

The cosmic frontier:  
**Formation and growth of the earliest  
supermassive black holes**

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**James Aird**  
(University of Leicester)

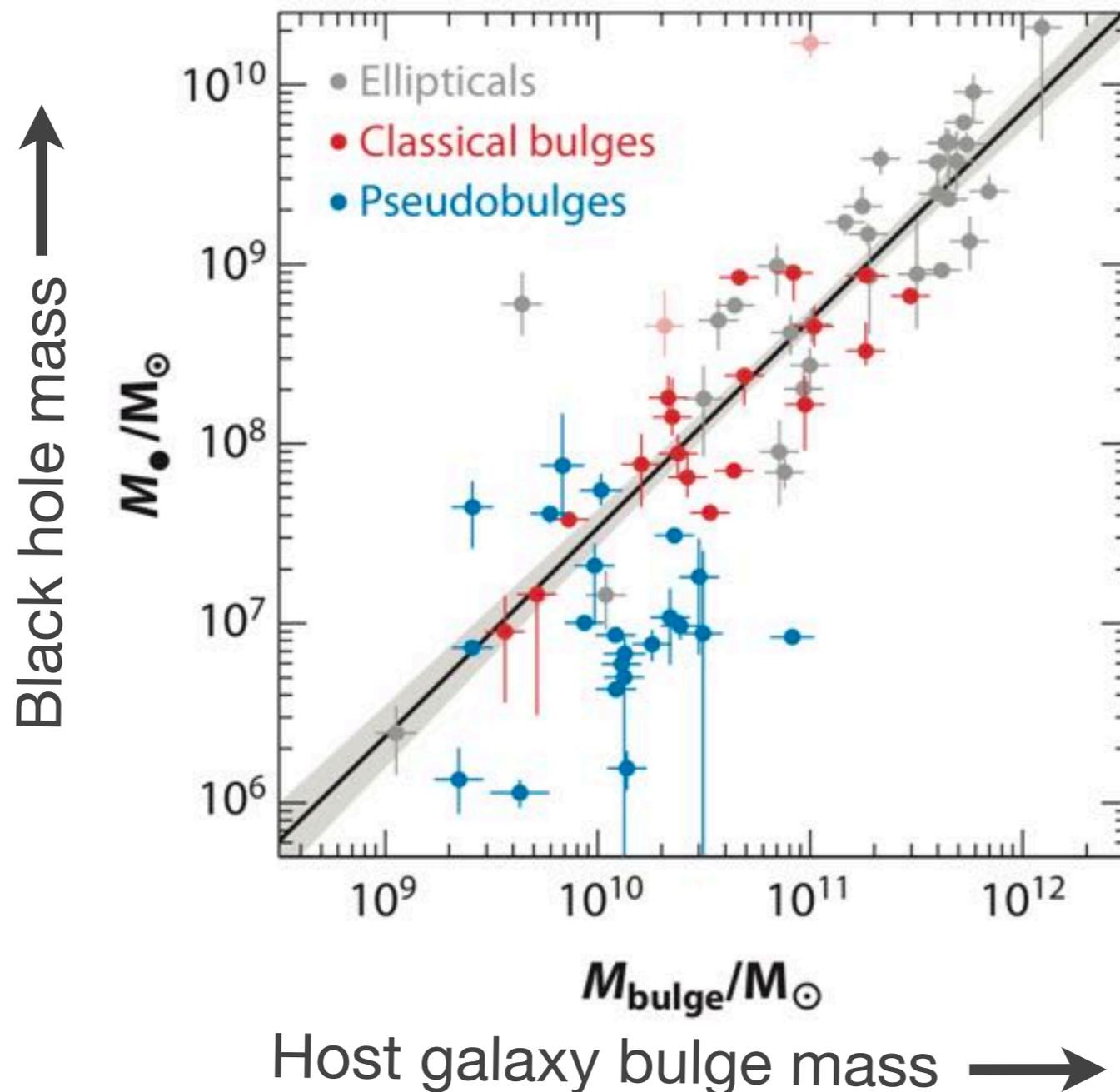
**REVIEW** + *ATHENA*



X-ray Astronomy 2019:  
current challenges and new  
frontiers in the next decade  
Bologna 8-13 Sept 2019

# Cosmic frontier backyard: ubiquity of massive BHs

Black holes with  $M_{\text{BH}} \sim 10^6 - 10^{10} M_{\odot}$  are found at the centres of most (if not all) galaxies in the local Universe



Kormendy & Ho 2013

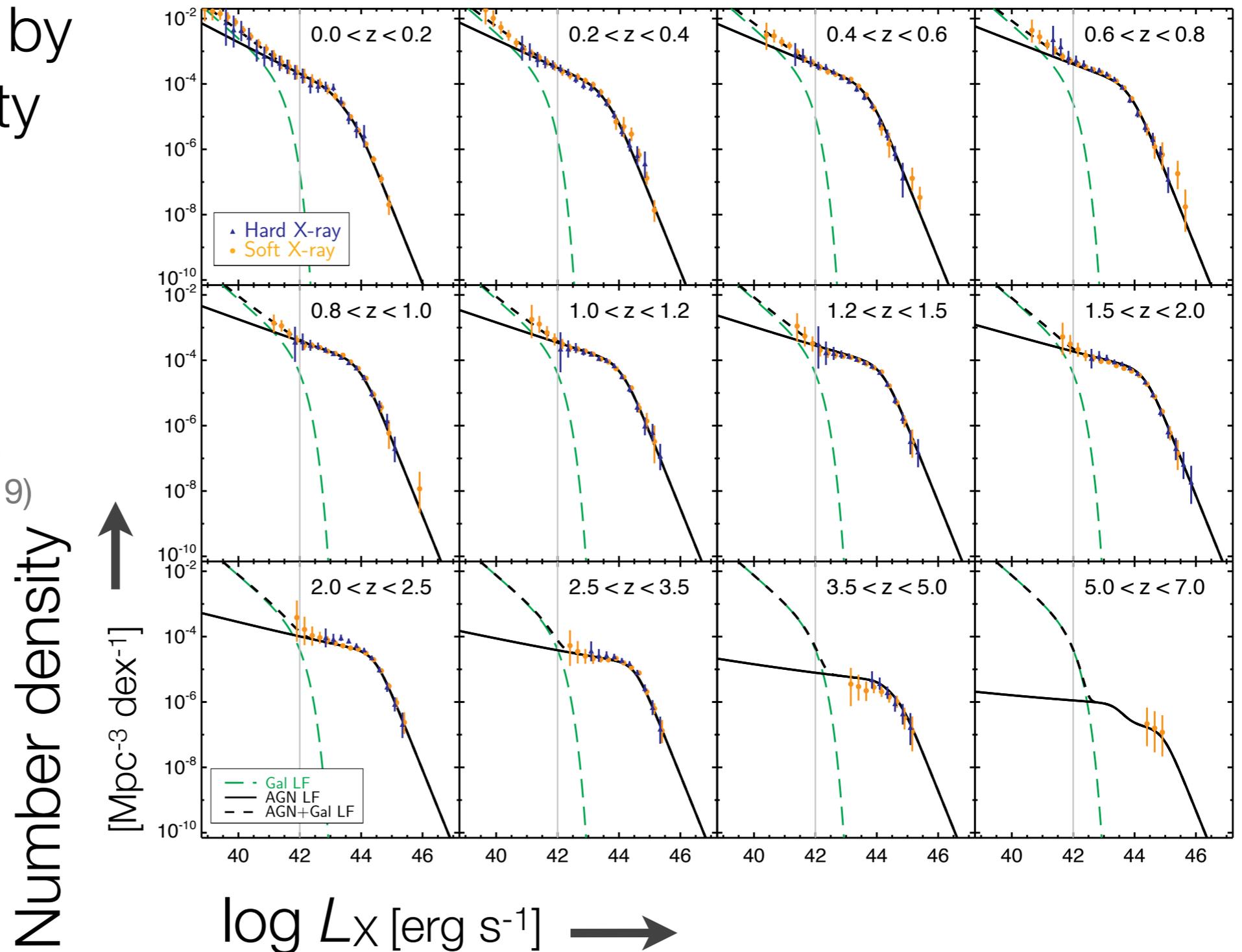
(N.B. Francesco  
Shankar talk  
=> biased sampling  
of BHs?)

# X-ray surveys (*Chandra* + *XMM-Newton*): track the **growth** of these black holes by accretion

Growth tracked by  
AGN Luminosity  
Function

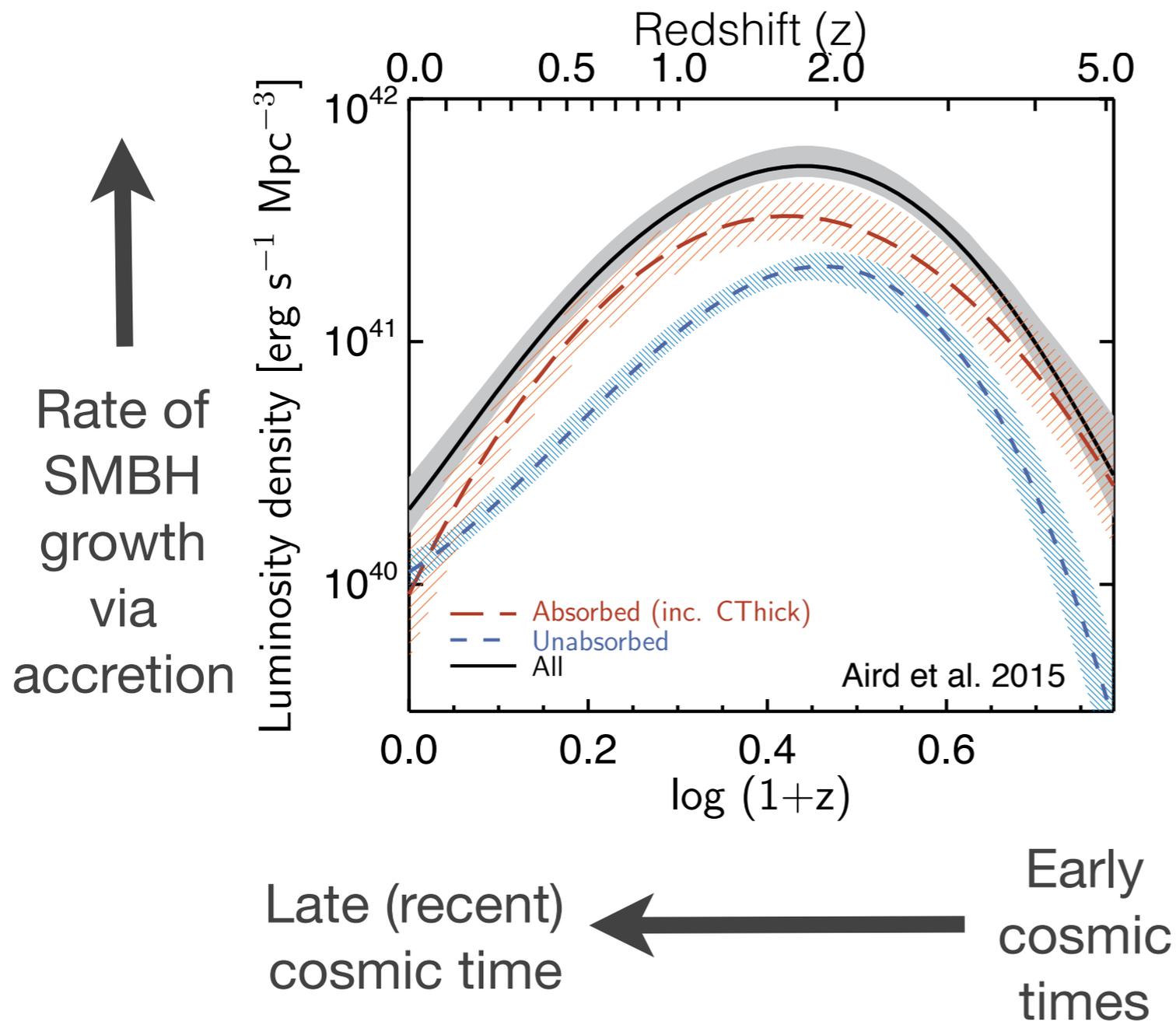
e.g. Aird+15

(see also: e.g. Ueda+14,  
Buchner+15, Miyaji+15,  
Fotopoulou+16, Ranalli+16,  
Georgakakis+17, Ananna+19)



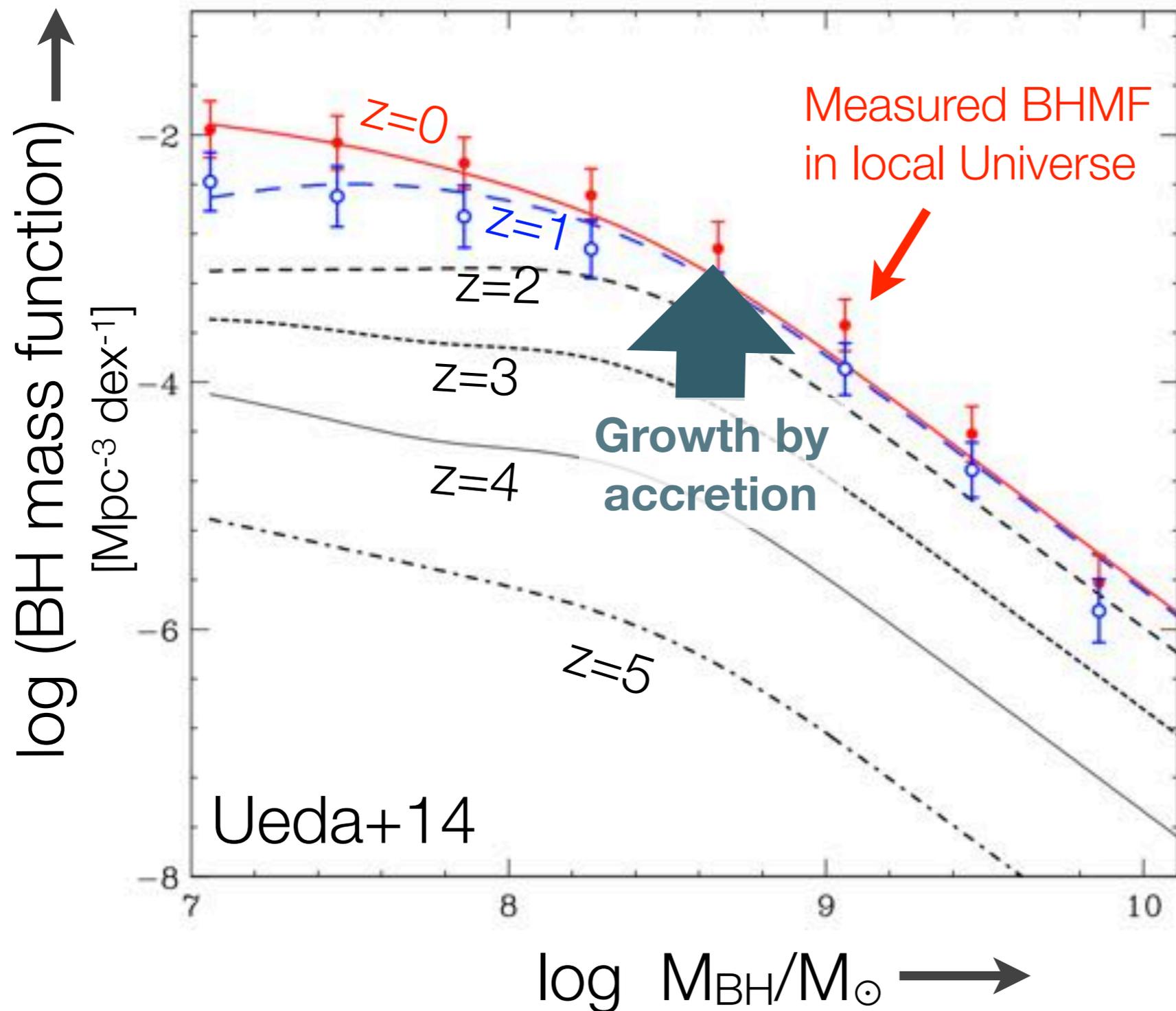
# X-ray surveys (*Chandra* + *XMM-Newton*): track the **growth** of these black holes by accretion

