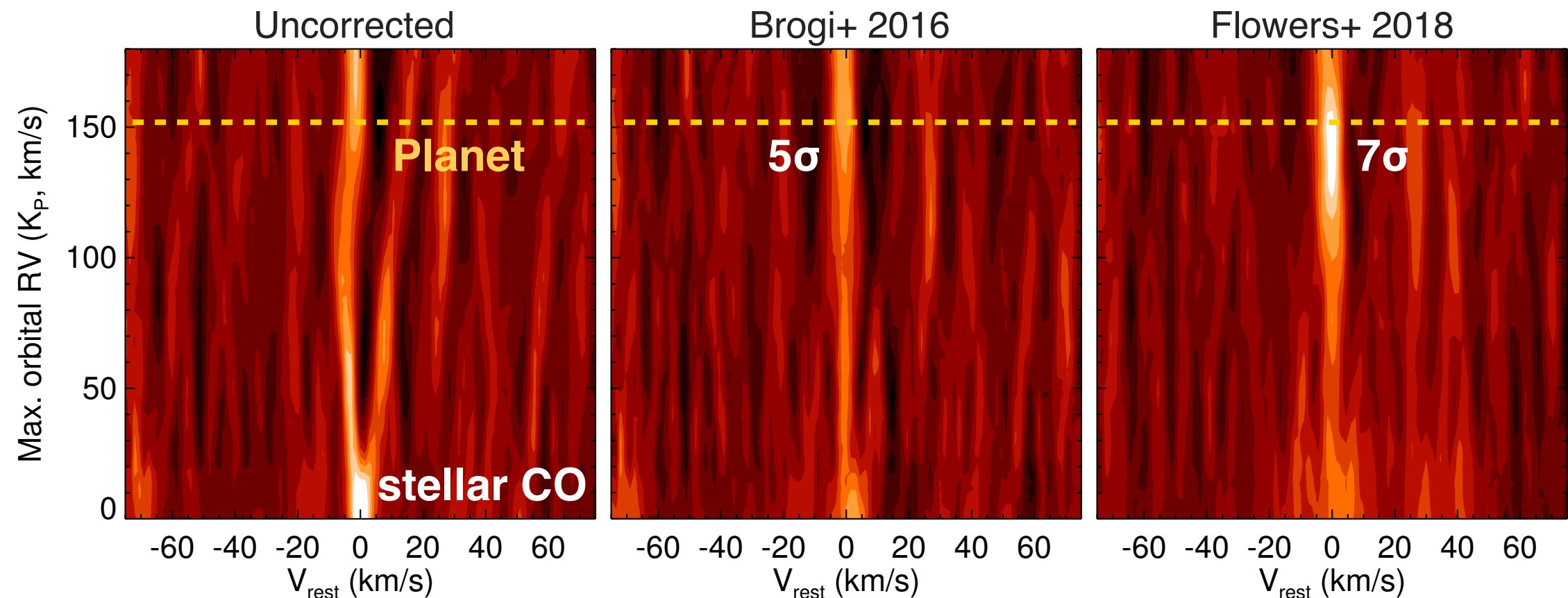


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(Snellen+10,14; Wyttenbach+15; Louden & Wheatley 15; Brogi+16; Schwarz+16; Flowers+18)

# Beware of the star! Additional Doppler signatures

Transiting planets block a changing fraction of the stellar disk  
Projected stellar rotation + center-to-limb variations => Doppler signature

Impact on spectral features in common between planet & star (Na, CO)



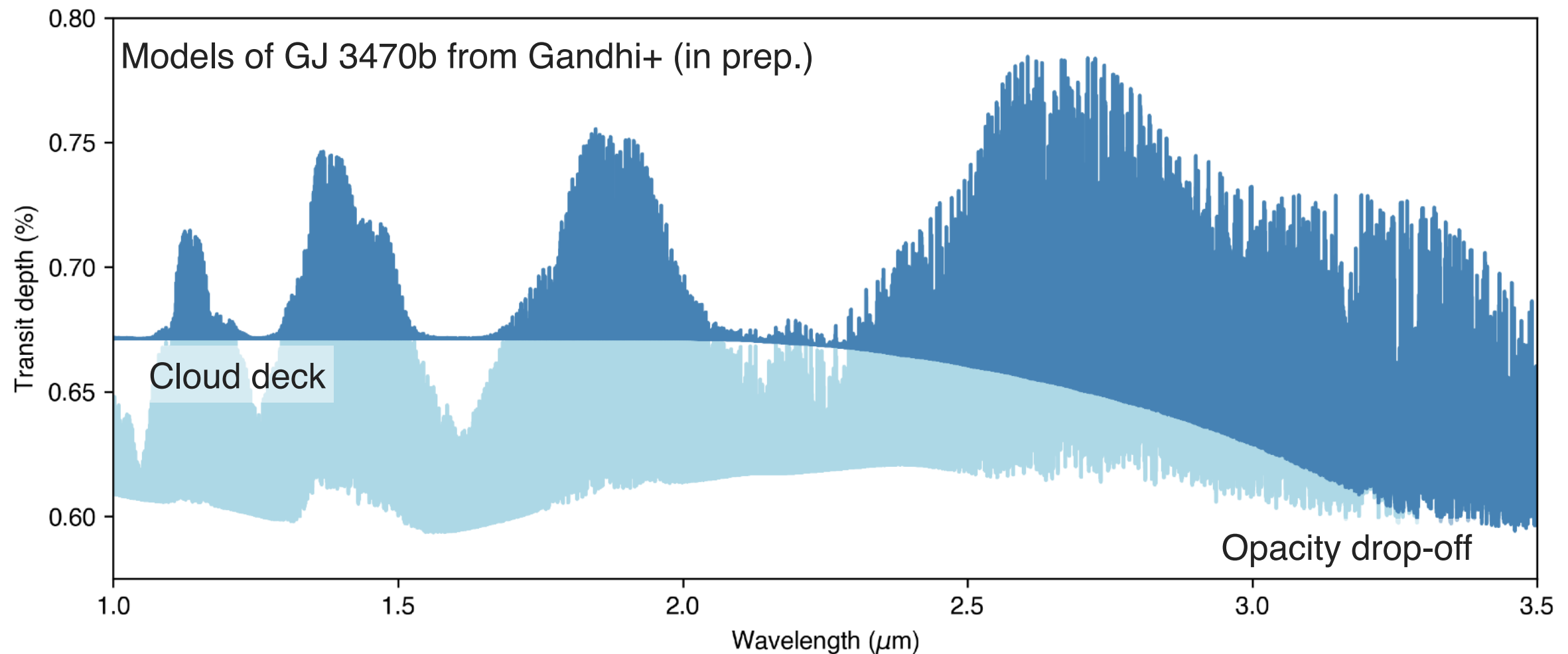
Currently modelled and effectively corrected for F,G,K stars in the optical  
(e.g. Loudon & Wheatley 15; Brogi+16; Casasayas-Barris+17; Yan+17; Wyttenbach+17)

