

# A scientific guide to Gaia Astrophysical Parameters



23 March 2023

organized by Coordination Unit 8

# GSP-Spec module

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# Topics

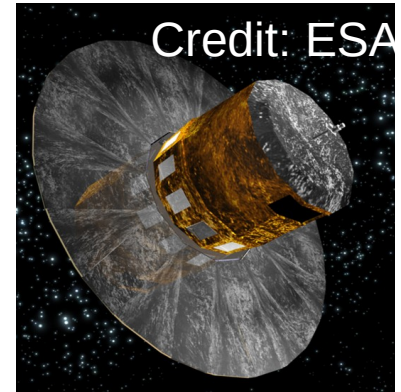
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- **Gaia RVS overview**
- The GSP-Spec module
- The GSP-Spec output
- Some scientific applications
- Recommendations
- Conclusions

# Gaia: the one billion survey

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- Launched in 2013
- **Most advance astrometric** mission to date.
- **Micro-arc astrometry** for ~1.8 billion sources
- **Radial velocities** for a subset of bright sources (on-board spectrograph RVS).
- **Gaia DR3**: Stellar Param. & Chemistry determined by the GSP-Spec module (Recio-Blanco et al.+22)

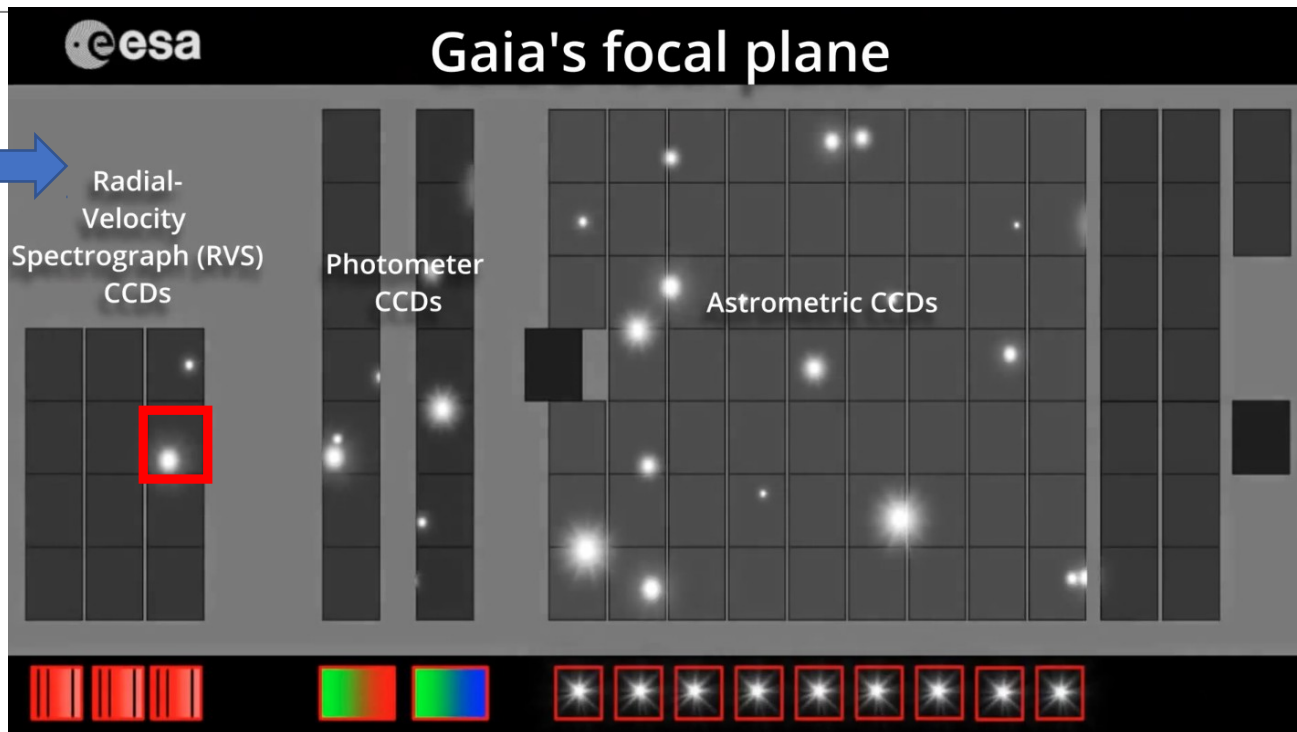


# Gaia/RVS: a space spectroscopic survey



**RVS**  
Resolving  
power:  
11,500

Wavelength  
domain (nIR  
Call triplet):  
846 - 870 nm



# Gaia/RVS: a space spectroscopic survey

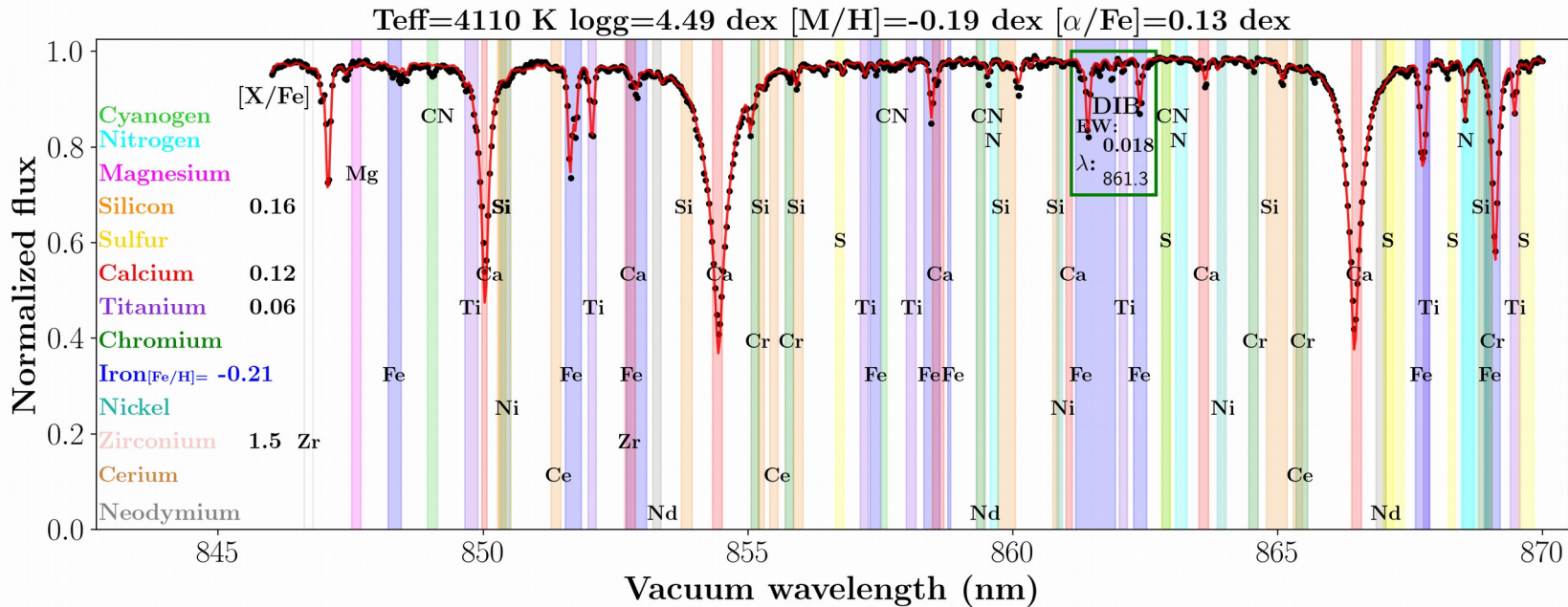
Gaia/RVS is **SPACE spectroscopy** ≠ ground based spectroscopy

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- **Continuous observations** for years (34 months for DR3, ~25 000h of continuous observations)
- **Stable conditions** (no atmosphere)
- Very good control and modeling of **systematics**
- Extremely **homogeneous** treatment
- **High number statistics** providing hundreds of thousands of high SNR (>150) data
- Parametrization quality **comparable to ground-based surveys** of higher spectral resolution and wavelength coverage.

# Gaia/RVS: a space spectroscopic survey

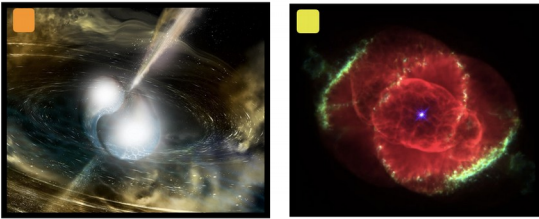
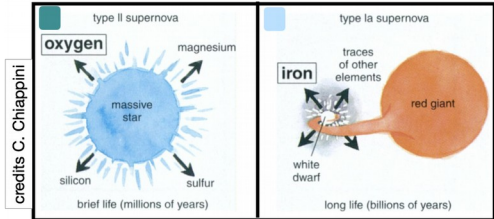
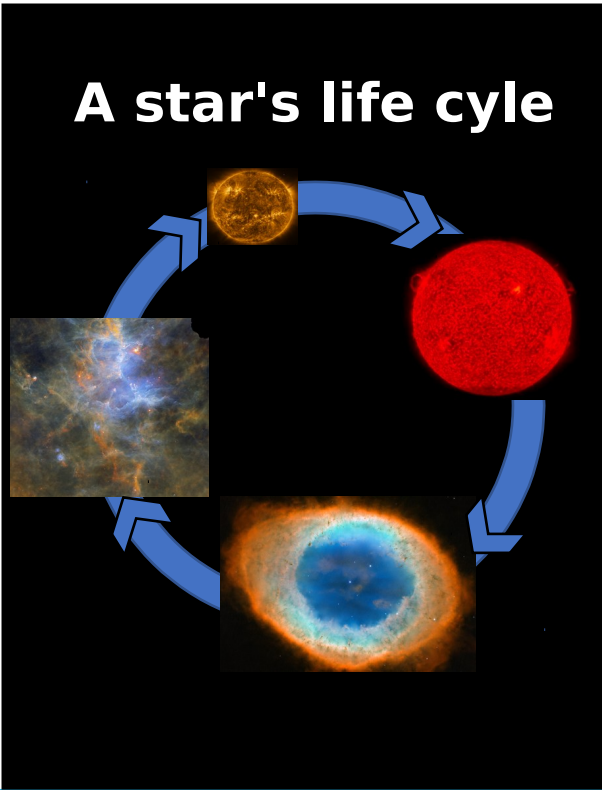
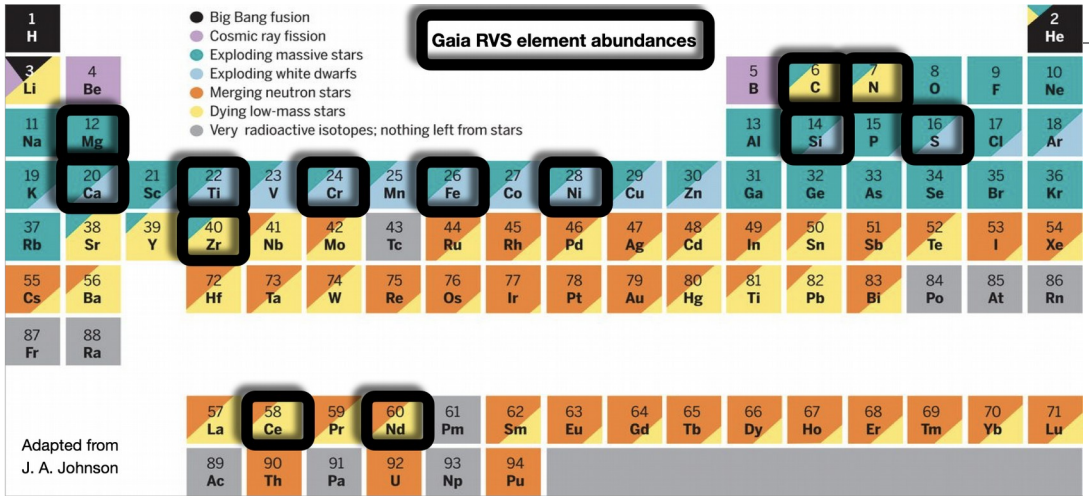
CU8/GSPspec: The chemical composition of **5.6 million** stars.



Gaia IoW. Credits: ESA/GAIA/DPAC-CU8-CU6 Recio-Blanco and the GSPspec team

# Gaia/RVS: a space spectroscopic survey

## Different nucleosynthesis channels



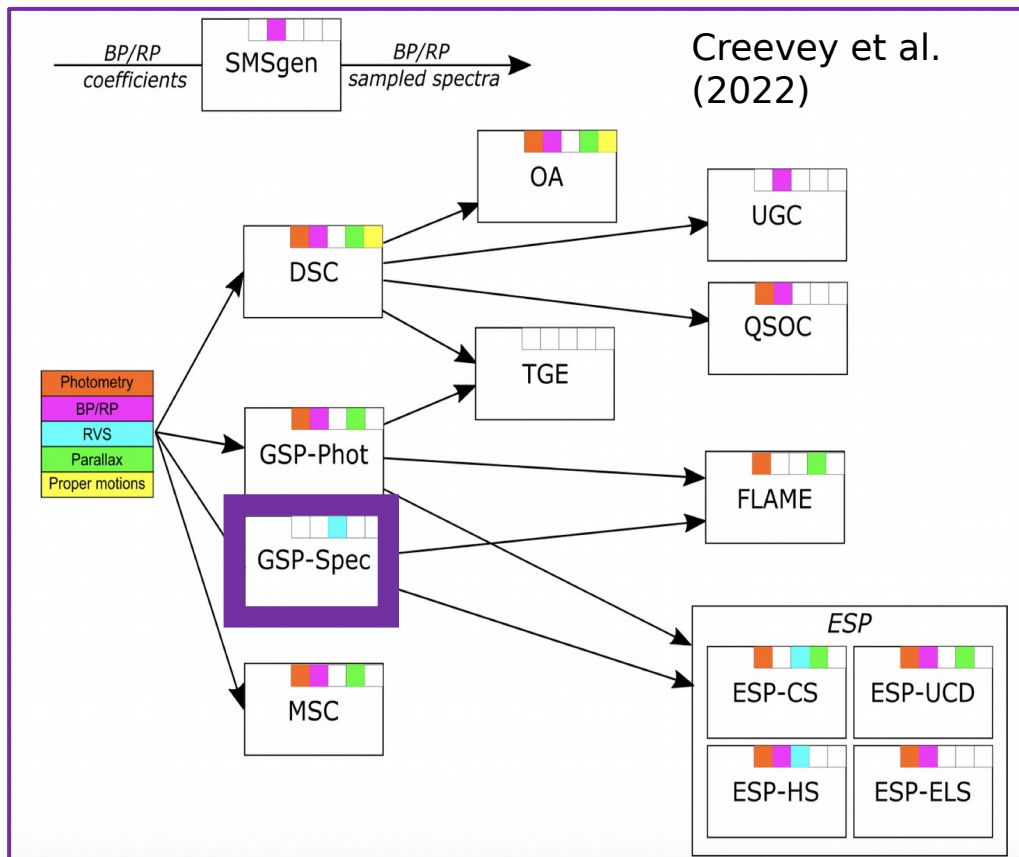


# Topics

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- Gaia RVS overview
- **The GSP-Spec module**
- The GSP-Spec output
- Some scientific applications
- Recommendations