Integrating LF =>



Bulk of this growth occurred at  **$z \sim 1-3$** , primarily in moderate-luminosity **obscured** sources (revealed by X-ray observations)

X-ray surveys (*Chandra* + *XMM-Newton*):  
track the **growth** of these black holes by accretion



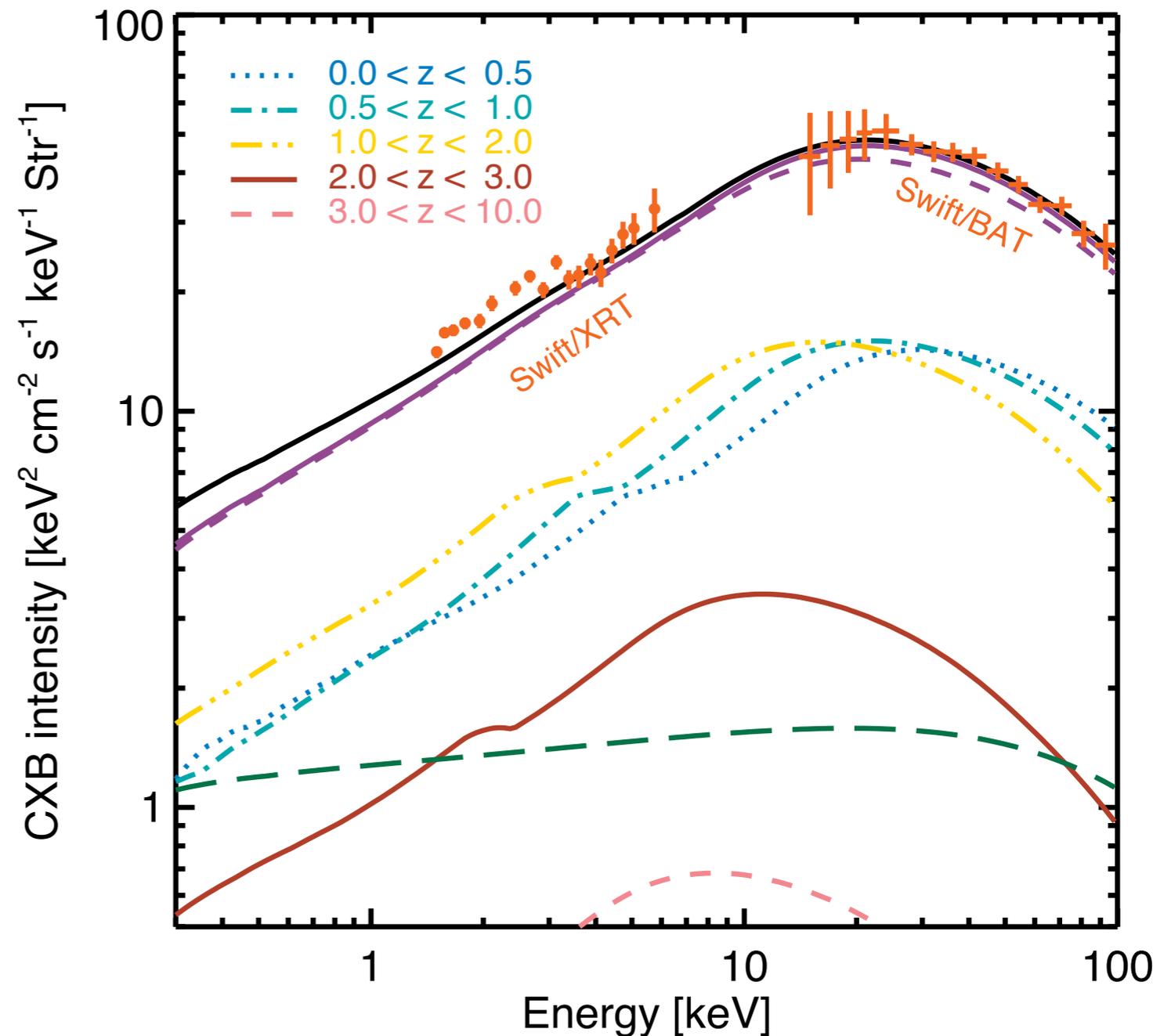
Accretion growth  
(primarily at  $z \sim 1-3$ )  
accounts for the  
BH mass density  
in the local  
Universe

# X-ray surveys (*Chandra* + *XMM-Newton*): track the **growth** of these black holes by accretion

## Cosmic X-ray background

(integrated emission from BH growth over the lifetime of the Universe)

- >90% resolved by *Chandra* at soft energies (<2 keV)
- ~35% resolved by NuSTAR at energies >8keV
- Population synthesis models (based on *Chandra/XMM* surveys at 0.5-10keV) =>
  - produced by SMBH accretion, primarily at  $z < 2$
  - successfully recover full shape and peak at ~20-30 keV - dominated by **obscured** AGN



Aird+15 (adapted).

See also e.g. Gilli+07, Triester+09, Ballantyne+11, Akylas+12, Ueda+14, Ananna+19,

Mackenzie Jones talk

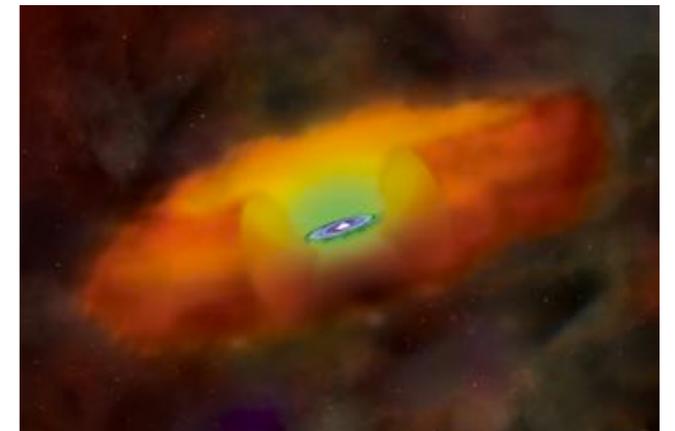
# Open questions regarding the **bulk** of BH growth

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- Connection to **galaxy** properties?  
(triggering/fuelling mechanisms, impact of AGN *feedback* on galaxy evolution)



- Contribution of **Compton-thick** AGN?

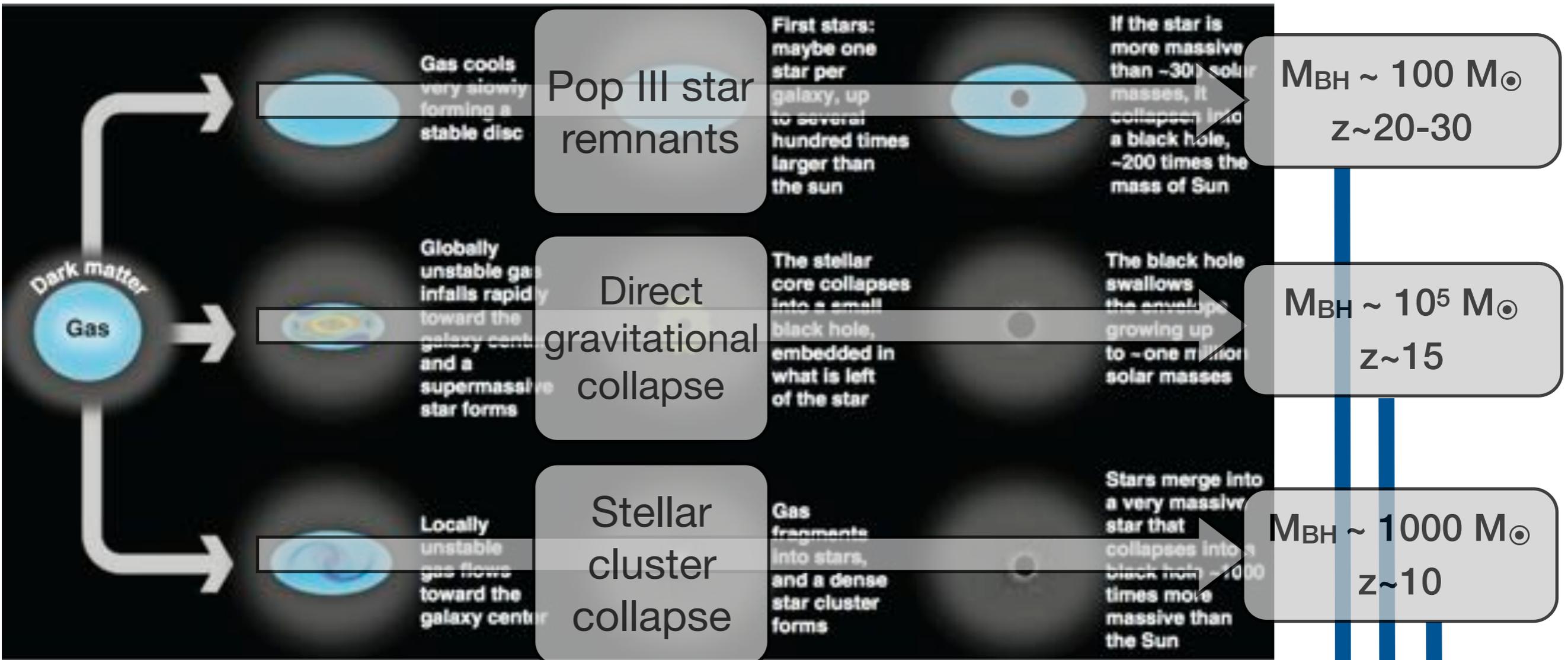


- **Physics** of the accretion process?  
(structure of accretion disk+corona, winds/jets, BH *spin*, super-Eddington accretion)



Where do these  
supermassive black  
holes come from?

# SMBH seed mechanisms



from Volonteri (2012)

Grow by merging and accretion

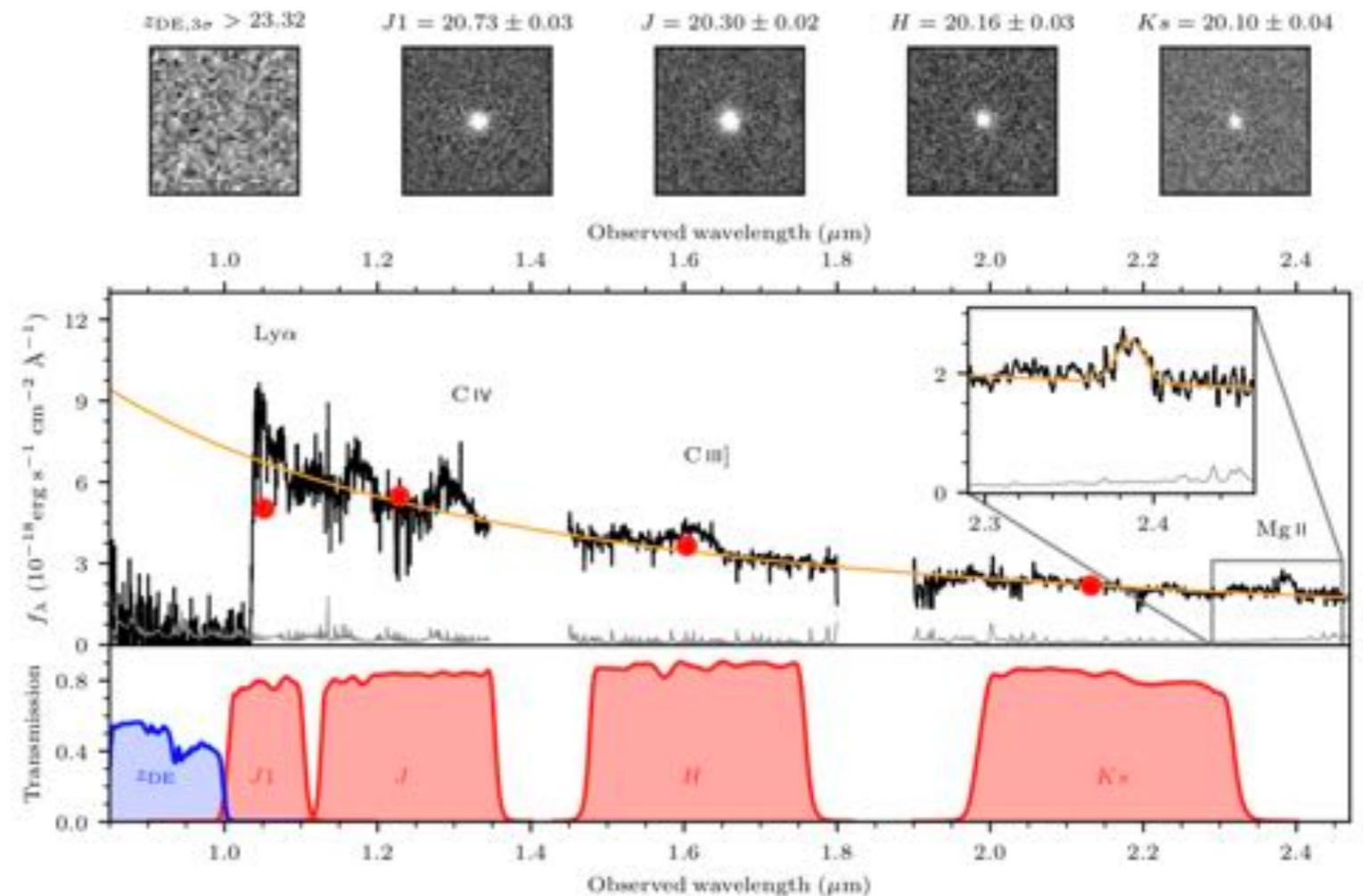
$M_{\text{BH}} \sim 10^6 - 10^{10} M_{\odot}$   
 $z \sim 6$  and below