Chiavassa & Brogi (2019): 3D stellar modelling in NIR - correction of CO lines



# Seeing above the clouds at high spectral resolution

H<sub>2</sub>O transmission spectrum of a hot Neptune across the NIR spectral range



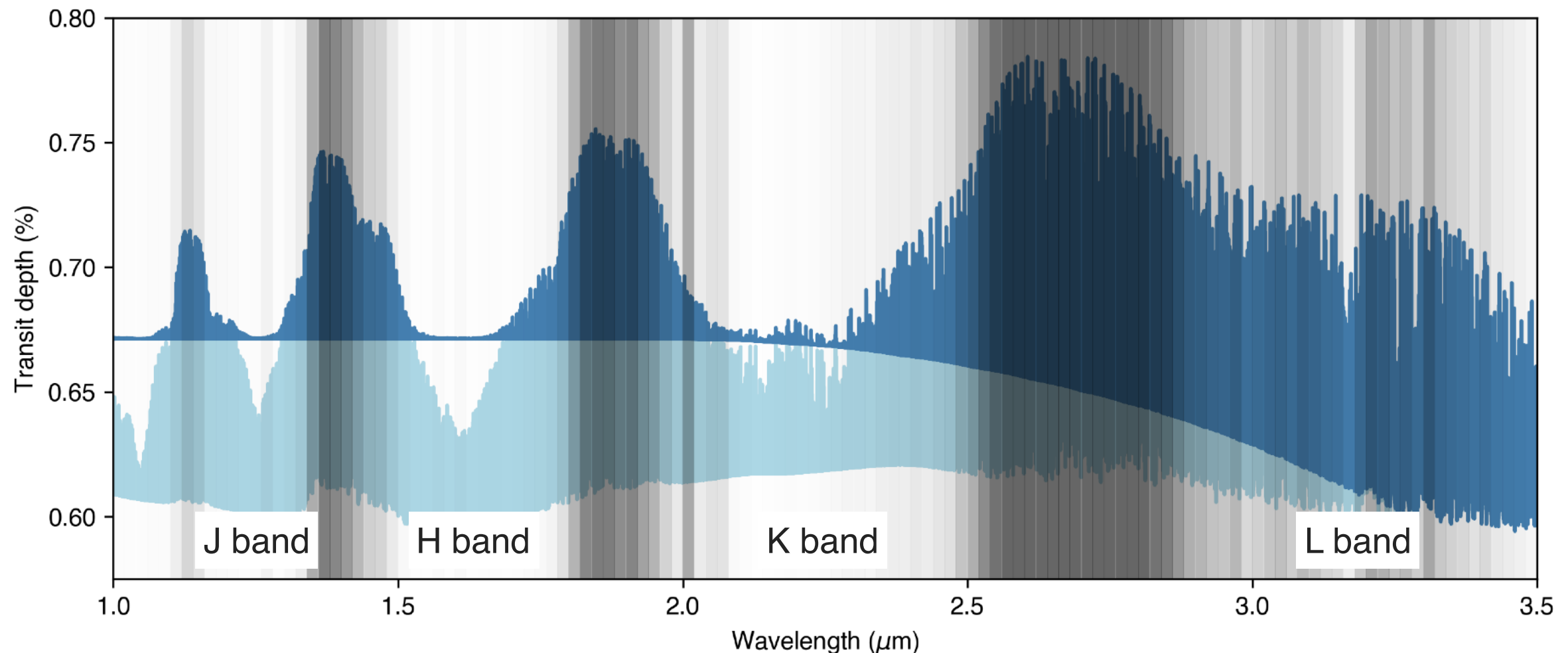
High-altitude cloud deck (0.1-1 mbar) completely mutes “weak” water lines

Peaks of H<sub>2</sub>O band still form above the clouds

Degeneracy with **high metallicity** (high mean molecular weight)  
at low spectral resolution

# Seeing above the clouds at high spectral resolution

H<sub>2</sub>O transmission spectrum of a hot Neptune across the NIR spectral range



High-altitude cloud deck (0.1-1 mbar) completely mutes “weak” water lines  
Peaks of H<sub>2</sub>O band still form above the clouds, **except for telluric transmission**