# General Stellar Parametrizer – spectroscopy (GSPspec)



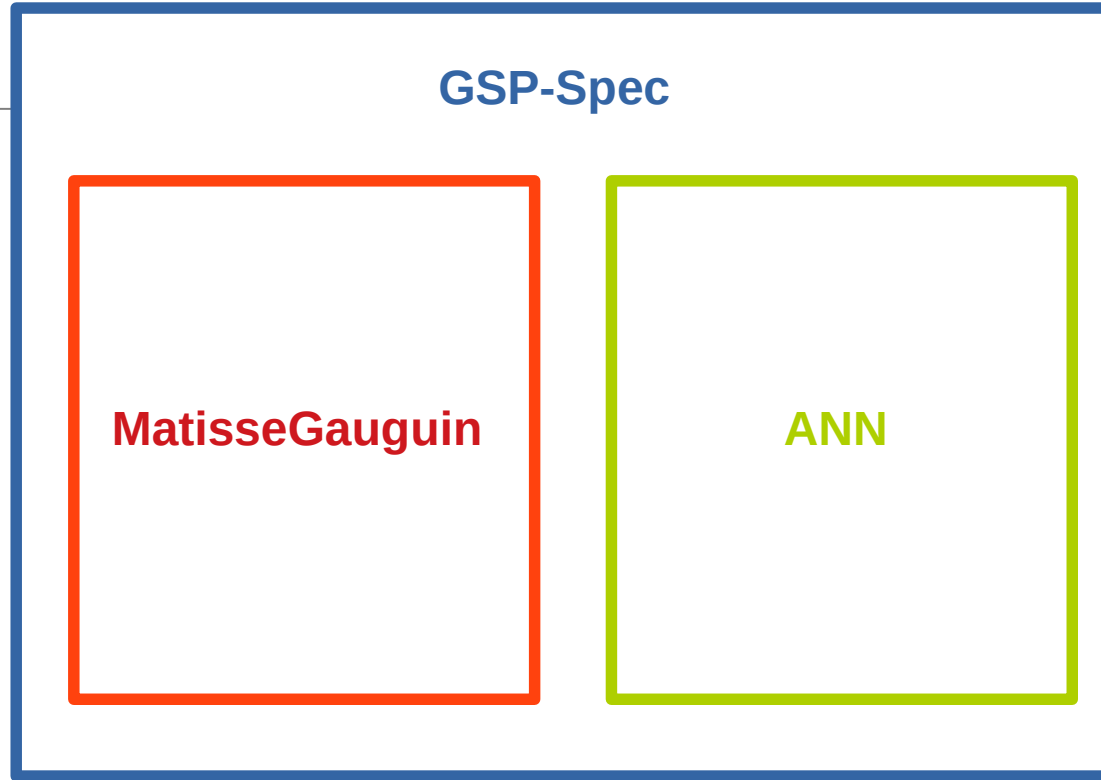
Creevey et al. (2022)

## Apsis DPAC/CU8 pipeline

**GSPspec** (Recio-Blanco et al. 2022) is an up-stream module of the Astrophysical parameters inference system (Creevey et al. 2022)

Treats RVS stacked spectra produced by CU6 (Katz et al. 2022)

# Inside the GSPSpec module



# Inside the GSPSpec module

## GSP-Spec

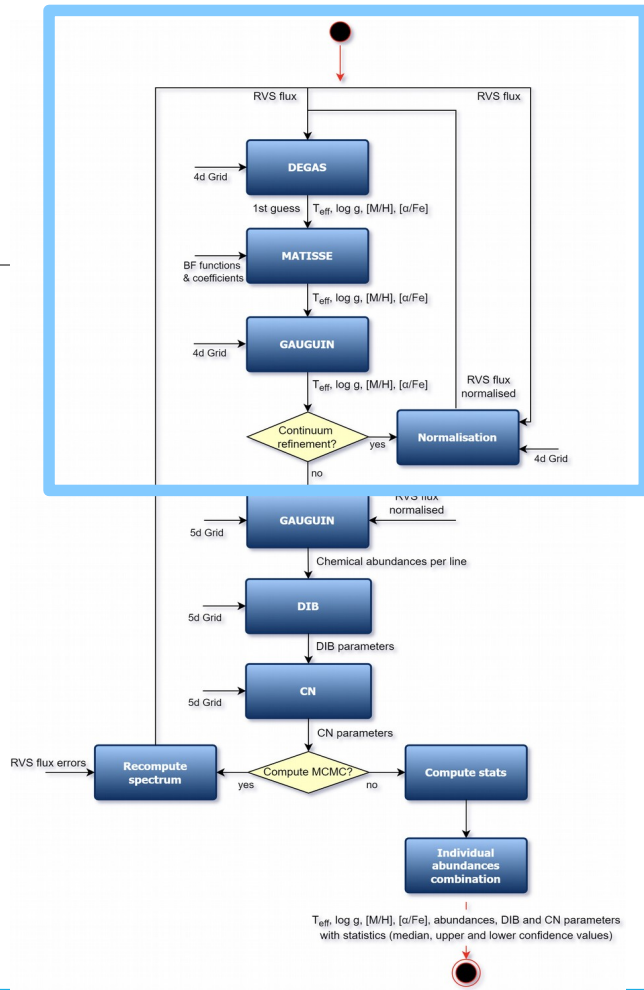
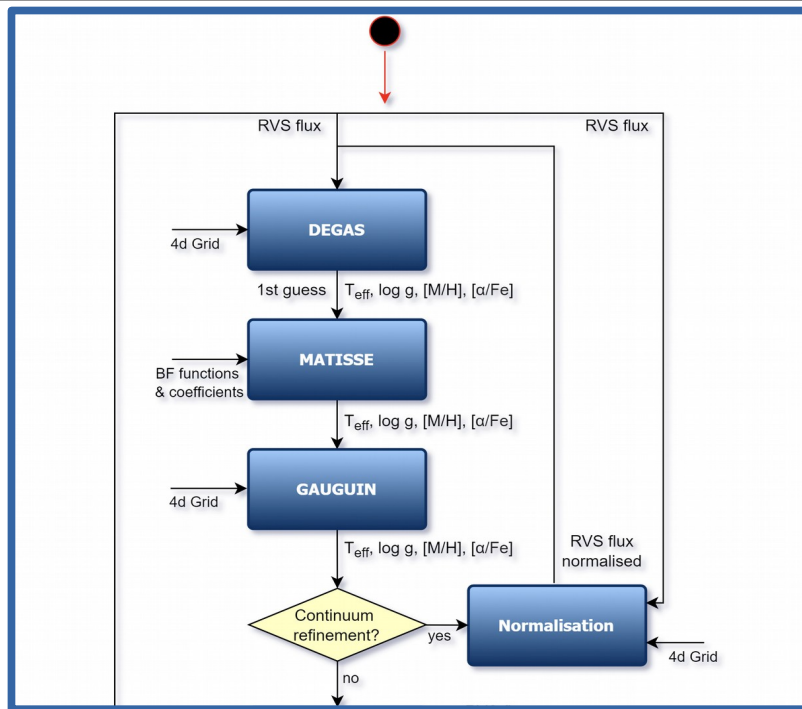
### MatisseGauguin

- Stellar Atmospheric Parameters: Teff, logg, [M/H], [ $\alpha$ /Fe]
- Chem. Abundances
- DIB
- Differential CN Eq. Width
- **Flags (!)**

### ANN

- Stellar Atmospheric Parameters
- **Flags (!)**

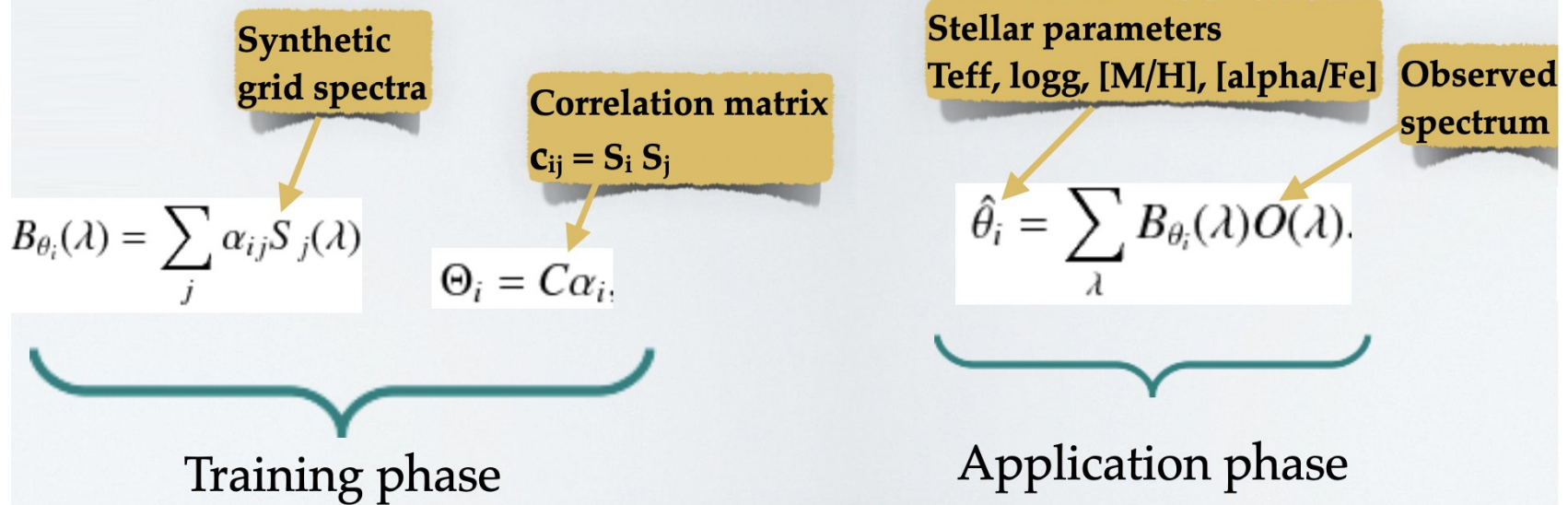
# Inside the GSPSpec module: MatisseGauguin workflow (parameters)



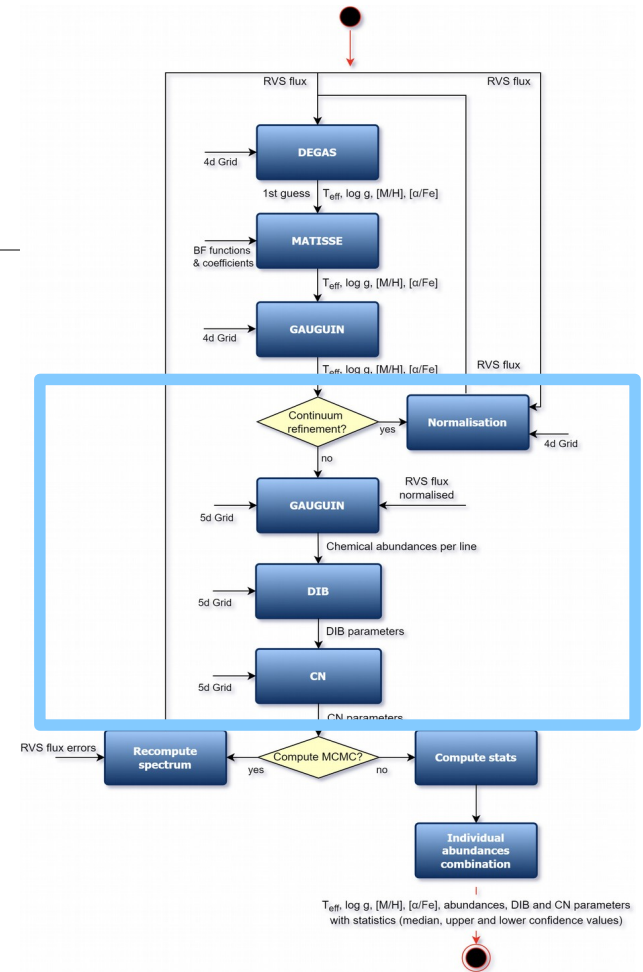
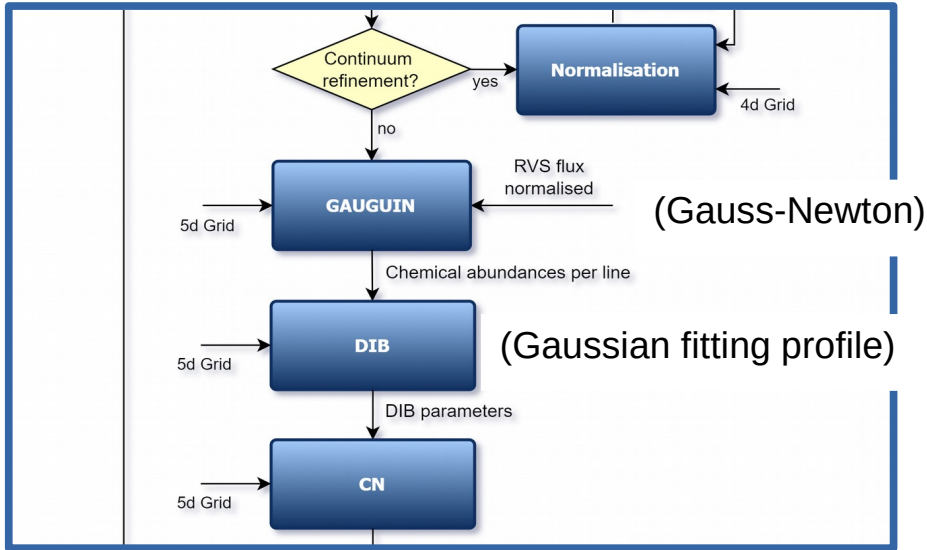
# Gaia/RVS: a model driven success

- ◆ **MATISSE** : Recio-Blanco et al. 2006

Projection method. Local multilinear regression



# Inside the GSPSpec module: MatisseGauguin workflow (abundances)



# Gaia/RVS: a model driven success

◆ **GAUGUIN** : Bijaoui, Recio-Blanco et al. 2012

**Optimization method. Gauss-Newton algorithm**

Linearization around a parameter set  $\Theta$  associated to a theoretical spectrum  $S_0$ . Corrections obtained with:

The diagram illustrates the Gauss-Newton algorithm equation: 
$$\delta\Theta = (\mathbf{J}^T \mathbf{J})^{-1} \mathbf{J}^T (\mathbf{O} - \mathbf{S}_0)$$
 Callouts with arrows point to the following parts: 

- Observed spectrum**: points to  $\mathbf{O}$
- Synthetic spectrum**: points to  $\mathbf{S}_0$
- Jacobian matrix**: points to  $(\mathbf{J}^T \mathbf{J})^{-1} \mathbf{J}^T$

 The Jacobian matrix is defined as: 
$$[\partial S(l, \Theta(0)) / \partial \theta_i]$$

Used after MATISSE -> atmospheric parameters

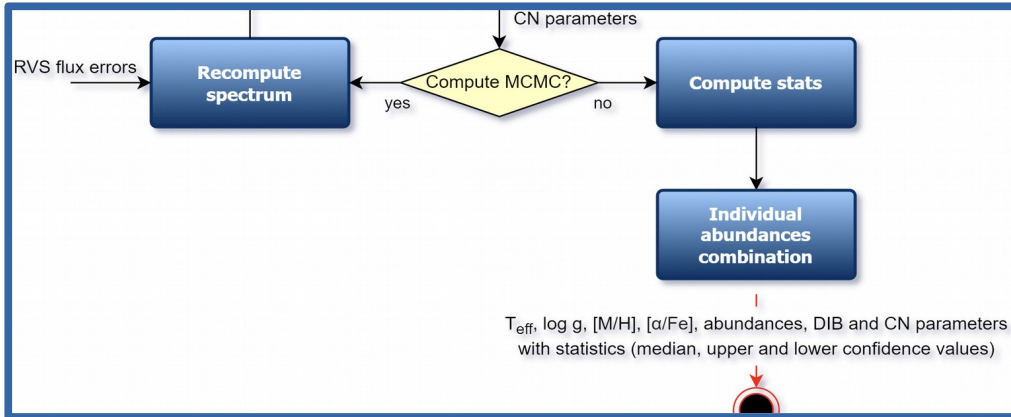
Used alone -> individual chemical abundances

GAUGUIN is used **both** for the **atmospheric parameters** and the **chemical abundances**

