



ZENTRUM FÜR
ASTRONOMIE

DFG Deutsche
Forschungsgemeinschaft
German Research Foundation



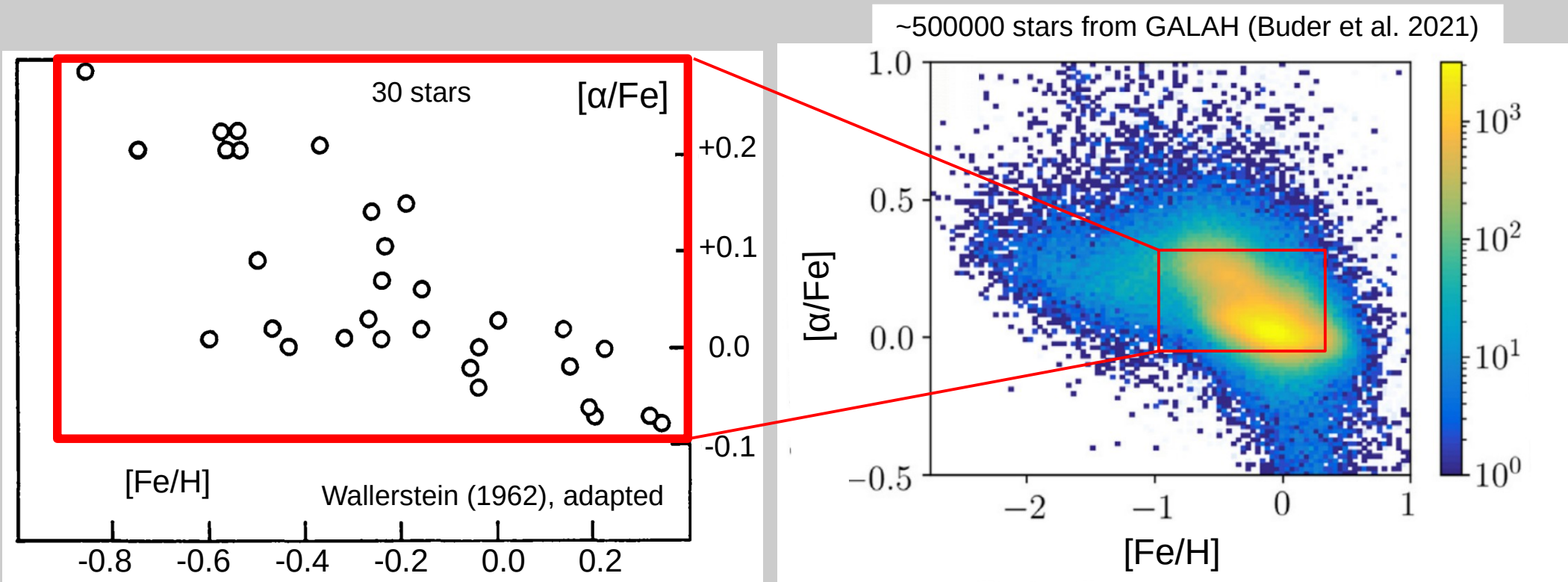
Machine-learning methods for stellar spectroscopy in the era of large scale surveys

Guillaume Guiglion (GG)

with S. Nepal, C. Chiappini, M. Ambrosch, M. Steinmetz, M. Valentini, S., G. Matijevič and R. de Jong

The Milky Way Assembly Tale – 29/05/2024

Stellar abundances for Galactic Archaeology



Stellar kinematics for Galactic Archaeology → eg. Paul, Teresa, Danny's talks

Stellar ages for Galactic Archaeology → Today's session on ages :))

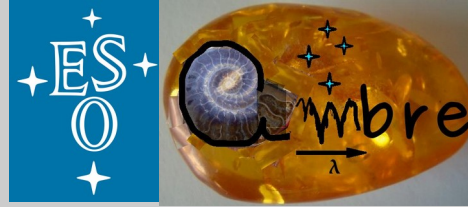
The need for large spectroscopic surveys



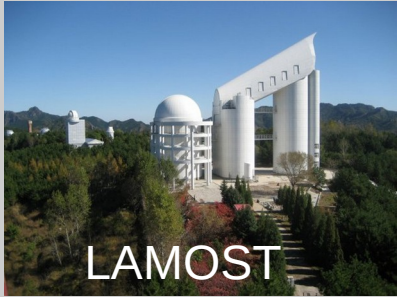
5×10^5



10^5



$> 10^4$



LAMOST

10^6



GALAH

5×10^5



$> 5 \times 10^5$



gaia

10^6

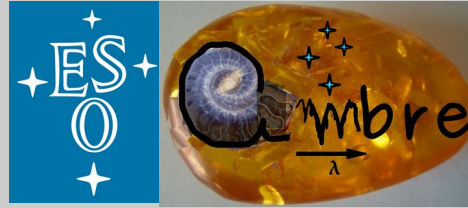
The need for large spectroscopic surveys



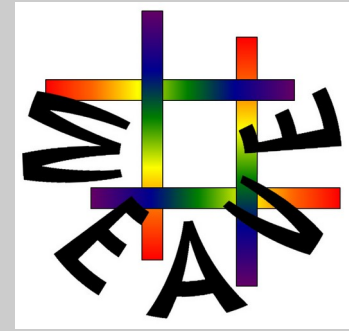
5×10^5



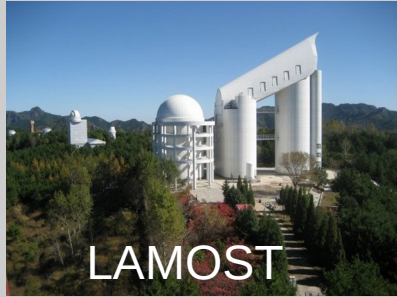
10^5



$> 10^4$



$> 10^6$



LAMOST

10^6



GALAH

10^6



$> 10^7$



$> 10^6$



10^6



gaia

10^7



$> 10^7$

For stellar parametrization:

→ One can use standard spectroscopy

e.g.:

- **SME** (Valenti & Piskunov; @GALAH)
- **FERRE** (Allende-Prieto et al. 2006; @PRISTINE)
- **MATISSE** (Recio-blanco et al. 2006; @RAVE, @Gaia-RVS)
- **GAUGUIN** (GG et al. 2016, 2018; @GES, @RAVE)

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→ **One can use machine-learning**

e.g.:

- **Cannon** (Ness et al. 2015; @RAVE, @GALAH)
- **Payne** (Ting et al. 2019; @LAMOST, @APOGEE)
- **CNN**
 - Leung & Bovy 2019 (@APOGEE)
 - Bialek et al. 2019 (@GES UVES)
 - GG et al. 2020 (@RAVE)
 - Ambrosch, GG et al. 2023 (@GES HR10&21)
 - Nepal, GG et al. 2023 (@GES HR15)
 - GG et al. 2024 (@Gaia RVS)

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 - **Nepal, GG et al. 2023 (@GES HR15)**
 - **GG et al. 2024 (@Gaia RVS)**



Why do we want to use CNNs ?

- Adapted for large data sets, fast prediction power (*Gaia*, 4MOST ...)
- Very versatile: allow to combine different types of data (spectra, magnitudes, distances ...)
- Provide robust measurements from noisy data

→ Some literature:

LeCun et al. 1989

LeCun & Bengio 1995

Ciresan et al. 2011

CNN: basic concept



?

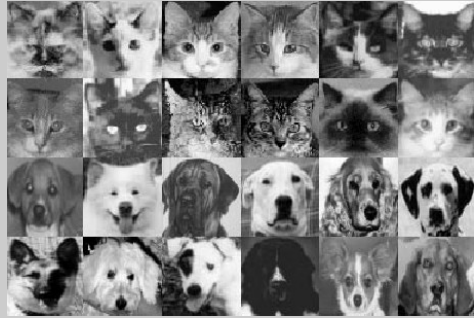
CNN: basic concept



1/ Build a **training sample**

Data

Labels



Cat

Dog

...

Dog

Cat

CNN: basic concept



1/ Build a **training sample**

Data

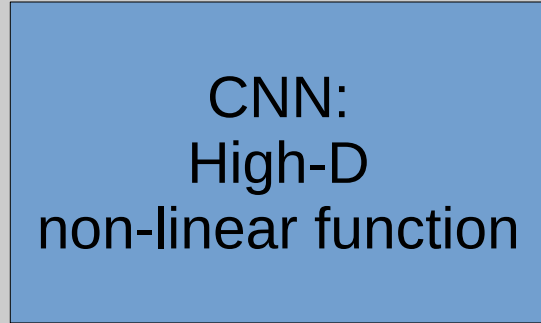
Labels



Cat
Dog
...
Dog
Cat

2/ Train a model between data and labels

Data →



→ **Labels**

CNN: basic concept



1/ Build a **training sample**

Data

Labels



Cat
Dog
...
Dog
Cat

2/ Train a model between data and labels

Data →

CNN:
High-D
non-linear function

→ **Labels**

3/ Predict the type of animal



CNN
model



Dog

CNN: basic concept



1/ Build a **training sample**

Data

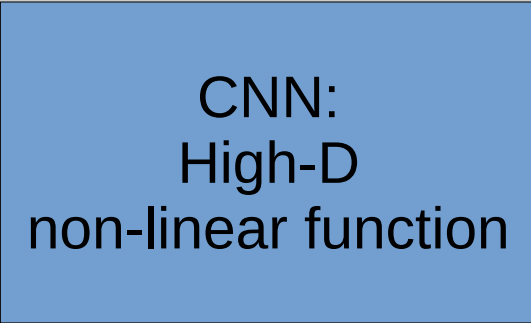
Labels



Cat
Dog
...
Dog
Cat

2/ Train a model between data and labels

Data →

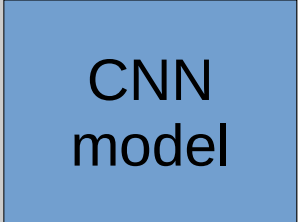


→ **Labels**

3/ Predict the type of animal



→



→

Dog



→

CNN: basic concept



1/ Build a **training sample**

Data

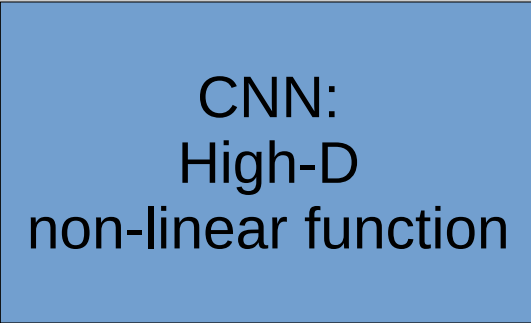
Labels



Cat
Dog
...
Dog
Cat

2/ Train a model between data and labels

Data →

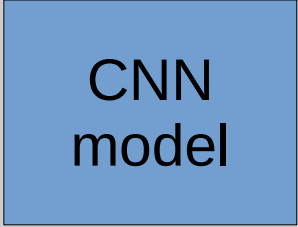


→ **Labels**

3/ Predict the type of animal



→



→

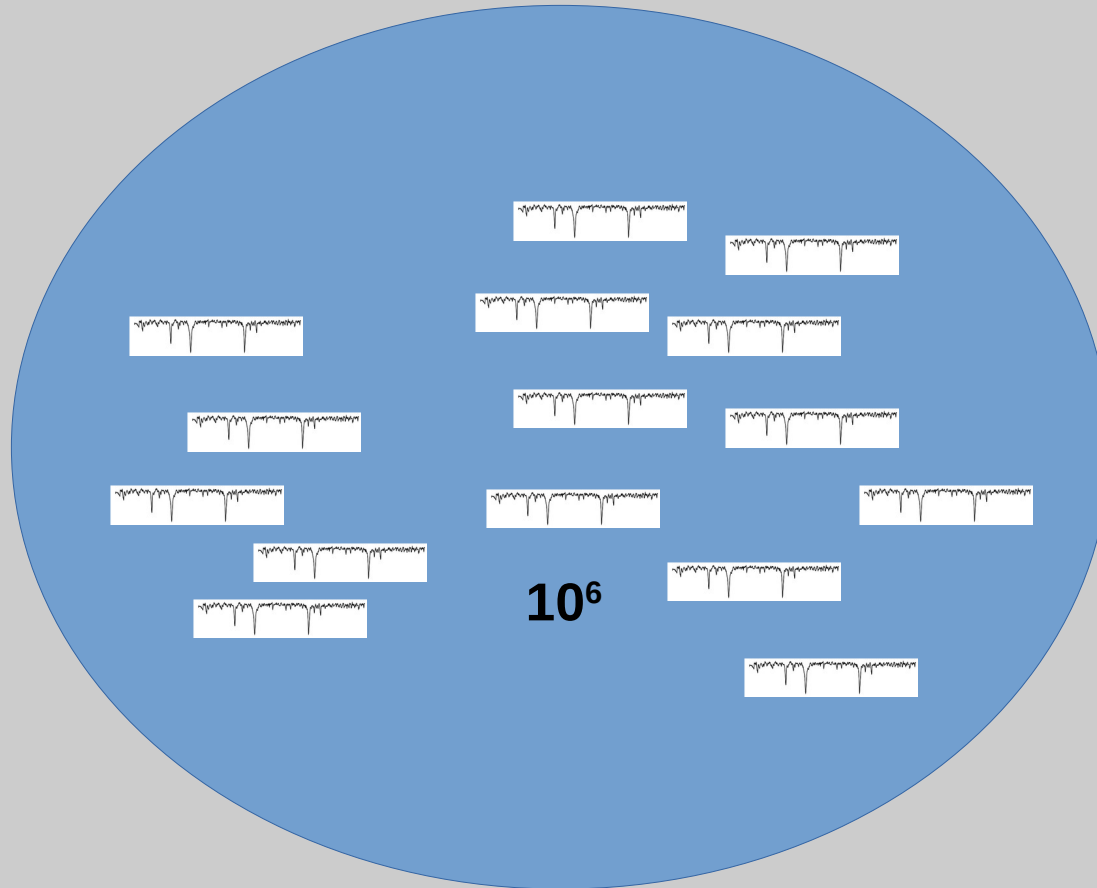
Dog



→

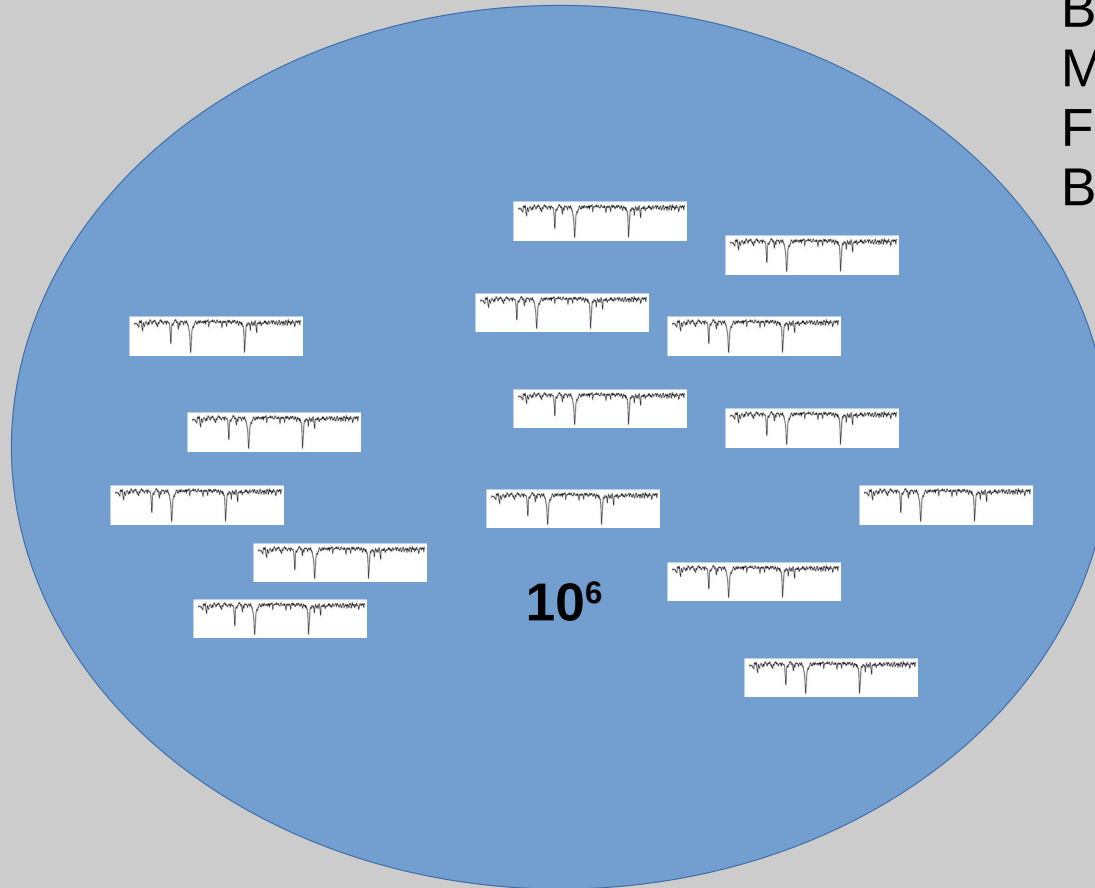
'%\$#5

E.g. measuring T_{eff} , $\log(g)$, $[\text{Fe}/\text{H}]$ in:



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Model driven:
Bailer-Jones et al. 1997
Manteiga et al. 2010
Fabbro et al. 2018
Bialek et al. 2020