# Massive black holes in the early ( $z > 6$ ) Universe

## - optical/near-IR searches

- Searches for rare, luminous QSOs at  $z > 6$ 
  - ➔ Require large-area, deep optical + NIR imaging, search for “drop-outs” due to absorption by neutral hydrogen

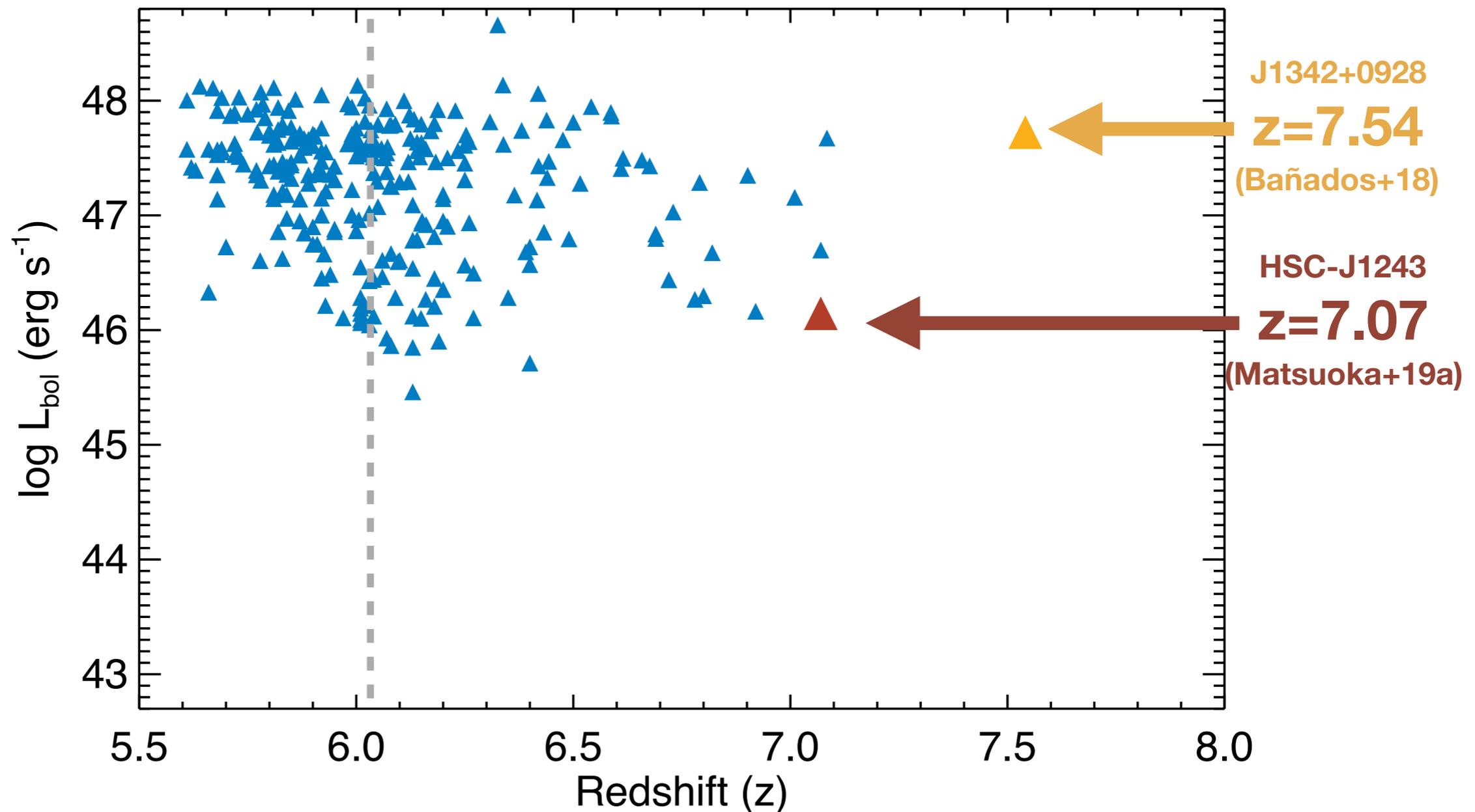


Bañados et al. 2018



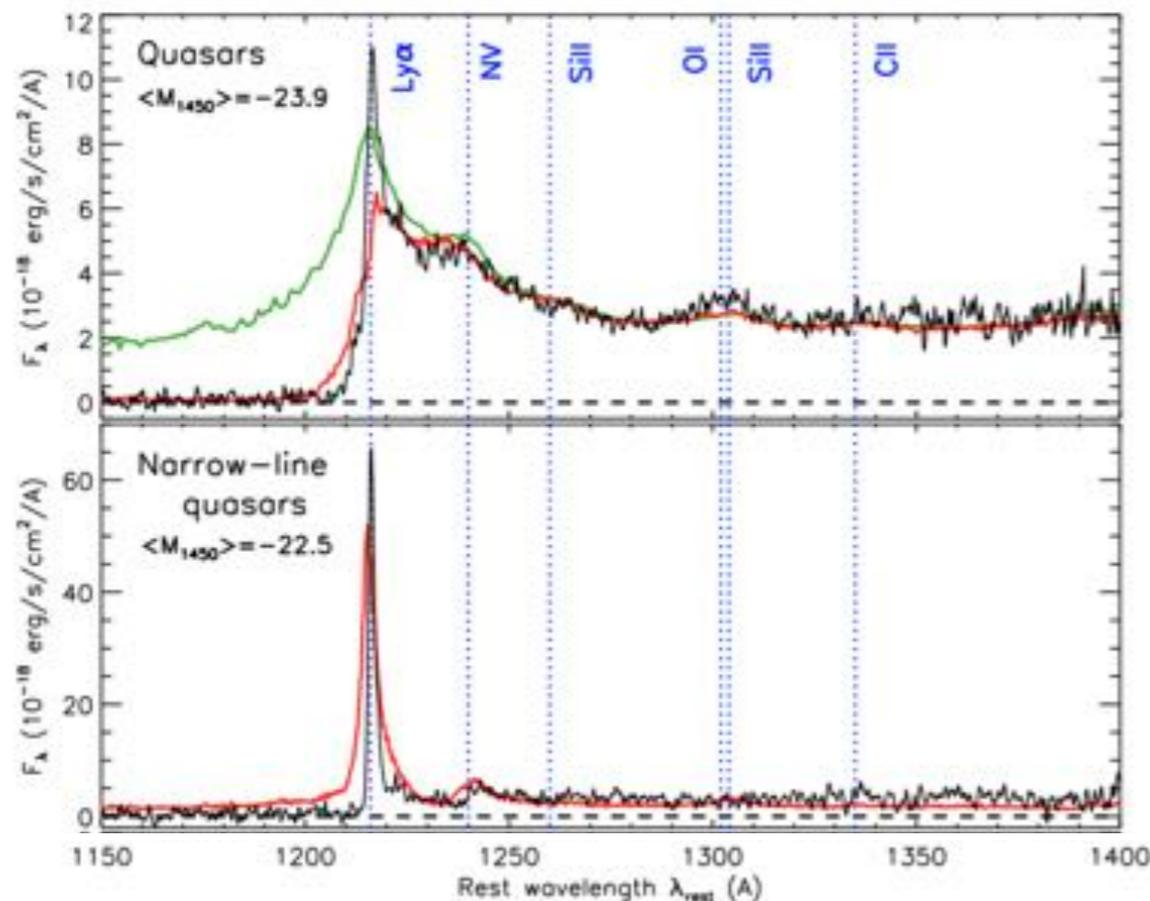
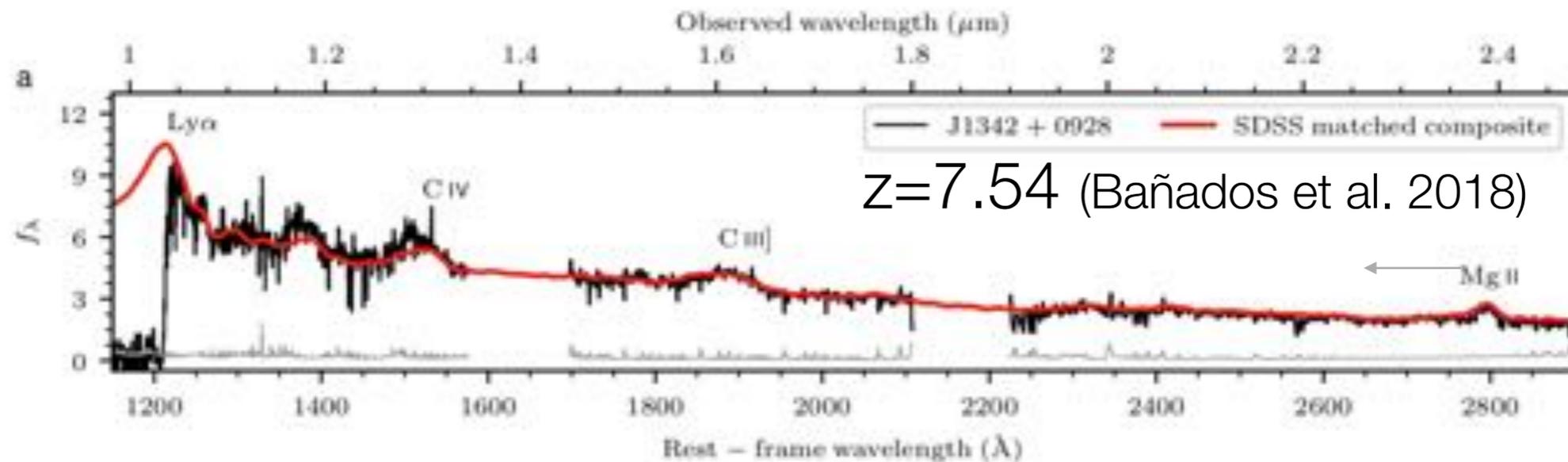
# Massive black holes in the early ( $z > 6$ ) Universe - optical/near-IR searches

~146 known quasars at  $z > 6$  (4 at  $z > 7$ )



Bañados+16 (and references therein), Mazzucchelli+17, Matsuoka+19a,19b

# Optical spectra of luminous $z > 7$ quasars

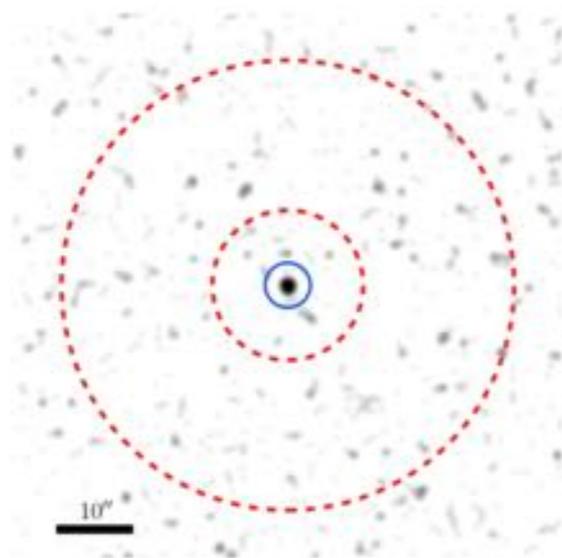


Composites of 75 broad-line and 18 narrow-line quasars at  $5.7 < z < 7$  from Subaru High- $z$  Exploration of Low-Luminosity Quasars (SHELLQs)

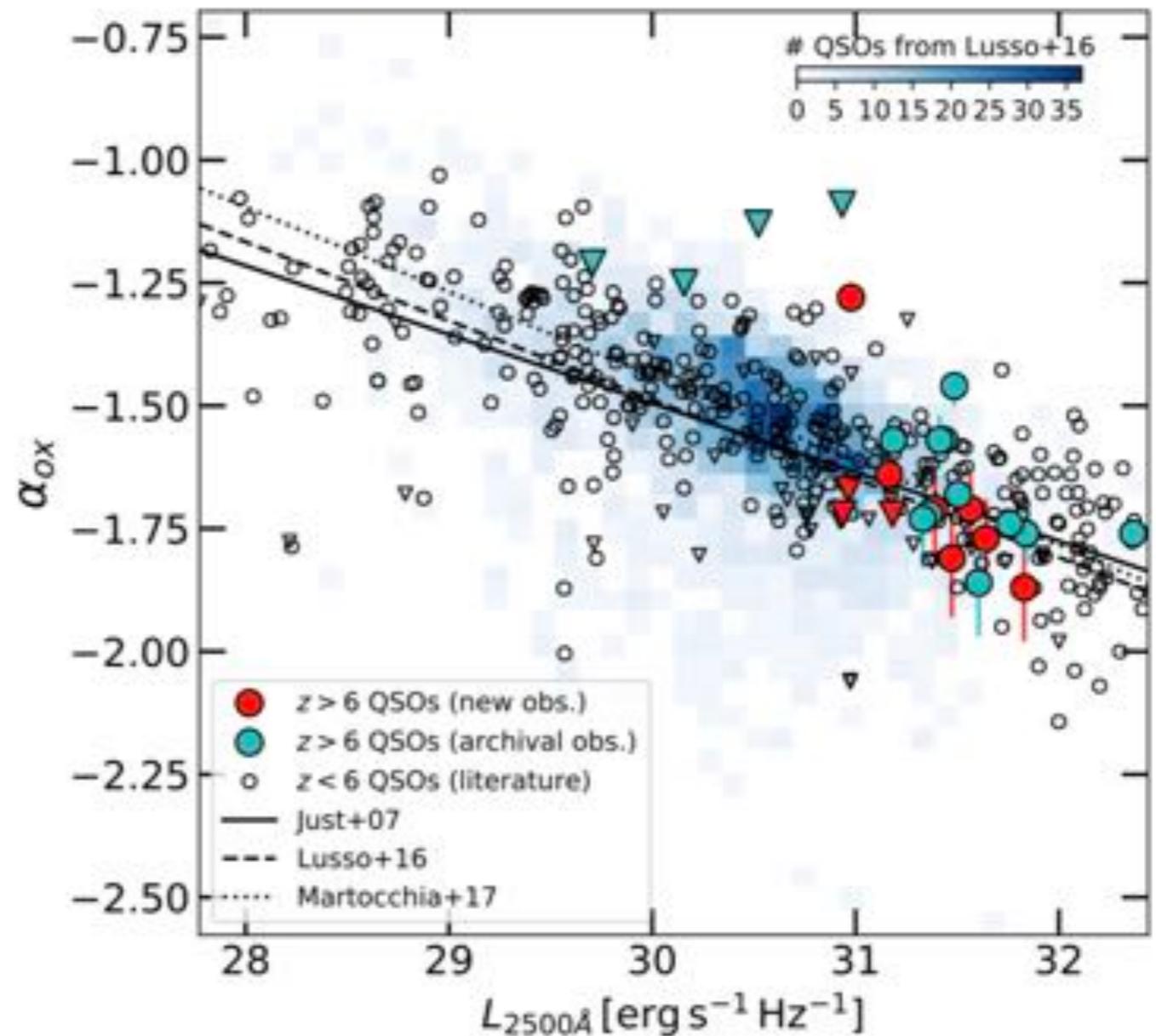
(Matsuoka+19b)