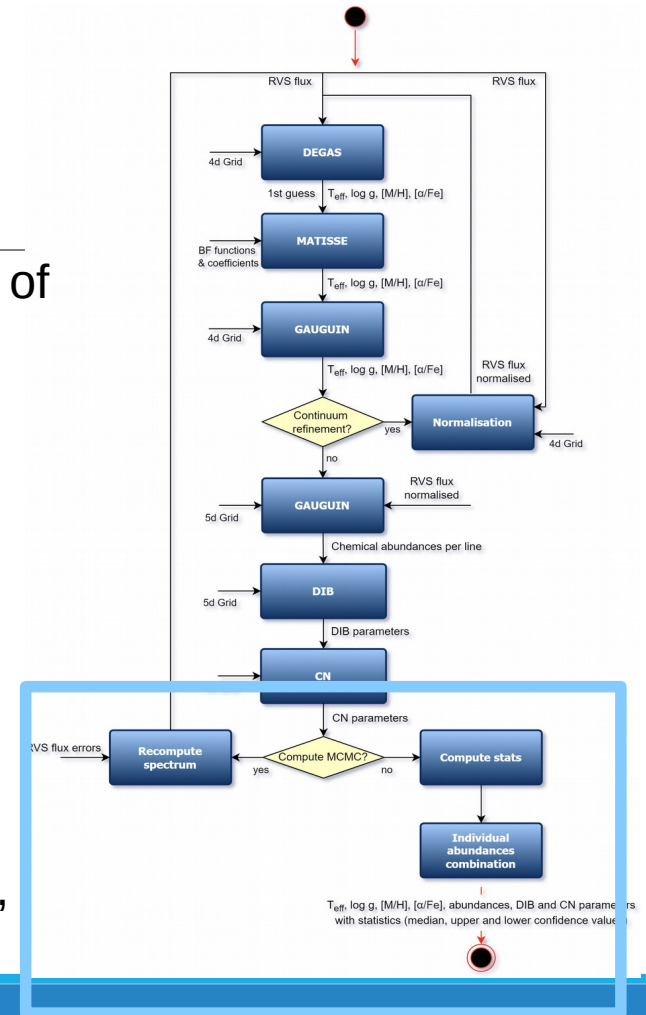


# Inside the GSPSpec module: MatisseGauguin workflow (errors)

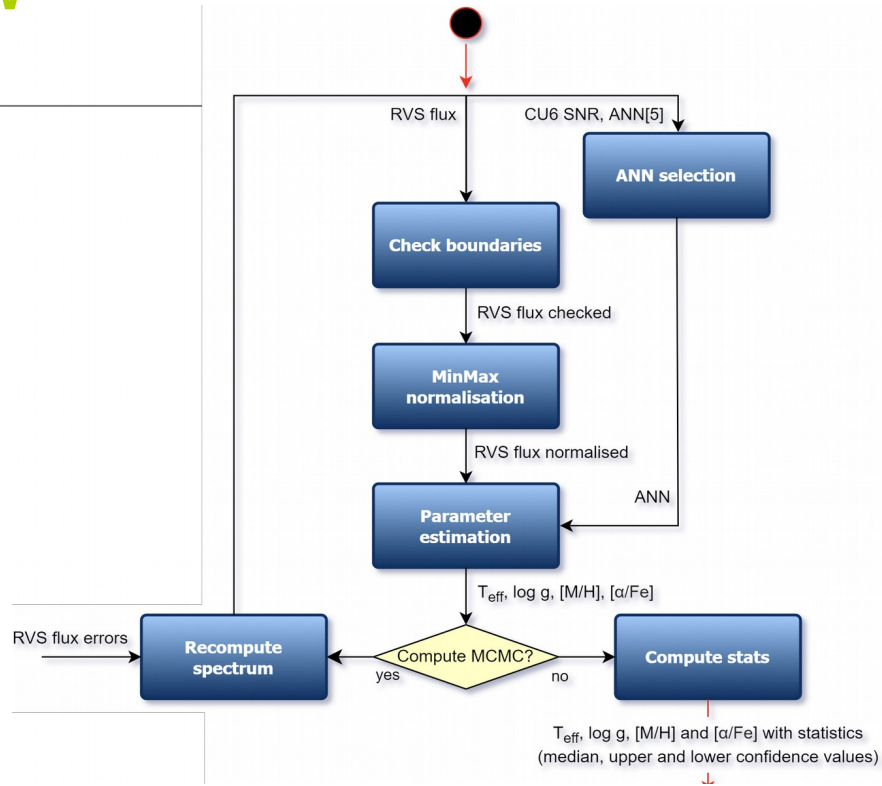
Error propagation through **50 random realisations** of the input spectra using its error → Loop over MatisseGauguin



When finished, perform statistics, line combination, flags...



# Inside the GSPSpec module: ANN workflow



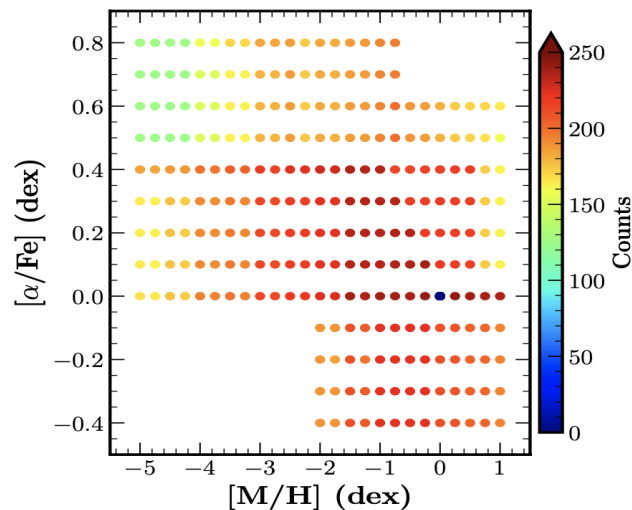
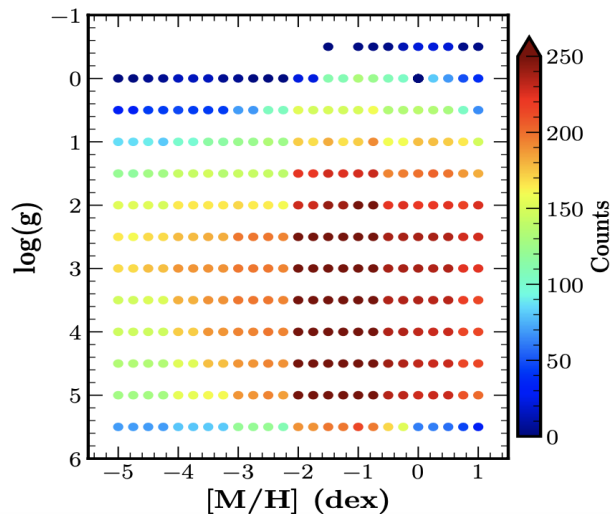
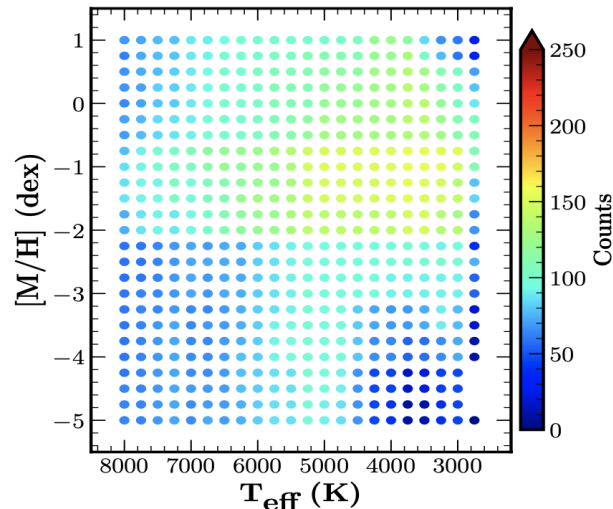
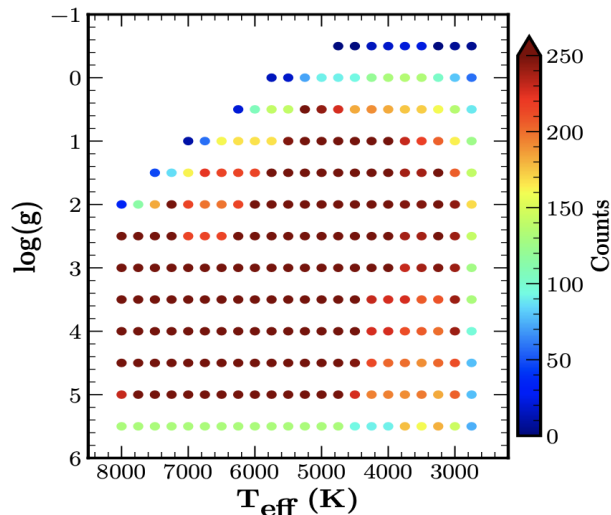
# Synthetic spectra GRIDS:

MARCS atm. models

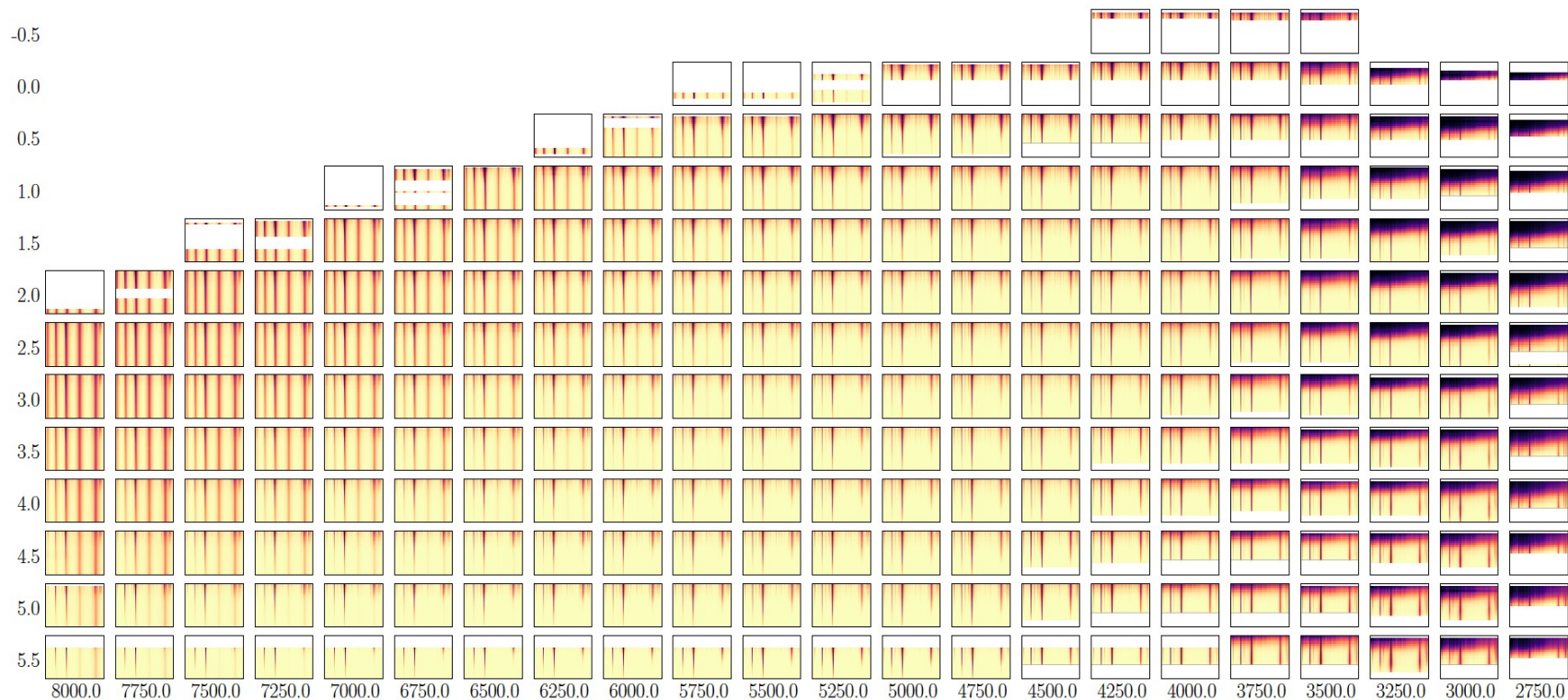
+

Turbospectrum

Added more nodes in the metal poor regime for Fe, Ca and Si



# Synthetic spectra GRIDS: MARCS atm. Models + Turbospectrum



## Atomic lines:

33 lines selected after several quality evaluation test and inspection

See [Recio-Blanco et al. 2022](#) and [Contursi et al. 2021](#)

Elt	$\lambda$	$\lambda_{ab}^-$	$\lambda_{ab}^+$	$\lambda_{norm}^-$	$\lambda_{norm}^+$
N I	863.161	863.071	863.281	862.891	863.371
N I	868.579	868.489	868.699	868.309	868.939
Mg I	847.602	847.512	847.692	847.212	847.812
Si I	853.851	853.731	853.941	853.371	854.961
*Si I	855.916	855.856	856.036	855.376	856.156
Si I	868.872	868.782	868.992	868.602	869.232
*S I	867.258	866.988	867.378	866.898	867.998
*S I	869.701	869.551	869.821	869.281	869.971
Ca I	863.631	863.511	863.691	863.361	863.931
Ca II	849.856	849.706	849.976	849.586	850.276
Ca II	850.216	850.156	850.276	849.886	850.306
Ca II	854.264	854.114	854.384	853.544	854.864
Ca II	854.624	854.564	854.744	854.294	854.804
Ca II	866.272	866.152	866.332	866.002	866.572
Ca II	866.632	866.512	866.692	866.302	866.782
*Ti I	852.069	851.979	852.129	851.799	852.249
Ti I	857.209	857.119	857.269	856.999	857.359
Ti I	869.472	869.382	869.562	869.292	869.832
Cr I	855.118	855.058	855.208	854.878	855.478
Cr I	864.567	864.447	864.627	864.207	864.867
*Fe I	848.296	848.206	848.446	847.666	848.896
*Fe I	851.641	851.551	851.851	851.281	852.001
*Fe I	852.901	852.691	853.081	852.481	853.321
Fe I	857.416	857.296	857.506	856.876	858.166
Fe I	858.462	858.312	858.612	858.132	858.762
Fe I	862.397	862.277	862.517	862.127	862.697
Fe I	867.713	867.593	867.863	867.443	868.013
*Fe I	869.101	868.891	869.191	868.441	869.821
Fe II	858.794	858.764	858.824	858.254	859.274
Ni I	863.937	863.847	864.027	863.697	864.147
Zr II	852.748	852.658	852.838	852.388	853.018
*Ce II	851.375	851.285	851.465	851.015	851.555
Nd II	859.389	859.299	859.479	859.209	859.689

## Atomic lines:

Each line has its own window for  
**an additional normalisation**  
(see Santos-Peral et al. 2020)

Elt	$\lambda$	$\lambda_{ab}^-$	$\lambda_{ab}^+$	$\lambda_{norm}^-$	$\lambda_{norm}^+$
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*Ce II	851.375	851.285	851.465	851.015	851.555
Nd II	859.389	859.299	859.479	859.209	859.689

## Atomic lines:

Also, each line has a different abundance determination window depending on the blends, presence of other lines...

Elt	$\lambda$	$\lambda_{ab}^-$	$\lambda_{ab}^+$	$\lambda_{norm}^-$	$\lambda_{norm}^+$
N I	863.161	863.071	863.281	862.891	863.371
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*Ce II	851.375	851.285	851.465	851.015	851.555
Nd II	859.389	859.299	859.479	859.209	859.689

## Atomic lines:

Calcium triplet is special:  
abundance measurement  
looking at the “wings”

Elt	$\lambda$	$\lambda_{ab}^-$	$\lambda_{ab}^+$	$\lambda_{norm}^-$	$\lambda_{norm}^+$
N I	863.161	863.071	863.281	862.891	863.371
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Nd II	859.389	859.299	859.479	859.209	859.689



## Atomic lines:

Some doublets/triplets or consecutive lines of the same element are treated as a unique line.  
(but the individual line cases have also been tested)

Elt	$\lambda$	$\lambda_{ab}^-$	$\lambda_{ab}^+$	$\lambda_{norm}^-$	$\lambda_{norm}^+$
N I	863.161	863.071	863.281	862.891	863.371
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# Statistics and implementation of GSPSpec module

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- DR3 operations at DPCC (CNES-Toulouse)
- 6.9 million spectra treated
- 50 random realisations of each RVS spectrum → AP uncertainties
- 110,000h (eq. 12.6 years) spread on 2100 cores
- Execution time of 150h (~one second per spectrum realisation)

# Topics

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- Gaia RVS overview
- The GSP-Spec module
- **The GSP-Spec output**
  - Comparison with Literature
  - The importance of GSP-Spec flags
  - GSP-Spec results
- Some scientific applications
- Recommendations

# GSPSpec in the Gaia Archive

The screenshot shows the Gaia Archive web interface. The browser address bar is `gea.esac.esa.int/archive/`. The page has a dark red header with the 'gaia archive' logo and the ESA logo. Below the header is a navigation bar with links: HOME, SEARCH, SINGLE OBJECT, VISUALISATION, HELP, VOSPACE, SHARE. The main content area is divided into a left sidebar and a main query area.

**Left Sidebar:** A tree view of data categories. The 'Astrophysical parameters' category is expanded, showing sub-categories like 'gaiaadr3.astrophysical\_parameters' and 'gaiaadr3.astrophysical\_parameters\_supp'. Other categories include 'Auxiliary', 'Cross match', 'Extra-galactic', 'Non-single stars', 'Performance verification', 'Reference frame', 'Science alerts', 'Simulation', 'Solar system', and 'Spectroscopy'.