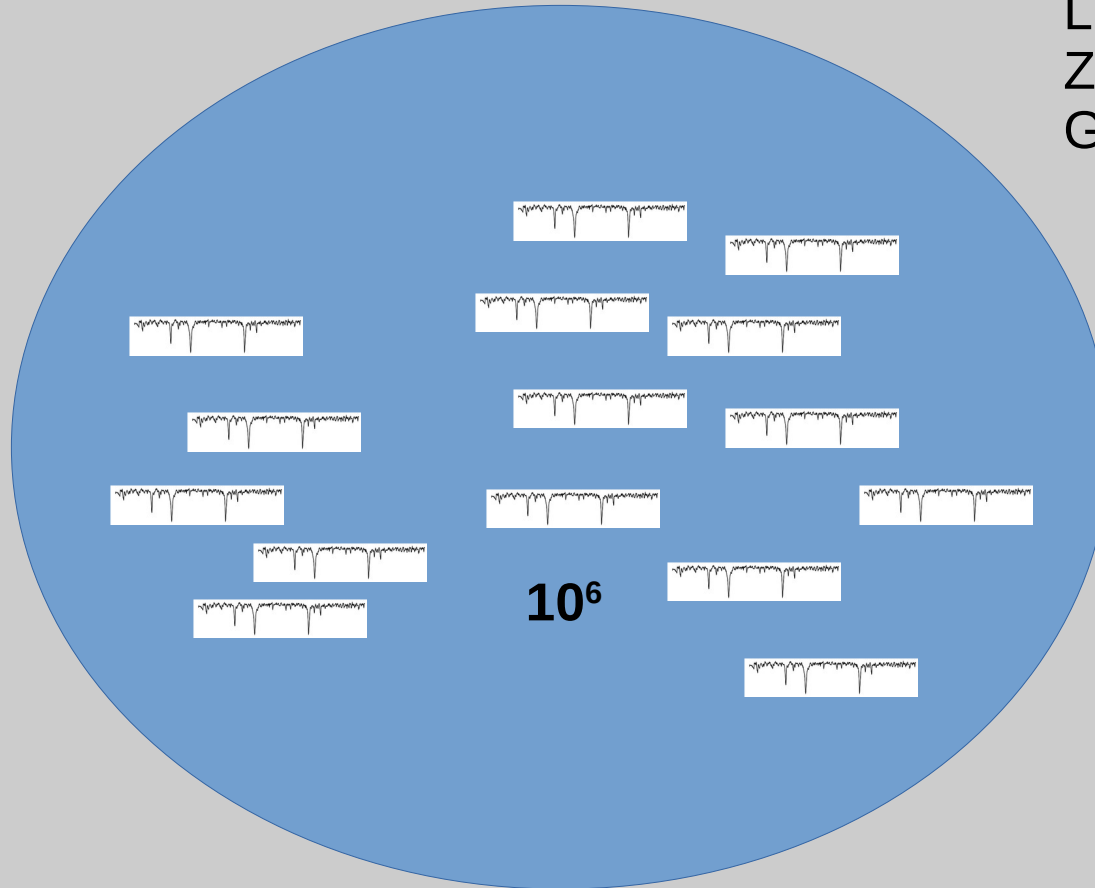
E.g. measuring T_{eff} , $\log(g)$, $[\text{Fe}/\text{H}]$ in:

Data driven:

Leung & Bovy 2019

Zhang et al. 2019

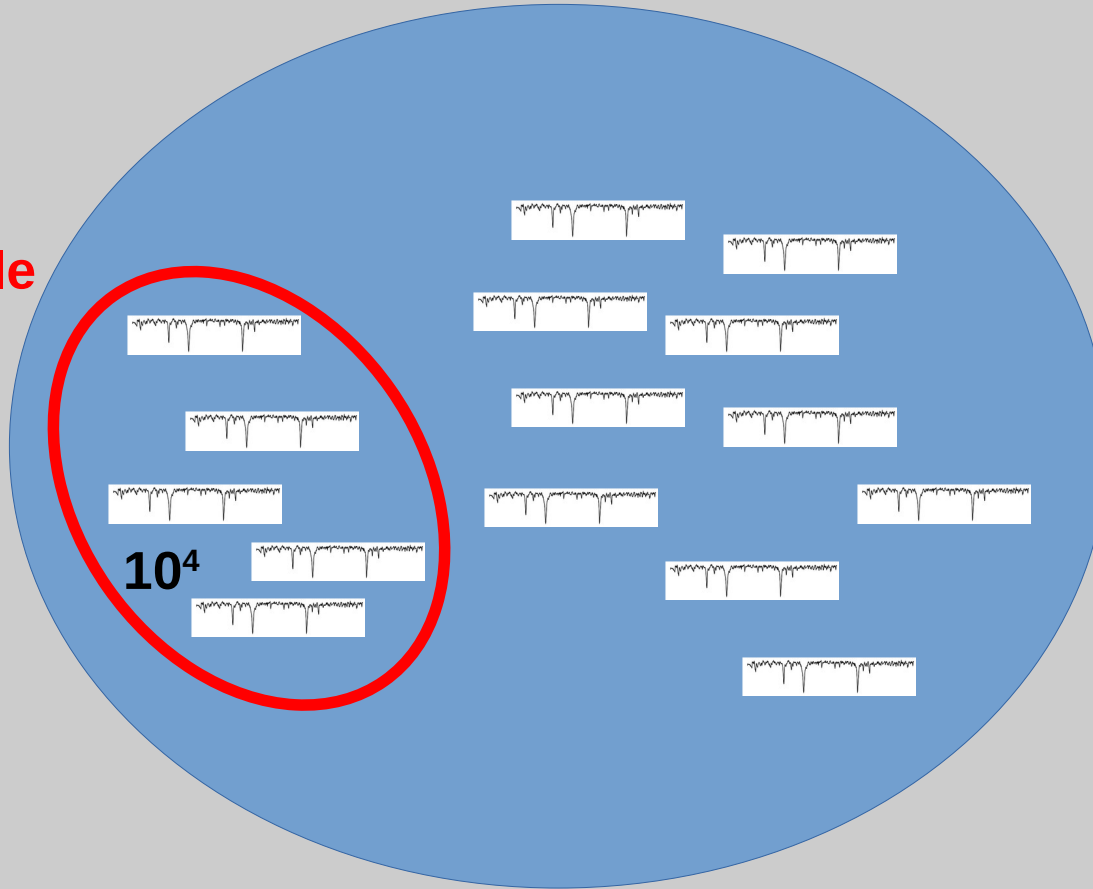
Guiglion et al. 2020



E.g. measuring T_{eff} , $\log(g)$, $[\text{Fe}/\text{H}]$ in:

T_{eff} , $\log(g)$, $[\text{M}/\text{H}]$
from standard
spectroscopy

→ **Training sample**



E.g. measuring T_{eff} , $\log(g)$, $[\text{Fe}/\text{H}]$ in:

T_{eff} , $\log(g)$, $[\text{M}/\text{H}]$
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spectroscopy

→ **Training sample**



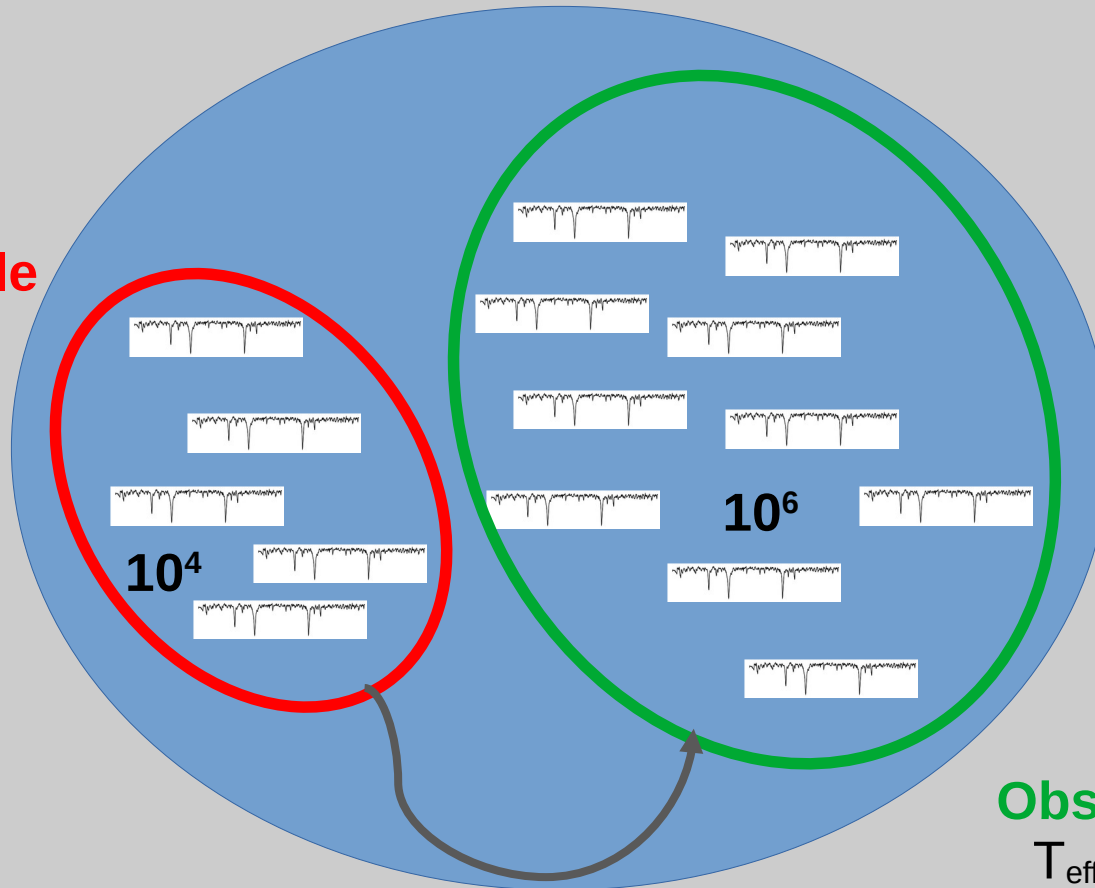
Observed sample:

T_{eff} , $\log(g)$, $[\text{M}/\text{H}]$
to be determined

E.g. measuring T_{eff} , $\log(g)$, $[\text{Fe}/\text{H}]$ in:

T_{eff} , $\log(g)$, $[\text{M}/\text{H}]$
from standard
spectroscopy

→ **Training sample**



CNN

Observed sample:
















T_{eff} , $\log(g)$, $[\text{M}/\text{H}]$
to be determined

How is CNN learning? CNN for *Gaia*-ESO

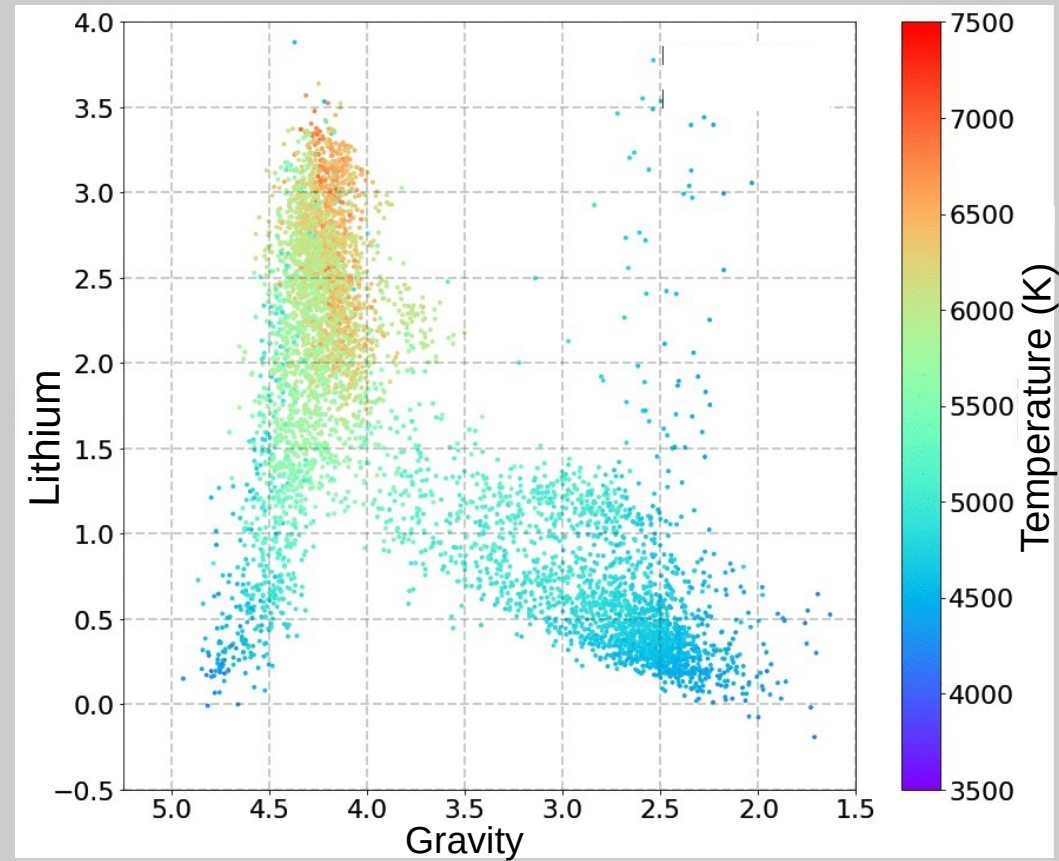


The *Gaia*-ESO Survey: Preparing the ground for 4MOST and WEAVE galactic surveys

Chemical evolution of lithium with machine learning★,★★,★★★

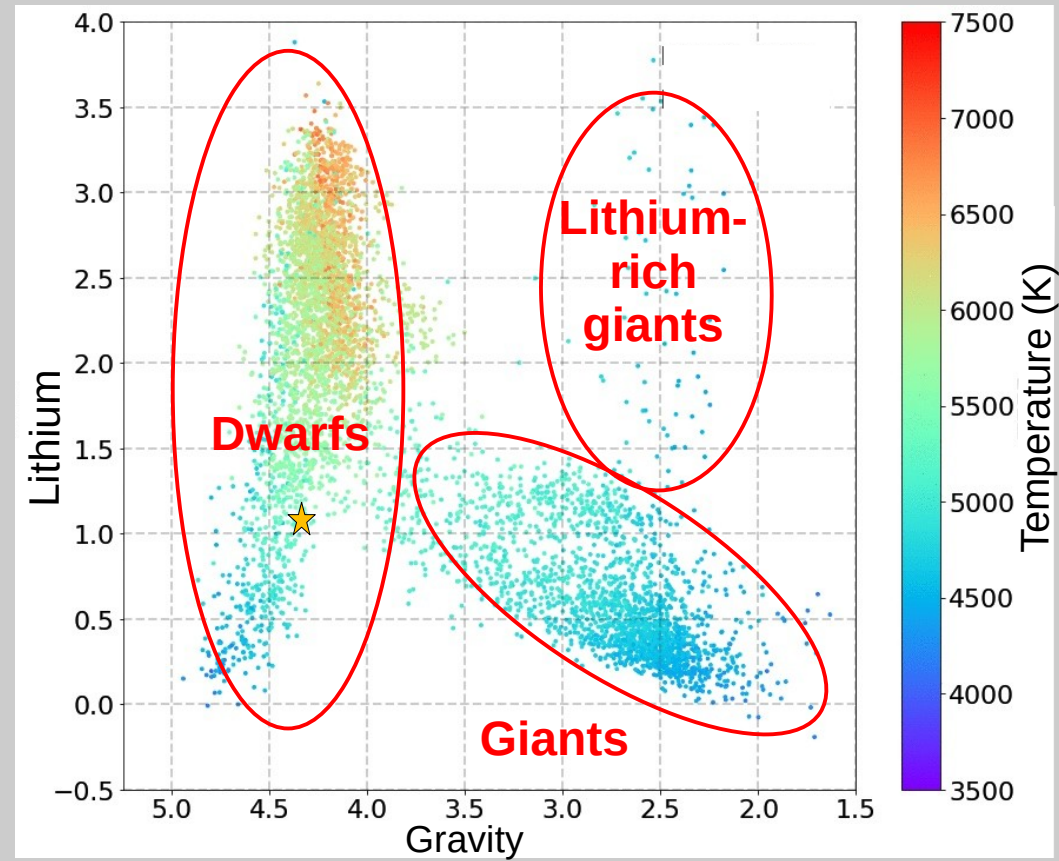
S. Nepal^{1,2}, G. Guiglion^{3,1}, R. S. de Jong¹, M. Valentini¹, C. Chiappini¹, M. Steinmetz¹, M. Ambrosch⁴,
E. Pancino⁵, R. D. Jeffries⁶, T. Bensby⁷, D. Romano⁸, R. Smiljanic⁹, M. L. L. Dantas⁹, G. Gilmore¹⁰,
S. Randich⁵, A. Bayo¹¹, M. Bergemann^{12,3}, E. Franciosini⁵, F. Jiménez-Esteban¹³, P. Jofré¹⁴, L. Morbidelli⁵,
G. G. Sacco⁵, G. Tautvaišienė⁴, and S. Zaggia¹⁵