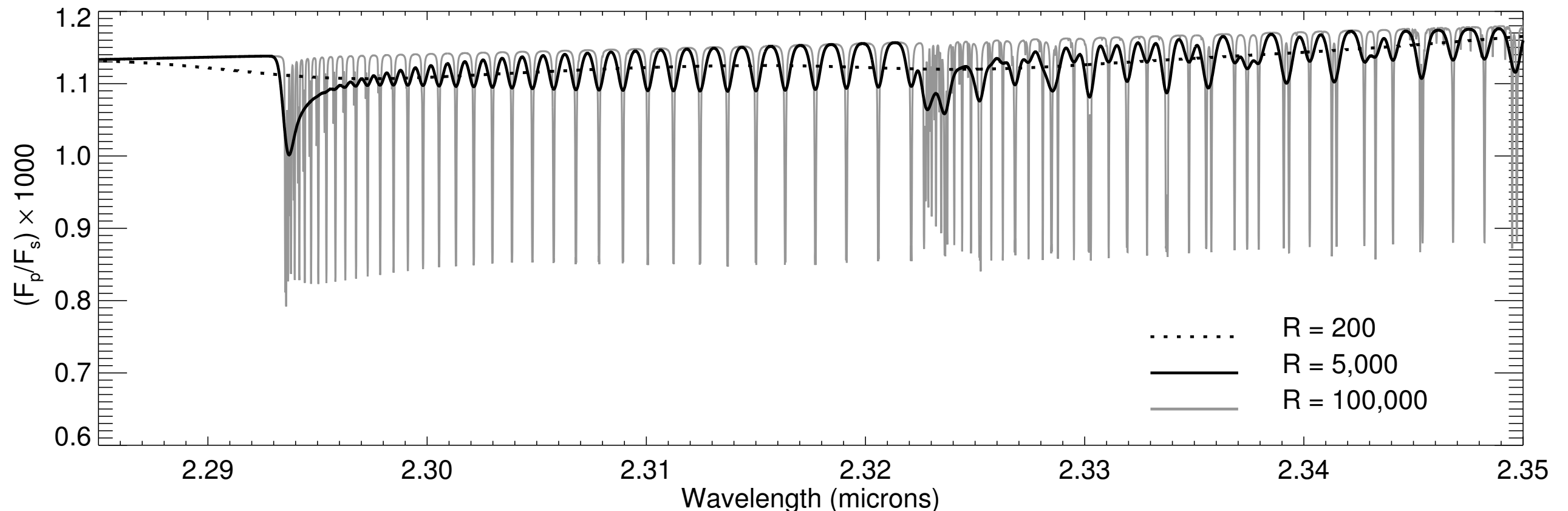
Most of residual signal is away from “classic” NIR bands (J, H, K)  
Need to push our data analysis techniques to the edge of the telluric bands

**Cloudy / high-Z atmospheres are much less degenerate at high-resolution**

# A joint analysis of low- and high-resolution spectra

Enabling a true synergy between space and ground observations

In collaboration with M. Line, J. Bean, J.-M. Désert



## High-resolution spectroscopy

Core-to-continuum line contrast and line shape

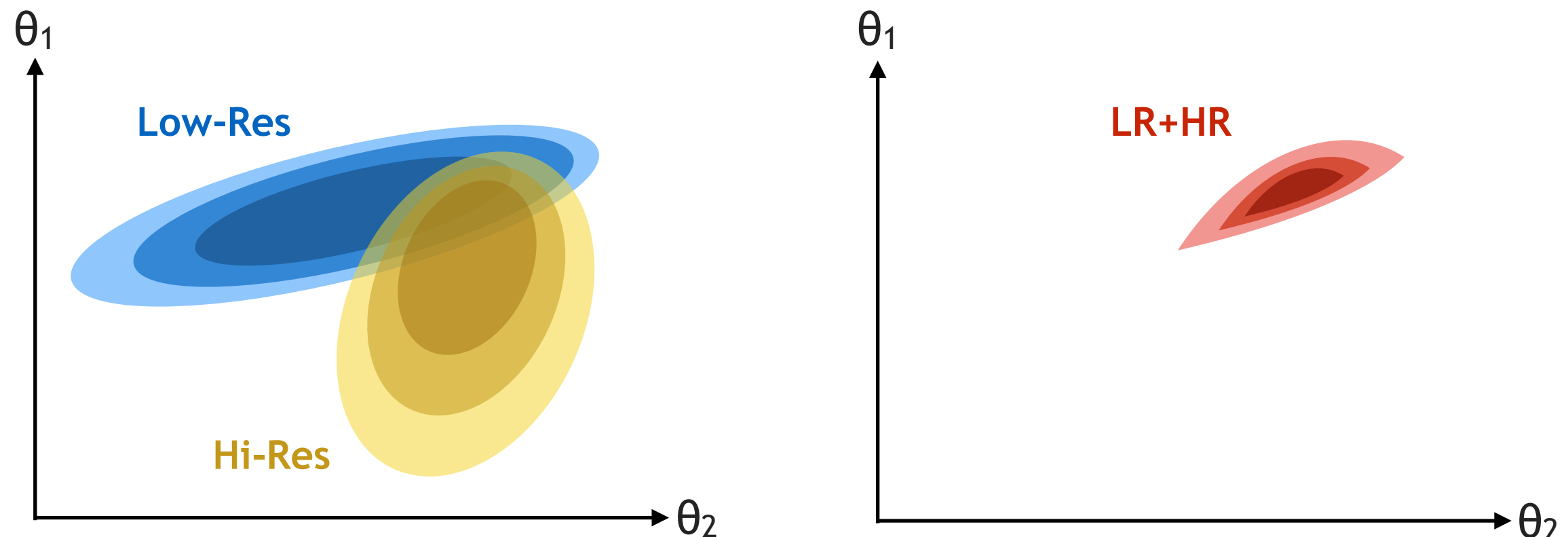
## Low-resolution spectroscopy

Absolute fluxes and broad-band variations

Two *independent* measurements encoding the same atmospheric parameters  
(composition, temperature and pressure)



# A joint analysis of low- and high-resolution spectra



Computing a *joint posterior distribution* hi-res + low-res requires a full MonteCarlo (a random walk in parameters space) in high-res data