**Main Query Area:** A text box contains a SQL query: `1 SELECT source_id, flags_gspspec  
2 FROM gaiadr3.astrophysical_parameters  
3 where teff_gspspec IS NOT NULL`. Below the query box are buttons for 'Reset Form' and 'Submit Query'. A table below the query shows the results of the query.

Job	Creation date	Num. rows	Size	Actions
16794816178420	22-Mar-2023, 11:40:17	7761	278 KB	[Icons]
16794813745630	22-Mar-2023, 11:36:14	100	2 KB	[Icons]
16794812870190	22-Mar-2023, 11:34:47	5591594	41 MB	[Icons]
16794779210700	22-Mar-2023, 10:38:41	5591594	41 MB	[Icons]
16794778823390	22-Mar-2023, 10:38:02	5524387	41 MB	[Icons]
Flags	22-Mar-2023, 10:02:52	5591594	69 MB	[Icons]
16788821177420	15-Mar-2023, 13:08:37	1000	18 KB	[Icons]
16788821032800	15-Mar-2023, 13:08:23	0	0 KB	[Icons]
16788820688470	15-Mar-2023, 13:07:48	1000	6 KB	[Icons]
16788820221190	15-Mar-2023, 13:07:02	1000	1 KB	[Icons]

At the bottom of the page, there is a 'Download format' dropdown menu with options: VOTable, VOTable (plain), CSV, ECSV, FITS, and JSON. The 'VOTable' option is selected. There are also buttons for 'Apply jobs filter', 'Select all jobs', and 'Delete selected jobs'. A 'Cookie policy (v3.2.1)' link is visible in the bottom right corner.

# GSPSpec in the Gaia Archive

**GSP-Spec** output has already been ingested in the **Gaia Archive**

(<https://gea.esac.esa.int/archive/>)

Approx. 5.6M sources with GSP-Spec data

MatisseGauguin output

ANN

output

The associated fields (columns) have “\_gspspec”/ “\_gspspec\_ann” in their names.

The screenshot shows the Gaia Archive website interface. At the top, there are navigation links for "HOME", "SEARCH", "SINGLE OBJECT", "VISUALISATION", "HELP", "VOSPACE", and "SHARE". Below these are tabs for "Basic", "Advanced (ADQL)", and "Query Results". The "Advanced (ADQL)" tab is selected, and a query is entered in the text area:

```
1 SELECT source_id
2 FROM gaiadr3.astrophysical_parameters
3 WHERE teff_gspspec IS NOT NULL
```

Below the query area, there is a table with columns "Status" and "Job". The table shows a single job with the ID "16794779210700". At the bottom of the page, there is a pagination indicator "1-20 of 111" and a "Download forms" link.

# GSPSpec in the Gaia Archive

GSP-Spec output has already been ingested in the Gaia Archive

(<https://gea.esac.esa.int/archive/>)

MatisseGauguin output

ANN output

The associated fields (columns) have “\_gspspec”/ “\_gspspec\_ann” in their names.

EUROPEAN SPACE AGENCY ABOUT ESAC

## gaia archive

HOME SEARCH SINGLE OBJECT VISUALISATION HELP VOSPACE SHARE

Basic Advanced (ADQL) Query Results

gaia

Other

- Gaia Data Release 1
- Gaia Data Release 2
- Gaia Data Release 3
  - gaiadr3.gaia\_source
  - gaiadr3.gaia\_source\_lite
  - Astrophysical parameters
    - gaiadr3.astrophysical\_parameters
    - gaiadr3.astrophysical\_parameters\_supp
    - gaiadr3.oa\_neuron\_information
    - gaiadr3.oa\_neuron\_xp\_spectra
    - gaiadr3.total\_galactic\_extinction\_map
    - gaiadr3.total\_galactic\_extinction\_map\_opt
  - Auxiliary

Job name:

```
1 SELECT source_id
2 FROM gaiadr3.astrophysical_parameters
3 WHERE teff_gspspec IS NOT NULL
```

“Trick” for selecting stars analysed by MatisseGauguin

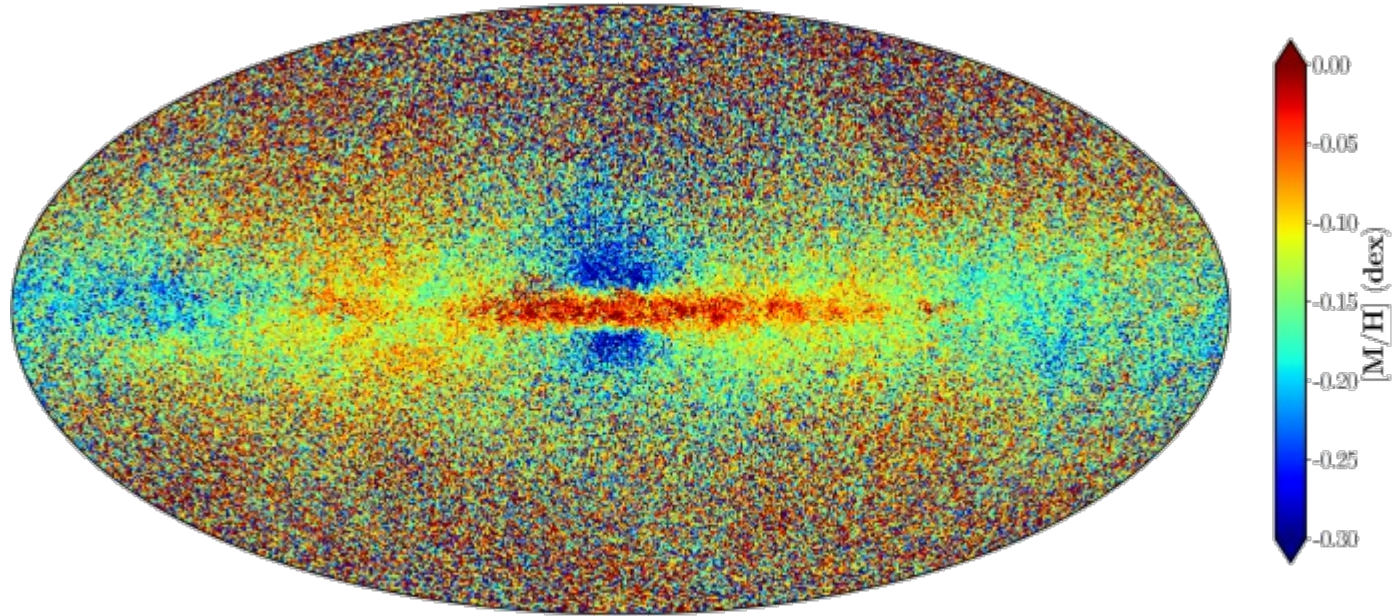
Ctrl+Space for query autocompletion

Status	Job
<input type="checkbox"/>	16794779210700

1-20 of 111 [Download forms](#)

# Behold the chemistry of the MW!

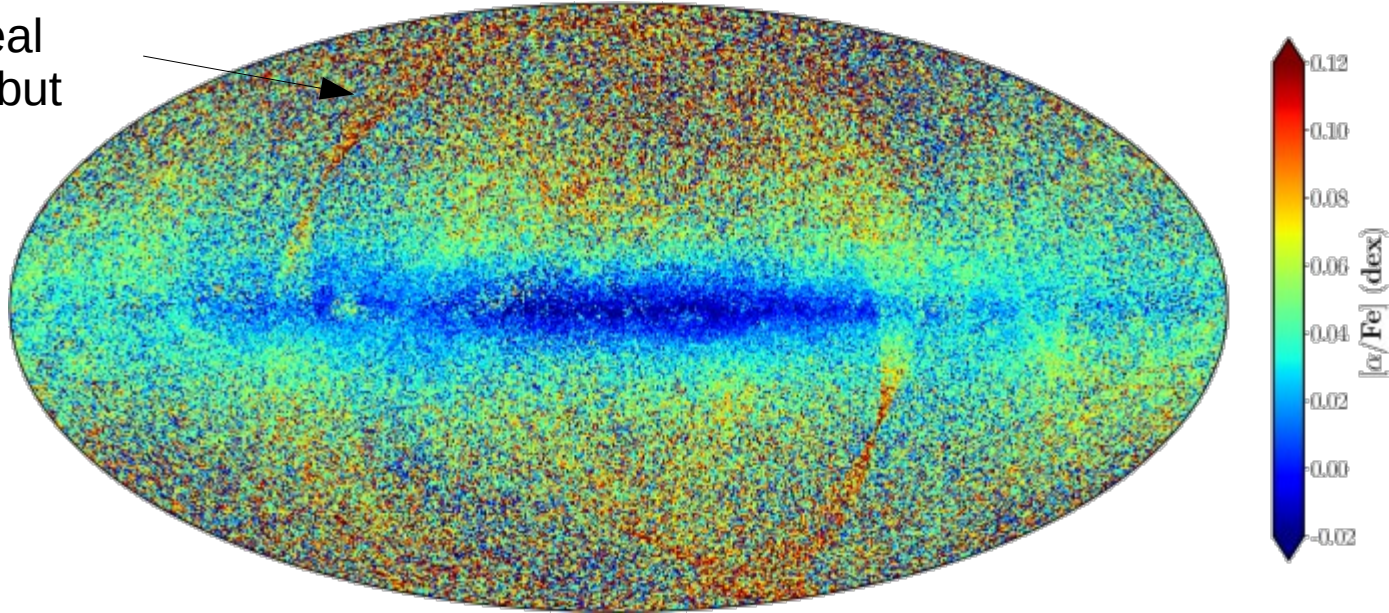
---



# Behold the chemistry of the MW!

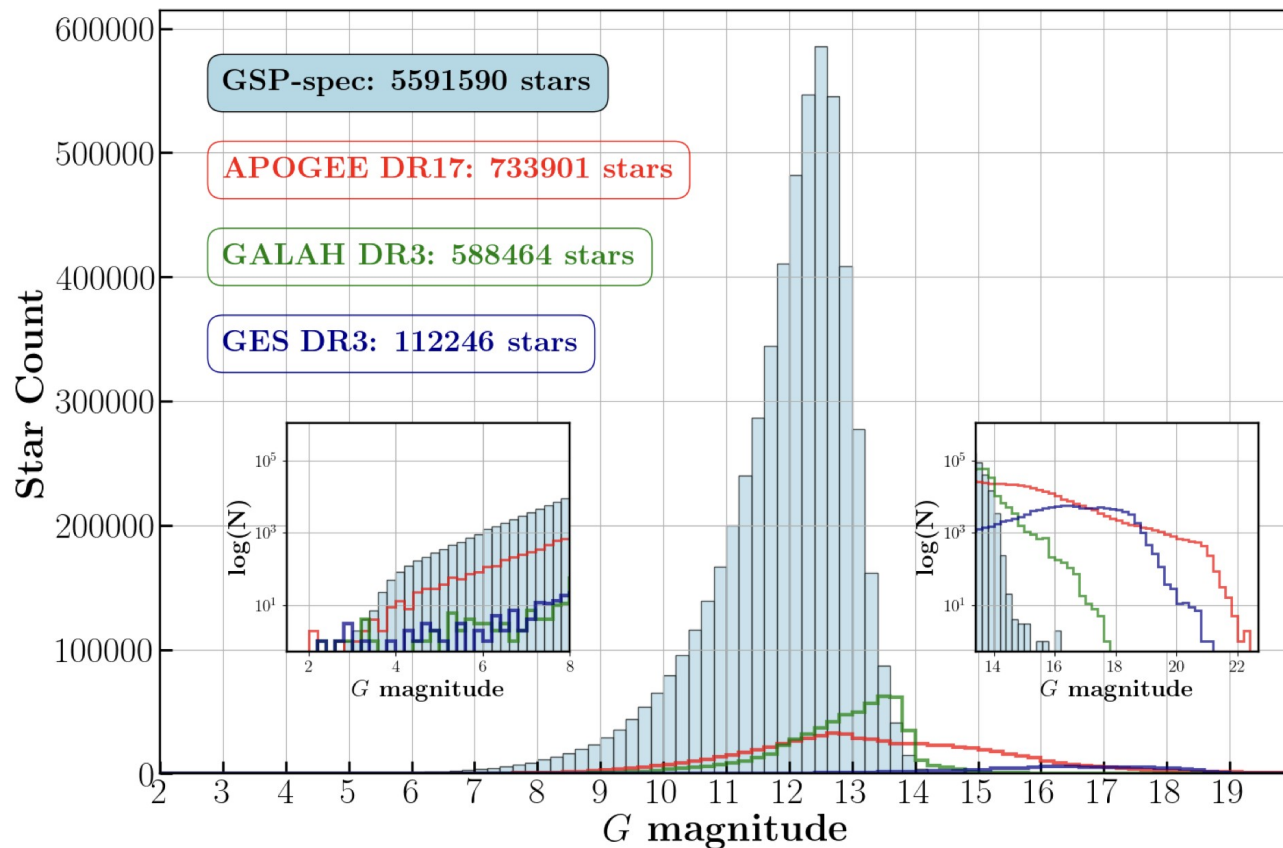
---

Not a real  
stream but  
Gaia  
Scan.  
Law



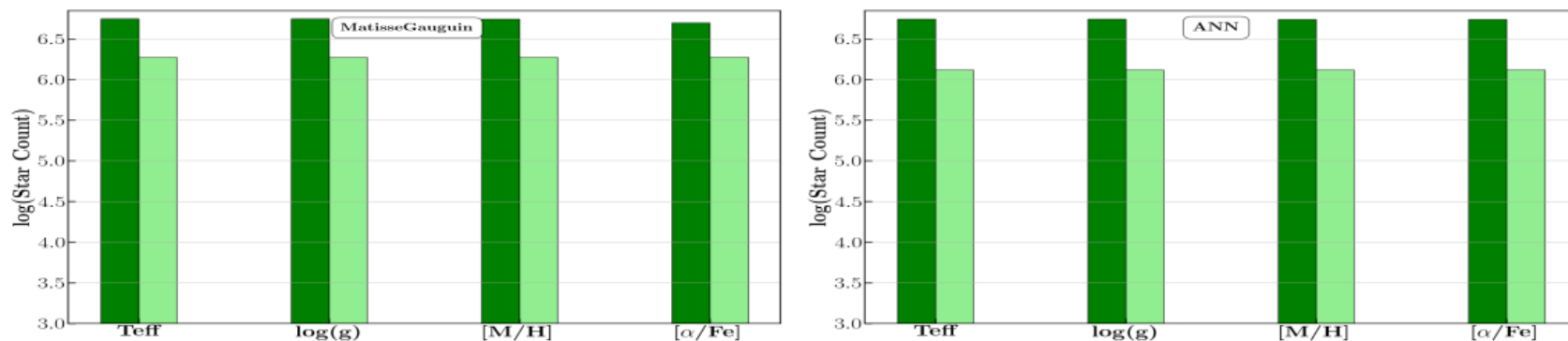


## The chemical composition of 5.6 million stars



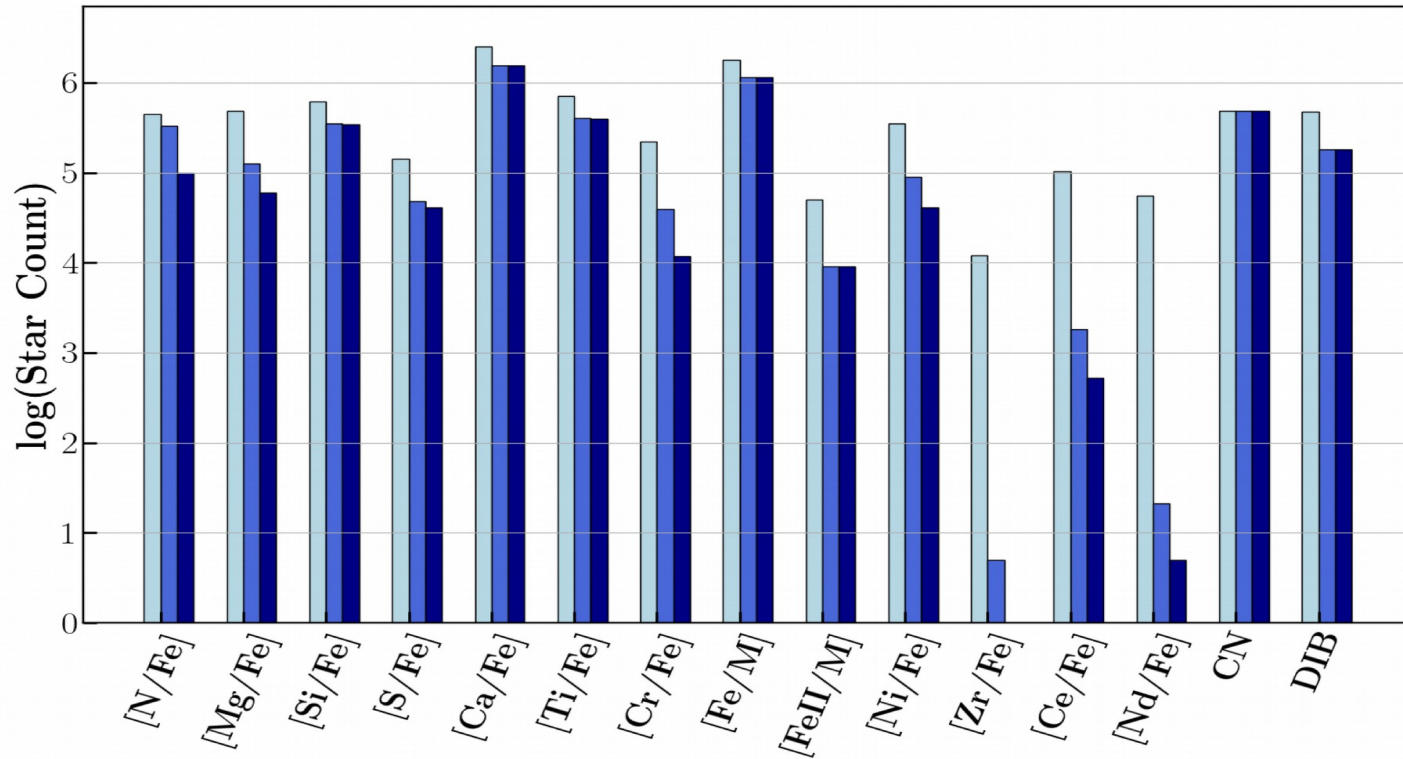
More stars than the combination of other large surveys.