Measurements of lithium in Milky Way stars with CNN & GES



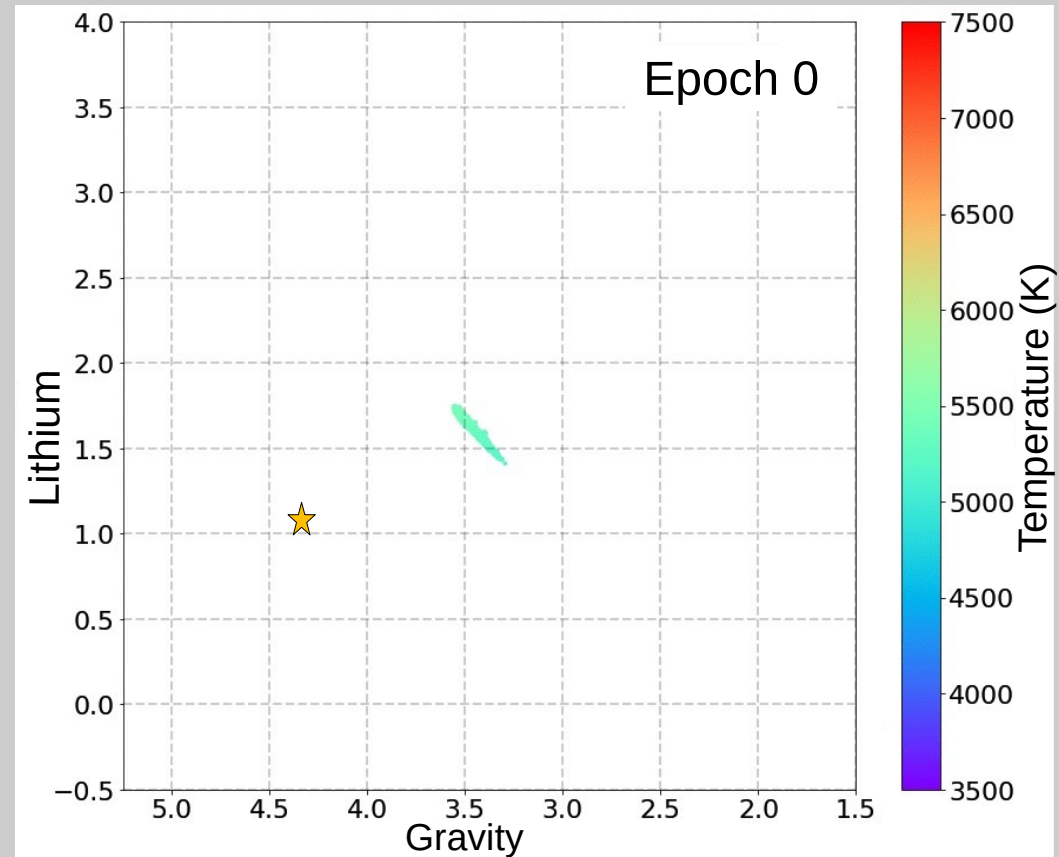
Nepal et al. 2023

Measurements of lithium in Milky Way stars with CNN & GES



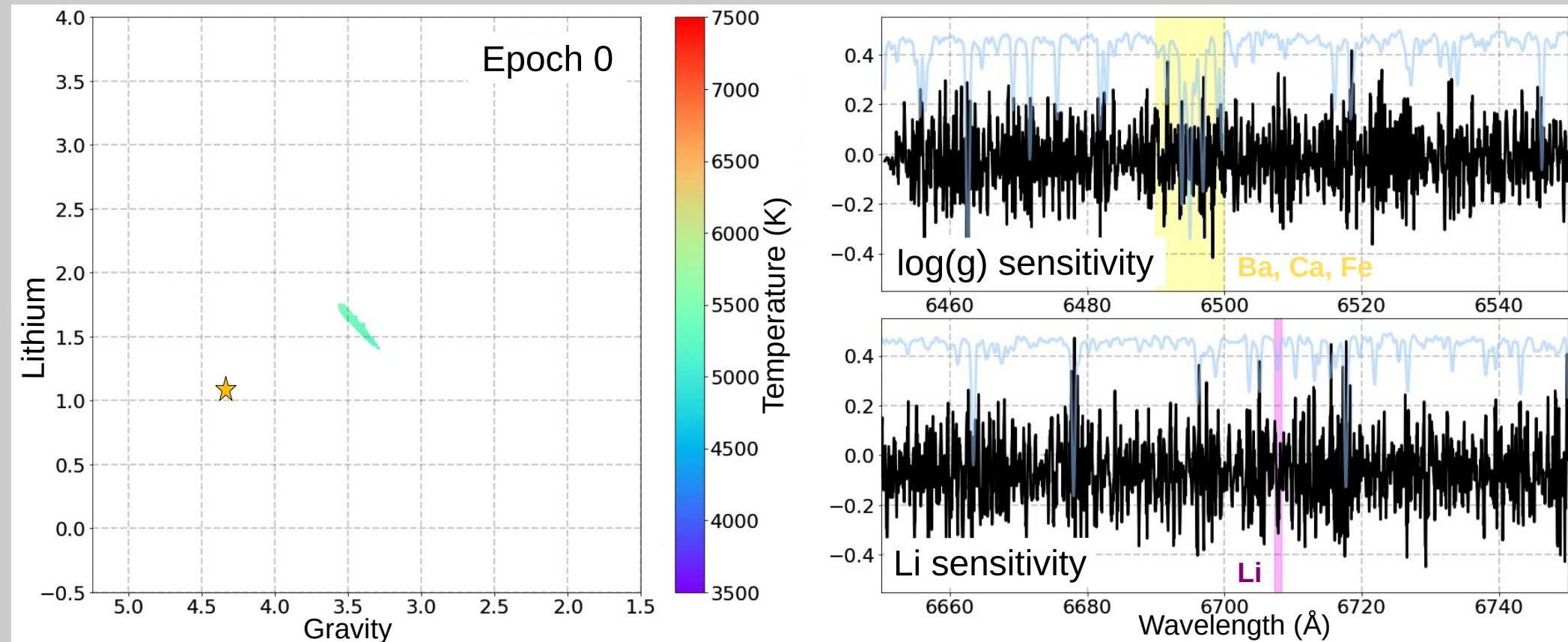
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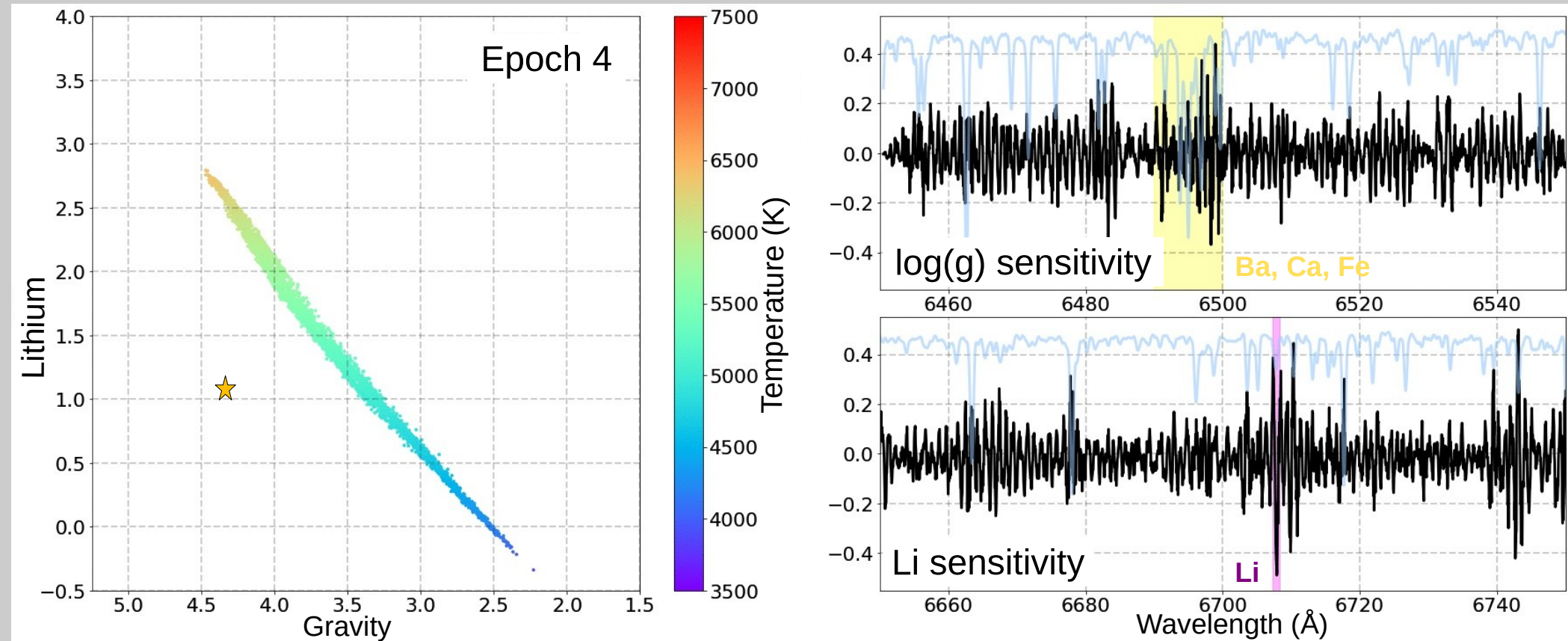
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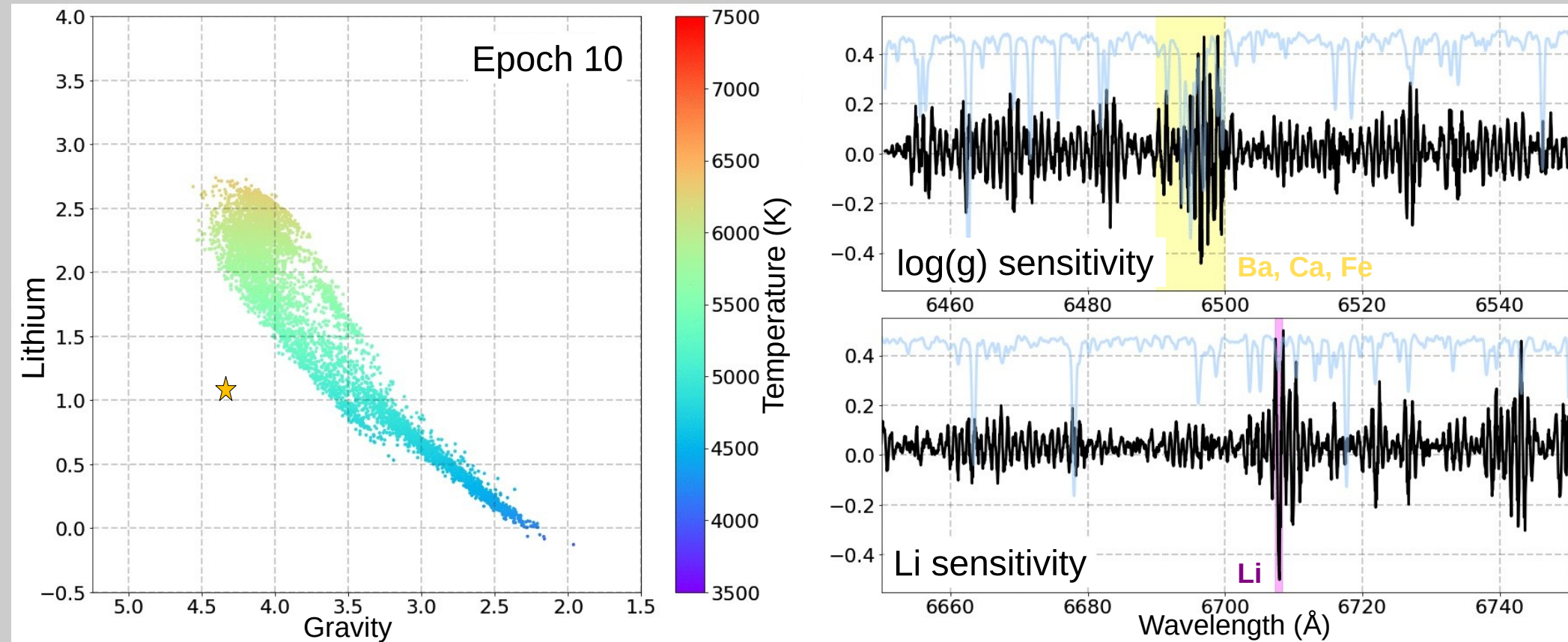
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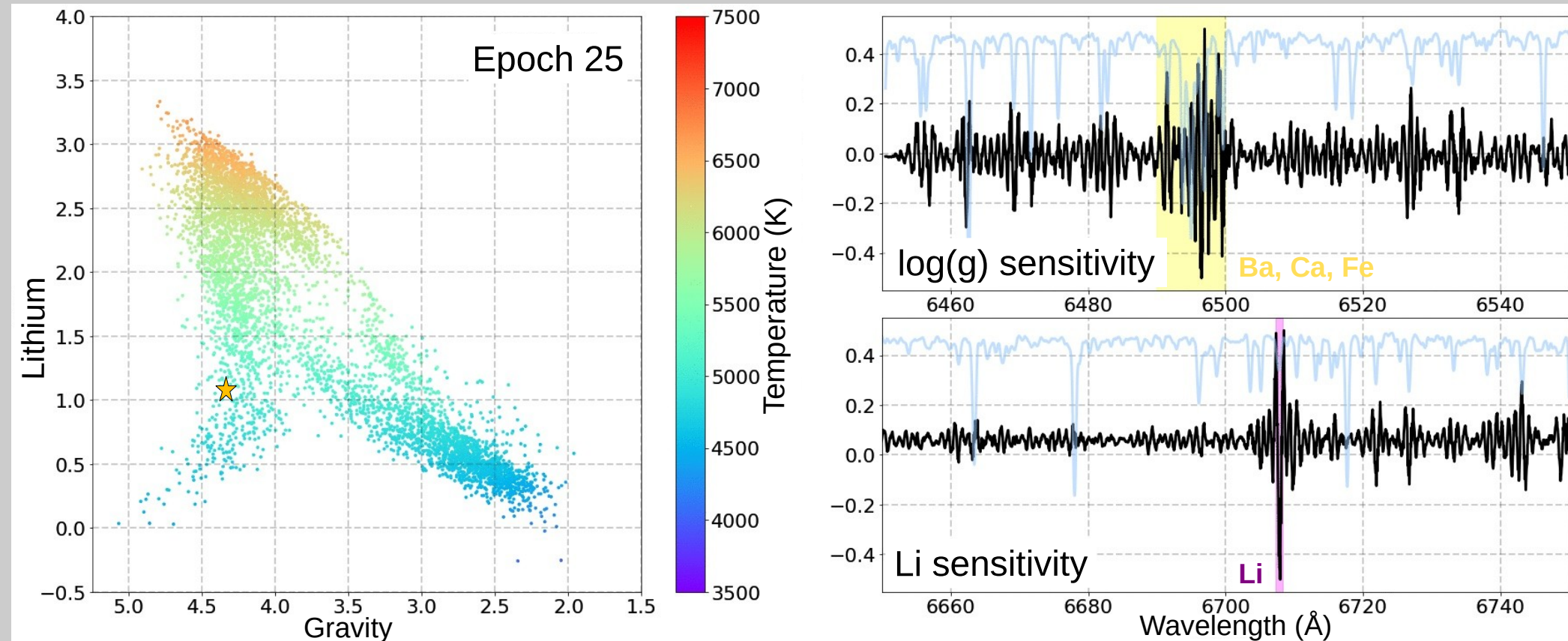
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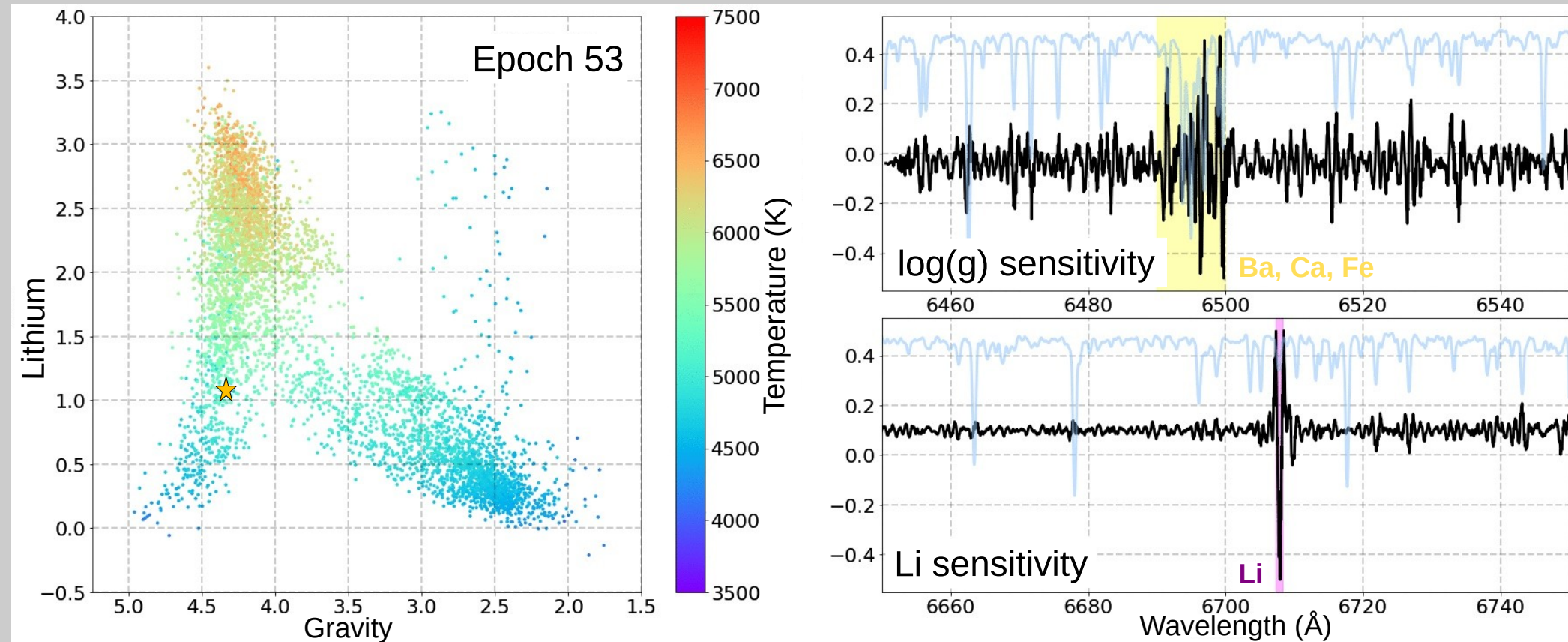
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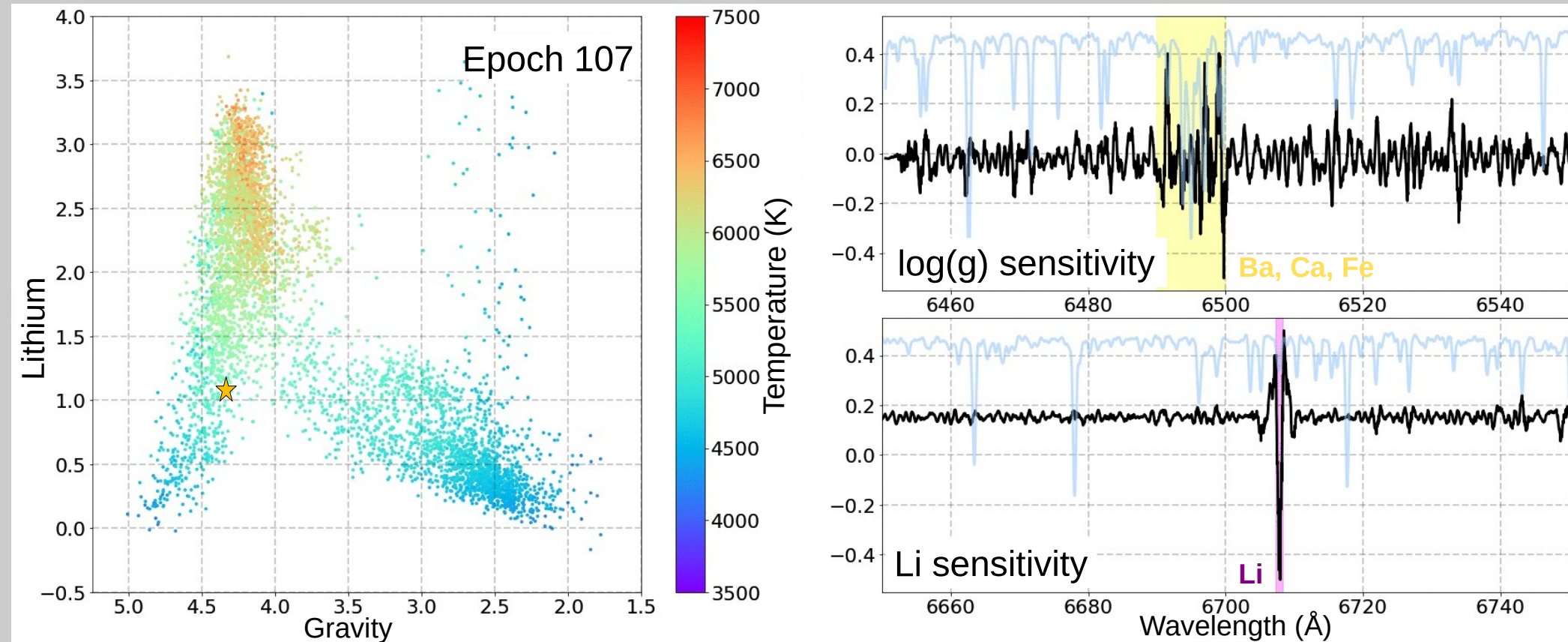
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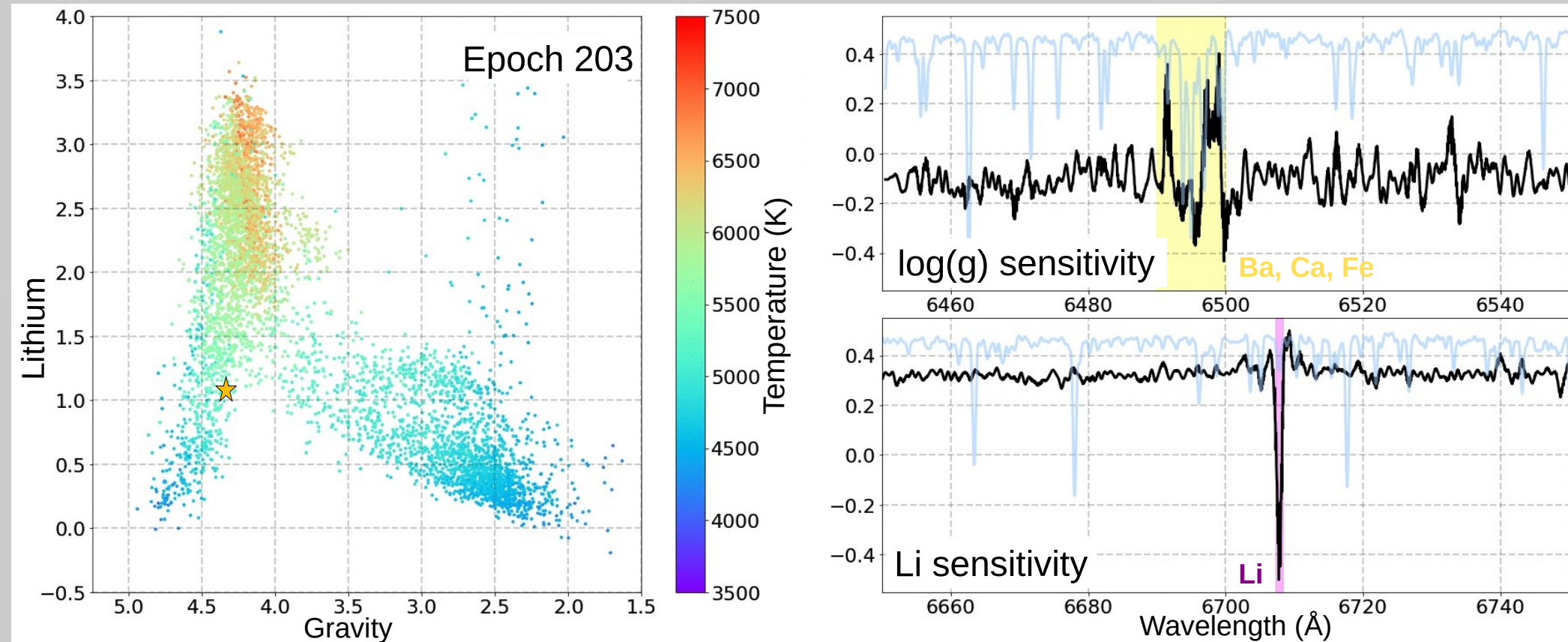
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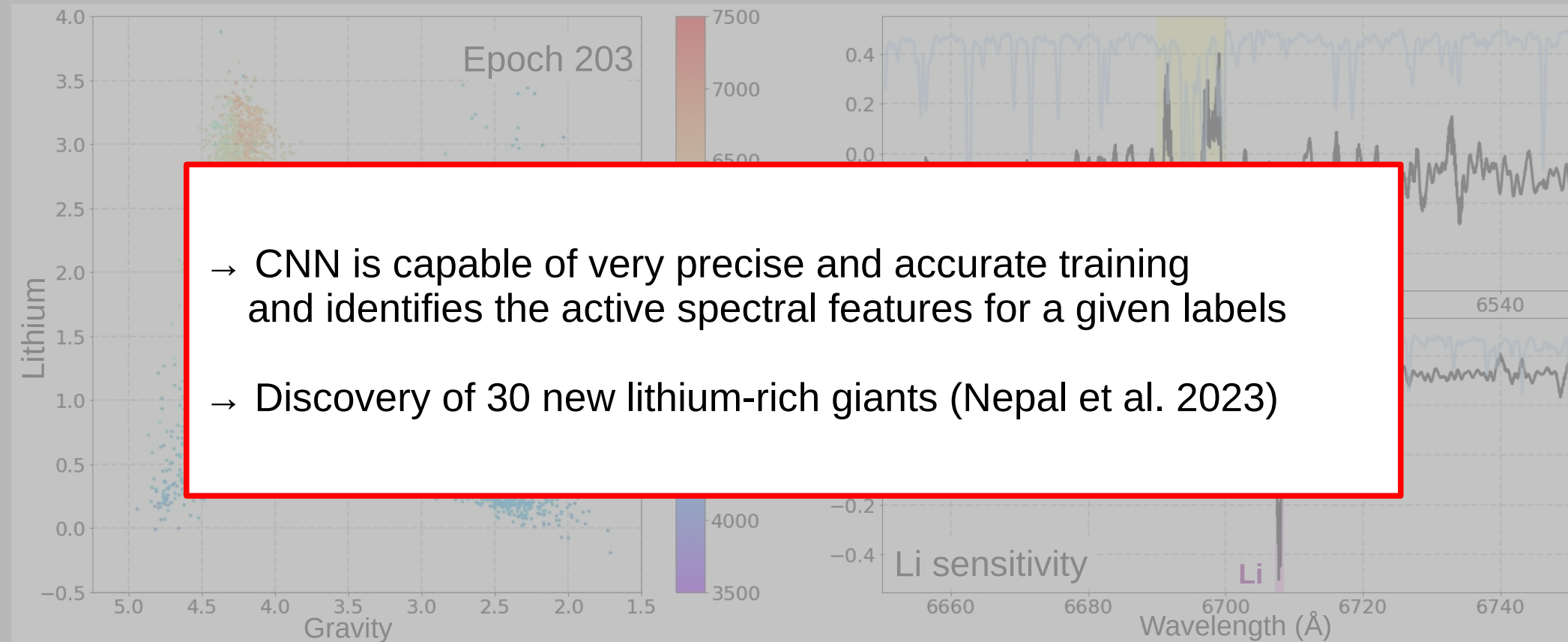
Nepal et al. 2023