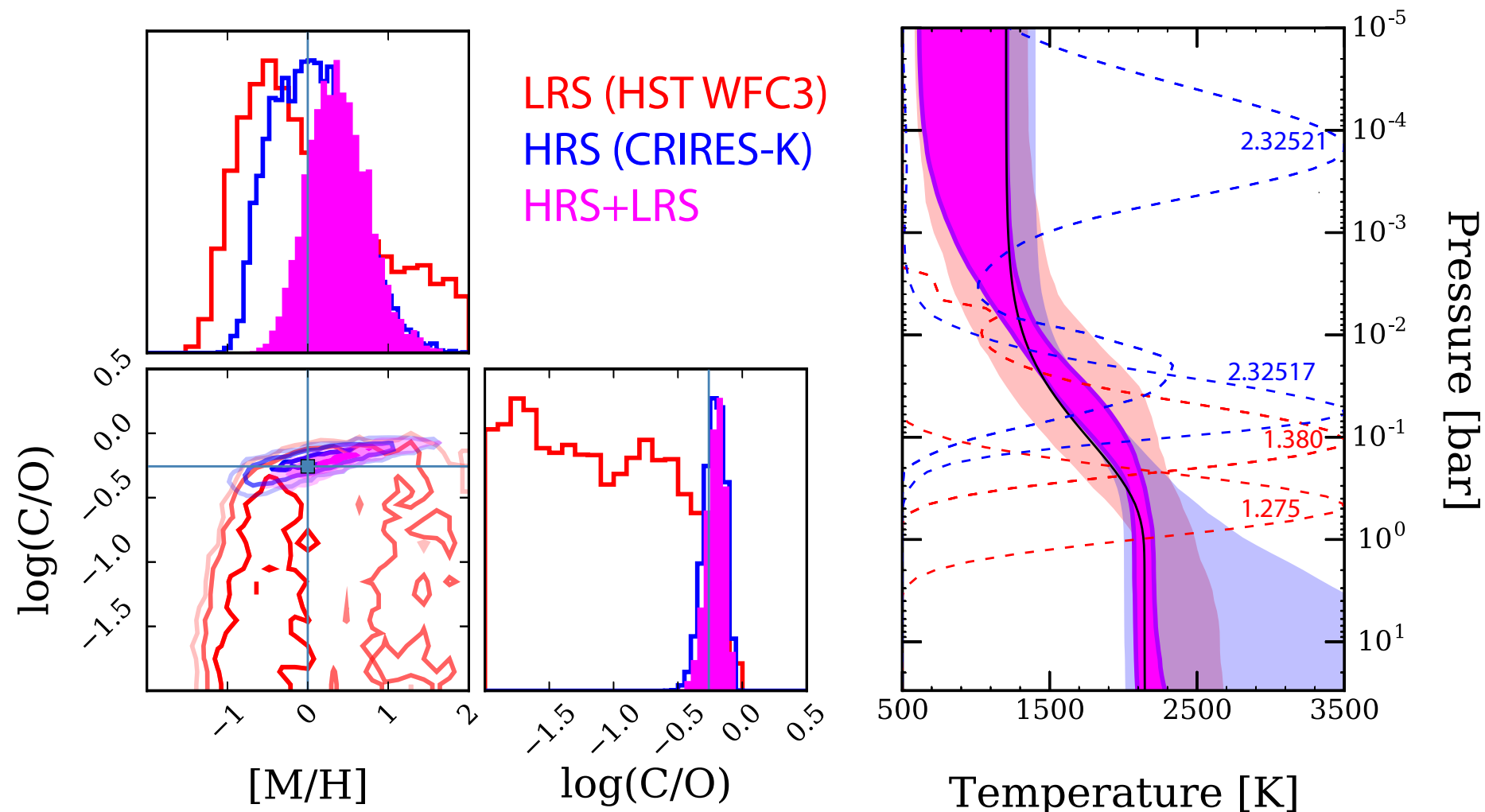
Cross correlation values  $\rightarrow$  Likelihood values  $\rightarrow$  Nested sampler (MCMC)  $\rightarrow$  High-res posteriors

Orbital parameters become MCMC parameters

Conceptually simple, but implementation took  $\sim 2$  years of work  
(unbiased CC-to-L mapping, unbiased analysis, speeding up of code)

# Measuring C/O and metallicity in a Bayesian framework

Brogi & Line (2019): simulated HST + VLT/CRIRES observations  
Noise level of **current** observatories (1 eclipse / 5 hours)



**Space:** good absolute abundances  $\Rightarrow$  metallicity

**Ground:** good relative abundances  $\Rightarrow$  C/O ratio

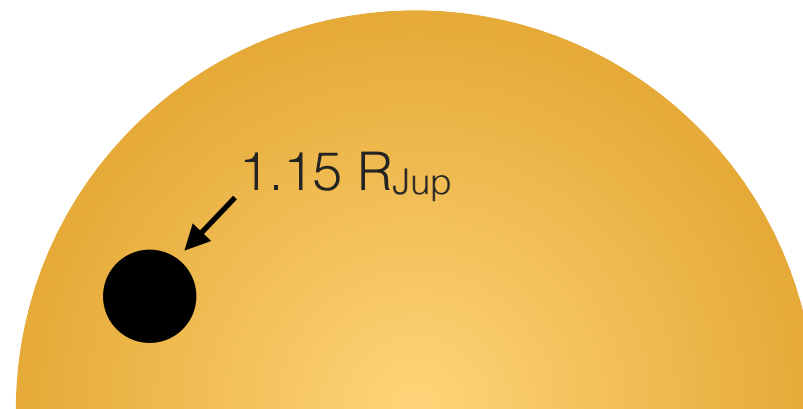
Combined **precision:** 1.0 dex in metallicity; 0.3 dex in C/O ratio

**Consistency:** same modelling/assumptions between LRS and HRS

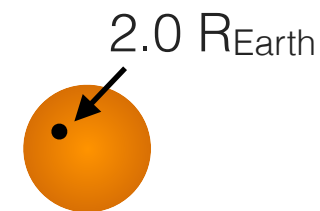
# Moving towards M-dwarf planets

M-dwarfs are **smaller** and **cooler** than the Sun, but still bright in the infrared  
Warm sub-Neptunes around (nearby) M-dwarfs are within reach of current techniques