# X-ray properties of luminous $z > 6$ quasars

Chandra 0.5-7keV image of  
J1342+0928,  $z=7.54$   
(Bañados et al. 2018b)



Vito et al. 2019



see upcoming talks by Fabio Vito and Ricardo Nanni

# Building high redshift QSOs - constraints on growth rates and seed masses

- Black hole mass grows as:

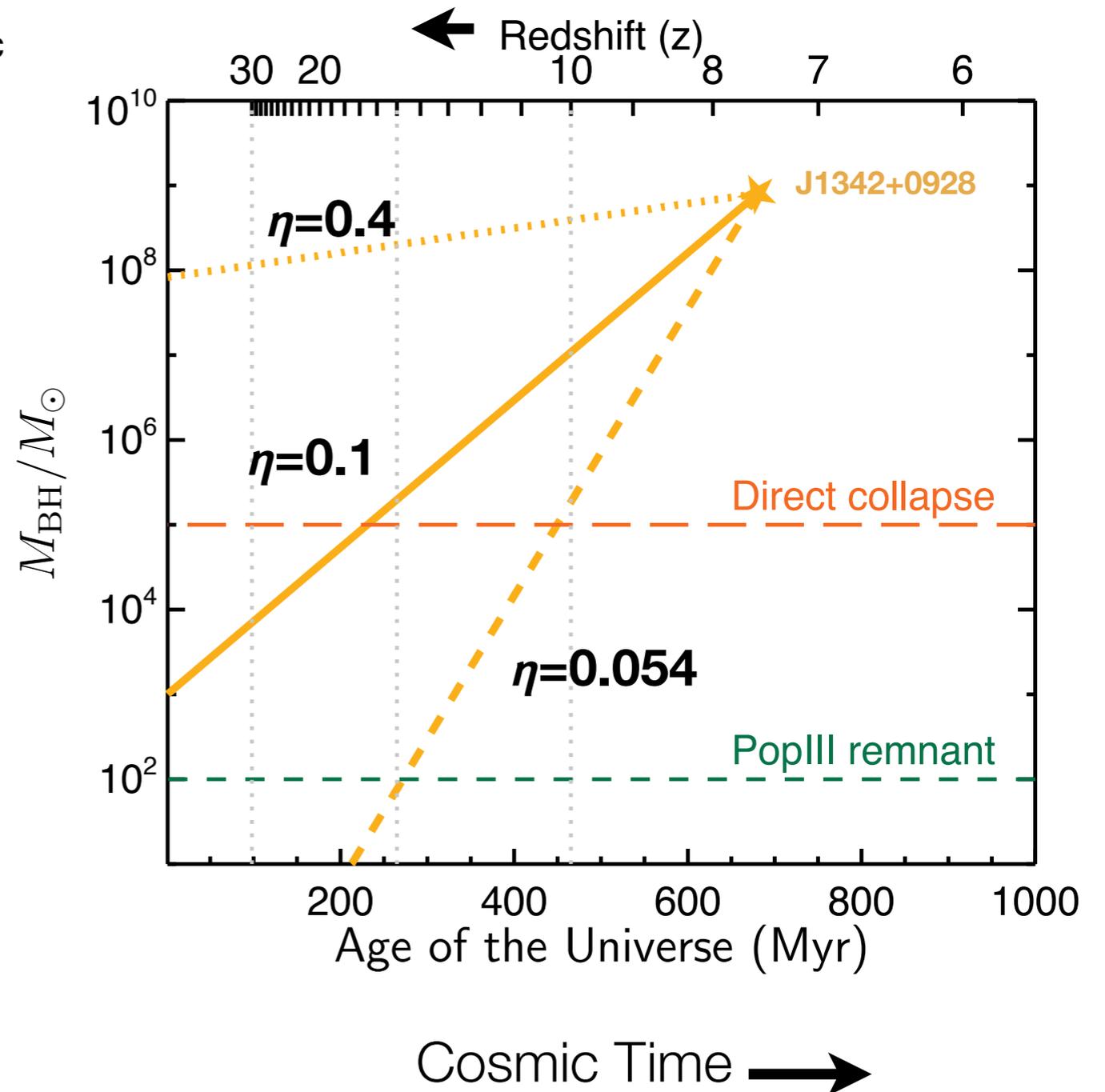
$$M_{\text{BH}}(t) = M_0 \exp\left(\frac{1 - \eta}{\eta} \frac{L_{\text{bol}}}{L_{\text{Edd}}} \frac{t}{0.45 \text{Gyr}}\right)$$

seed mass  $\uparrow$   $M_0$       radiative efficiency  $\uparrow$   $\eta$       Eddington luminosity  $\uparrow$   $L_{\text{Edd}}$

cosmic time  $\swarrow$   $t$

The seed of J1342 ( $z=7.54$ ,  $M_{\text{BH}} \approx 8 \times 10^8 M_{\odot}$ ) could have formed by:

- direct collapse at  $z \sim 15$ , but requires growth at  $\sim$ Eddington limit for entire lifetime
- from a PopIII remnant at  $z < 20$ , but requires growth at  $\sim$ Eddington limit for entire lifetime and low radiative efficiency (maintain low spin = chaotic accretion?)



# Building high redshift QSOs - constraints on growth rates and seed masses

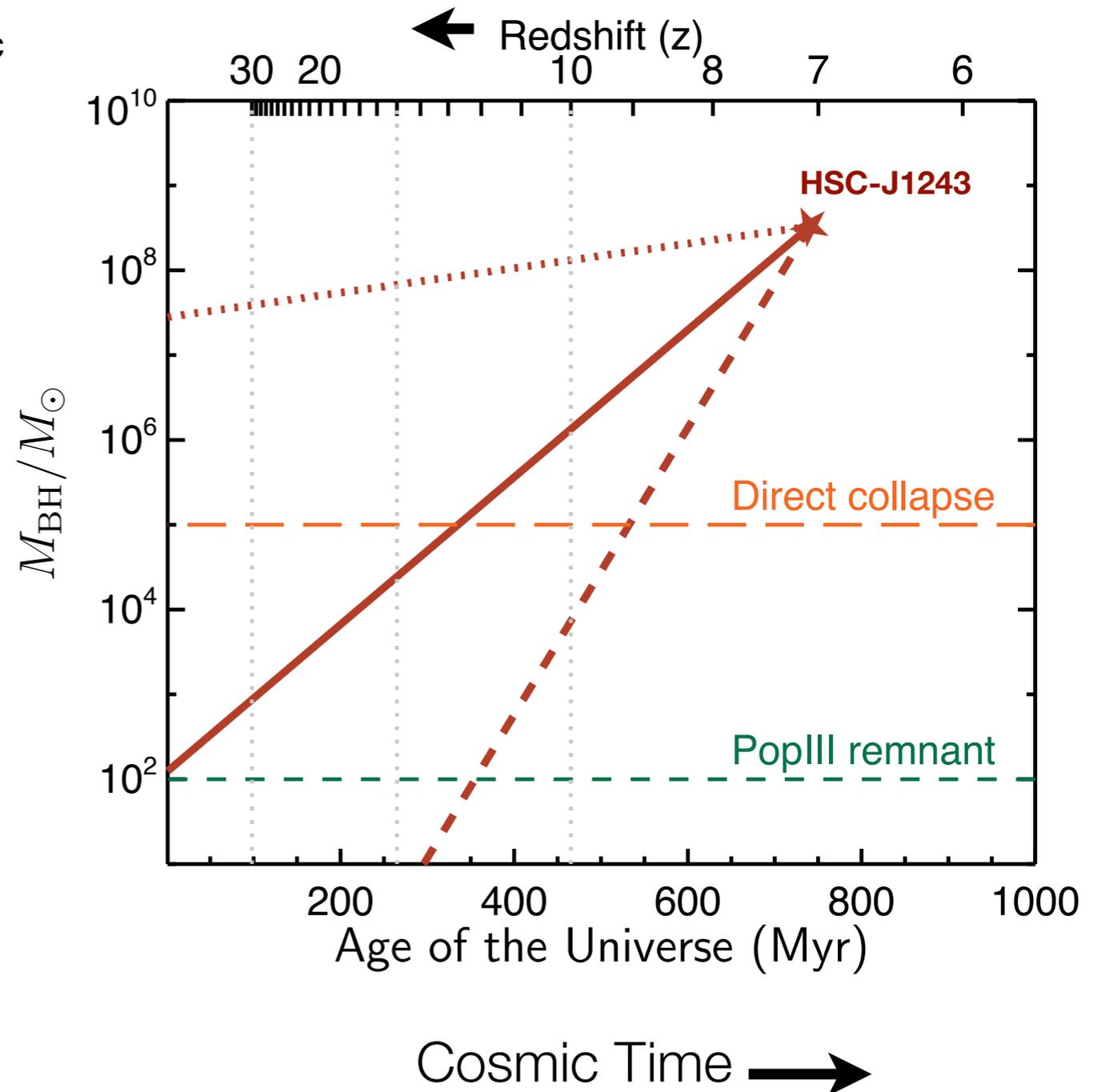
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**HSC-J1243 (z=7.07,  $M_{\text{BH}} \approx 3.3 \times 10^8 M_{\odot}$ ):**

- also consistent with direct collapse or PopIII if accreting at Eddington limit



# Building high redshift QSOs - constraints on growth rates and seed masses

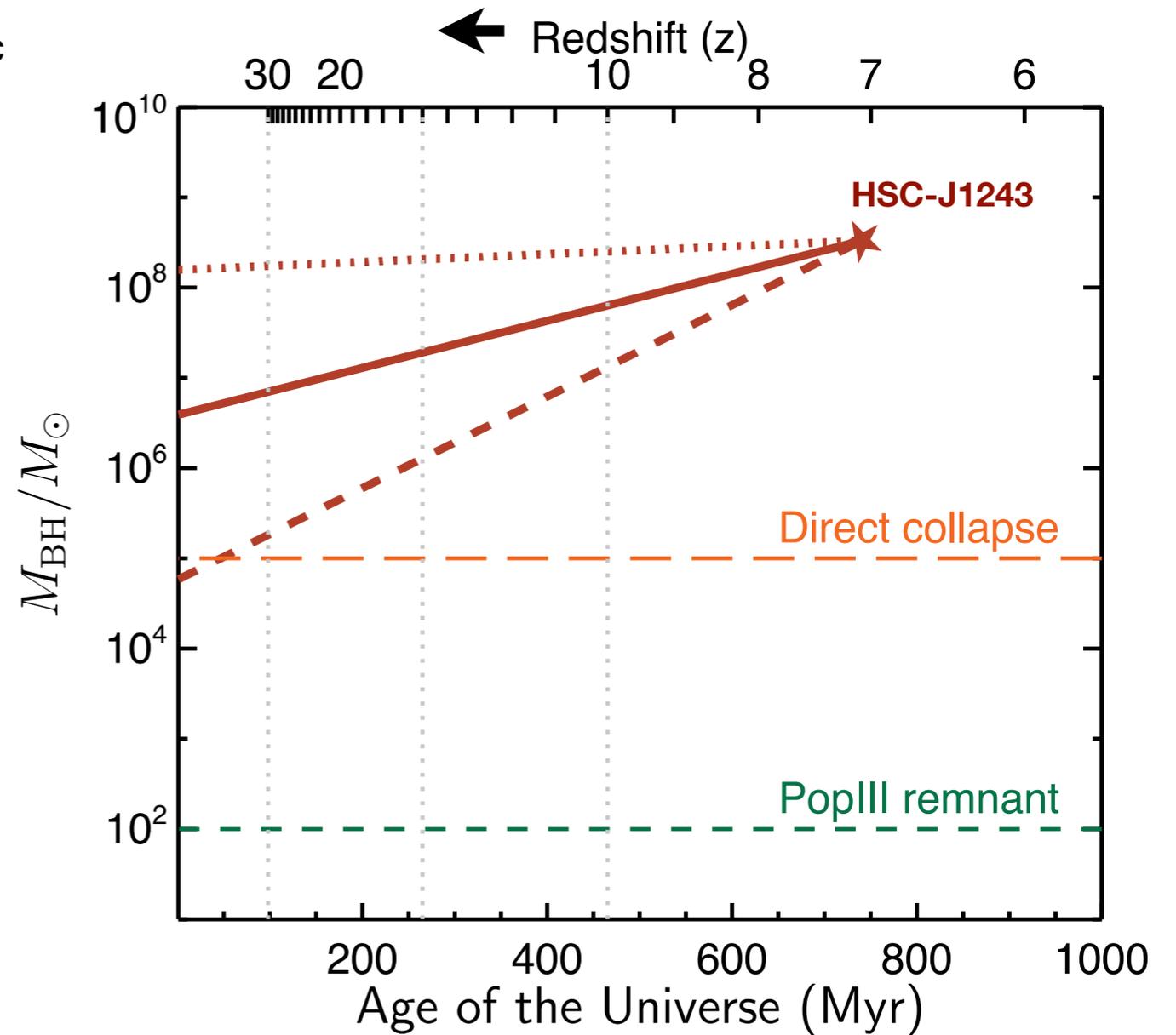
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- Observed  $f_{\text{Edd}}=0.3$**  - if accreting at this rate (on average) requires direct collapse