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Nepal et al. 2023

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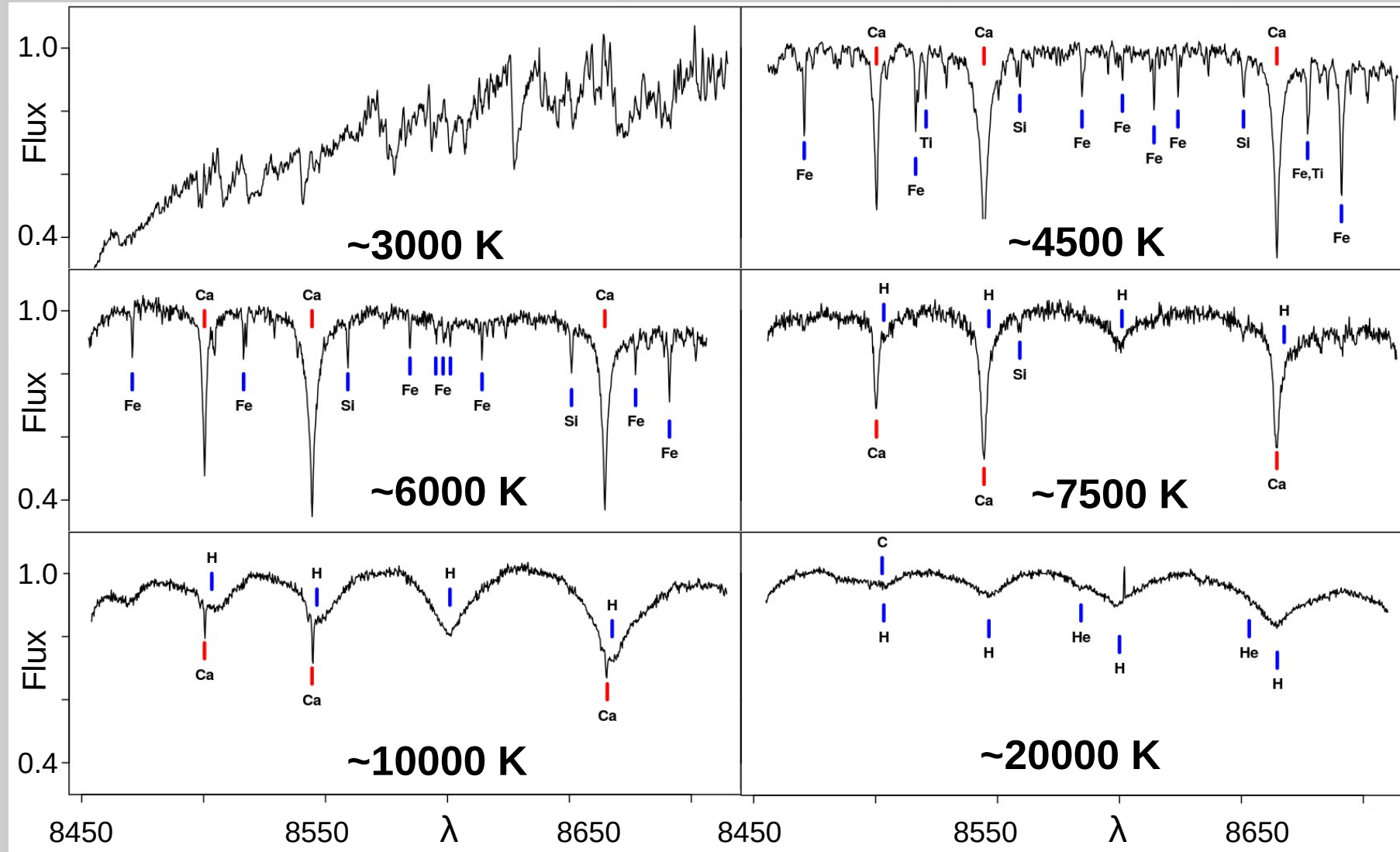
- CNN is capable of very precise and accurate training and identifies the active spectral features for a given labels
- Discovery of 30 new lithium-rich giants (Nepal et al. 2023)

Nepal et al. 2023



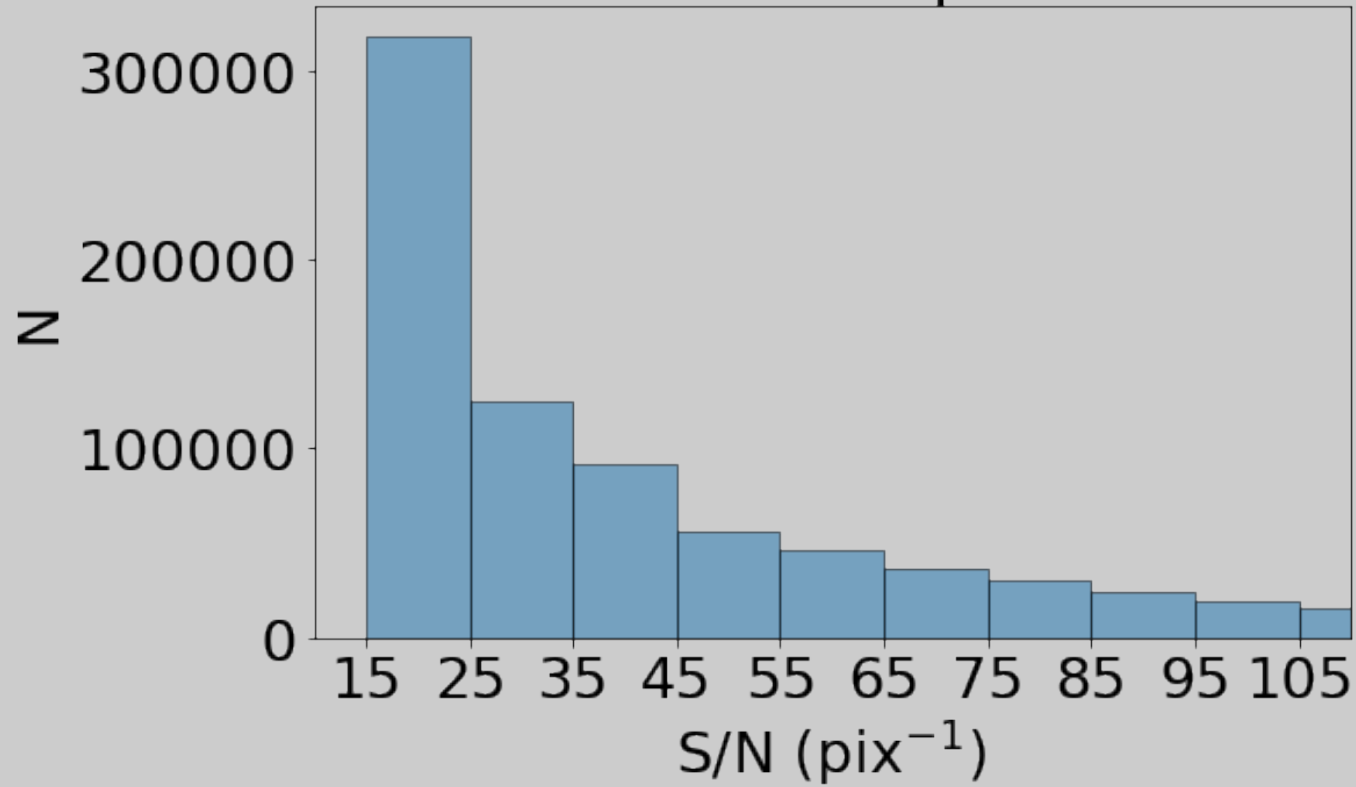
gaia RVS + CNN

Gaia DR3 June 2022: 10^6 RVS spectra, $R \sim 11500$ (Katz et al. 2022)