Transit  
1.3% depth



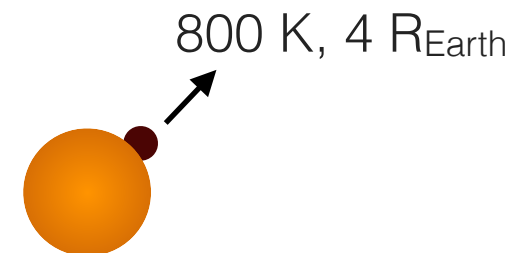
=



Thermal emission  
relative to star  
( $2.3 \mu\text{m}$ )  
140 ppm



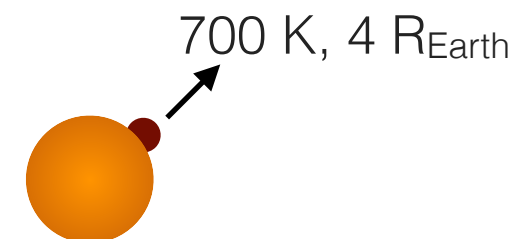
=



Thermal emission  
relative to star  
( $3.5 \mu\text{m}$ )  
470 ppm



=



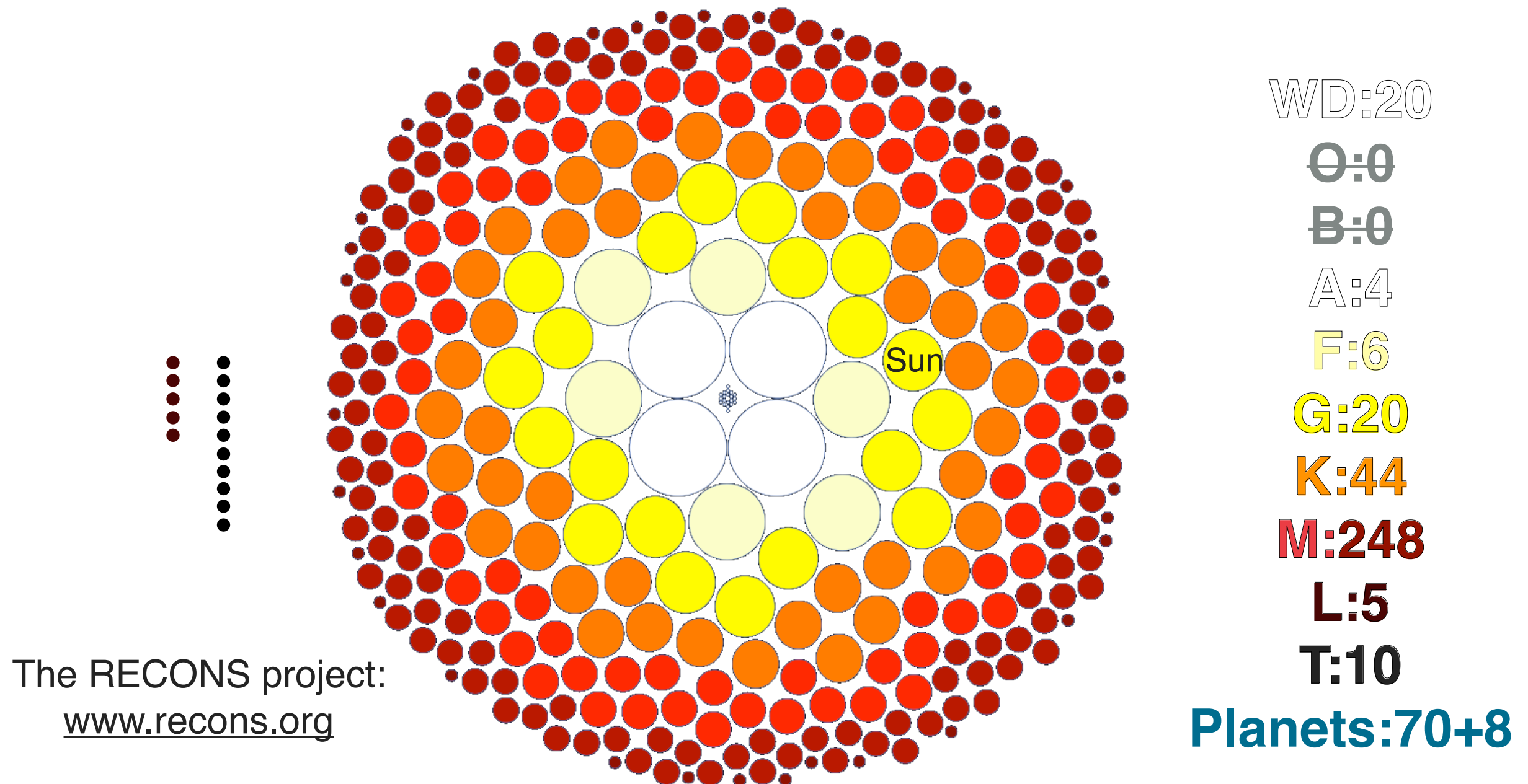
**Solar-type star**

**M5.5 star**



# Planets around M-dwarf stars are abundant

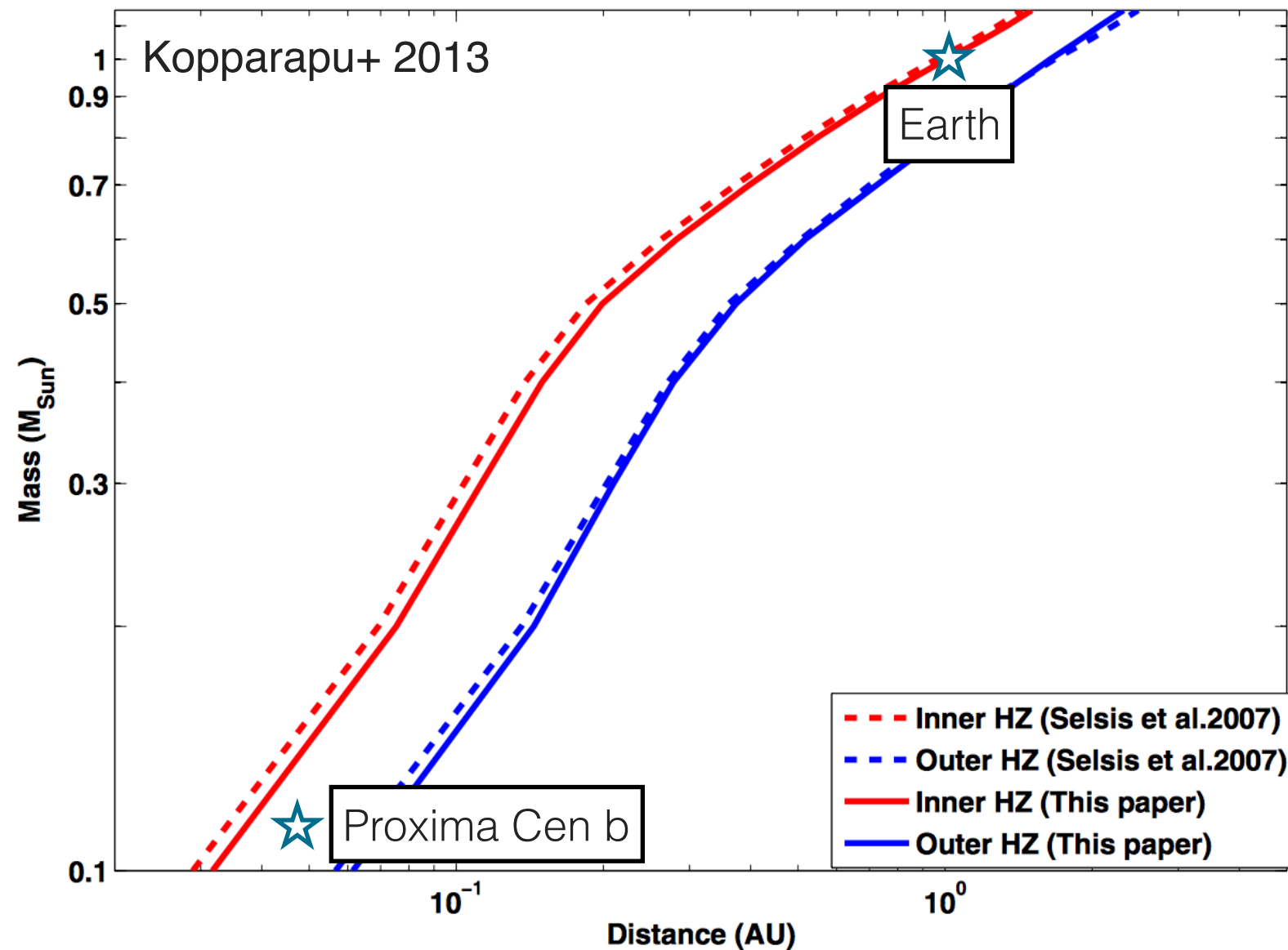
**Dressing & Charbonneau (2015):** 2.5 planets / star, 30% in the classic habitable zone  
M-dwarf stars are abundant  $\Rightarrow$  Temperate M-dwarf planets are nearby



Current (incomplete) census: 70 planets within 10 pc, 35 within 5 pc  
Notable examples: Proxima Cen b,c (1.3 pc) & Trappist-1 a-g (12 pc)

# Habitable zones around M-dwarfs

Habitable zone (Earth-based) moves inward with decreasing stellar mass  
Potentially habitable planets orbit very close to M-dwarfs