## The chemical composition of 5.6 million stars



**Fig. 17.** Number of stars whose atmospheric parameters have been derived by MatisseGauguin and ANN (left and right panels, respectively). The dark green histograms refer to the whole sample whereas the light-green ones show only the very best parametrised stars with all their parameter quality flags equal to zero.

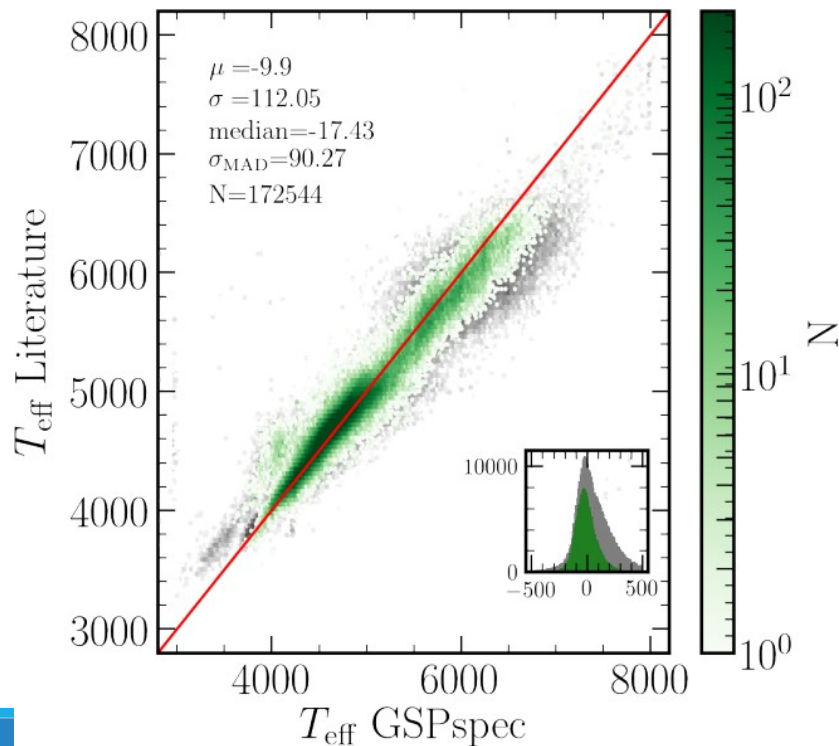
## The chemical composition of 5.6 million stars



# Comparison with Literature: Teff

Literature: APOGEE DR17,  
GALAH-DR3, RAVE-DR6

In grey: Medium quality sample  
In Green: Best quality sample  
(Will be explained later)

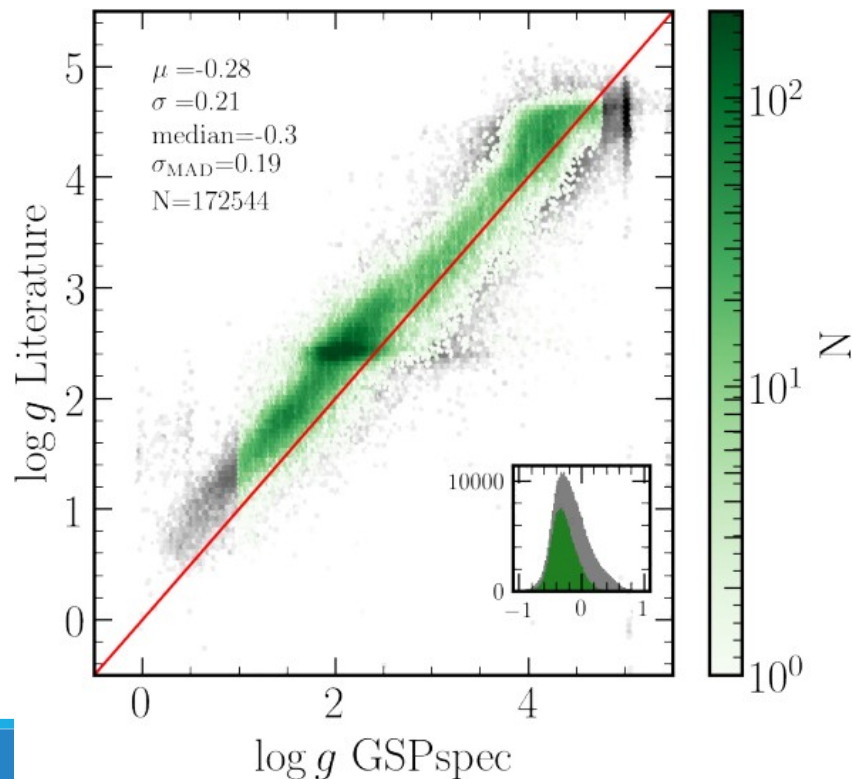


## Comparison with Literature: $\log g$ !

Literature: APOGEE DR17,  
GALAH-DR3, RAVE-DR6

In grey: Medium quality sample  
In Green: Best quality sample  
(Will be explained later)

**Bias detected.** Solution:  
calibration

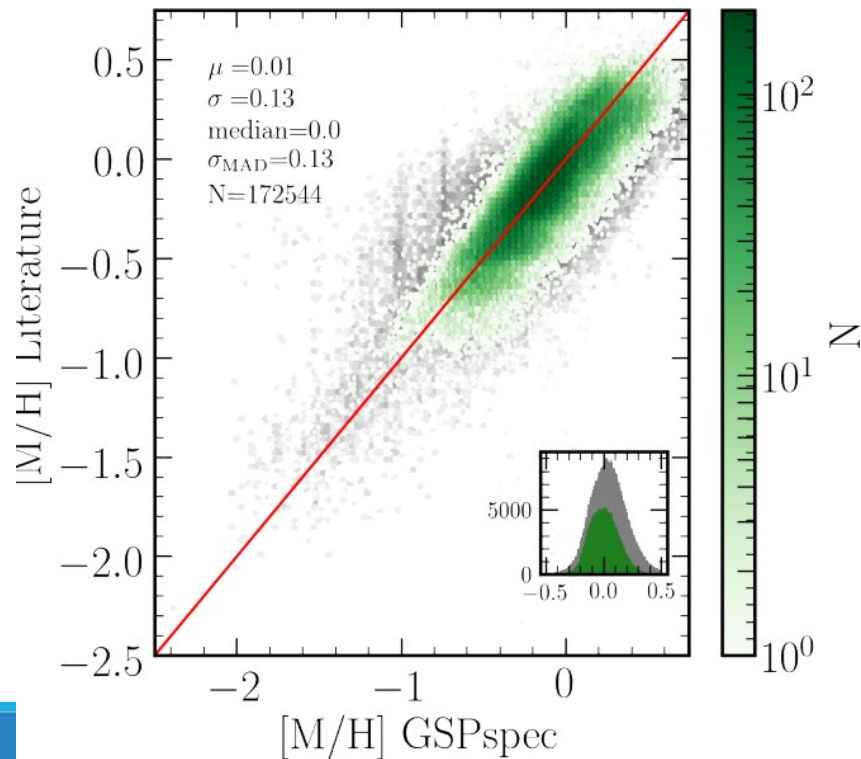


## Comparison with Literature: $[M/H]$

Literature: APOGEE DR17,  
GALAH-DR3, RAVE-DR6

In grey: Medium quality sample  
In Green: Best quality sample  
(Will be explained later)

Apparently no bias on average,  
but **subestimated**  
(**overestimated**) metallicities are  
found for **giants (dwarfs)**

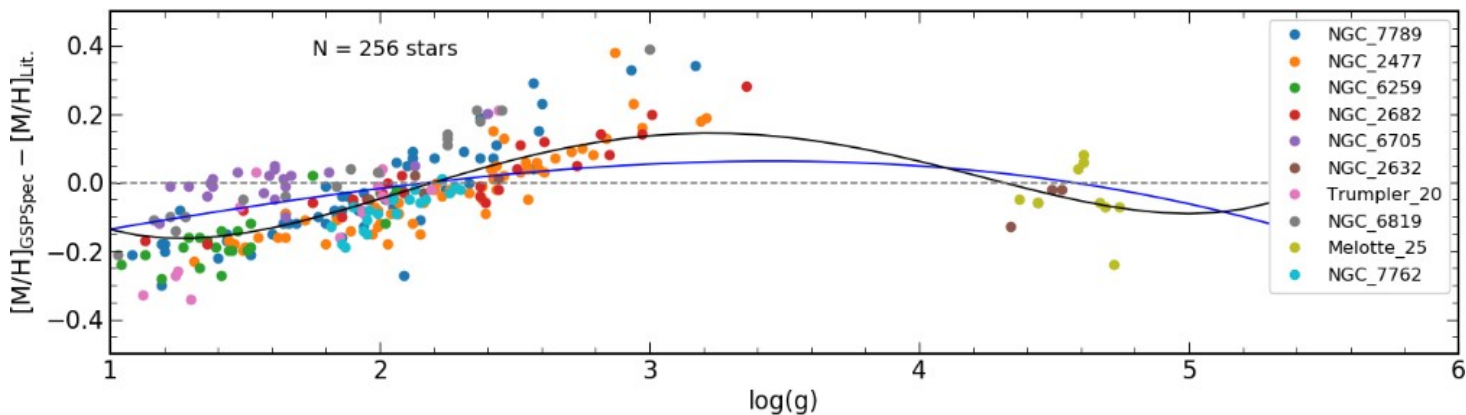


## CU8/GSPspec: Offset corrections (parameters)

$$X_{Calibr.} = X_{Uncalibr.} + p_0 + p_1 \log(g) + p_2 \log(g)^2 + p_3 \log(g)^3 + p_4 \log(g)^4$$

Parameter	$p_0$	$p_1$	$p_2$	$p_3$	$p_4$
$\log(g)$	0.4496	-0.0036	-0.0224		
$[M/H]$	0.274	-0.1373	-0.0050	0.0048	
$[M/H]_{OC}$	-0.7541	1.8108	-1.1779	0.2809	-0.0222

Full table in  
**Recio-Blanco  
et al. (2022)**

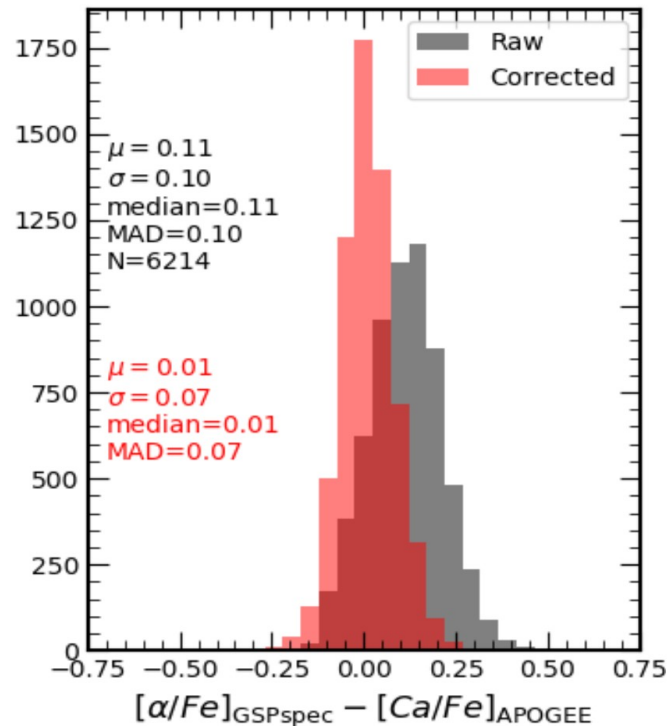


**Fig. 13.** Metallicity bias with respect to the literature as a function of  $\log(g)$  for the open cluster stars, excluding dwarfs with S/N lower than 50. The colour code used for each cluster is indicated in the legend. Solid blue line corresponds to the general metallicity correction while the black line refers to that specifically obtained from the open clusters.

## Comparison with Literature

The **global alpha-abundance is dominated** by Calcium

The calibration reduces the bias





## CU8/GSPspec: Offset corrections (abundances)

$$X_{Calibr.} = X_{Uncalibr.} + p_0 + p_1 \log(g) + p_2 \log(g)^2 + p_3 \log(g)^3 + p_4 \log(g)^4$$

Full table in  
[Recio-Blanco et al. \(2022\)](#)

Element	$p_0$	$p_1$	$p_2$	$p_3$	$p_4$	Recommended interval		<i>extrapol</i> flag
	As a function of $\log(g)$					Min $\log(g)$	Max $\log(g)$	
[ $\alpha$ /Fe]	-0.5809	0.7018	-0.2402	0.0239	0.0000	1.01	4.85	0
[Ca/Fe]	-0.6250	0.7558	-0.2581	0.0256	0.0000	1.01	4.85	0
[Mg/Fe]	-0.7244	0.3779	-0.0421	-0.0038	0.0000	1.30	4.38	0
[S/Fe]	-17.6080	12.3239	-2.8595	0.2192	0.0000	3.38	4.81	0
[Si/Fe]	-0.3491	0.3757	-0.1051	0.0092	0.0000	1.28	4.85	0
[Ti/Fe]	-0.2656	0.4551	-0.1901	0.0209	0.0000	1.01	4.39	0
[Cr/Fe]	-0.0769	-0.1299	0.1009	-0.0200	0.0000	1.01	4.45	0
[Fe I/H]	0.3699	-0.0680	0.0028	-0.0004	0.0000	1.01	4.85	0
[Fe II/H]	35.5994	-27.9179	7.1822	-0.6086	0.0000	3.53	4.82	0
[Ni/Fe]	-0.2902	0.4066	-0.1313	0.0105	0.0000	1.41	4.81	0
[N/Fe]	0.0975	-0.0293	0.0238	-0.0071	0.0000	1.21	4.79	0
[ $\alpha$ /Fe]	-0.2838	0.3713	-0.1236	0.0106	0.0002	0.84	4.44	$\leq 1$
[Ca/Fe]	-0.3128	0.3587	-0.0816	-0.0066	0.0020	0.84	4.98	$\leq 1$
	As a function of $t = T_{\text{eff}}/5750$					Min $T_{\text{eff}}$	Max $T_{\text{eff}}$	
[ $\alpha$ /Fe]	-6.6960	20.8770	-21.0976	6.8313	0.0000	4000	6830	$\leq 1$
[Ca/Fe]	-7.4577	23.2759	-23.6621	7.7657	0.0000	4000	6830	$\leq 1$
[S/Fe]	0.1930	-0.2234	0.0000	0.0000	0.0000	5700	6800	$\leq 1$