Motivations

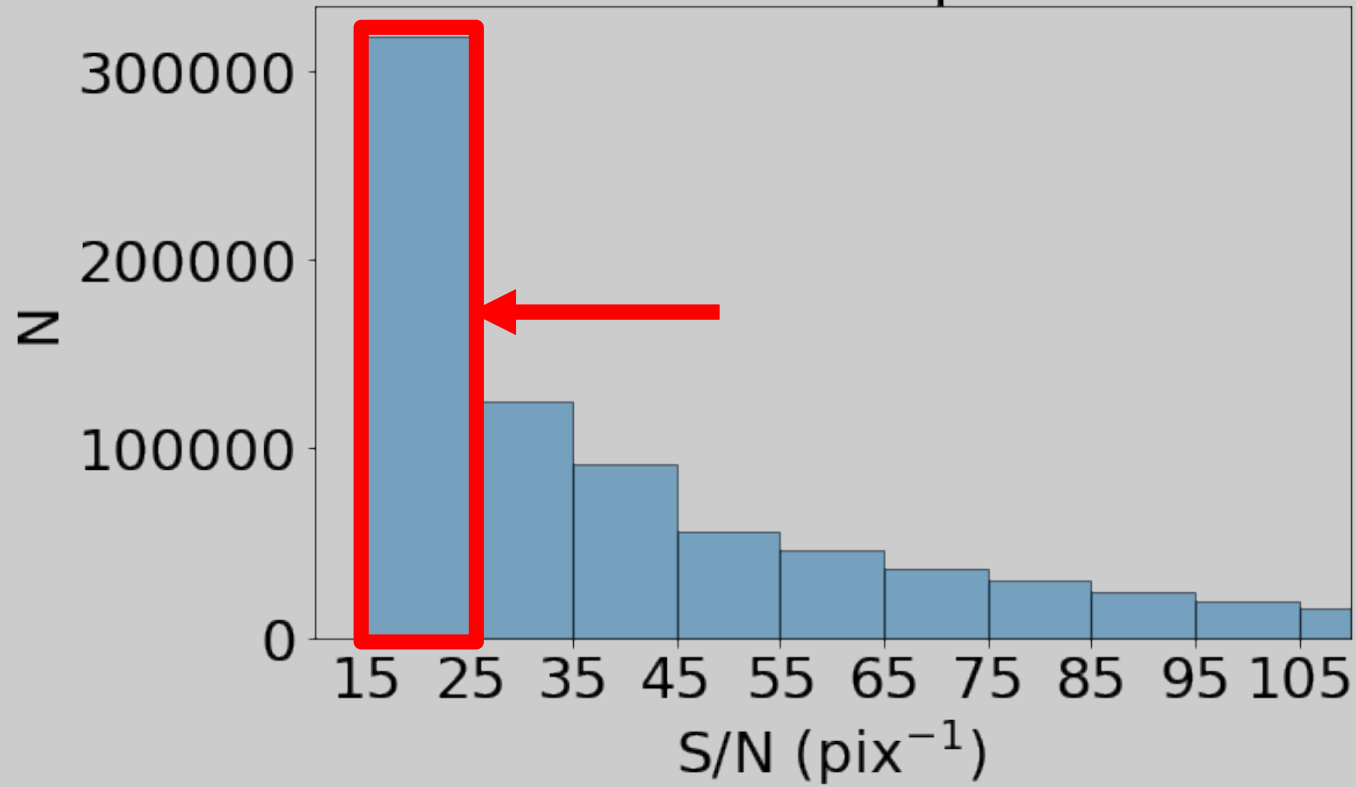
RVS sample



Motivations



RVS sample

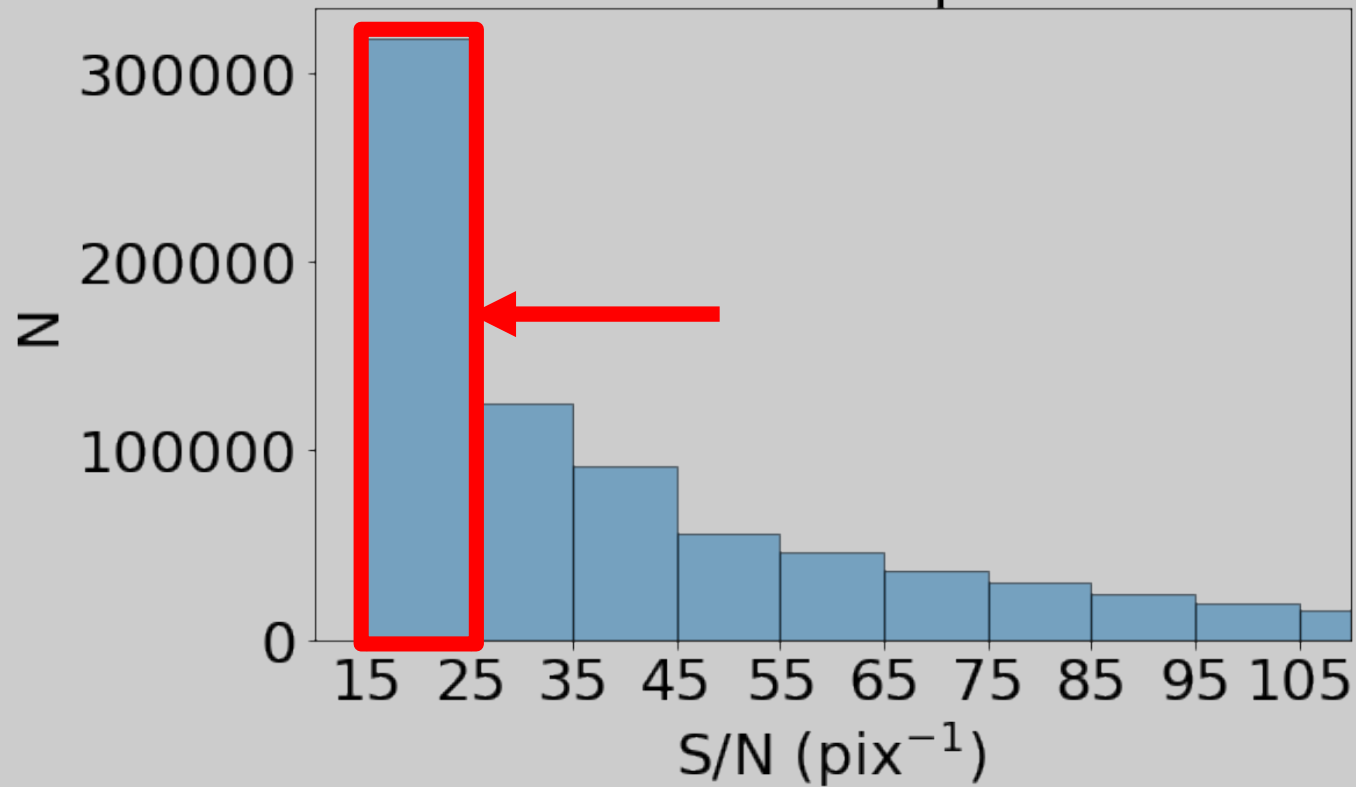


Motivations



gaia

RVS sample

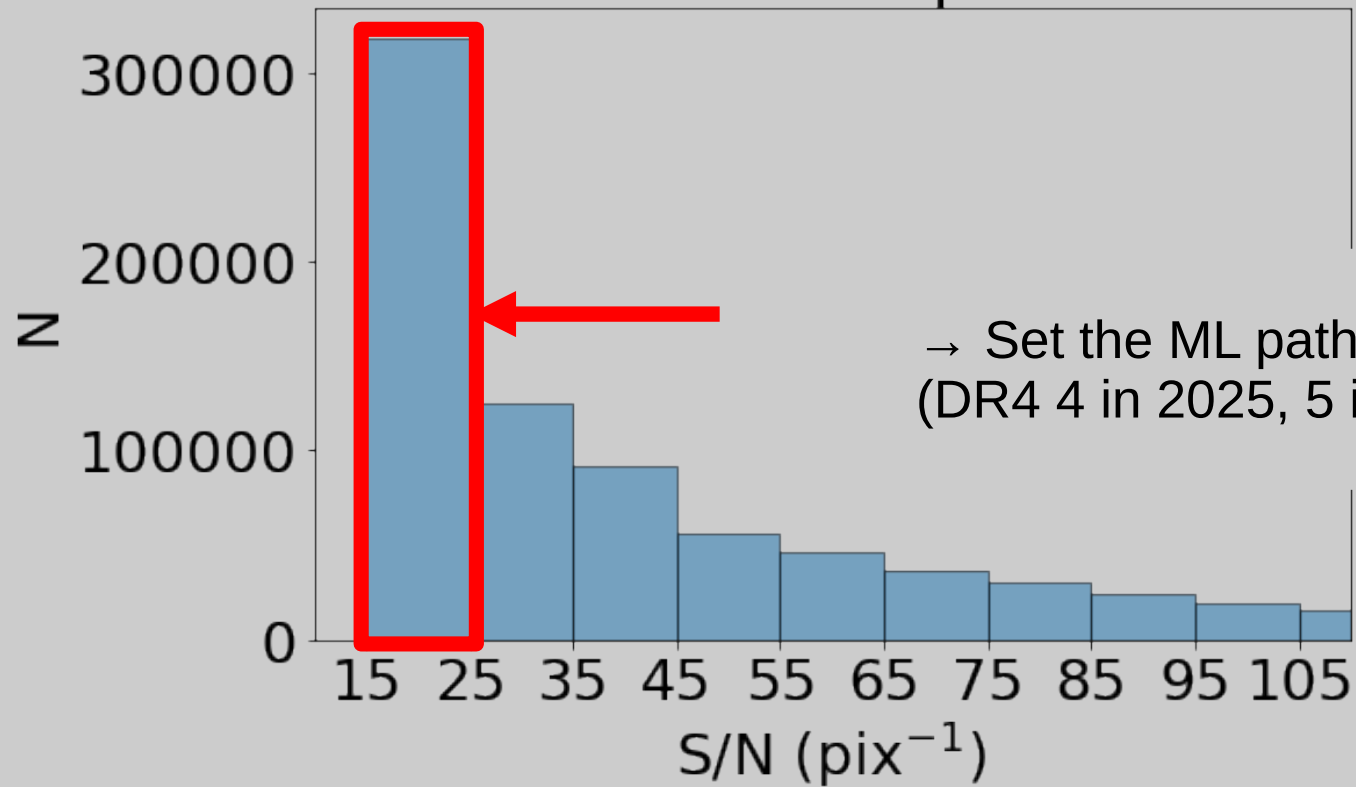


No GSP-Spec labels with 13 “good” flags within $15 < S/N < 25$
→ **Leverage the low-S/N RVS sample**

Motivations



RVS sample



→ Set the ML path for *Gaia* RVS analysis (DR4 4 in 2025, 5 in 2027)








No GSP-Spec labels with 13 “good” flags within $15 < S/N < 25$
→ **Leverage the low-S/N RVS sample**



gaia

Astronomy
&
Astrophysics

Beyond *Gaia* DR3: Tracing the $[\alpha/M]$ – $[M/H]$ bimodality from the inner to the outer Milky Way disc with *Gaia*-RVS and convolutional neural networks★

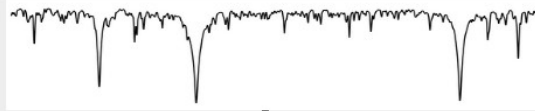
G. Guiglion^{1,2,3}, S. Nepal^{3,4} , C. Chiappini³, S. Khoperskov³ , G. Traven⁵, A. B. A. Queiroz³, M. Steinmetz³, M. Valentini³, Y. Fournier³ , A. Vallenari⁶ , K. Youakim⁷, M. Bergemann² , S. Mészáros^{8,9}, S. Lucatello^{10,11}, R. Sordo⁶ , S. Fabbro¹², I. Minchev³, G. Tautvaišienė¹³ , Š. Mikolaitis¹³, and J. Montalbán¹⁴

A hybrid Convolutional Neural-Network for *Gaia*-RVS analysis

Input:
Gaia parallax,
G, G_BP, G_RP
magnitudes



Input: *Gaia* RVS Spectrum



Input:
Gaia XP spectra



A hybrid Convolutional Neural-Network for *Gaia*-RVS analysis

Input:
Gaia parallax,
G, G_BP, G_RP
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Input: *Gaia* RVS Spectrum