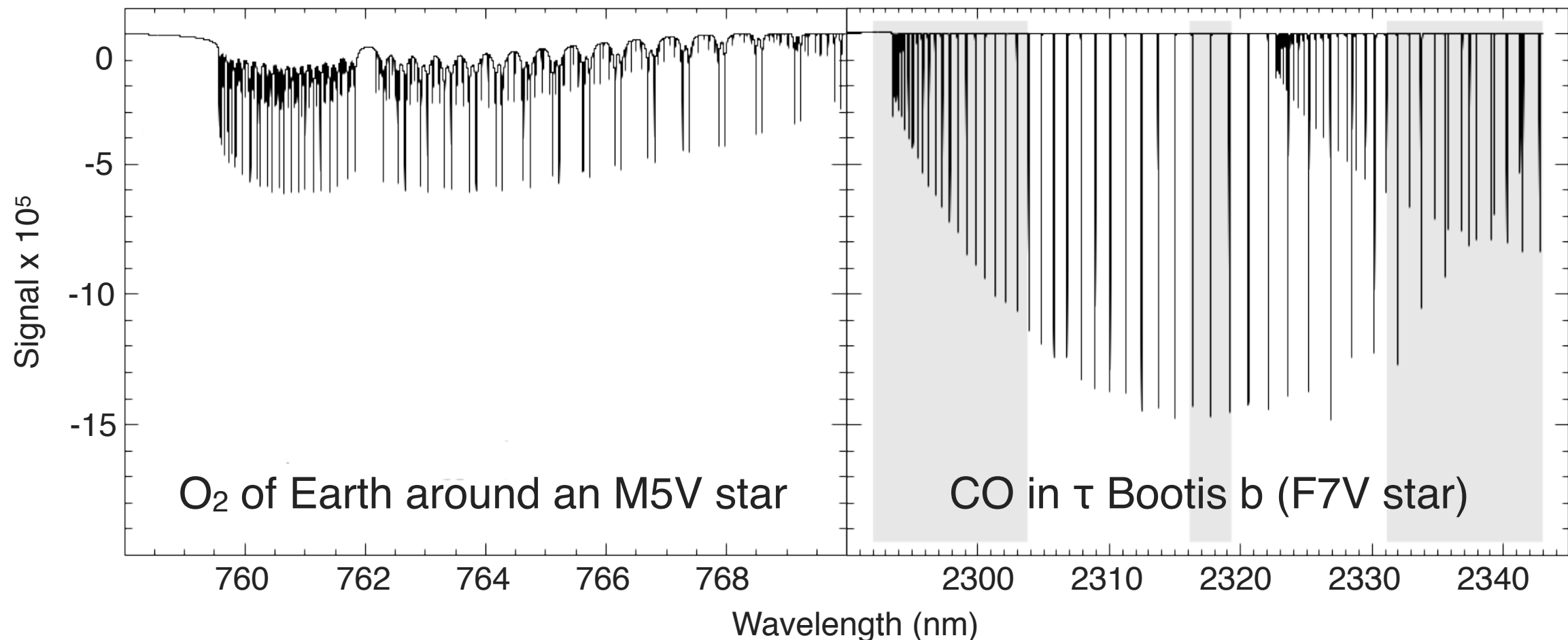
## Key observational consequences

Temperate planets have an increased probability to transit M-dwarfs  
Transits repeat every few days only and can be stacked quickly to increase S/N

# Transiting terrestrial planets around M dwarfs

Oxygen in high-resolution transmission spectra

Average line depth is 1/3 of the CO dayside signal from  $\tau$  Boo b



**Challenge:** even the closest M-dwarf is much fainter than  $\tau$  Boo (at least 6 magnitudes)  
Extremely Large Telescopes + Hi-res spectroscopy are needed to reach the S/N

39m E-ELT, 30 transits (3 years)  $\Rightarrow 3\sigma$  detection

High instrumental efficiency and RV separation from telluric oxygen is key  
(Snellen+13, Rodler & Lopez-Morales14; Serindag & Snellen 19; Lopez-Morales+19)



# Combining spectral and spatial resolution

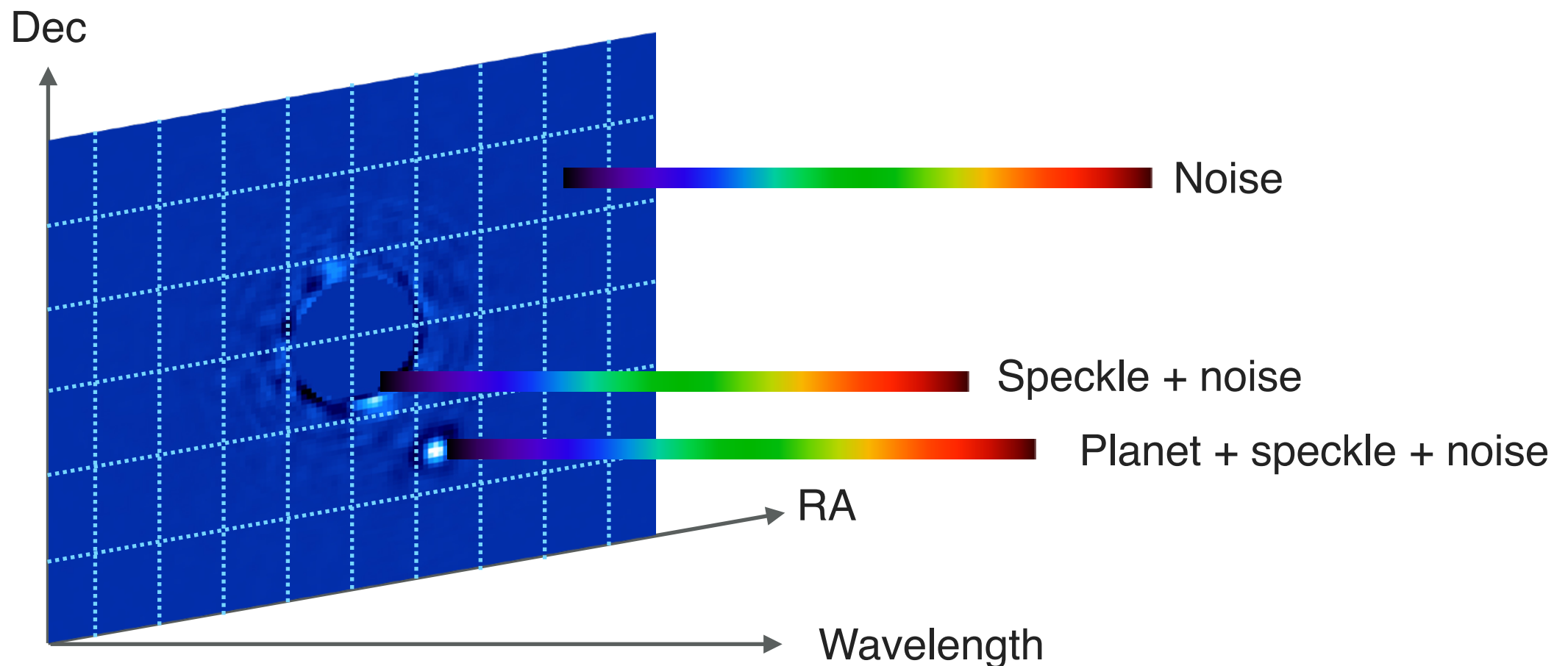
Snellen+ 2014, 2015; Lovis+ 2016; Mawet+ 2017; Wang+ 2017

$$S/N = \frac{S_{\text{planet}}}{\sqrt{S_{\text{star}}/K + \sigma_{\text{bg}}^2 + \sigma_{\text{RN}}^2 + \sigma_{\text{Dark}}^2} \sqrt{N_{\text{lines}}}}$$