# CU8/GSPspec: Offset corrections (abundances)

$$X_{Calibr.} = X_{Uncalibr.} + p_0 + p_1 \log(g) + p_2 \log(g)^2 + p_3 \log(g)^3 + p_4 \log(g)^4$$

Full table in  
**Recio-Blanco  
 et al. (2022)**

Out of this  
 range, keep  
 the edge  
 values  
 (suggestion)

Element	$p_0$	$p_1$	$p_2$	$p_3$	$p_4$	Recommended interval		<i>extrapol</i> flag
	As a function of $\log(g)$					Min $\log(g)$	Max $\log(g)$	
[ $\alpha$ /Fe]	-0.5809	0.7018	-0.2402	0.0239	0.0000	1.01	4.85	0
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[Ni/Fe]	-0.2902	0.4066	-0.1313	0.0105	0.0000	1.41	4.81	0
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[S/Fe]	0.1930	-0.2234	0.0000	0.0000	0.0000	5700	6800	$\leq 1$

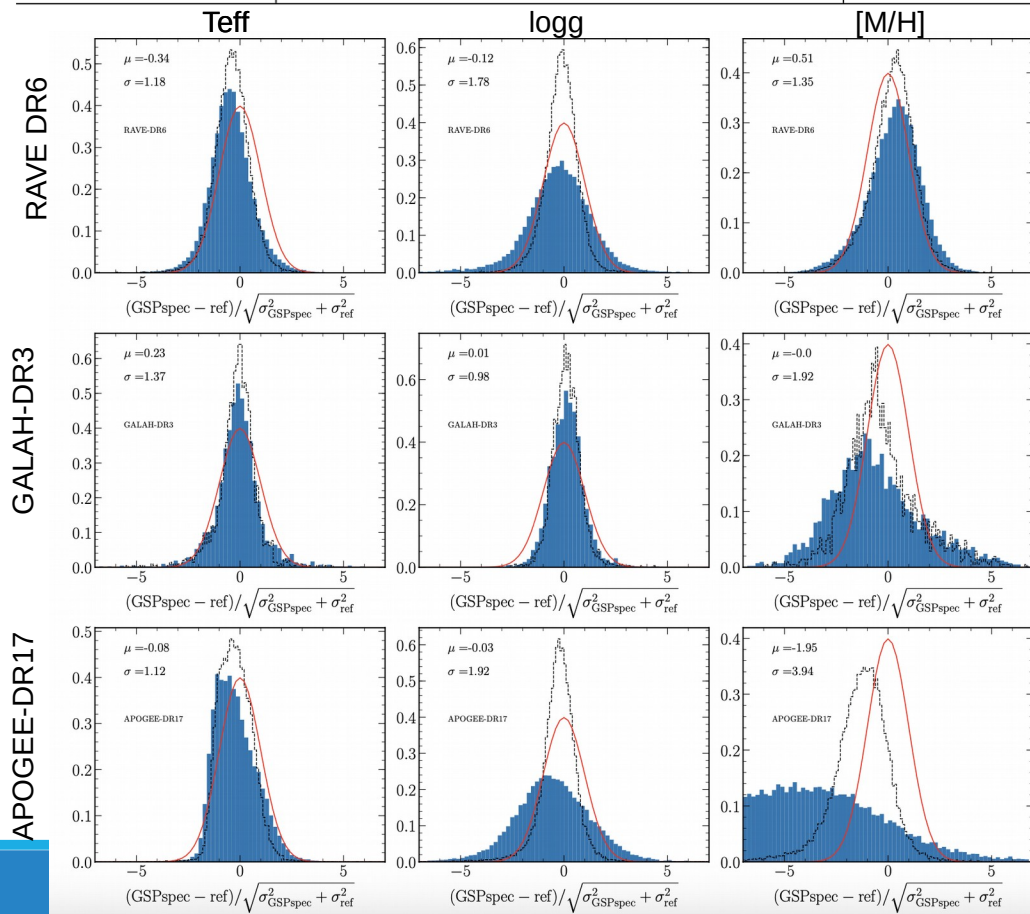
# CU8/GSPspec: Offset corrections (abundances)

$$X_{Calibr.} = X_{Uncalibr.} + p_0 + p_1 \log(g) + p_2 \log(g)^2 + p_3 \log(g)^3 + p_4 \log(g)^4$$

Full table in  
[Recio-Blanco et al. \(2022\)](#)

Element	$p_0$	$p_1$	$p_2$	$p_3$	$p_4$	Recommended interval		<i>extrapol</i> flag
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[S/Fe]	0.1930	-0.2234	0.0000	0.0000	0.0000	5700	6800	$\leq 1$

	$T_{\text{eff}}$	$\log(g)$	[M/H]	$\log(g)_{\text{calibrated}}$	$[M/H]_{\text{calibrated}}$	RVS S/N
RAVE-DR6	(-12; 93)	(-0.28; 0.19)	(-0.05; 0.11)	(-0.003; 0.18)	(-0.05; 0.09)	(94; 64)
GALAH-DR3	(20;87)	(-0.26; 0.21)	(0.01; 0.10)	(0.003; 0.18)	(-0.001; 0.10)	(68; 53)
APOGEE-DR17	(-32; 86)	(-0.32; 0.17)	(0.04; 0.12)	(-0.005; 0.15)	(0.06; 0.12)	(65; 80)



- General very good agreement\*
- The extreme homogeneity of Gaia RVS/GSPspec highlights literature inhomogeneity (in methods, models, reference data, uncertainty definitions, selection functions...)

\*GSP-Spec values are calibrated.  
Dotted line: inflated errors by a factor of 4

# Topics

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- Gaia RVS overview
- The GSP-Spec module
- The GSP-Spec output
  - Comparison with Literature
  - **The importance of GSP-Spec flags**
  - GSP-Spec results
- Some scientific applications
- Recommendations

# Family of GSP-Spec Flags

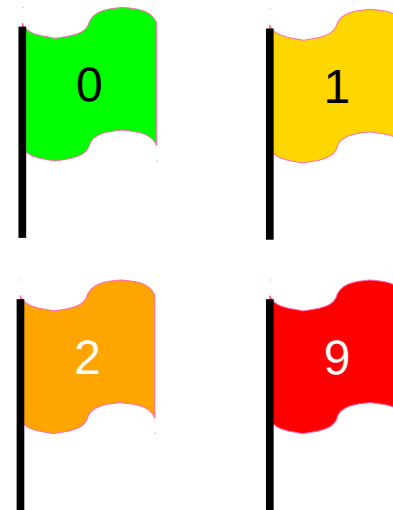
Parameters  
flags

Chain character number - name	Considered quality aspect	Possible adopted values	Related subsection and table
1 v <b>bro</b> adT	vbroad induced bias in $T_{\text{eff}}$	0,1,2,9	8.1 & C.1
2 v <b>bro</b> adG	vbroad induced bias in $\log(g)$	0,1,2,9	8.1 & C.1
3 v <b>bro</b> adM	vbroad induced bias in [M/H]	0,1,2,9	8.1 & C.1
4 v <b>rad</b> T	vrad induced bias in $T_{\text{eff}}$	0,1,2,9	8.2 & C.2
5 v <b>rad</b> G	vrad induced bias in $\log(g)$	0,1,2,9	8.2 & C.2
6 v <b>rad</b> M	vrad induced bias in [M/H]	0,1,2,9	8.2 & C.2
7 <b>flux</b> Noise	flux noise uncertainties	0,1,2,3,4,5,9	8.3 & C.3, C.4
8 <b>extrapol</b>	extrapolation	0,1,2,3,4,9	8.4 & C.5, C.6
9 <b>neg</b> Flux	negative flux pixels	0,9	8.5 & C.7
10 <b>nan</b> Flux	NaN flux pixels	0,1,9	8.5 & C.7
11 <b>emission</b>	emission line	0,1,9	8.5 & C.7
12 <b>null</b> FluxErr	null uncertainties	0,1,9	8.5 & C.7
13 <b>KM</b> giantPar	KM-type giant stars	0,1,2,9	8.6 & C.8
14 <b>N</b> UpLim	Nitrogen abundance upper limit	0,1,2,9	8.7 & C.9
15 <b>N</b> Uncer	Nitrogen abundance uncertainty quality	0,1,2,9	8.7 & C.10
16 <b>Mg</b> UpLim	Magnesium abundance upper limit	0,1,2,9	8.7 & C.9
17 <b>Mg</b> Uncer	Magnesium abundance uncertainty quality	0,1,2,9	8.7 & C.10
18 <b>Si</b> UpLim	Silicon abundance upper limit	0,1,2,9	8.7 & C.9
19 <b>Si</b> Uncer	Silicon abundance uncertainty quality	0,1,2,9	8.7 & C.10
20 <b>S</b> UpLim	Sulphur abundance upper limit	0,1,2,9	8.7 & C.9
21 <b>S</b> Uncer	Sulphur abundance uncertainty quality	0,1,2,9	8.7 & C.10
22 <b>Ca</b> UpLim	Calcium abundance upper limit	0,1,2,9	8.7 & C.9
23 <b>Ca</b> Uncer	Calcium abundance uncertainty quality	0,1,2,9	8.7 & C.10
24 <b>Ti</b> UpLim	Titanium abundance upper limit	0,1,2,9	8.7 & C.9
25 <b>Ti</b> Uncer	Titanium abundance uncertainty quality	0,1,2,9	8.7 & C.10
26 <b>Cr</b> UpLim	Chromium abundance upper limit	0,1,2,9	8.7 & C.9
27 <b>Cr</b> Uncer	Chromium abundance uncertainty quality	0,1,2,9	8.7 & C.10
28 <b>Fe</b> UpLim	Neutral iron abundance upper limit	0,1,2,9	8.7 & C.9
29 <b>Fe</b> Uncer	Neutral iron abundance uncertainty quality	0,1,2,9	8.7 & C.10
30 <b>FeI</b> UpLim	Ionised iron abundance upper limit	0,1,2,9	8.7 & C.9
31 <b>FeI</b> Uncer	Ionised iron abundance uncertainty quality	0,1,2,9	8.7 & C.10
32 <b>Ni</b> UpLim	Nickel abundance upper limit	0,1,2,9	8.7 & C.9
33 <b>Ni</b> Uncer	Nickel abundance uncertainty quality	0,1,2,9	8.7 & C.10
34 <b>Zr</b> UpLim	Zirconium abundance upper limit	0,1,2,9	8.7 & C.9
35 <b>Zr</b> Uncer	Zirconium abundance uncertainty quality	0,1,2,9	8.7 & C.10
36 <b>Ce</b> UpLim	Cerium abundance upper limit	0,1,2,9	8.7 & C.9
37 <b>Ce</b> Uncer	Cerium abundance uncertainty quality	0,1,2,9	8.7 & C.10
38 <b>Nd</b> UpLim	Neodymium abundance upper limit	0,1,2,9	8.7 & C.9
39 <b>Nd</b> Uncer	Neodymium abundance uncertainty quality	0,1,2,9	8.7 & C.10
40 <b>Delta</b> CNq	Cyanogen differential equivalent width quality	0,1,2,9	8.9 & C.12
41 <b>DIB</b> q	DIB quality flag	0,1,2,3,4,5,9	8.8 & C.13

Abundance  
flags

CN/DIB flags

To be used and adapted to your scientific goal



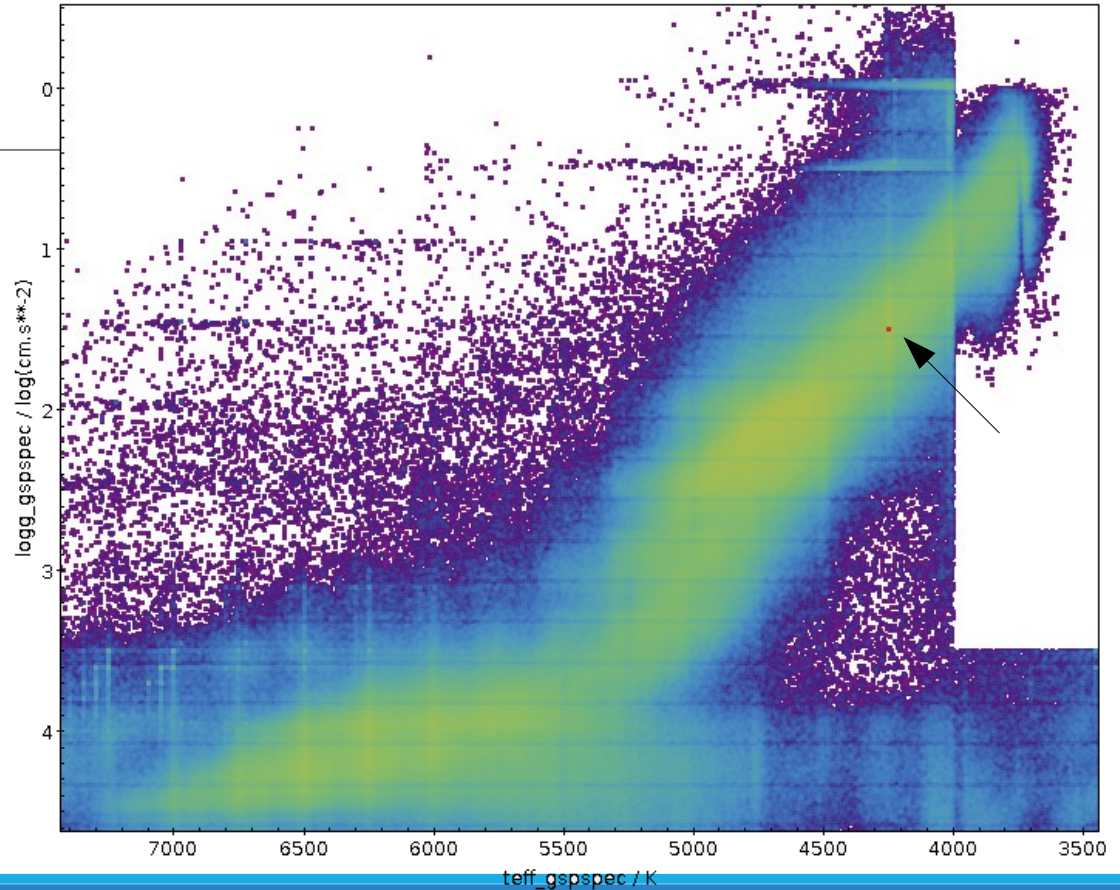
Full table in [Recio-Blanco et al. \(2022\)](#)

# Family of GSP-Spec Flags

## KM flag

Problems with the  
molecular lines in the  
cool regime.  
Dependence of  $F_{\min}$