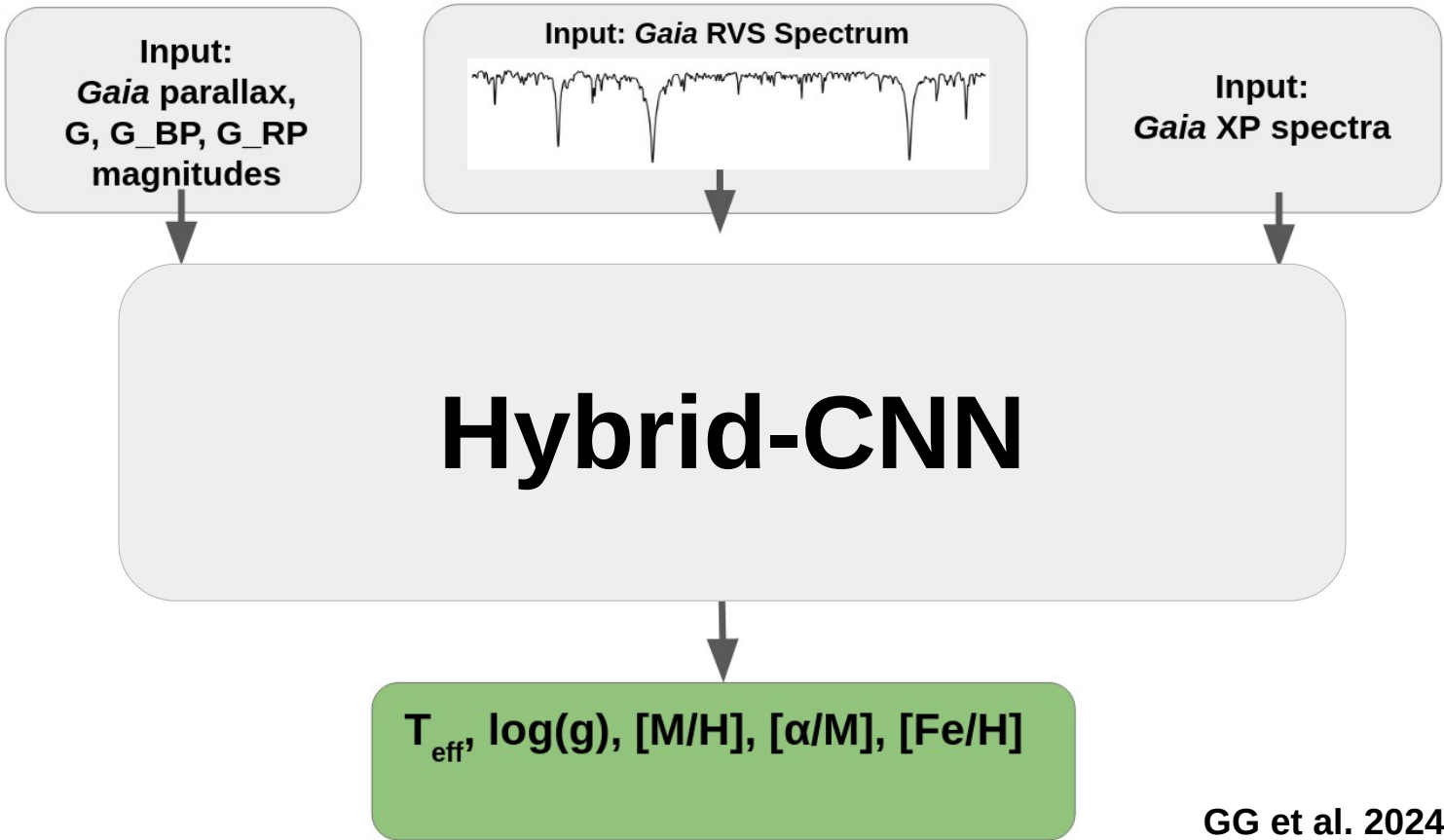
Input:
Gaia XP spectra

Hybrid-CNN

T_{eff} , $\log(g)$, $[M/H]$, $[\alpha/M]$, $[Fe/H]$

GG et al. 2024b

A hybrid Convolutional Neural-Network for *Gaia*-RVS analysis



GG et al. 2024b

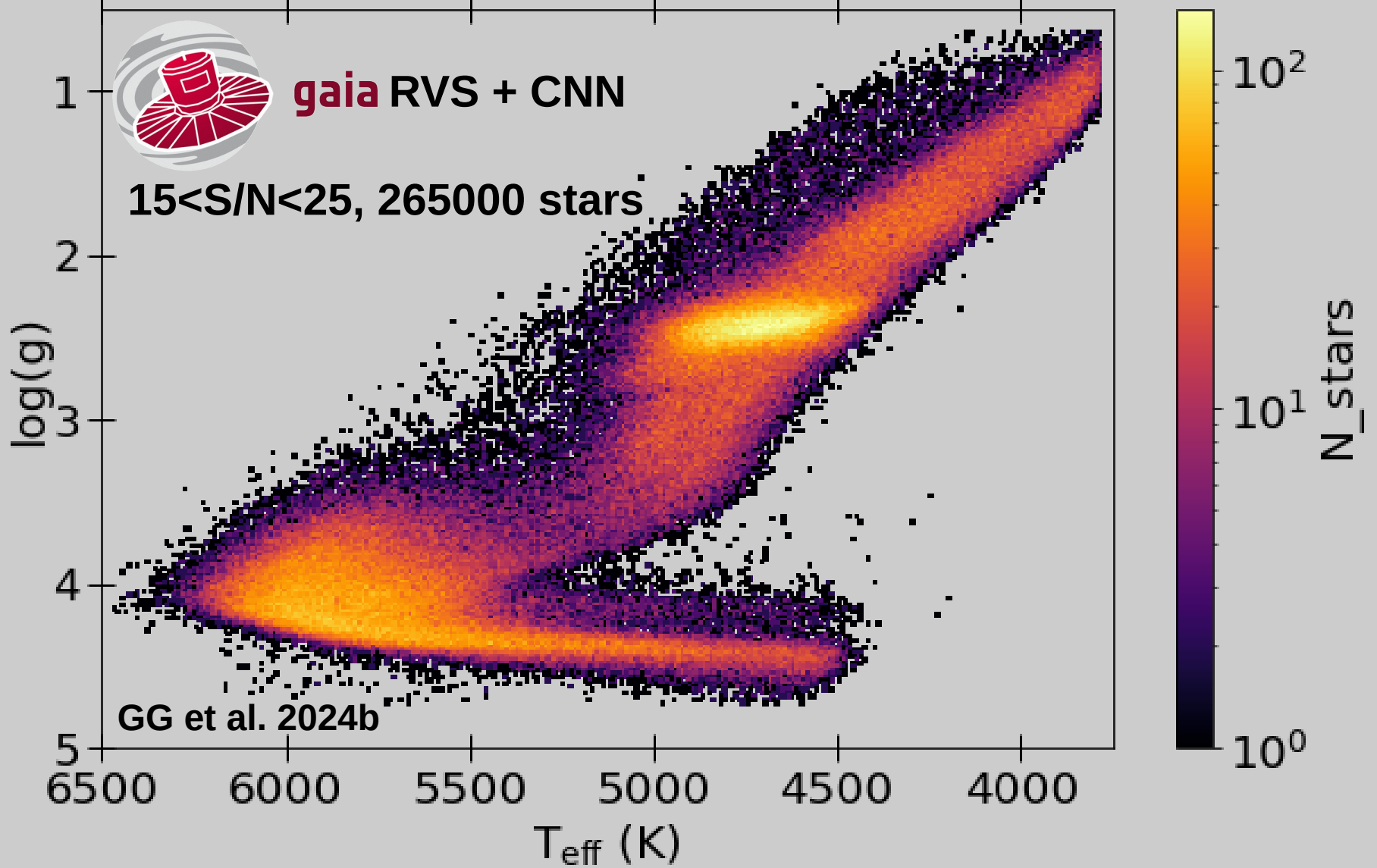
Training sample labels

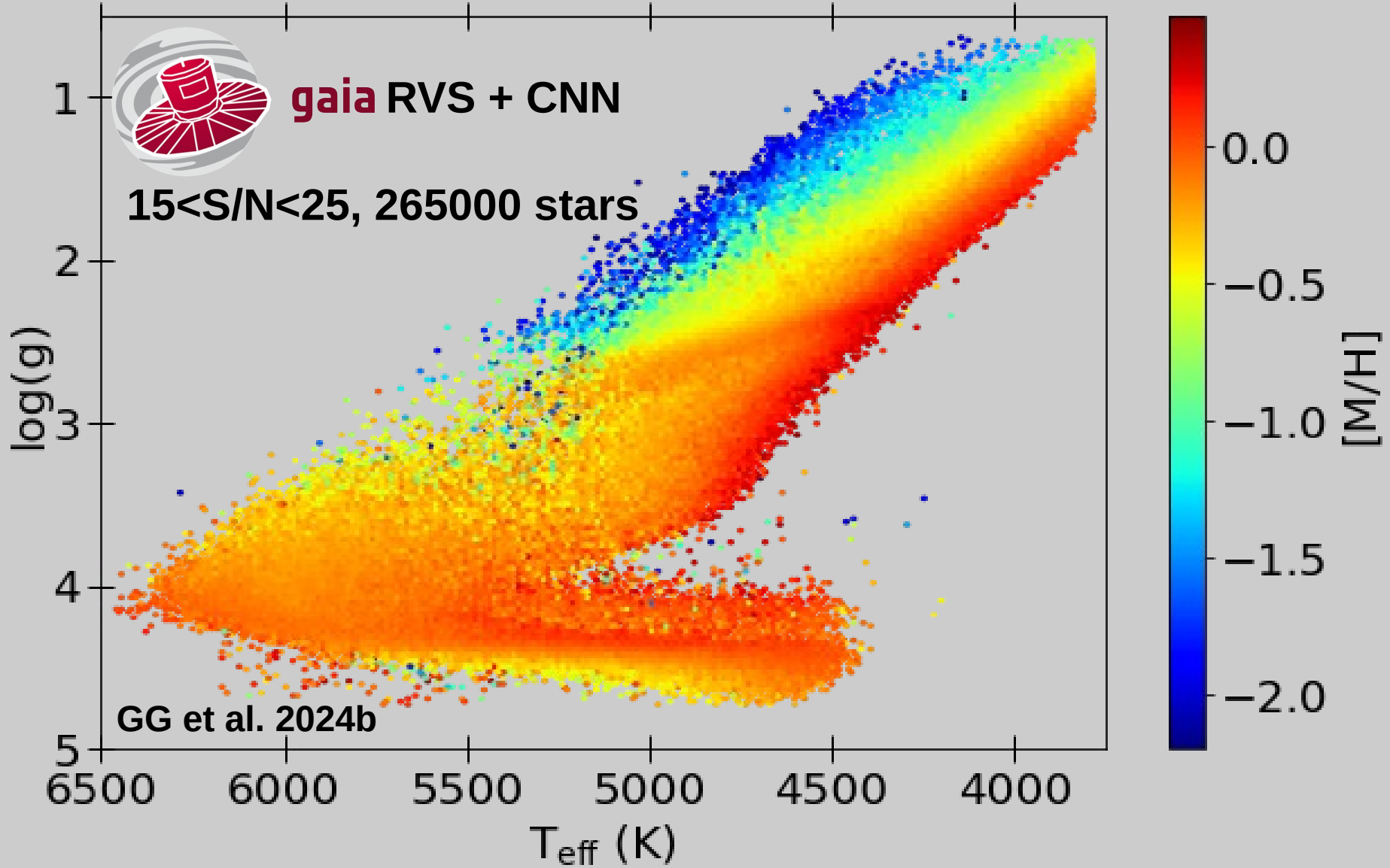


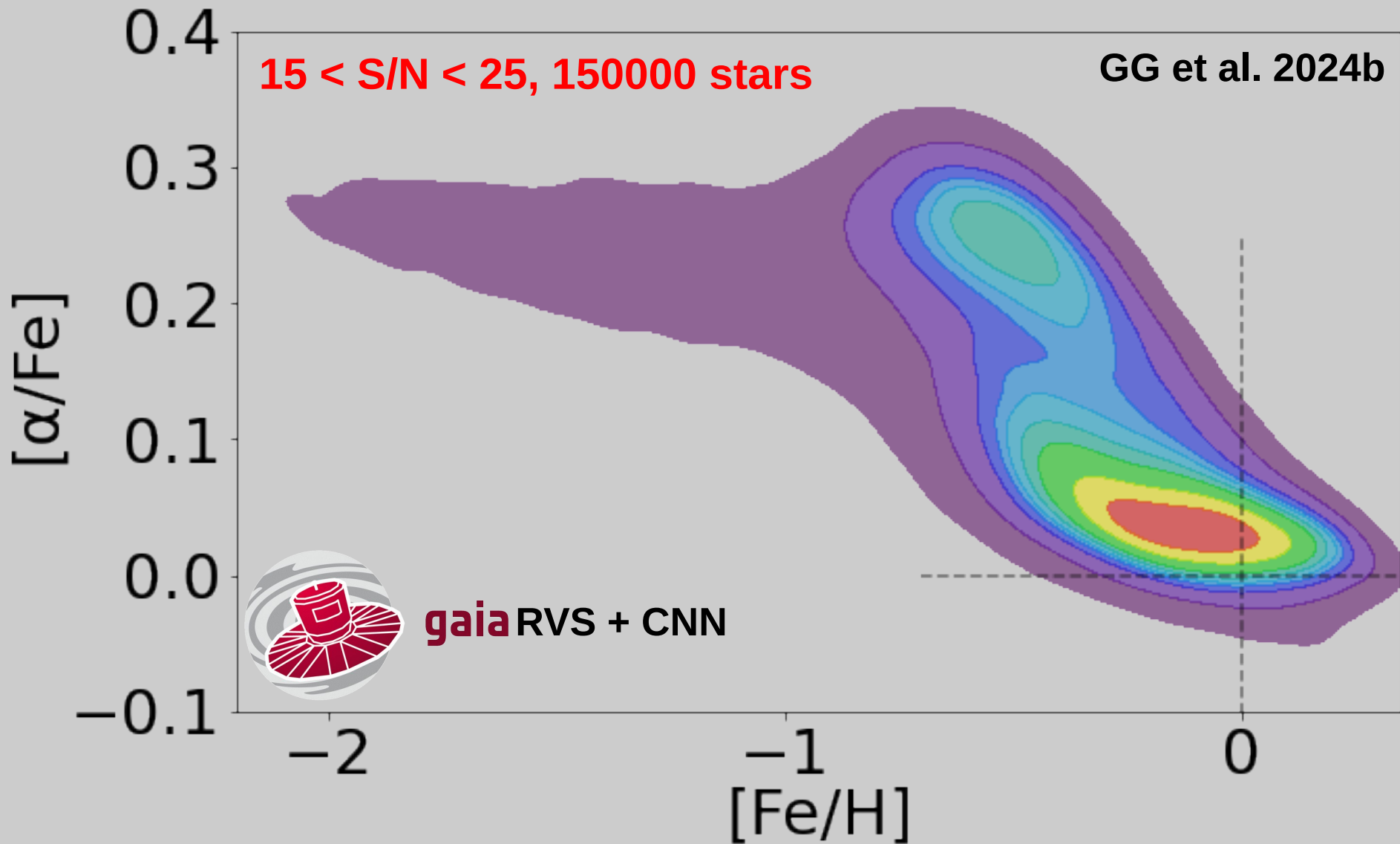
R~22000

→ Observed sample:
841300 RVS stars

→ Prediction time
3300 stars / second







5 Z (kpc)



gaia RVS
+
CNN

1.5

1

0.5

0

15 < S/N < 25

GG et al. 2024b

R (kpc)

0

4

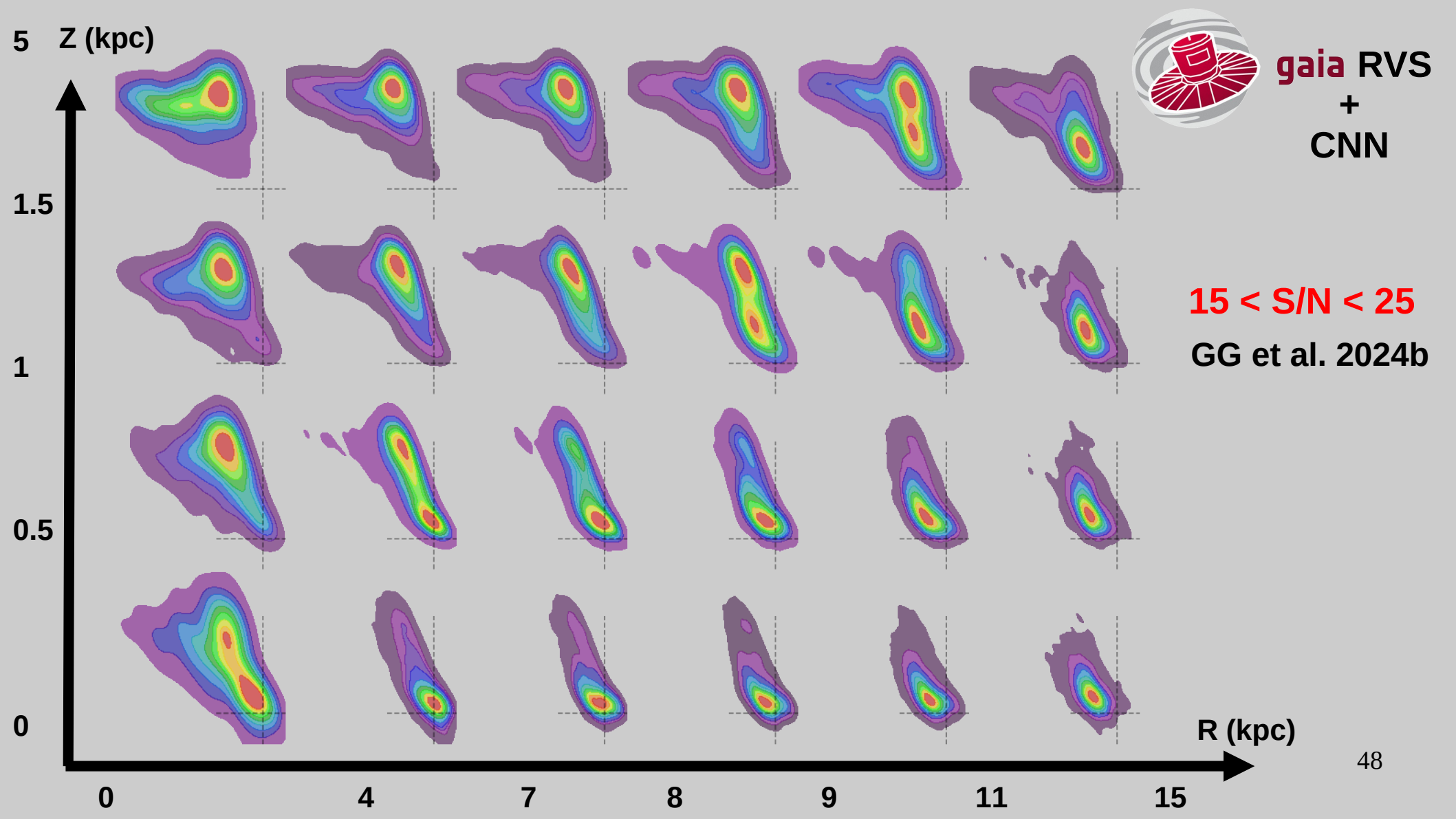
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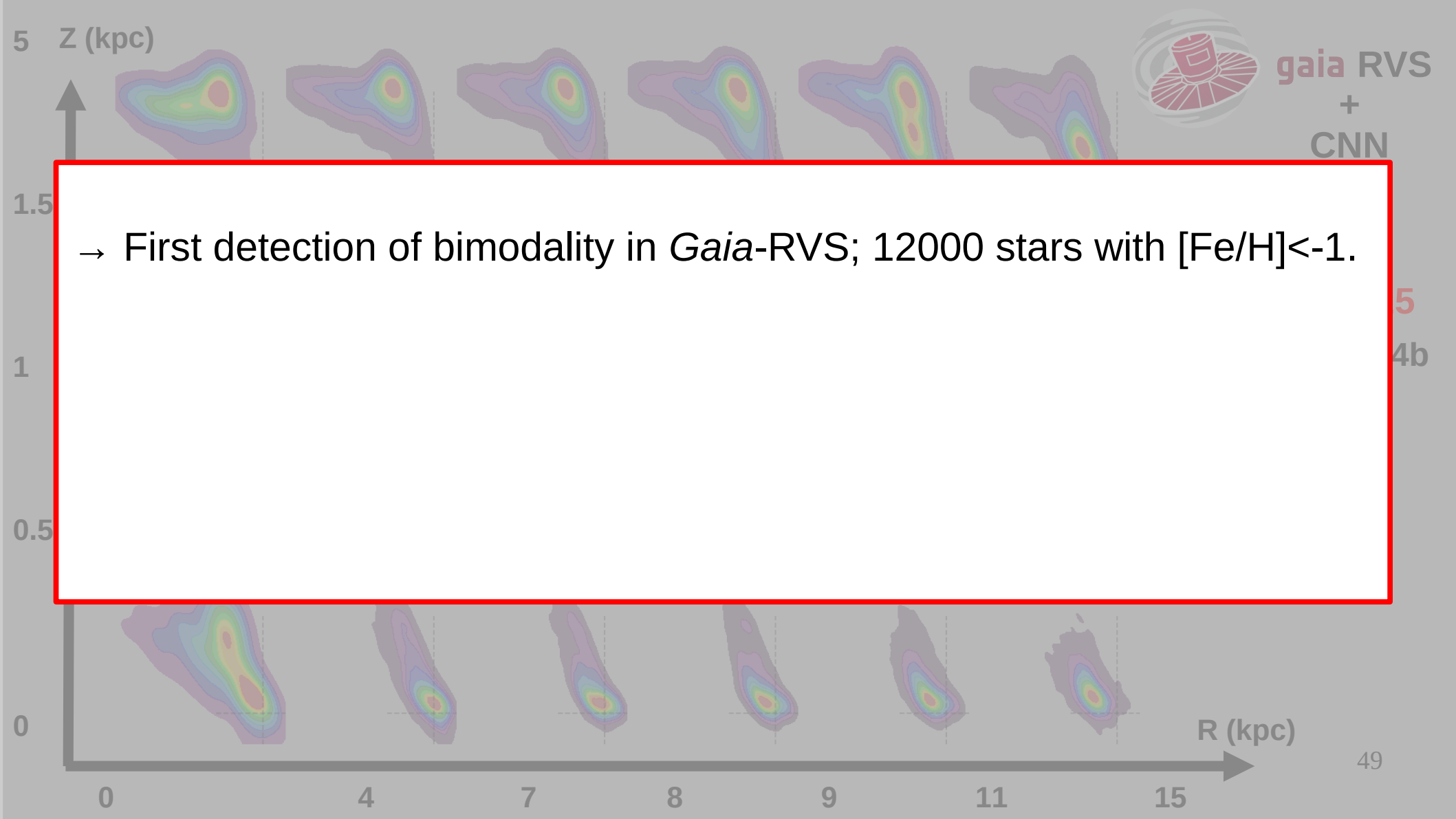
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9