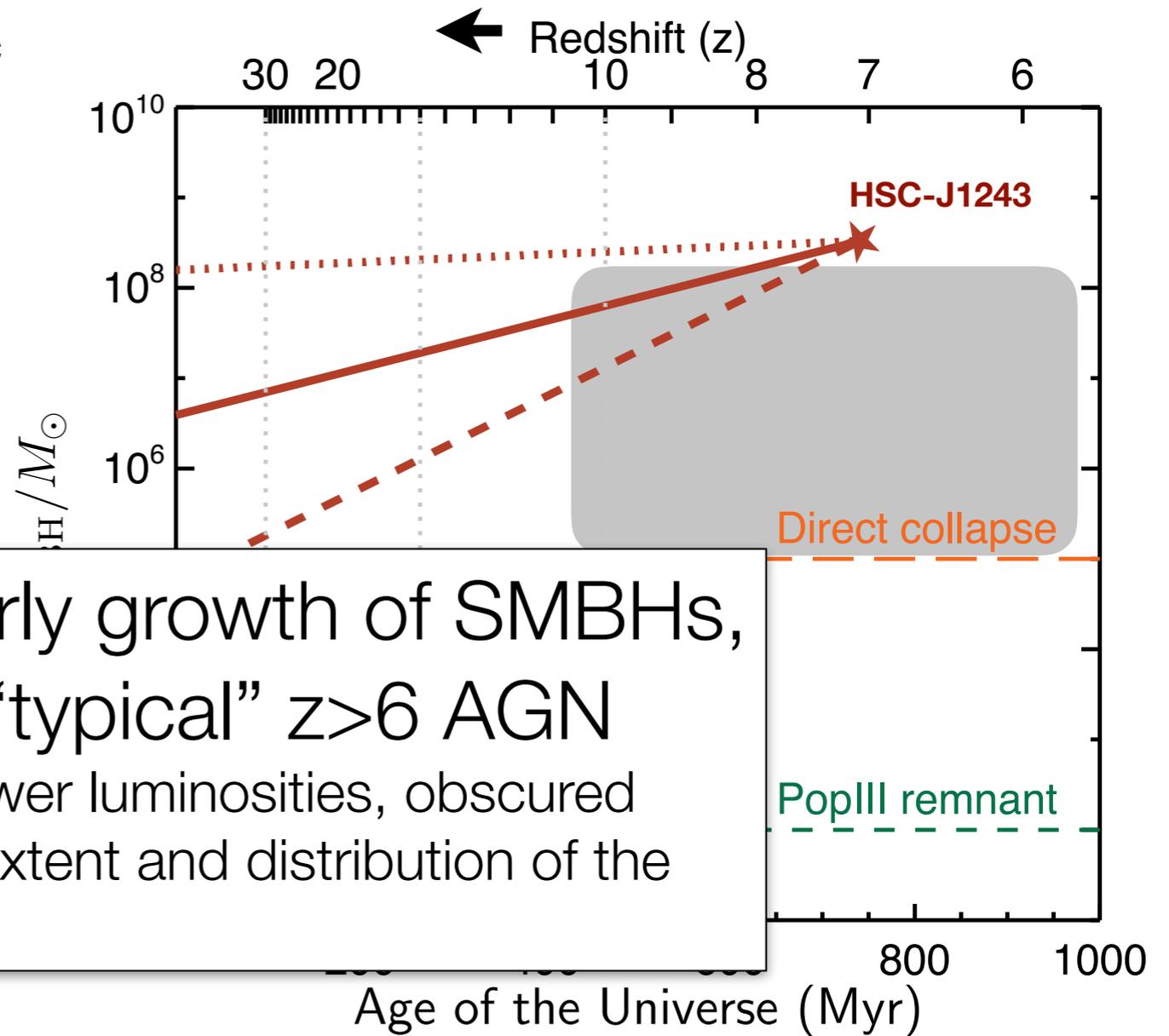
Cosmic Time  $\longrightarrow$

# Building high redshift QSOs - constraints on growth rates and seed masses

- Black hole mass grows as:

$$M_{\text{BH}}(t) = M_0 \exp\left(\frac{1 - \eta}{\eta} \frac{L_{\text{bol}}}{L_{\text{Edd}}} \frac{t}{0.45 \text{Gyr}}\right)$$

seed mass  $\uparrow$   $M_0$       radiative efficiency  $\uparrow$   $\eta$       Eddington luminosity  $\uparrow$   $L_{\text{Edd}}$       cosmic time  $\uparrow$   $t$



To constrain the early growth of SMBHs, need to probe the “typical”  $z > 6$  AGN

(lower mass black holes, lower luminosities, obscured sources => determine the extent and distribution of the

**HSC-J1243**  
 **$M_{\odot}$ :**

- also c (bulk of early BH growth) collapse or PopIII if accreting at Eddington limit

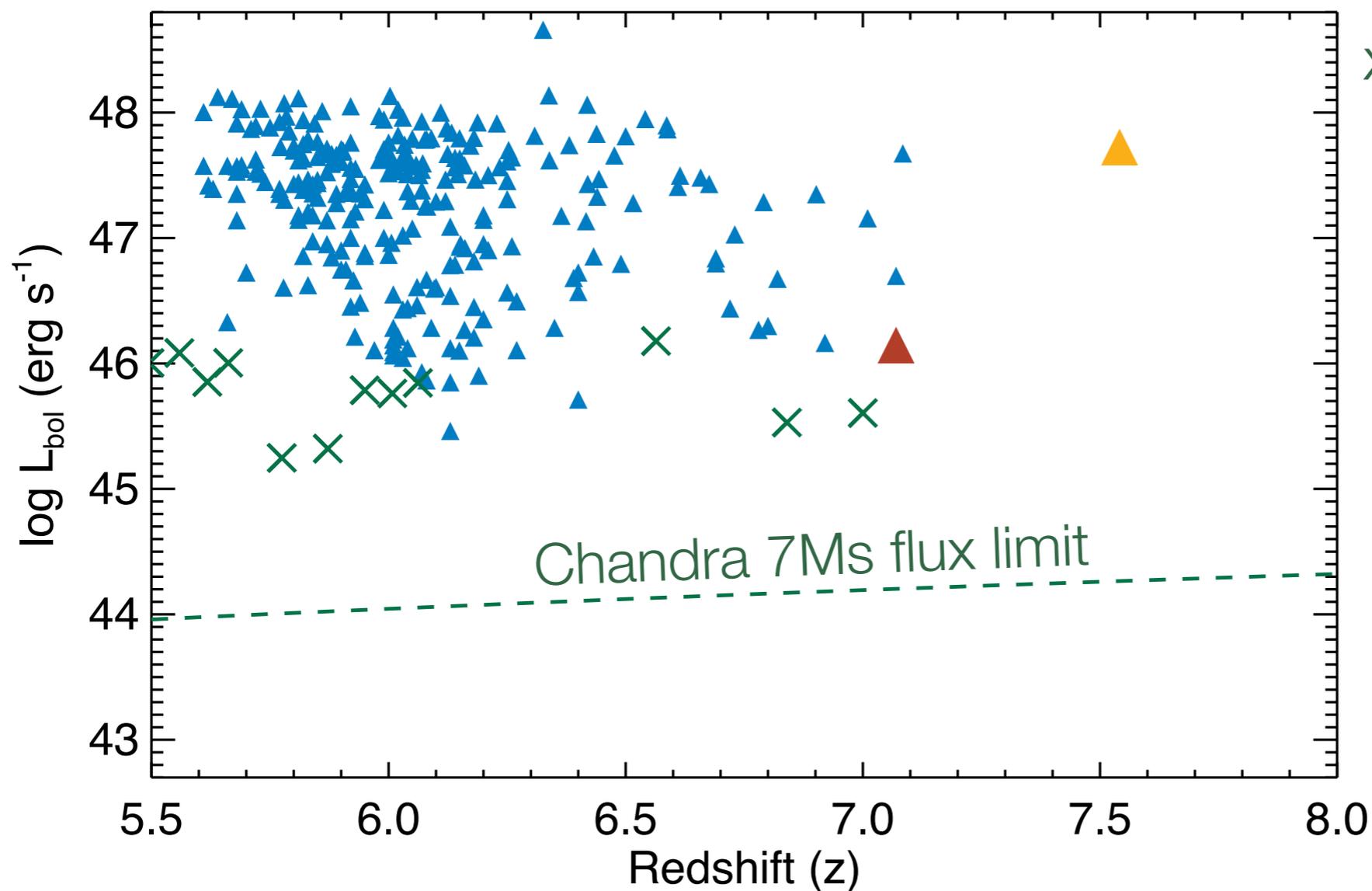
- Observed  $f_{\text{Edd}}=0.3$**  - if accreting at this rate (on average) requires direct collapse

Cosmic Time  $\rightarrow$

# Current X-ray searches for early AGN

X-ray surveys:

- probe a broad range in luminosity
- find fainter AGN, generally not identified by optical or IR selection
- identify obscured AGN



x Chandra detections

CDFS 7Ms (Luo+ 17)

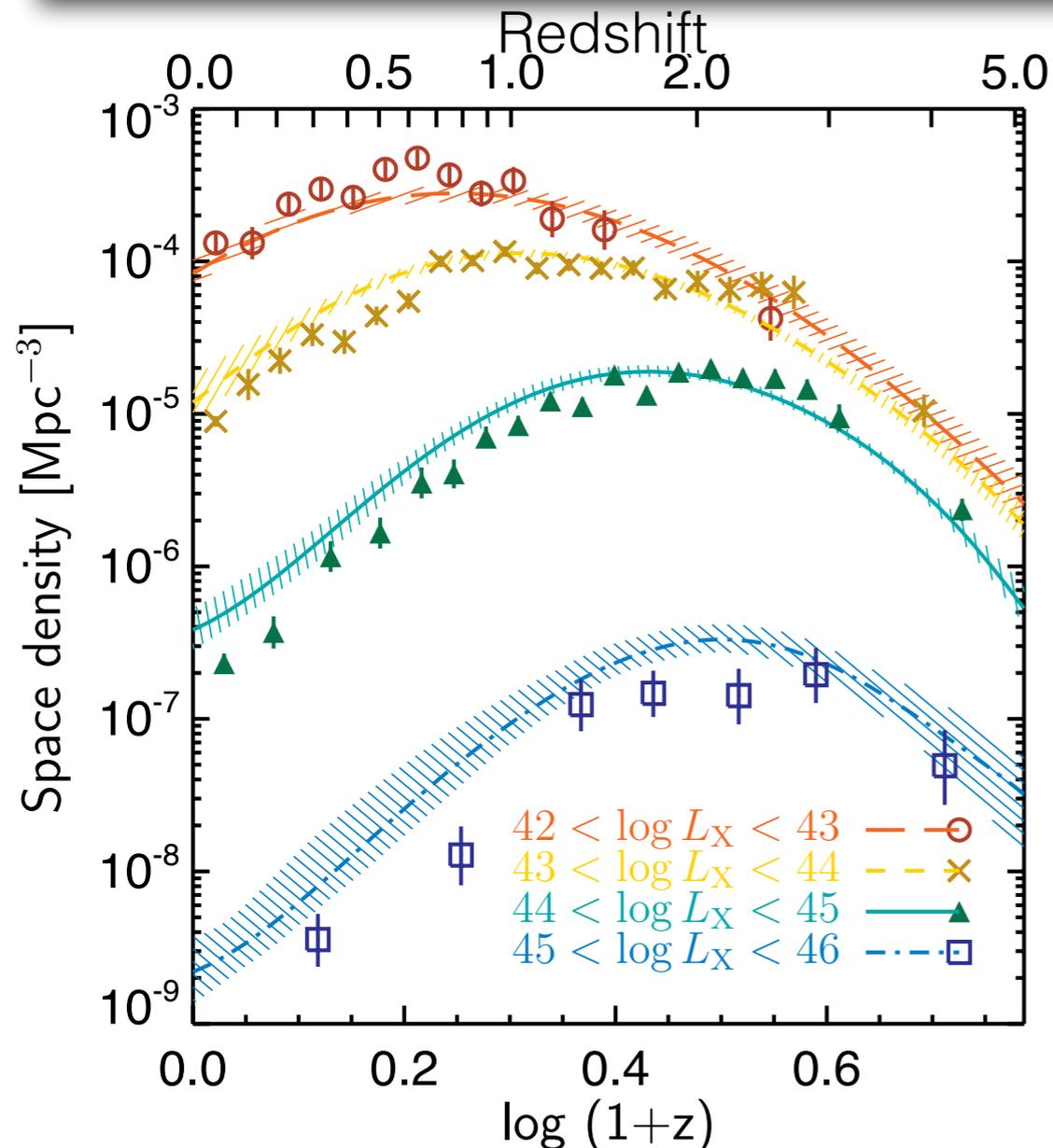
COSMOS Legacy (Marchesi+16)

AEGIS (Nandra+15)