Image: Kiel  
diagram colorcoded  
with density





# Family of GSP-Spec Flags

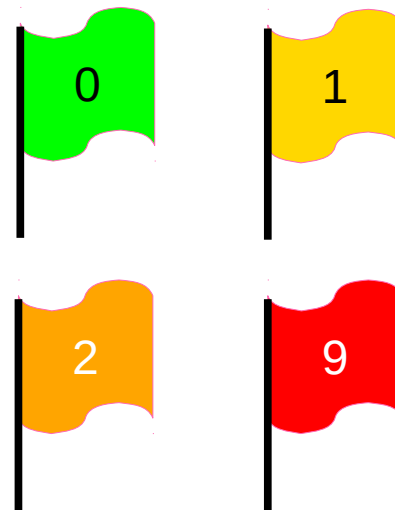
Parameters flags

Chain character number - name	Considered quality aspect	Possible adopted values	Related subsection and table
1 v <b>bro</b> adT	vbroad induced bias in $T_{\text{eff}}$	0,1,2,9	8.1 & C.1
2 v <b>bro</b> adG	vbroad induced bias in $\log(g)$	0,1,2,9	8.1 & C.1
3 v <b>bro</b> adM	vbroad induced bias in [M/H]	0,1,2,9	8.1 & C.1
4 v <b>rad</b> T	vrad induced bias in $T_{\text{eff}}$	0,1,2,9	8.2 & C.2
5 v <b>rad</b> G	vrad induced bias in $\log(g)$	0,1,2,9	8.2 & C.2
6 v <b>rad</b> M	vrad induced bias in [M/H]	0,1,2,9	8.2 & C.2
7 <b>flux</b> Noise	flux noise uncertainties	0,1,2,3,4,5,9	8.3 & C.3, C.4
8 <b>extrapol</b>	extrapolation	0,1,2,3,4,9	8.4 & C.5, C.6
9 <b>neg</b> Flux	negative flux pixels	0,9	8.5 & C.7
10 <b>nan</b> Flux	NaN flux pixels	0,1,9	8.5 & C.7
11 <b>emission</b>	emission line	0,1,9	8.5 & C.7
12 <b>null</b> FluxErr	null uncertainties	0,1,9	8.5 & C.7
13 <b>KM</b> giantPar	KM-type giant stars	0,1,2,9	8.6 & C.8
14 <b>N</b> UpLim	Nitrogen abundance upper limit	0,1,2,9	8.7 & C.9
15 <b>N</b> Uncer	Nitrogen abundance uncertainty quality	0,1,2,9	8.7 & C.10
16 <b>Mg</b> UpLim	Magnesium abundance upper limit	0,1,2,9	8.7 & C.9
17 <b>Mg</b> Uncer	Magnesium abundance uncertainty quality	0,1,2,9	8.7 & C.10
18 <b>Si</b> UpLim	Silicon abundance upper limit	0,1,2,9	8.7 & C.9
19 <b>Si</b> Uncer	Silicon abundance uncertainty quality	0,1,2,9	8.7 & C.10
20 <b>S</b> UpLim	Sulphur abundance upper limit	0,1,2,9	8.7 & C.9
21 <b>S</b> Uncer	Sulphur abundance uncertainty quality	0,1,2,9	8.7 & C.10
22 <b>Ca</b> UpLim	Calcium abundance upper limit	0,1,2,9	8.7 & C.9
23 <b>Ca</b> Uncer	Calcium abundance uncertainty quality	0,1,2,9	8.7 & C.10
24 <b>Ti</b> UpLim	Titanium abundance upper limit	0,1,2,9	8.7 & C.9
25 <b>Ti</b> Uncer	Titanium abundance uncertainty quality	0,1,2,9	8.7 & C.10
26 <b>Cr</b> UpLim	Chromium abundance upper limit	0,1,2,9	8.7 & C.9
27 <b>Cr</b> Uncer	Chromium abundance uncertainty quality	0,1,2,9	8.7 & C.10
28 <b>Fe</b> UpLim	Neutral iron abundance upper limit	0,1,2,9	8.7 & C.9
29 <b>Fe</b> Uncer	Neutral iron abundance uncertainty quality	0,1,2,9	8.7 & C.10
30 <b>FeI</b> UpLim	Ionised iron abundance upper limit	0,1,2,9	8.7 & C.9
31 <b>FeI</b> Uncer	Ionised iron abundance uncertainty quality	0,1,2,9	8.7 & C.10
32 <b>Ni</b> UpLim	Nickel abundance upper limit	0,1,2,9	8.7 & C.9
33 <b>Ni</b> Uncer	Nickel abundance uncertainty quality	0,1,2,9	8.7 & C.10
34 <b>Zr</b> UpLim	Zirconium abundance upper limit	0,1,2,9	8.7 & C.9
35 <b>Zr</b> Uncer	Zirconium abundance uncertainty quality	0,1,2,9	8.7 & C.10
36 <b>Ce</b> UpLim	Cerium abundance upper limit	0,1,2,9	8.7 & C.9
37 <b>Ce</b> Uncer	Cerium abundance uncertainty quality	0,1,2,9	8.7 & C.10
38 <b>Nd</b> UpLim	Neodymium abundance upper limit	0,1,2,9	8.7 & C.9
39 <b>Nd</b> Uncer	Neodymium abundance uncertainty quality	0,1,2,9	8.7 & C.10
40 <b>Delta</b> CNq	Cyanogen differential equivalent width quality	0,1,2,9	8.9 & C.12
41 <b>DIB</b> q	DIB quality flag	0,1,2,3,4,5,9	8.8 & C.13

Abundance flags

CN/DIB flags

To be used and adapted to your scientific goal



Full table in [Recio-Blanco et al. \(2022\)](#)

# Working with flags in the Gaia Archive

## GSP-Spec flags are distributed as strings in the Gaia Archive

- Not easy to handle with them, but possible.
- The key is the **LIKE** keyword.
- *string1* **LIKE** *string2* performs an element-wise comparison of the characters in *string1* and *string2*
- (*string1* **LIKE** *string2*) is **true** if *string1* has the same form as *string2*. Otherwise it is **false**.

```
SELECT source_id
FROM user_dr3int6.astrophysical_parameters
WHERE (teff_gspspec>3500) AND (logg_gspspec>0) AND
(logg_gspspec<5) AND
((teff_gspspec_upper-teff_gspspec_lower)<750)
AND ((logg_gspspec_upper-logg_gspspec_lower)<1.)
AND ((mh_gspspec_upper-mh_gspspec_lower)<.5) AND
(teff_gspspec>=3800 OR logg_gspspec<=3.5) AND
(teff_gspspec>=4150 OR logg_gspspec<=2.4 OR
logg_gspspec>=3.6 ) AND ((flags_gspspec LIKE
"_____0%") OR (flags_gspspec LIKE
"_____1%")) AND ((flags_gspspec LIKE
"0%") OR (flags_gspspec LIKE "1%")) AND
((flags_gspspec LIKE "_0%") OR (flags_gspspec
LIKE "_1%")) AND ((flags_gspspec LIKE "__0%") OR
(flags_gspspec LIKE "__1%")) AND ((flags_gspspec
LIKE "___0%") OR (flags_gspspec LIKE "___1%"))
AND ((flags_gspspec LIKE "___0%") OR
(flags_gspspec LIKE "___1%")) AND
((flags_gspspec LIKE "____0%") OR
(flags_gspspec LIKE "____1%")) AND
((flags_gspspec LIKE "____0%") OR
(flags_gspspec LIKE "____1%")) OR
(flags_gspspec LIKE "____2%") OR
(flags_gspspec LIKE "____3%")) AND
((flags_gspspec LIKE "_____0%") OR
(flags_gspspec LIKE "_____1%") OR
(flags_gspspec LIKE "_____2%"))
```

**Listing 2.** ADQL query example including conditions on the parameter flags (c.f. Table 2)

# Working with flags in the Gaia Archive

## GSP-Spec flags are distributed as strings in the Gaia Archive

- The underscore (`_`) refers to “any **single** character”.
- The percentage symbol (`%`) means “**multiple** characters”.

`flags_gspspec LIKE "_____0%"`

Don't change this

5 underscores

True if the 6<sup>th</sup> flag (`vradM`) is zero

```
SELECT source_id
FROM user_dr3int6.astrophysical_parameters
WHERE (teff_gspspec>3500) AND (logg_gspspec>0) AND
(logg_gspspec<5) AND
((teff_gspspec_upper-teff_gspspec_lower)<750)
AND ((logg_gspspec_upper-logg_gspspec_lower)<1.)
AND ((mh_gspspec_upper-mh_gspspec_lower)<.5) AND
(teff_gspspec>=3800 OR logg_gspspec<=3.5) AND
(teff_gspspec>=4150 OR logg_gspspec<=2.4 OR
logg_gspspec>=3.6 ) AND ((flags_gspspec LIKE
"_____0%") OR (flags_gspspec LIKE
"_____1%")) AND ((flags_gspspec LIKE
"0%") OR (flags_gspspec LIKE "1%")) AND
((flags_gspspec LIKE "_0%") OR (flags_gspspec
LIKE "_1%")) AND ((flags_gspspec LIKE "__0%") OR
(flags_gspspec LIKE "__1%")) AND ((flags_gspspec
LIKE "___0%") OR (flags_gspspec LIKE "___1%"))
AND ((flags_gspspec LIKE "____0%") OR
(flags_gspspec LIKE "____1%")) AND
((flags_gspspec LIKE "_____0%") OR
(flags_gspspec LIKE "_____1%")) AND
((flags_gspspec LIKE "_____0%") OR
(flags_gspspec LIKE "_____1%") OR
(flags_gspspec LIKE "_____2%") OR
(flags_gspspec LIKE "_____3%")) AND
((flags_gspspec LIKE "_____0%") OR
(flags_gspspec LIKE "_____1%") OR
(flags_gspspec LIKE "_____2%"))
```

**Listing 2.** ADQL query example including conditions on the parameter flags (c.f. Table 2)

# Working with flags in the Gaia Archive

## What if we want $\text{vradM} \leq 1$ ?

- Just use **OR**

```
(flags_gspspec LIKE "____0%")  
OR  
(flags_gspspec LIKE "____1%")
```

True if the 6<sup>th</sup> flag (vradM) is zero or one

```
SELECT source_id  
FROM user_dr3int6.astrophysical_parameters  
WHERE (teff_gspspec>3500) AND (logg_gspspec>0) AND  
      (logg_gspspec<5) AND  
      ((teff_gspspec_upper-teff_gspspec_lower)<750)  
      AND ((logg_gspspec_upper-logg_gspspec_lower)<1.)  
      AND ((mh_gspspec_upper-mh_gspspec_lower)<.5) AND  
      (teff_gspspec>=3800 OR logg_gspspec<=3.5) AND  
      (teff_gspspec>=4150 OR logg_gspspec<=2.4 OR  
      logg_gspspec>=3.6 ) AND ((flags_gspspec LIKE  
      "____0%") OR (flags_gspspec LIKE  
      "____1%")) AND ((flags_gspspec LIKE  
      "0%") OR (flags_gspspec LIKE "1%")) AND  
      ((flags_gspspec LIKE "_0%") OR (flags_gspspec  
      LIKE "_1%")) AND ((flags_gspspec LIKE "__0%") OR  
      (flags_gspspec LIKE "__1%")) AND ((flags_gspspec  
      LIKE "___0%") OR (flags_gspspec LIKE "___1%"))  
      AND ((flags_gspspec LIKE "___0%") OR  
      (flags_gspspec LIKE "___1%")) AND  
      ((flags_gspspec LIKE "____0%") OR  
      (flags_gspspec LIKE "____1%")) AND  
      ((flags_gspspec LIKE "____0%") OR  
      (flags_gspspec LIKE "____1%")) OR  
      (flags_gspspec LIKE "____2%") OR  
      (flags_gspspec LIKE "____3%")) AND  
      ((flags_gspspec LIKE "____0%") OR  
      (flags_gspspec LIKE "____1%") OR  
      (flags_gspspec LIKE "____2%"))
```

**Listing 2.** ADQL query example including conditions on the parameter flags (c.f. Table 2)

## Working with flags in the Gaia Archive

Recommendation for complex ADQL queries: use parenthesis

GOOD

```
((flags_gspspec LIKE "____0%") OR (flags_gspspec LIKE "____1%"))  
AND  
((flags_gspspec LIKE "____0%") OR (flags_gspspec LIKE "____1%"))
```

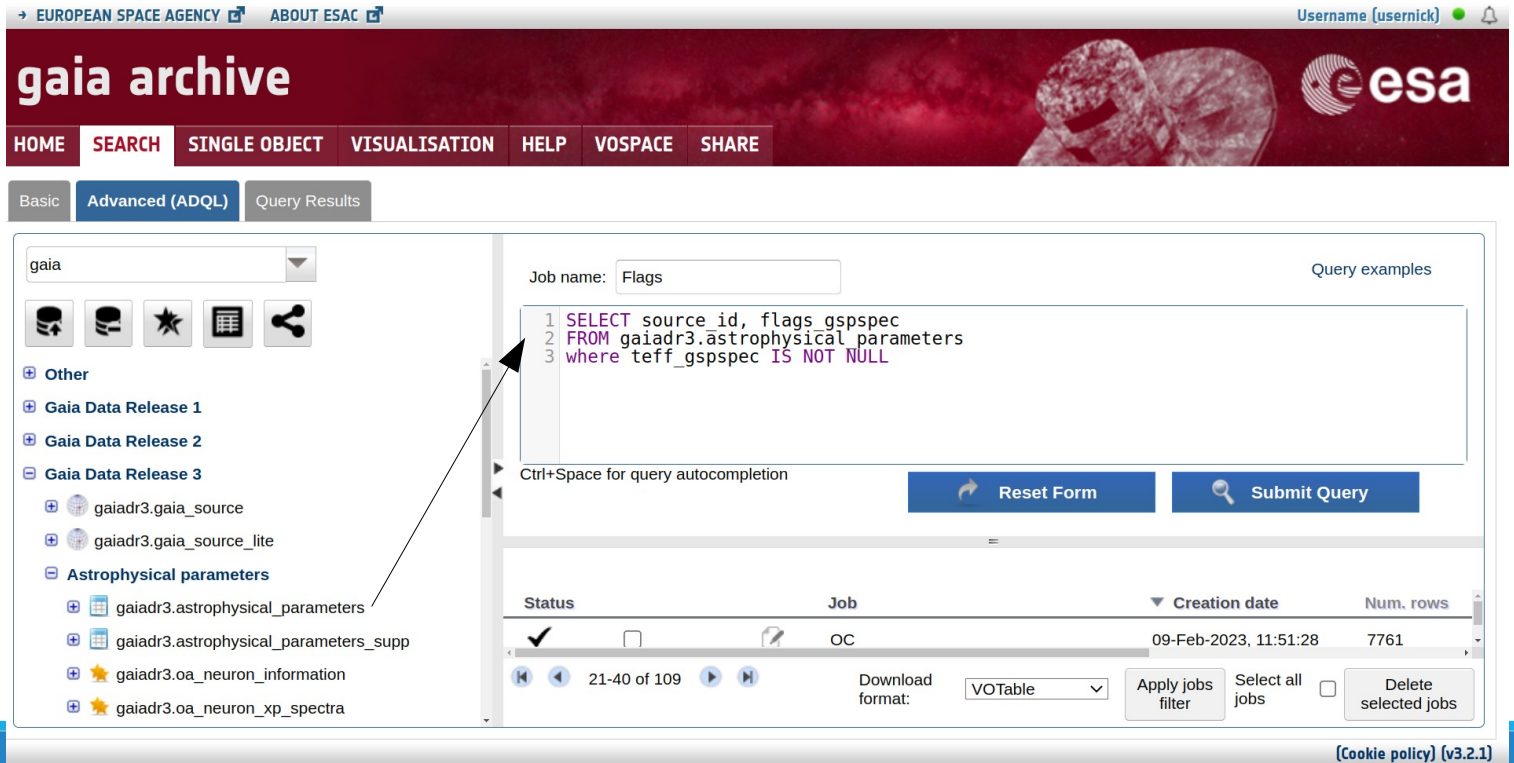
True if both 6<sup>th</sup> and 5<sup>th</sup> flags (vradM, vradG) are zero or one. It is different from

BAD

```
(flags_gspspec LIKE "____0%") OR (flags_gspspec LIKE "____1%")  
AND  
(flags_gspspec LIKE "____0%") OR (flags_gspspec LIKE "____1%")
```

# Working with flags in the Gaia Archive Python

Alternatively, you may prefer to download a wider sample and perform the flag filtering in Python. Here is an example query\*:



The screenshot shows the Gaia Archive search interface. The top navigation bar includes 'HOME', 'SEARCH', 'SINGLE OBJECT', 'VISUALISATION', 'HELP', 'VOSPACE', and 'SHARE'. The 'SEARCH' tab is active, and the 'Advanced (ADQL)' sub-tab is selected. The search results are displayed in a table with columns for 'Status', 'Job', 'Creation date', and 'Num. rows'. A query is entered in the 'Job name' field, and the results show a job named 'Flags' with 7761 rows.

gaia

Job name: Flags

```
1 SELECT source_id, flags_gspspec
2 FROM gaiadr3.astrophysical_parameters
3 where teff_gspspec IS NOT NULL
```

Ctrl+Space for query autocompletion

Reset Form Submit Query

Status	Job	Creation date	Num. rows
<input checked="" type="checkbox"/>	OC	09-Feb-2023, 11:51:28	7761

21-40 of 109

Download format: VOTable

Apply jobs filter Select all jobs Delete selected jobs

\*Not recommended: Gaia archive queries should be more specific!

## Working with flags in the Gaia Archive Python

Alternatively, you may prefer to download a wider sample and perform the flag filtering in Python. Here is an example code\*:

---

```
def getflag(x,n):  
    # Get the n-th column of the array of strings "x"  
    # n>=0  
    # Note n starts at zero, not at one!  
    # The output is an array of integers  
    z = map(lambda y: y[n], x)  
    z = list(z)  
    z = np.uint8(z)  
    return(z)
```

\*Depending on the Python version and the input file format, you may have to tune this code.

## Working with flags in Topcat

Alternatively, you may prefer to download a wider sample and perform the flag filtering in Topcat.