11

15

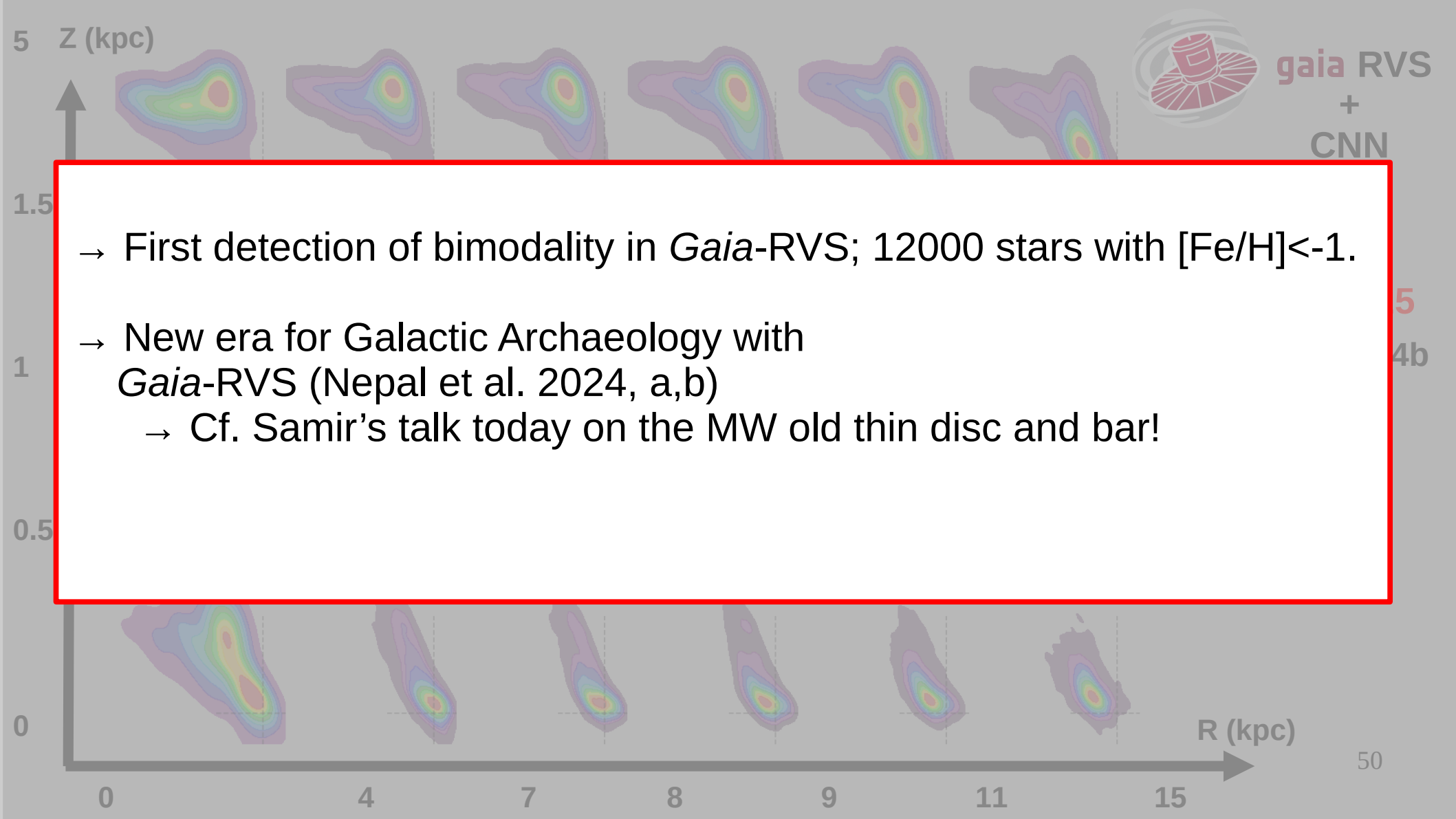




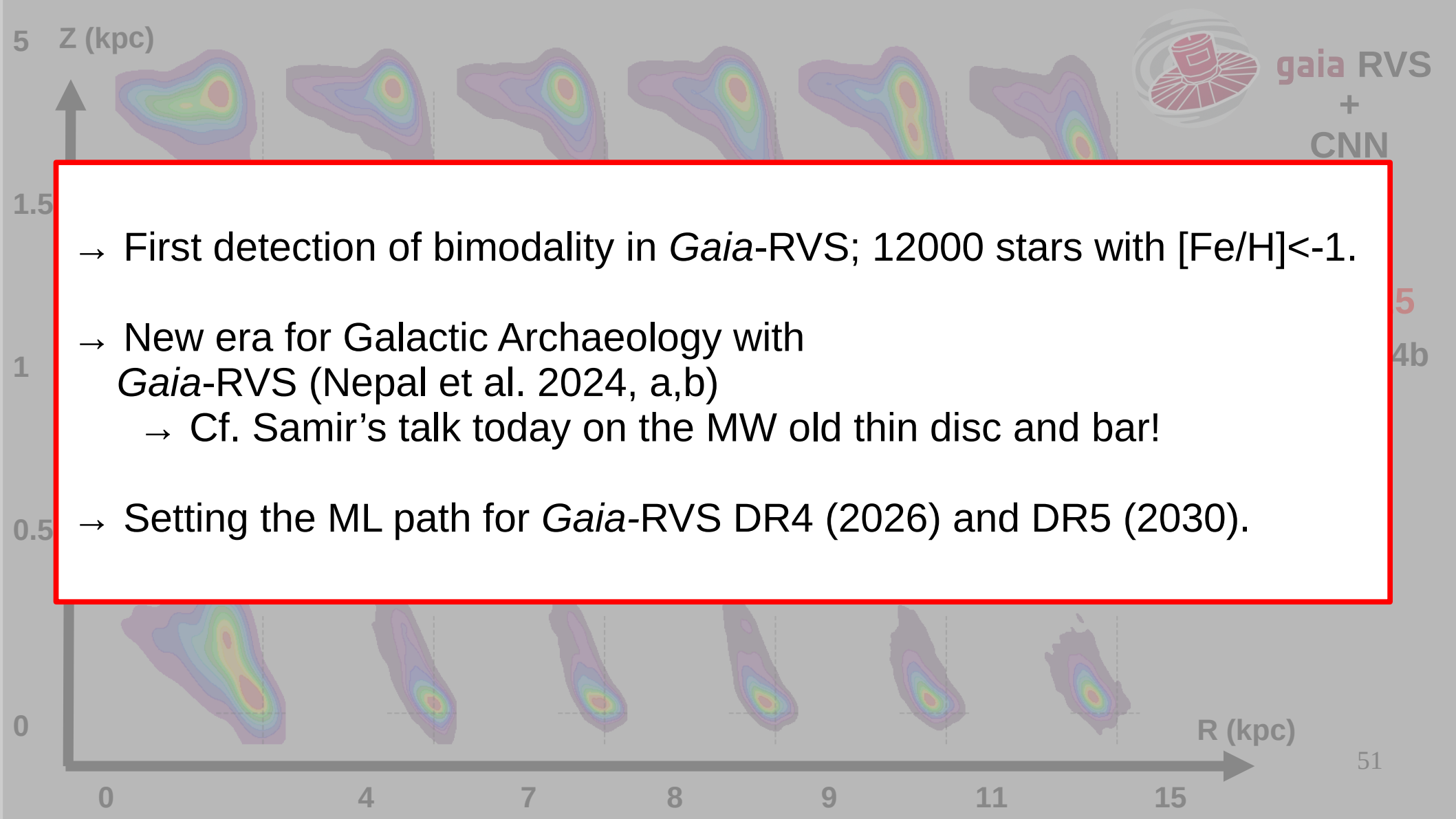
→ First detection of bimodality in *Gaia*-RVS; 12000 stars with $[Fe/H] < -1$.

gaia RVS
+
CNN

5
4b

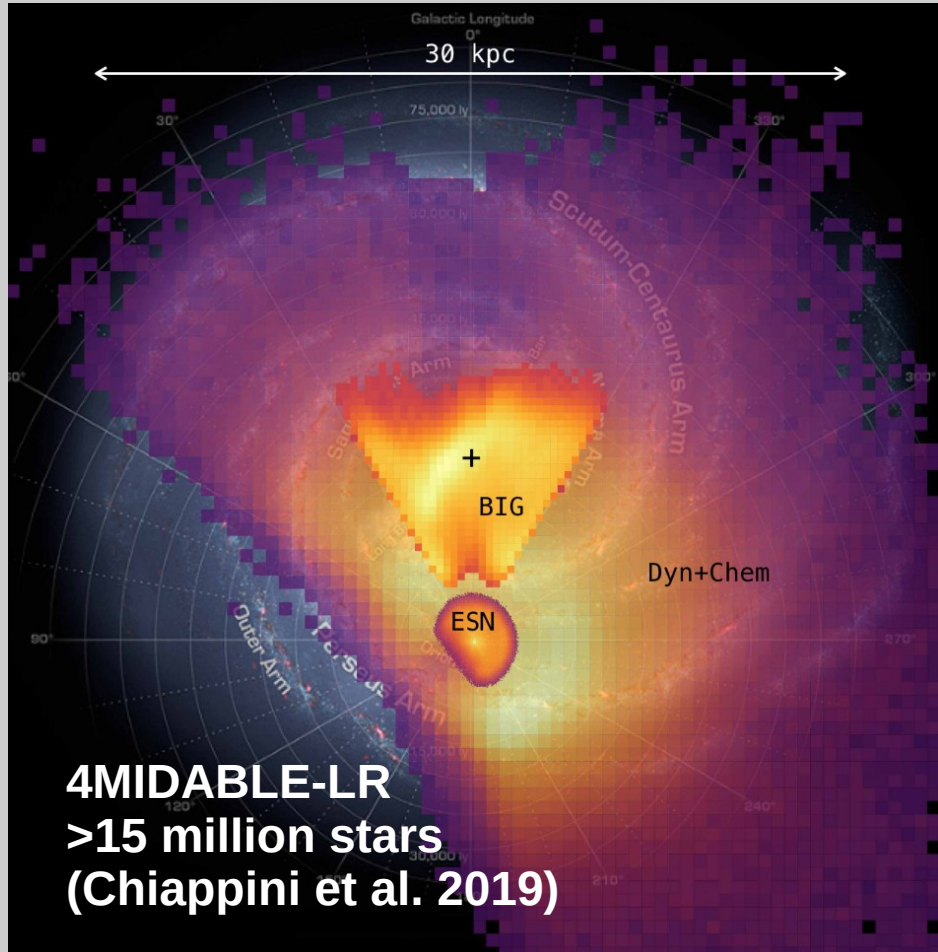


- First detection of bimodality in *Gaia*-RVS; 12000 stars with $[Fe/H] < -1$.
- New era for Galactic Archaeology with *Gaia*-RVS (Nepal et al. 2024, a,b)
 - Cf. Samir's talk today on the MW old thin disc and bar!



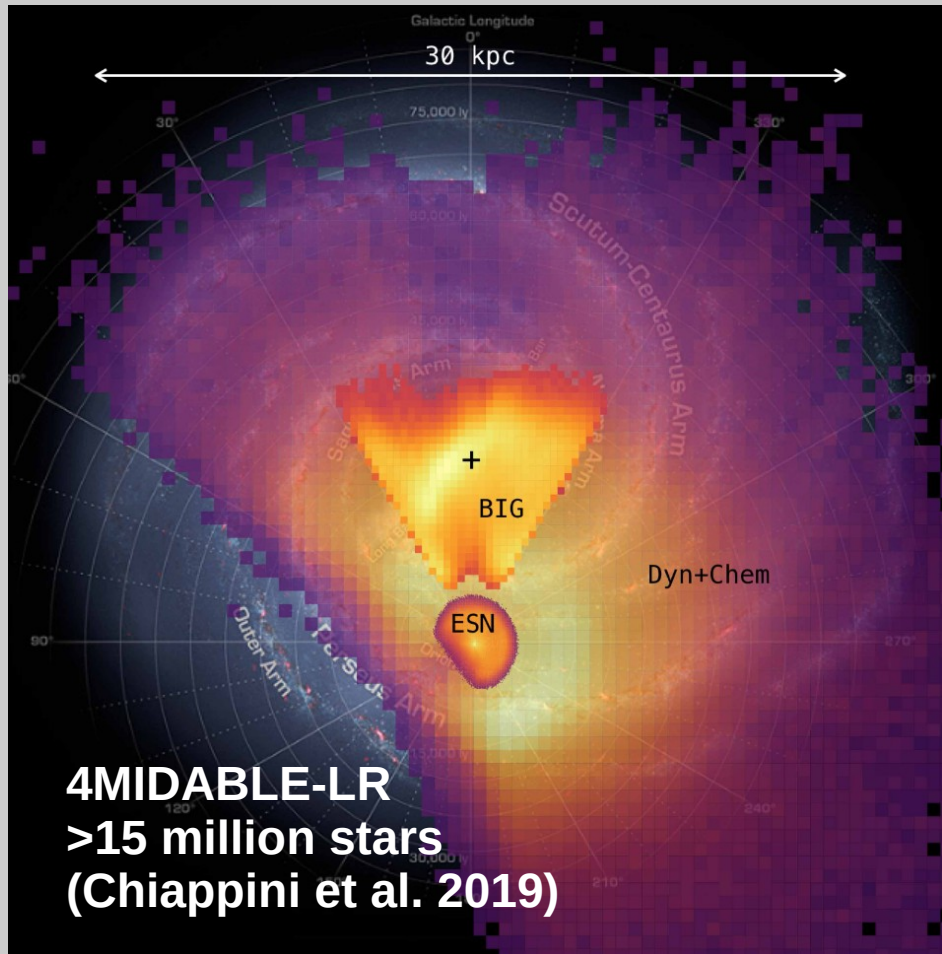
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 - Cf. Samir's talk today on the MW old thin disc and bar!
- Setting the ML path for *Gaia*-RVS DR4 (2026) and DR5 (2030).

Why using CNN on low-res spectra ?



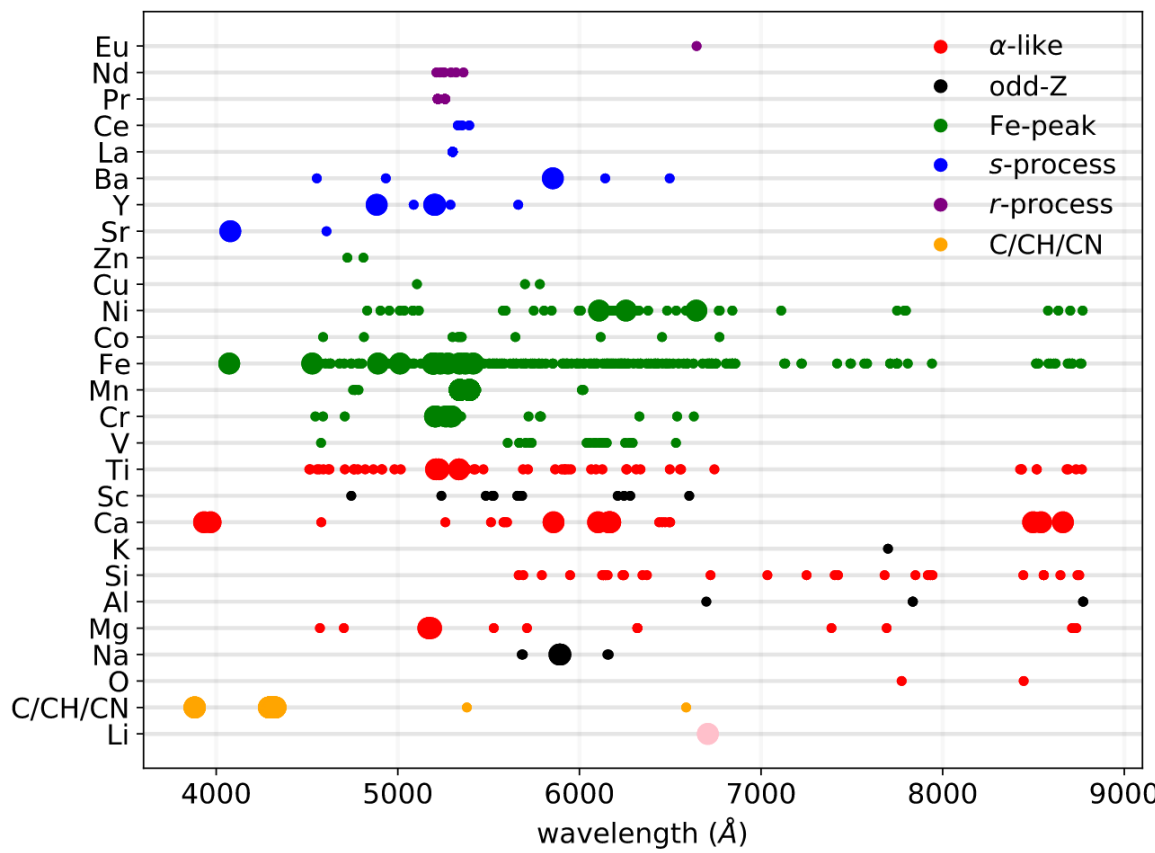
4MIDABLE-LR ESO proposal 2020

Why using CNN on low-res spectra ?