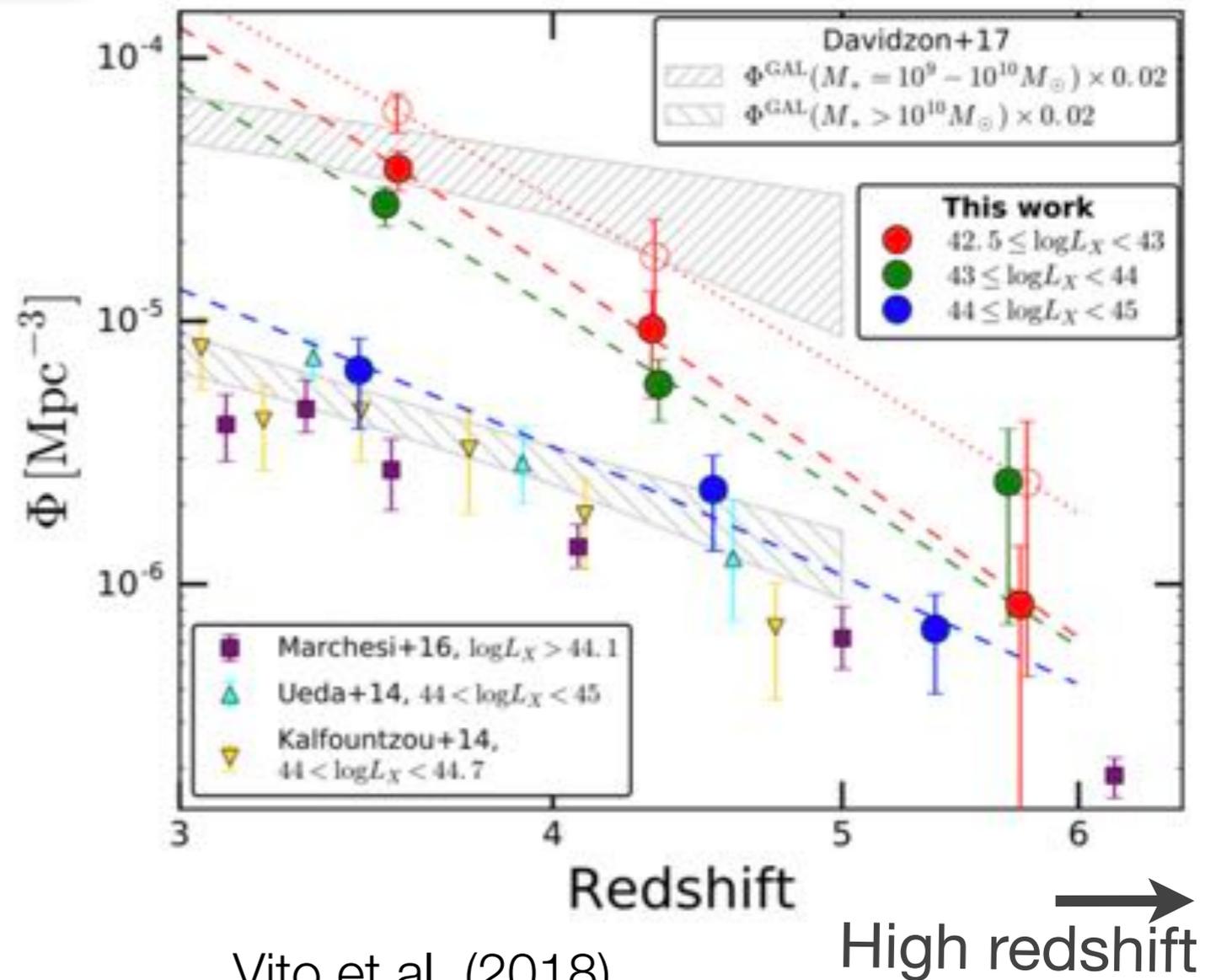
- only a handful at  $z > 5$
- faint counterparts, uncertain photo- $z$

# The evolution of the space density of X-ray detected AGN

- Strong decline in space densities at  $z > 3$  for **all** luminosities



Data points= Miyaji et al. (2015)  
 Lines+shading = Aird et al. (2015)



Vito et al. (2018)  
 (see also Brusa+09, Civano+12,  
 Ueda+14, Vito+14, Weigel+15,  
 Georgakakis+15, Khorunzhev+18)

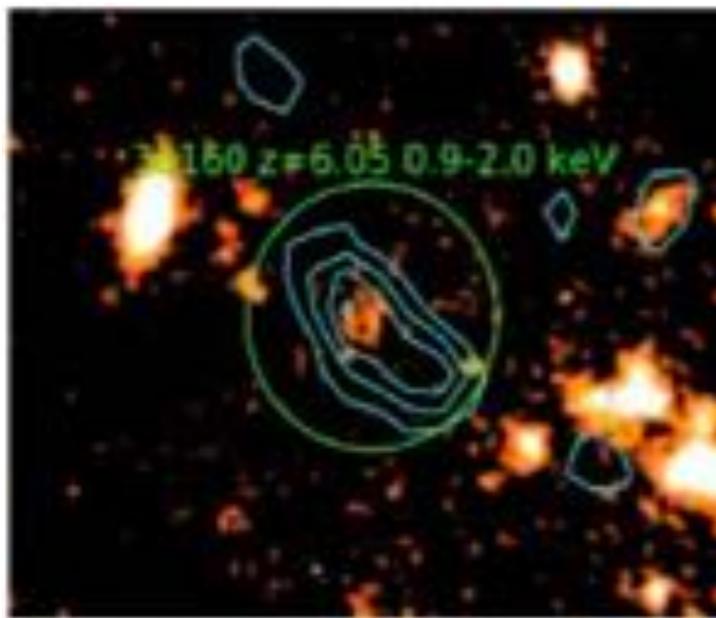
High redshift  $\rightarrow$

# X-ray searches for the earliest SMBHs

## - “sub-threshold” detections

- deep NIR+optical imaging (HST/CANDELS) provides moderate samples of *galaxies* at  $z > 5$
- **Attempt to improve X-ray source identification using galaxy positions**

Giallongo et al. (2019)



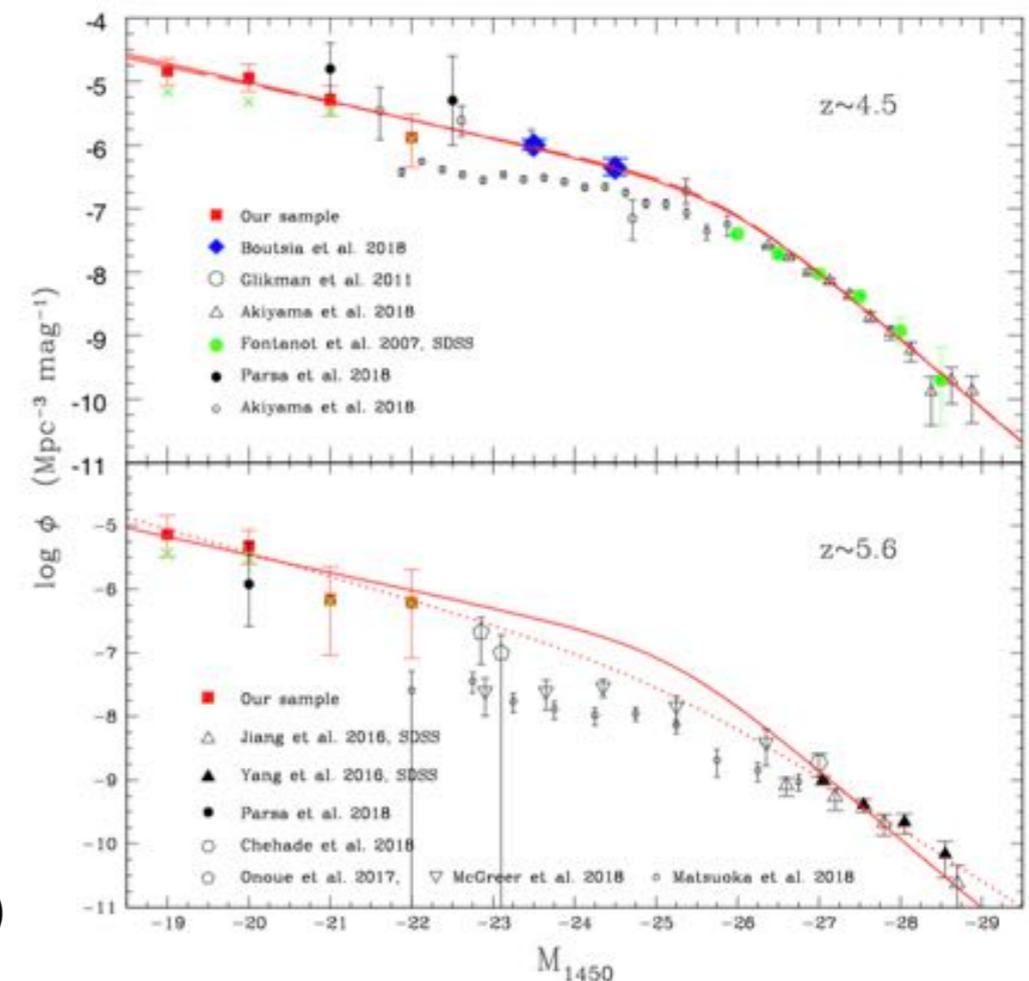
H-band image + X-ray contours



identifies additional AGN

=>

- constraints on faint end of UV luminosity function (connection to opt+IR selected quasars)
- role of AGN in re-ionization,



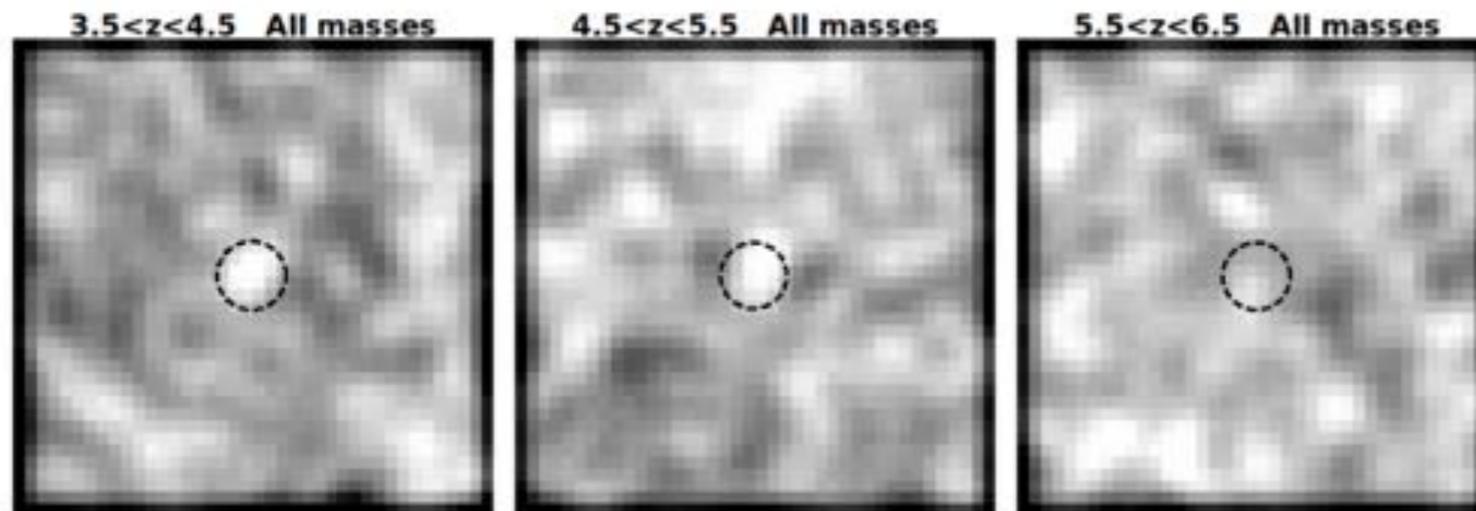
- see also Fiore+12, Giallongo+15, Cappelluti+16

# X-ray searches for the earliest SMBHs

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## - stacking

- deep NIR+optical imaging (HST/CANDELS) provides moderate samples of *galaxies* at  $z > 5$ 
  - **Stack the X-ray data at the galaxy positions**



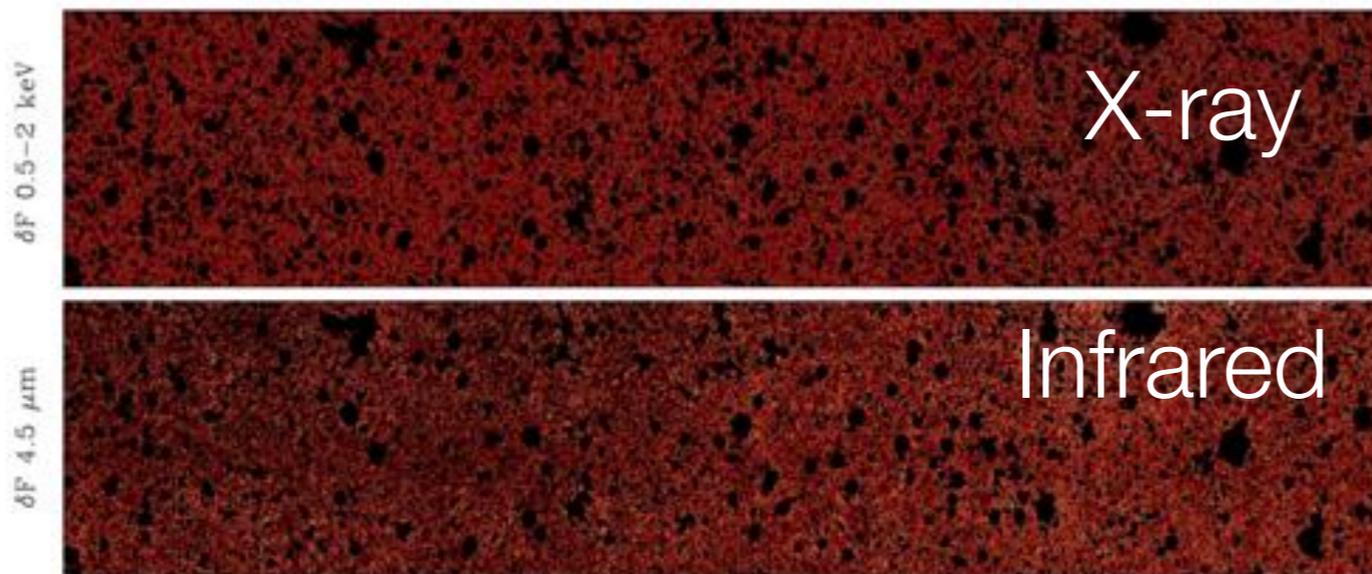
e.g. Vito+16

- X-ray signal likely dominated by star formation processes
- Places upper limits on BH accretion density due to low- $L_x$  AGN in these very high- $z$  galaxies

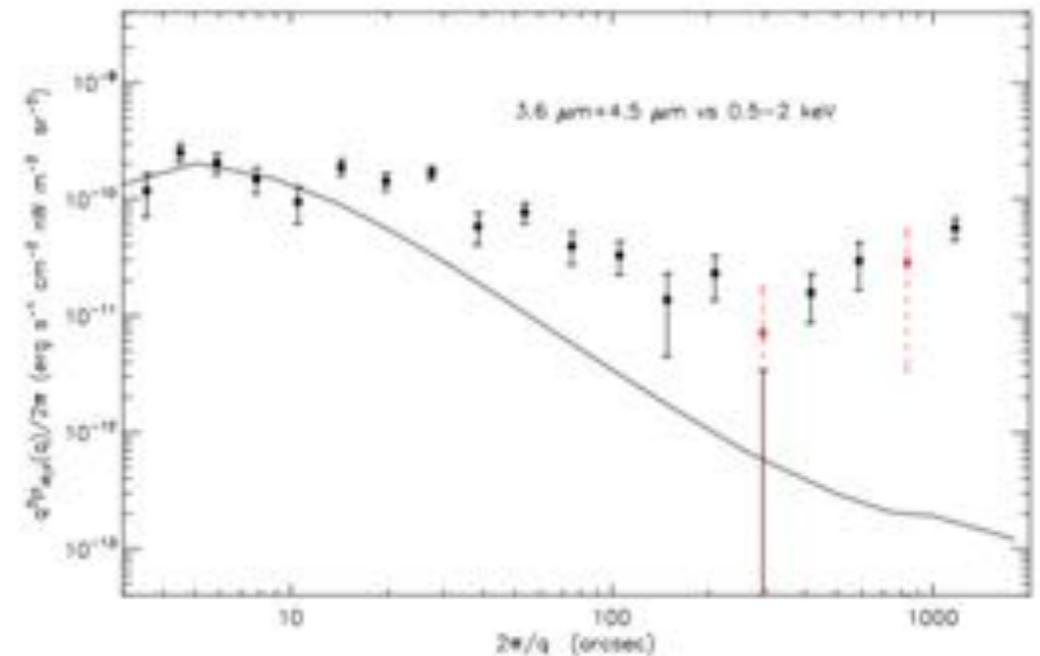
# X-ray searches for the earliest SMBHs

- what's left...?