Direct imaging suppression

Cross-correlation gain

Implementation: Integral Field Unit w/ high-res spectroscopic capabilities



Stage 1: classic AO + DI algorithms to suppress starlight

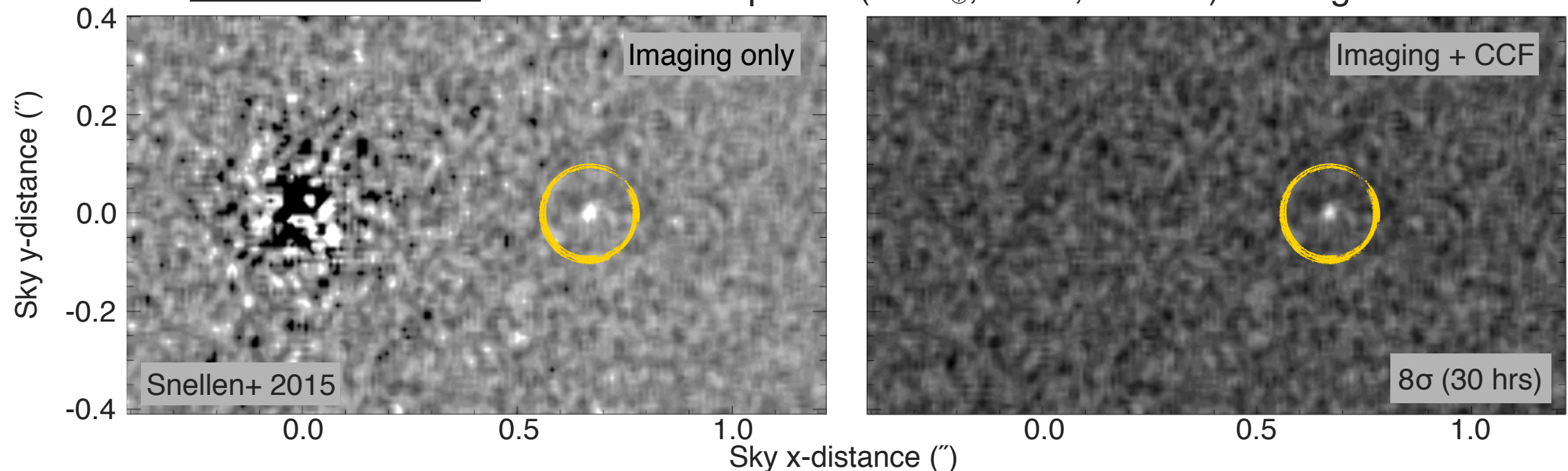
Stage 2: cross-correlation of residual spectra at each pixel

# Spectral + spatial resolution with the ELT

Simulating ~30 hours of observations on our nearest neighbours (I. Snellen)

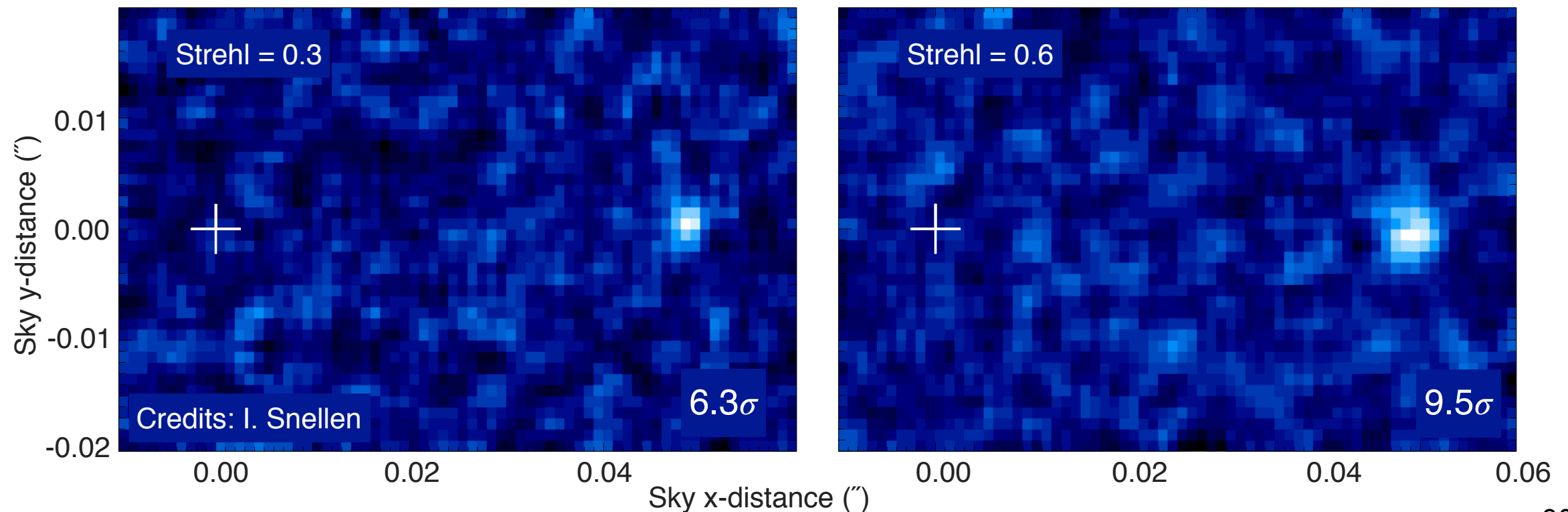
*Thermal emission* of “**Earth-like**” planet ( $1.5 R_{\oplus}$ , 300 K, 30 km/s) orbiting  $\alpha$  Cen B

METIS: M band



*Reflected light* from **Proxima Cen b** ( $1.1 R_{\oplus}$ , 0.048 AU, 40 km/s)

HIRES-like: 0.5-1.8 $\mu$ m





# Exoplanets at high spectral resolution: wrapping-up

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## Ideal ground-based technique

Bigger aperture, higher resolving power, no need for reference stars

## Probing physics and chemistry of atmospheres

Molecular and atomic species, thermal structure, winds and rotation

## Compatible with space observations

Complementarity with JWST

## Combination with direct imaging

Theoretically hitting the  $10^{-9}$  contrast