4MIDABLE-LR ESO proposal 2020

>20 elements to be measured at R=5000



4MIDABLE-LR ESO proposal 2020

Developing CNN for 4MOST



Spectrum

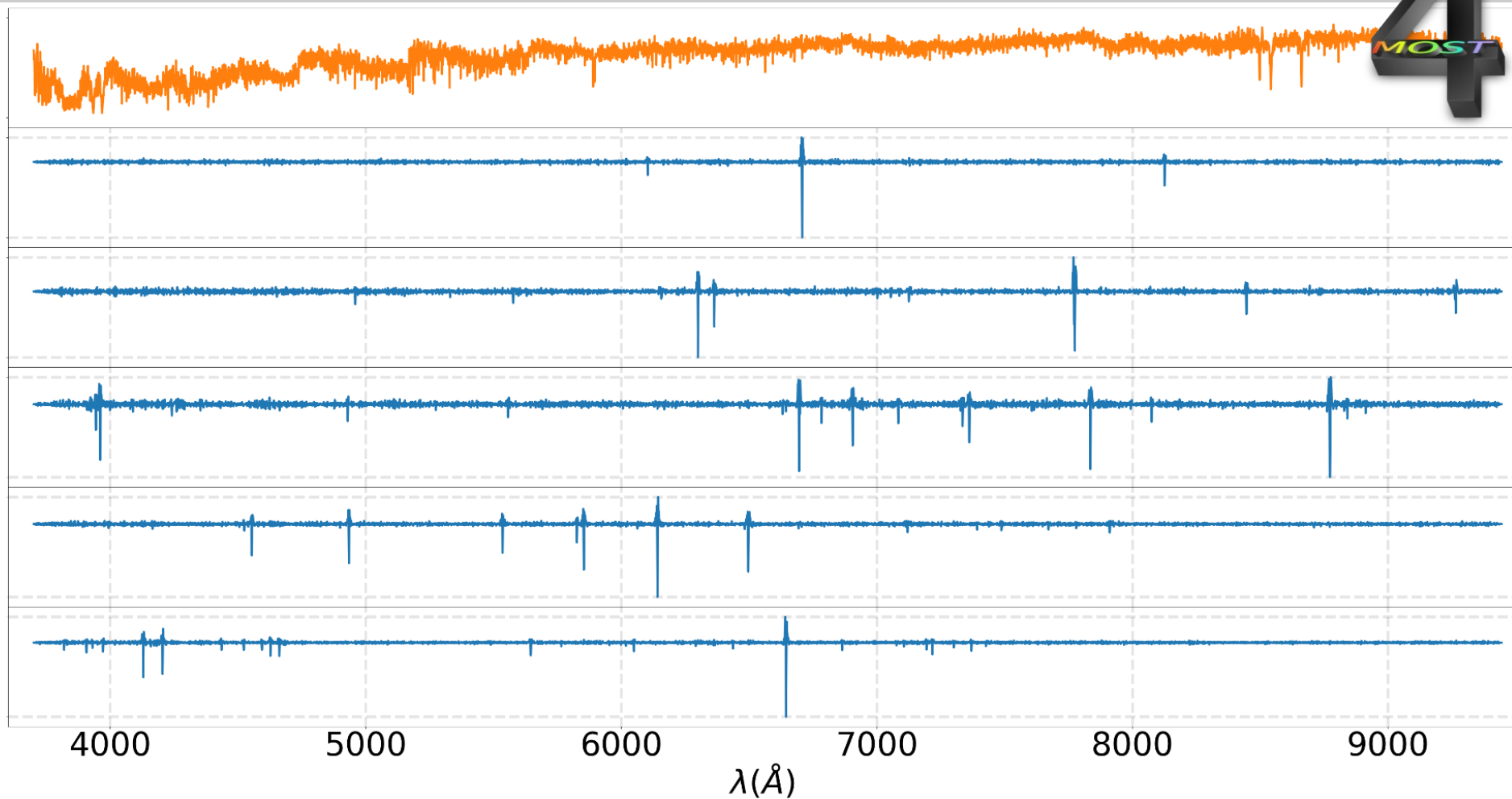
$\frac{\delta A(\text{Li})}{\delta \lambda}$

$\frac{\delta [\text{O}/\text{Fe}]}{\delta \lambda}$

$\frac{\delta [\text{Al}/\text{Fe}]}{\delta \lambda}$

$\frac{\delta [\text{Ba}/\text{Fe}]}{\delta \lambda}$

$\frac{\delta [\text{Eu}/\text{Fe}]}{\delta \lambda}$



Developing CNN for 4MOST



Spectrum

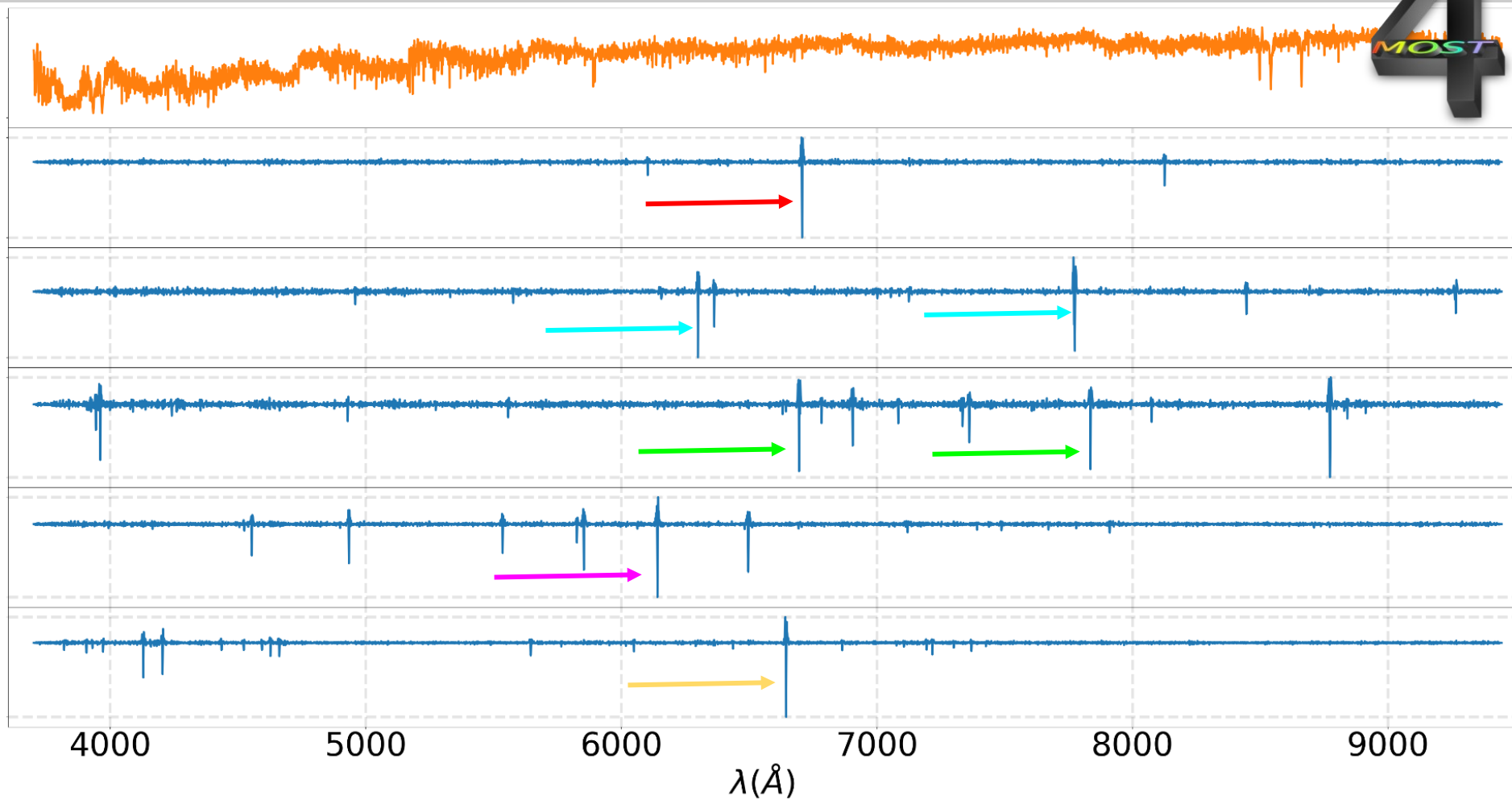
$$\frac{\delta A(\text{Li})}{\delta \lambda}$$

$$\frac{\delta [\text{O}/\text{Fe}]}{\delta \lambda}$$

$$\frac{\delta [\text{Al}/\text{Fe}]}{\delta \lambda}$$

$$\frac{\delta [\text{Ba}/\text{Fe}]}{\delta \lambda}$$

$$\frac{\delta [\text{Eu}/\text{Fe}]}{\delta \lambda}$$



Developing CNN for 4MOST



Spectrum



$$\frac{\delta A(\text{Li})}{\delta \lambda}$$

$$\frac{\delta [\text{O}/\text{Fe}]}{\delta \lambda}$$

$$\frac{\delta [\text{Al}/\text{Fe}]}{\delta \lambda}$$

$$\frac{\delta [\text{Ba}/\text{Fe}]}{\delta \lambda}$$

$$\frac{\delta [\text{Eu}/\text{Fe}]}{\delta \lambda}$$

Current test: T_{eff} , $\log(g)$, $[\text{Fe}/\text{H}]$, Li, C, N, O, Na, Mg, Al, Si, Ca, V, Ti, Cr, Mn, Co, Ni, Sr, Y, Zr, Ba, Ce, Eu (24 labels)

→ 1 night parametrized in <5 minutes

4000

5000

6000

$\lambda(\text{\AA})$

7000

8000

9000

Spectrum



$$\frac{\delta A(\text{Li})}{\delta \lambda}$$

Current test: T_{eff} , $\log(g)$, $[\text{Fe}/\text{H}]$, Li, C, N, O, Na, Mg, Al, Si, Ca, V, Ti, Cr, Mn, Co, Ni, Sr, Y, Zr, Ba, Ce, Eu (24 labels)

$$\frac{\delta [\text{O}/\text{Fe}]}{\delta \lambda}$$

→ 1 night parametrized in <5 minutes

$$\frac{\delta [\text{Al}/\text{Fe}]}{\delta \lambda}$$

→ Currently applying CNN to GALAH (GG) and SDSS (S. Nepal) data.

$$\frac{\delta [\text{Ba}/\text{Fe}]}{\delta \lambda}$$

→ Standard spectroscopy:

→ Working towards full 3D-NLTE abundances computation

$$\frac{\delta [\text{Eu}/\text{Fe}]}{\delta \lambda}$$

4000

5000

6000

$\lambda(\text{\AA})$

7000

8000

9000

Take-home messages

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- Standard and ML spectroscopic methods complement each other !
- CNN performs extremely well for abundance measurements (RAVE, *Gaia*-ESO, *Gaia*-RVS, 4MOST)
- CNN in the context of the future large datasets !



(2024-2030)



(2025-2030)

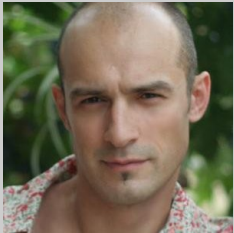
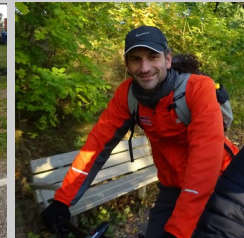
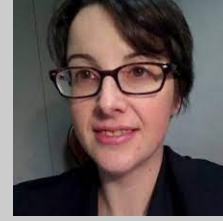


gaia

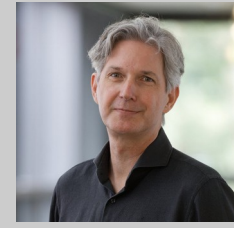
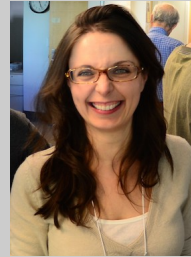
(2026)
(2030)



(>2030)



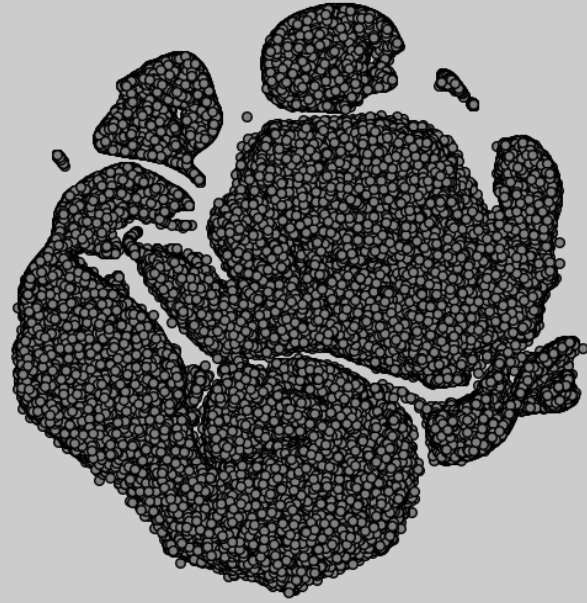
Merci !



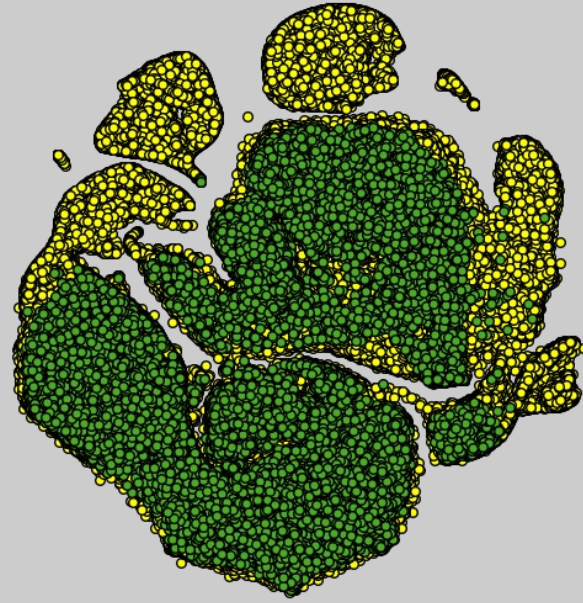
BONUS SLIDES

Selecting stars within the training sample limits

→ t-SNE classification of RVS spectra
(adapted from Ambrosch, GG et al. 2023)

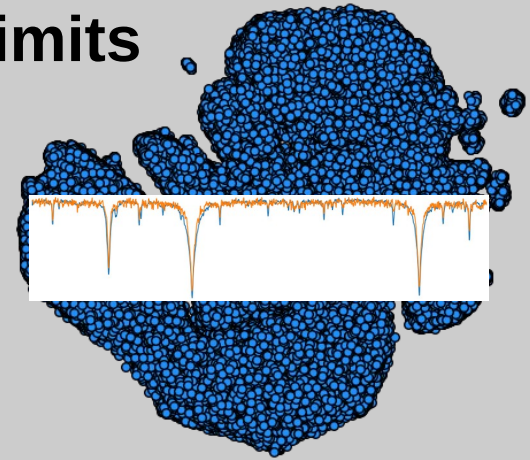


● Training + Observed (886080 stars)

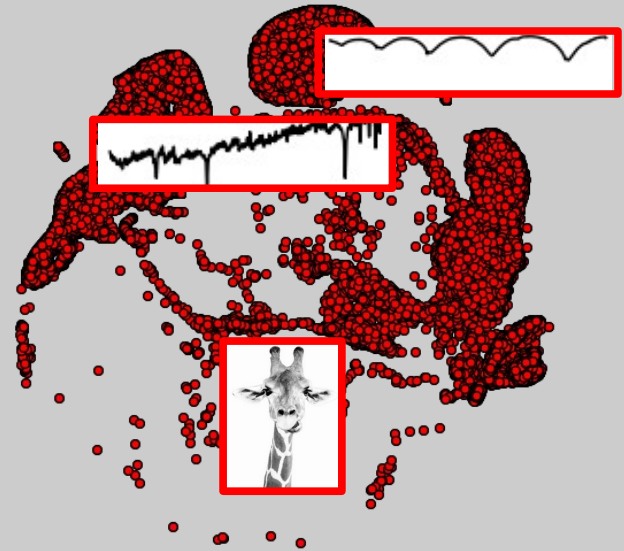


● Observed (841300 stars)

● Training (44780 stars)



● Train-like Obs (669572 stars)



● Train-unlike Obs (171728 stars)

GG et al. 2024b

CNN uncertainties: deep ensemble approach