- **Background fluctuations!**
- correlation between infrared and X-ray background fluctuations  
=> tracer of early BHs

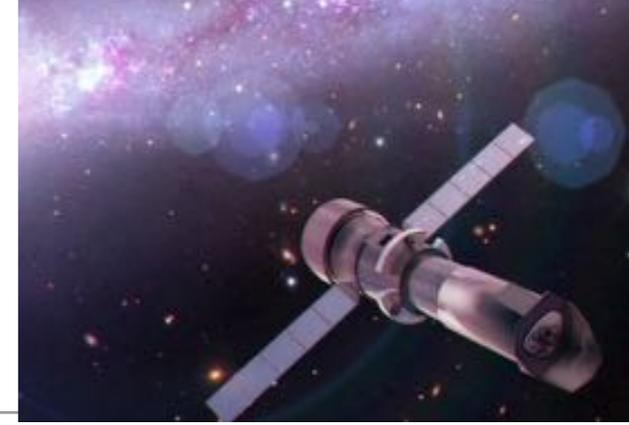


Cappelluti+13,16



see next talk by Nico Cappelluti

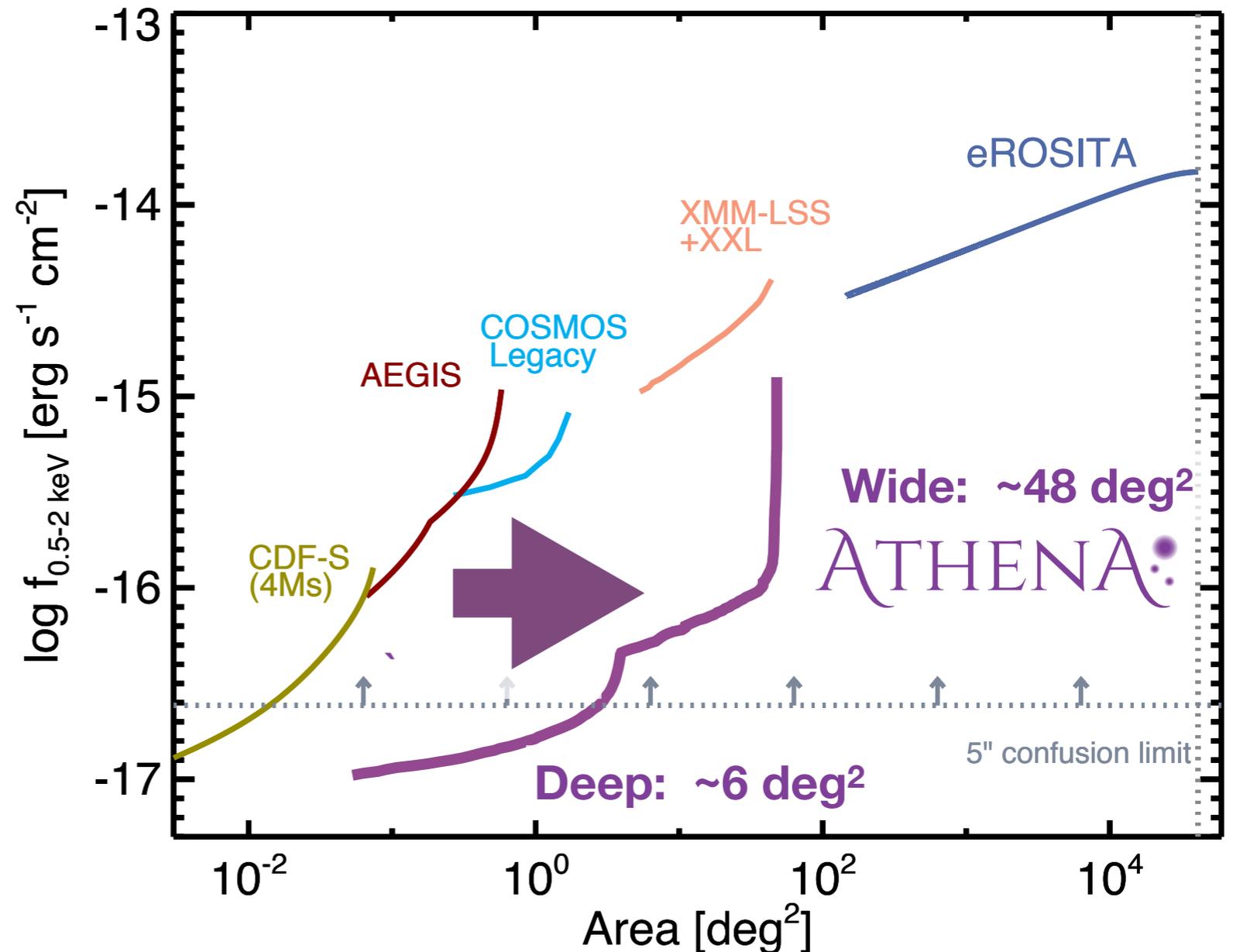
# Tracking the early growth of SMBHs with *Athena*



- Current surveys (Chandra) have the sensitivity to detect low-to-moderate  $L_X$  AGN ( $10^{43-44}$  erg s $^{-1}$ ) at  $z > 6$ , but lack the area coverage

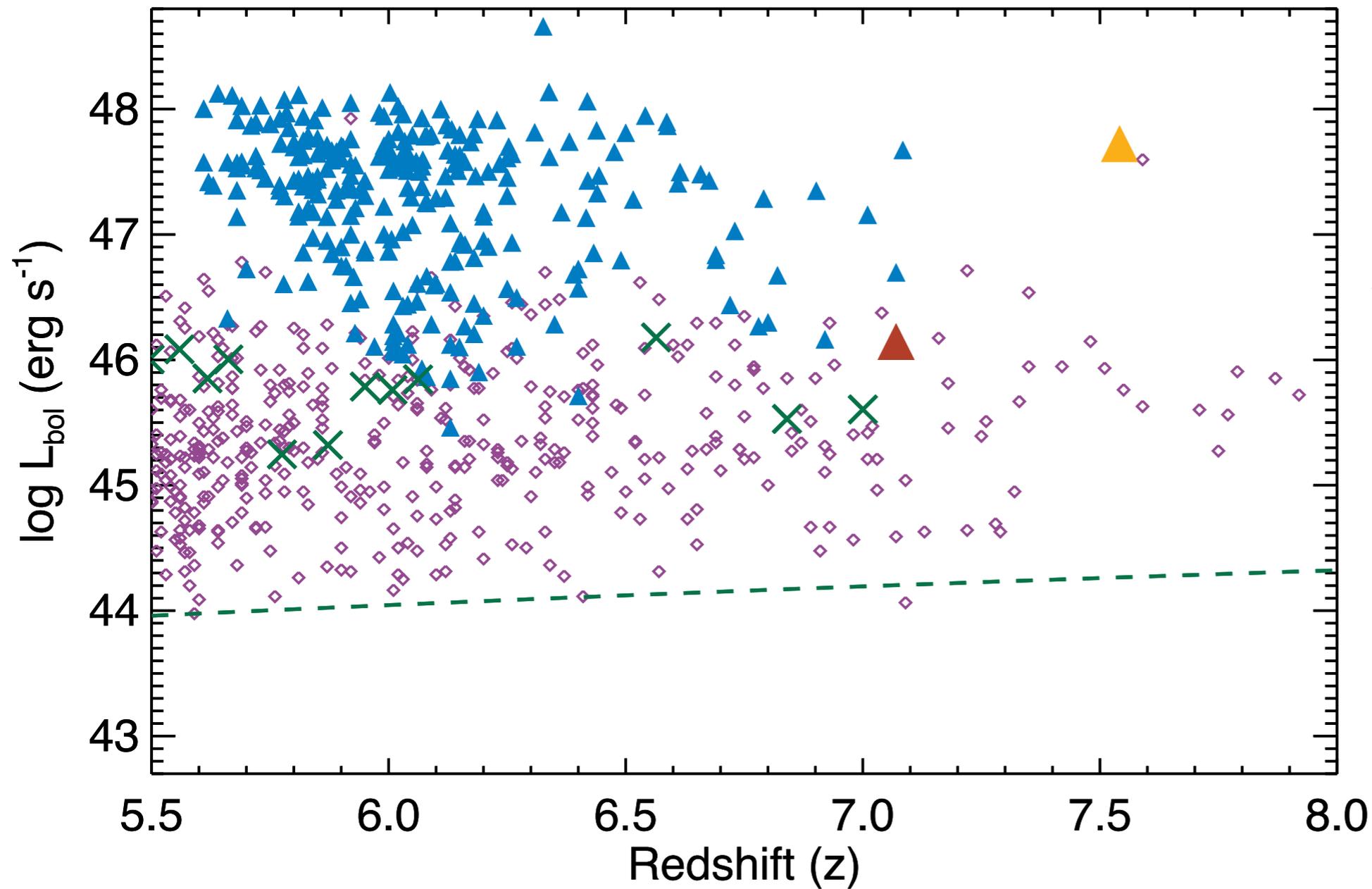
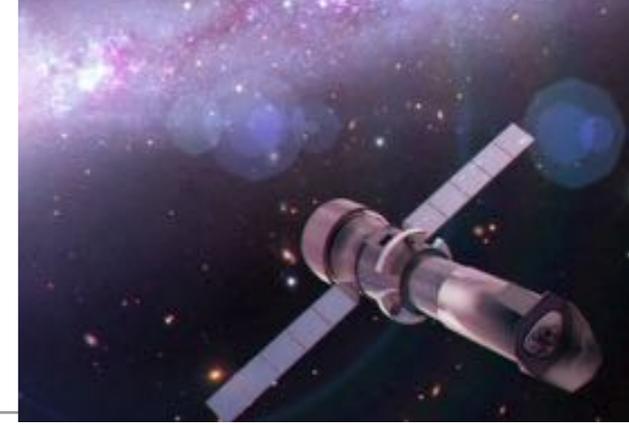
## → **Athena:**

- large-collecting area (1.4m $^2$ )
- large field-of-view (40'x40')
- PSF  $\sim 5''$  HEW **across the FOV**
- **survey speed up to 100 times faster than Chandra**



**~22.5 Ms survey programme (tentative plan):  
4x1.3Ms + 8x950ks + 108x90ks**

# Tracking the early growth of SMBHs with *Athena*



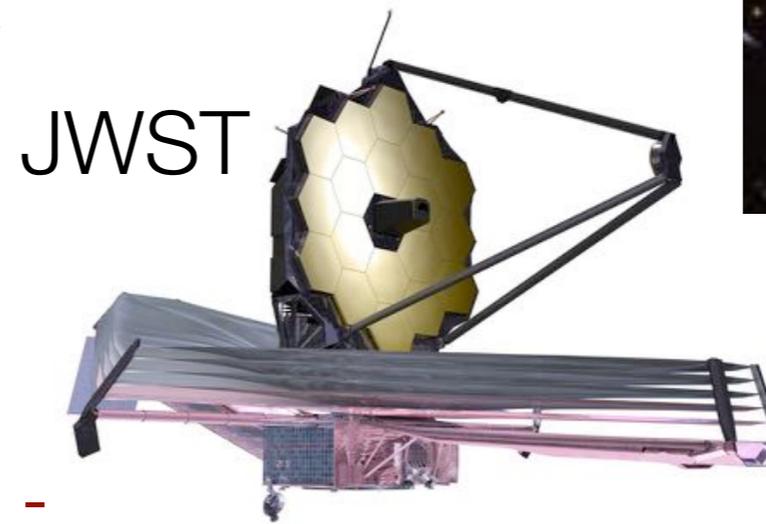
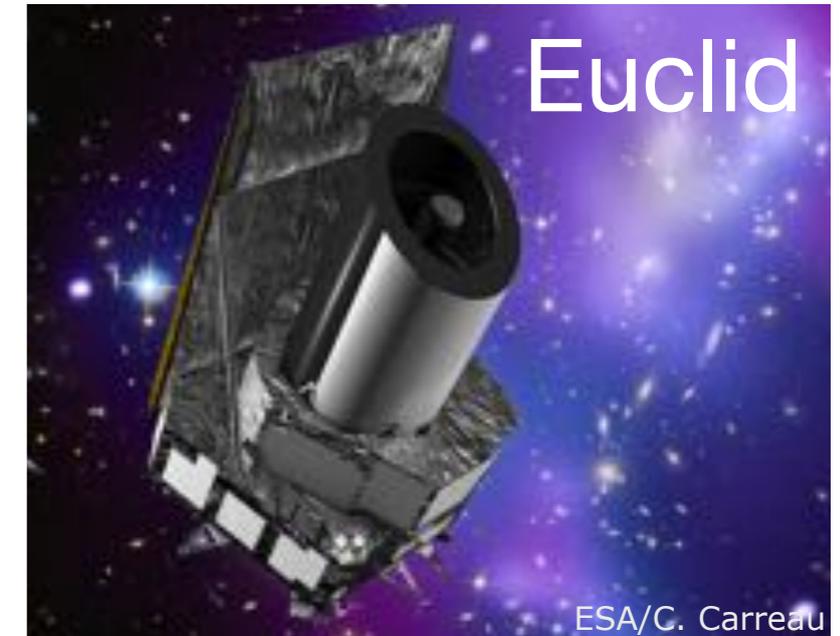
x Chandra detections

CDFS 7Ms (Luo+ 17)  
COSMOS Legacy (Marchesi+16)  
AEGIS (Nandra+15)

◇ Athena predicted  
high-z detections  
(~25Ms multi-tiered  
survey)

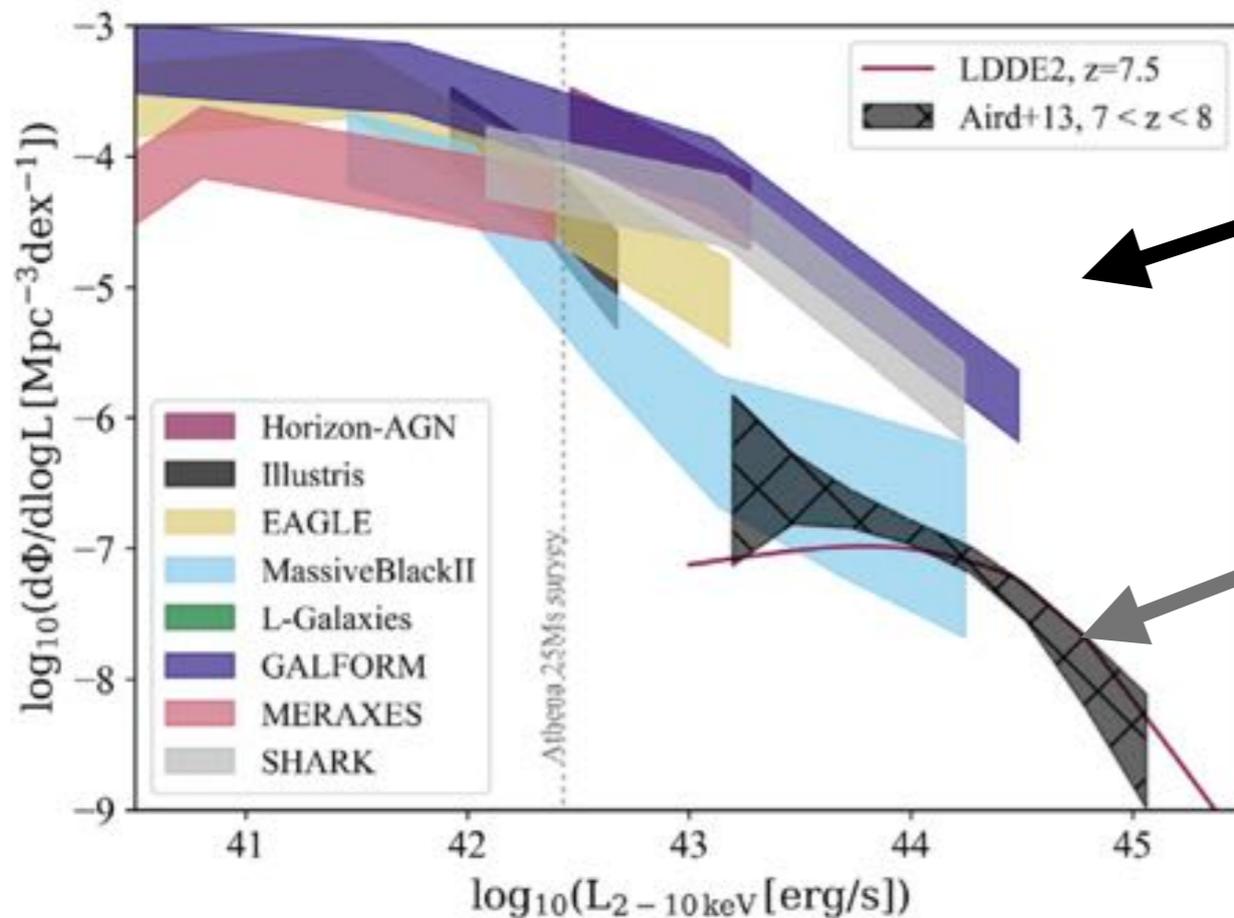
# Counterparts to Athena X-ray sources (in the early 2030s)

- ~50 deg<sup>2</sup> Athena ‘shallow’ (~90ks) surveys will be well matched in depth/area to forthcoming deep optical/near-infrared surveys (e.g. Euclid, HyperSuprimeCam, LSST)
- JWST imaging required to identify counterparts in deep Athena surveys (~6 deg<sup>2</sup>, 950ks)
- *Athena* will pinpoint (low-L/obscured) AGNs within samples of early ( $z > 6$ ) galaxies - efficiently tracing SMBH **accretion** activity
- Further follow-up with ELTs, ALMA, JWST for
  - spectroscopic redshifts
  - host properties (stellar mass, star formation rates, dust masses etc.)



# Athena constraints vs. models

Amarantidis+19



Comparison of the high- $z$  AGN luminosity function from a range of hydrodynamic and semi-analytic simulations

vs. predicted *Athena* constraints

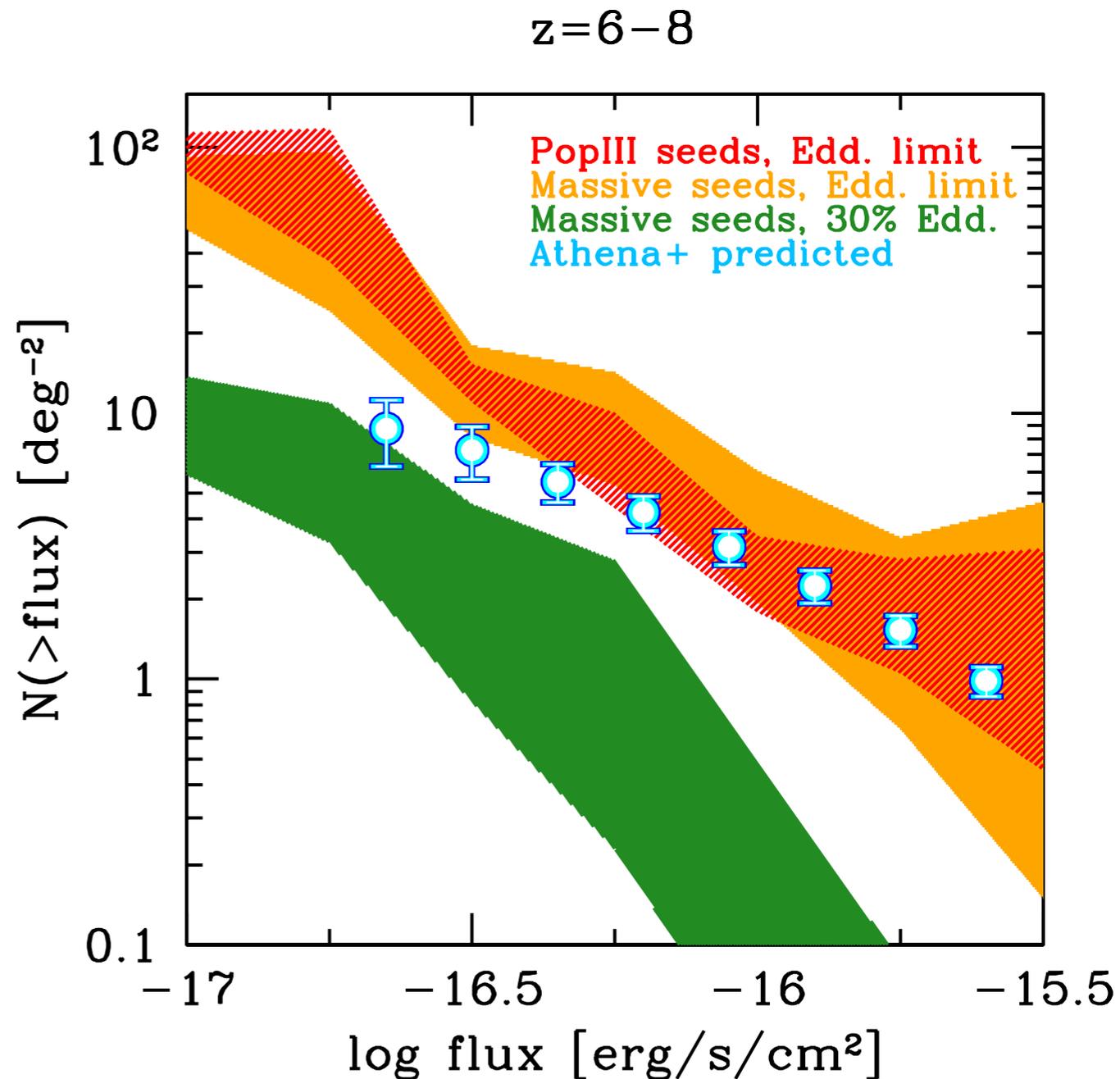
- models often high vs. empirical predictions (extrapolations) - also true at lower  $z$ !
- order of magnitude differences between models - Athena will provide vital constraints!
- some models do not extend to highest  $L_x$  - volume limitations?

see also: Ricarte+18, Valiante+18,  
DeGraf+19, Griffin+19

# Athena constraints vs. models

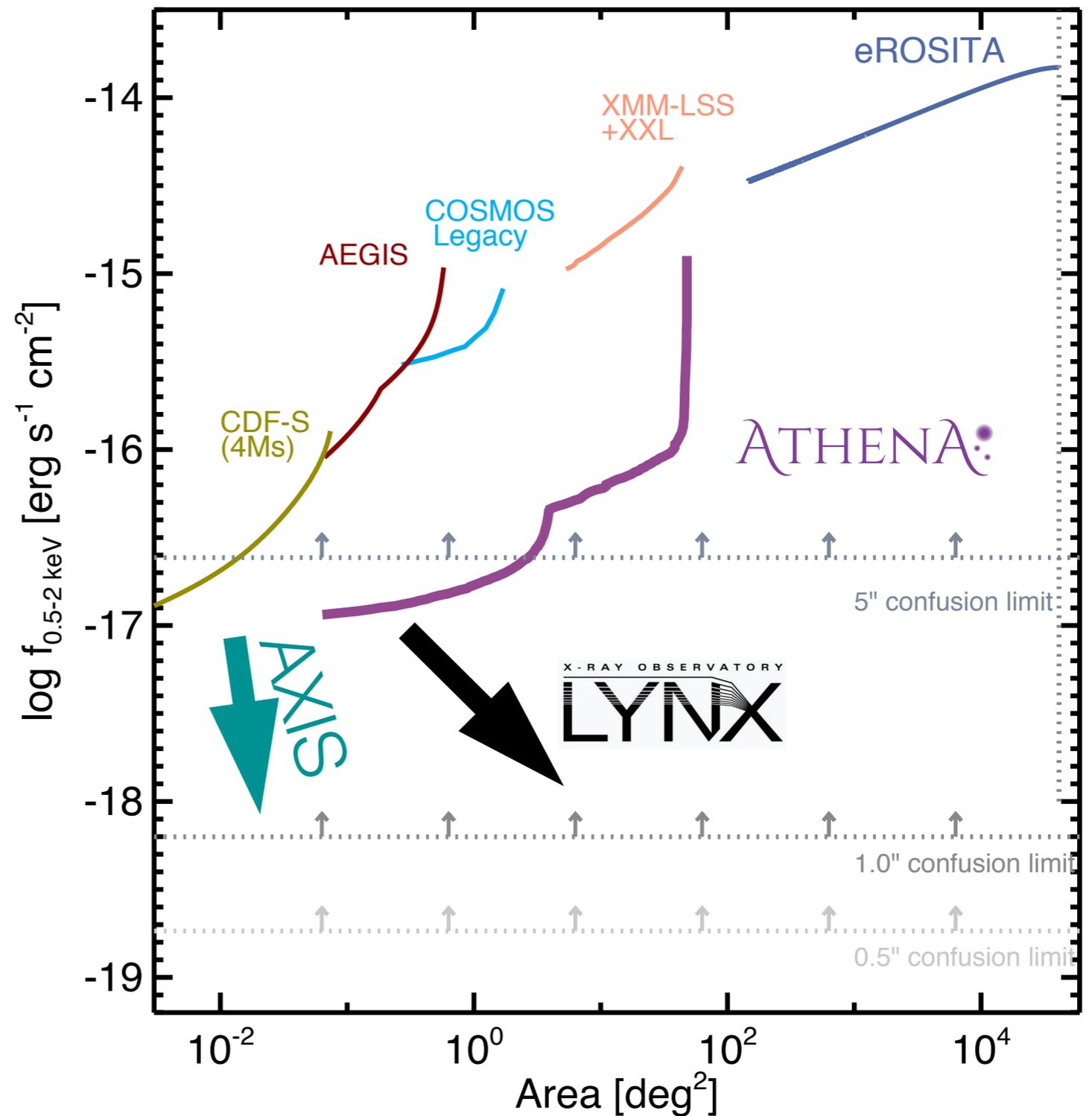
- Detection of an AGN with  $L_X = 10^{43} \text{ erg s}^{-1}$  at  $z = 6$   
 $\Rightarrow M_{\text{BH}} > \sim 2 \times 10^6 M_{\text{sun}}$   
(assuming  $\sim$ Eddington limited)
- Detection of an AGN with  $L_X = 10^{44} \text{ erg s}^{-1}$  at  $z = 8$   
 $\Rightarrow M_{\text{BH}} > \sim 2 \times 10^7 M_{\text{sun}}$   
(assuming  $\sim$ Eddington limited)

*Athena* will **not** identify SMBH seeds immediately after their formation  
*but* samples **will** constrain the extent of early mass growth, where this growth occurs within the  $z > 6$  galaxy population, and the *possible* seed mechanisms, ruling out certain classes of models



# Beyond *Athena*: AXIS and Lynx

To detect accreting  
 $\sim 10^{4-5} M_{\odot}$  black holes in  
the  $z \sim 6-10$  Universe,  
requires  $\sim 1''$  resolution



# Summary

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- Bulk of the mass growth of supermassive black holes is due to accretion at  $z < 3$  and is well-characterised
- But initial *seeds* likely formed in particular environments at very high  $z > 10$ , with subsequent growth by merging but (mostly) accretion
- Latest optical/NIR surveys starting to sample *quasar* population at  $z > 6$  - challenges for seed models to build most massive black holes
- Current X-ray surveys reveal strong drop in space density of AGN at all luminosities at  $z > 3$  - very few sources found at  $z > 5$  *even though Chandra* has the sensitivity to detect them
- To characterise early growth need to reach deepest Chandra flux limits over large sky areas (6-50 deg<sup>2</sup>) coverage => *ATHENA*!
- To directly see the initial growth of  $\sim 10^{4-5} M_{\odot}$  black holes requires order of magnitude improvement in sensitivity *and* wide-area coverage =>