Output of the previous query (~70 Mb)

We can extract the **numeric value** of the n-th flag by concatenating `parseInt` and `substring` functions:

```
parseInt( substring( flags_gpspec,0,1 ) )
```

n-th  
(n+1)-th

The screenshot displays the TOPCAT software interface. The main window shows the 'Table List' with '2: Flags-result.fits.gz' selected. The 'Current Table Properties' panel on the right shows details for this table: Label: Flags-result.fits.gz, Location: /home/palonso/Downloads/Flags-result.fits.gz, Name: votable, Rows: 5,591,594, Columns: 3, Sort Order: Ascending, Row Subset: All, and Activation Action: (no action). Below this, the 'SAMP' section shows 'Messages' and 'Clients'.

A secondary window titled 'TOPCAT(2): Table Columns' is open, showing the column definitions for '2: Flags-result.fits.gz'. The table below is a reproduction of the data shown in this window.

Δ	Index	Visible	Name	Class	Expression	Description
1	1	<input checked="" type="checkbox"/>	source_id	Long		Source Identifier
2	2	<input checked="" type="checkbox"/>	flags_gpspec	String		Catalogue flags for GSP-Spec Matisse
3	3	<input checked="" type="checkbox"/>	vbroadT_flag	Integer	parseInt( substring( flags_gps...	



# Topics

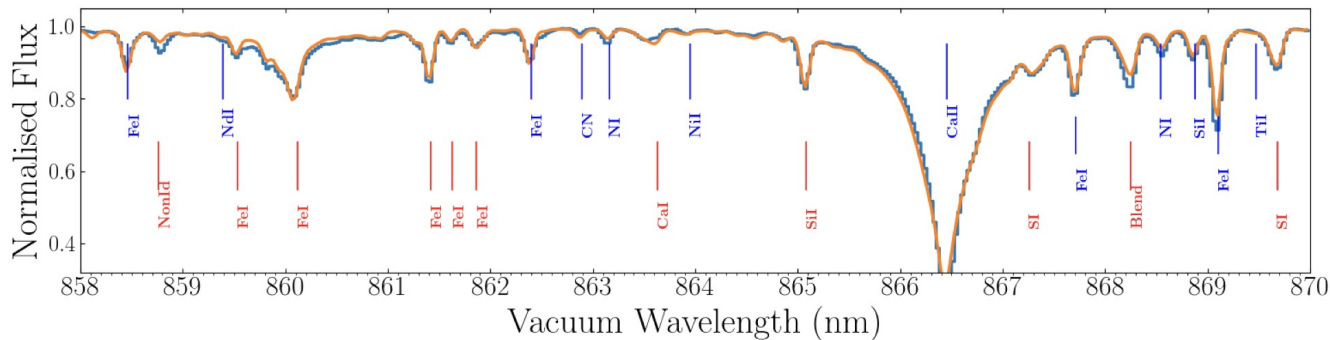
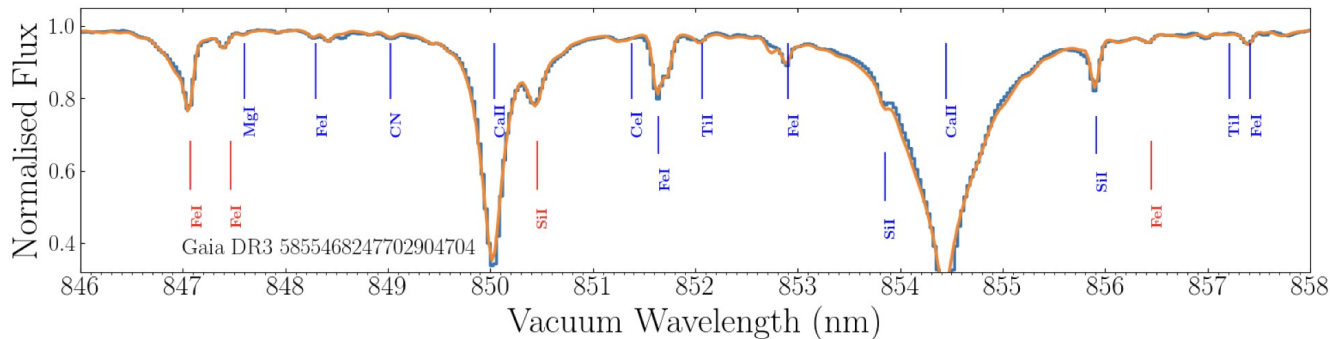
---

- Gaia RVS overview
- The GSP-Spec module
- The GSP-Spec output
  - Comparison with Literature
  - The importance of GSP-Spec flags
  - **GSP-Spec results**
- Some scientific applications
- Recommendations

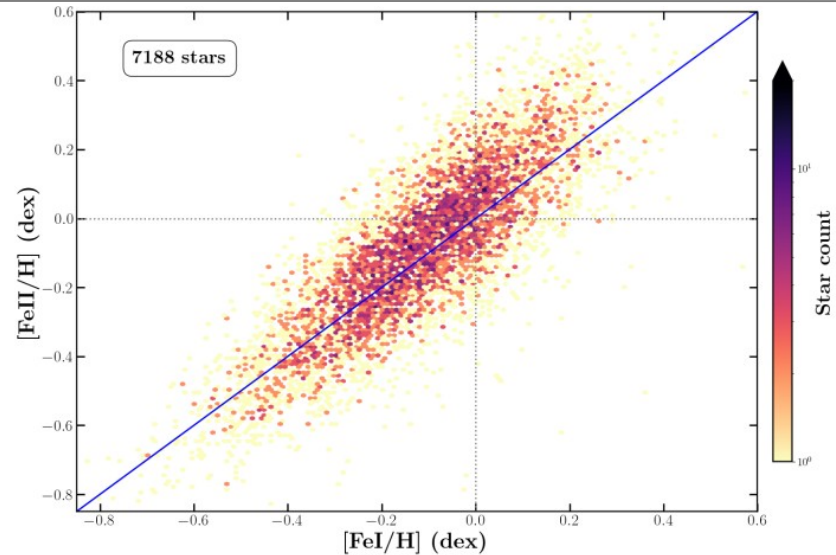
# High quality spectra and fitting

Continuous observations for 3 years, no atmosphere, control of systematics, ... Gaia is not a ground-based survey!

Teff 5477K  
Logg 1.44  
Met. 0.07 dex  
Alpha 0.11 dex



## Ionized iron line

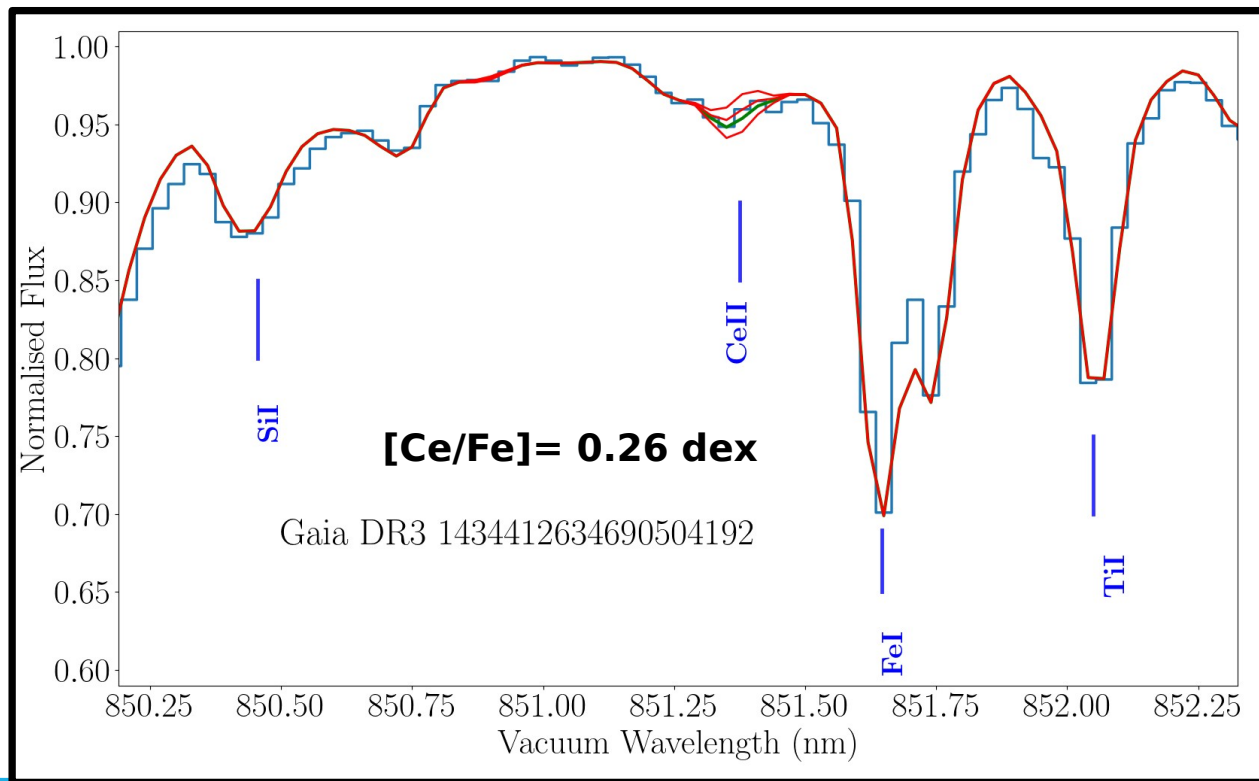


**Recio-Blanco et al.  
(2022)**

**Fig. 10.** Comparison between iron abundances measured from the proposed Fe II line at 858.79 nm and from all the other Fe I lines. The Spearman correlation coefficient is equal to 0.82. See text for more details.

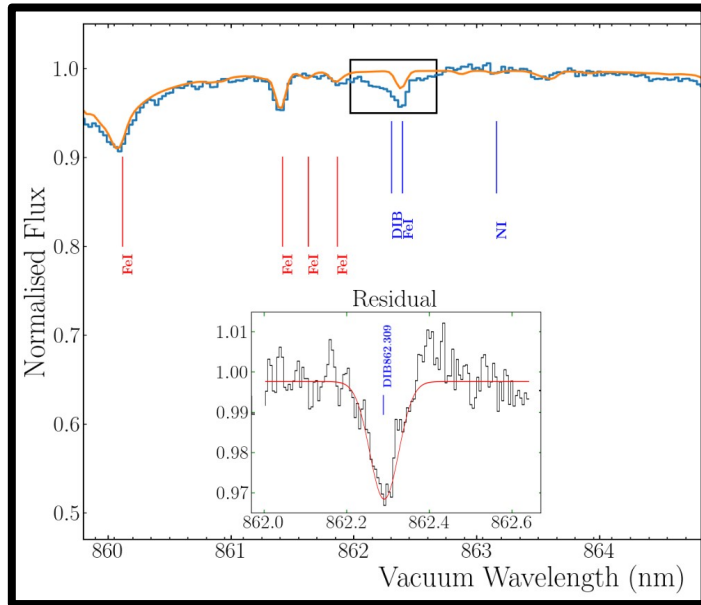
## High quality spectra and fitting

Continuous observations for 3 years, no atmosphere, control of systematics, ... Gaia is not a ground-based survey!

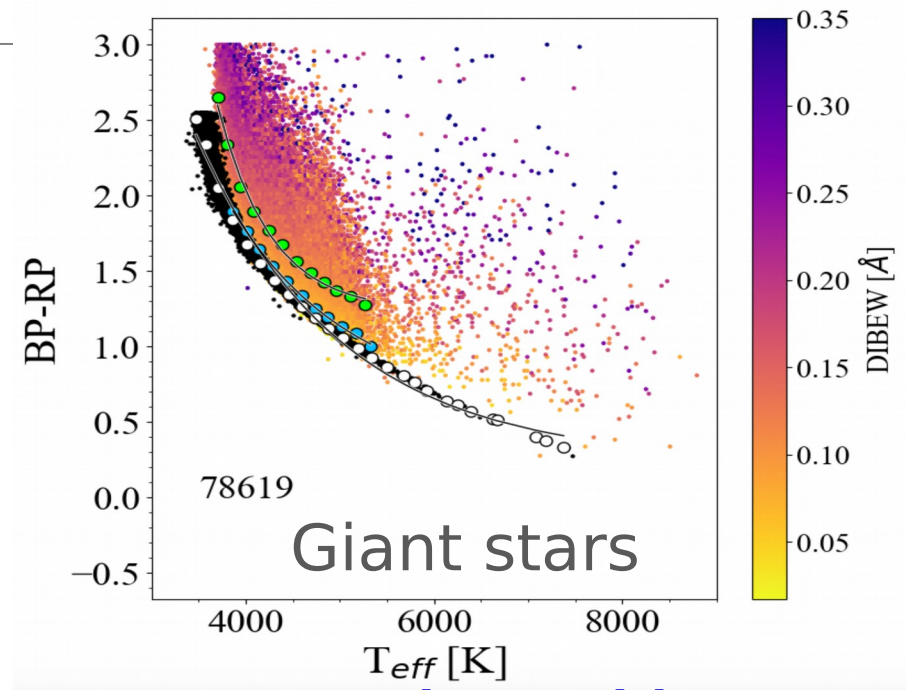


See **Contursi et al. 2022** for the scientific exploitation of Cerium

# Absorption from interstellar dust molecules (DIB) on an individual spectrum basis



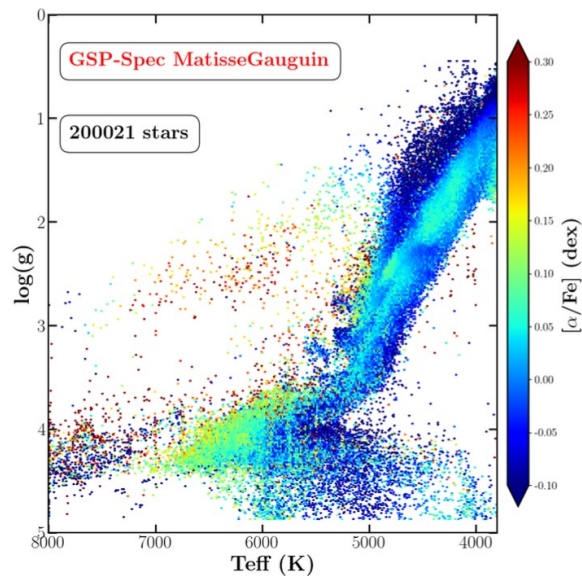
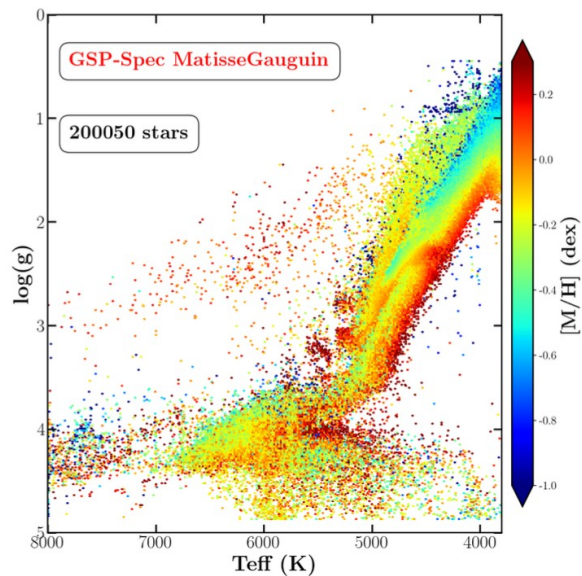
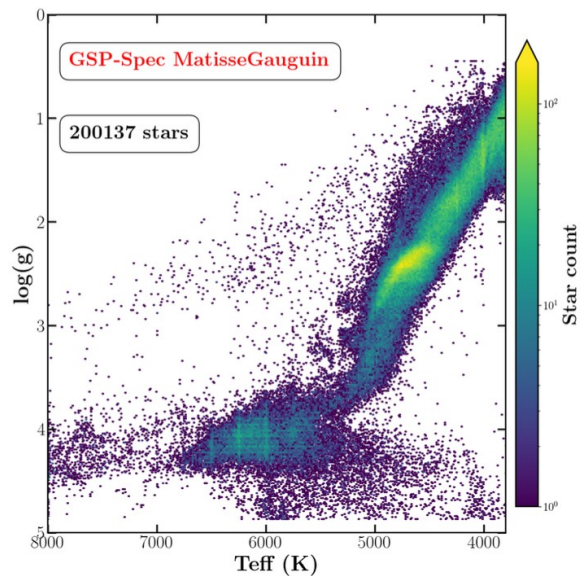
Recio-Blanco et al. (2022)

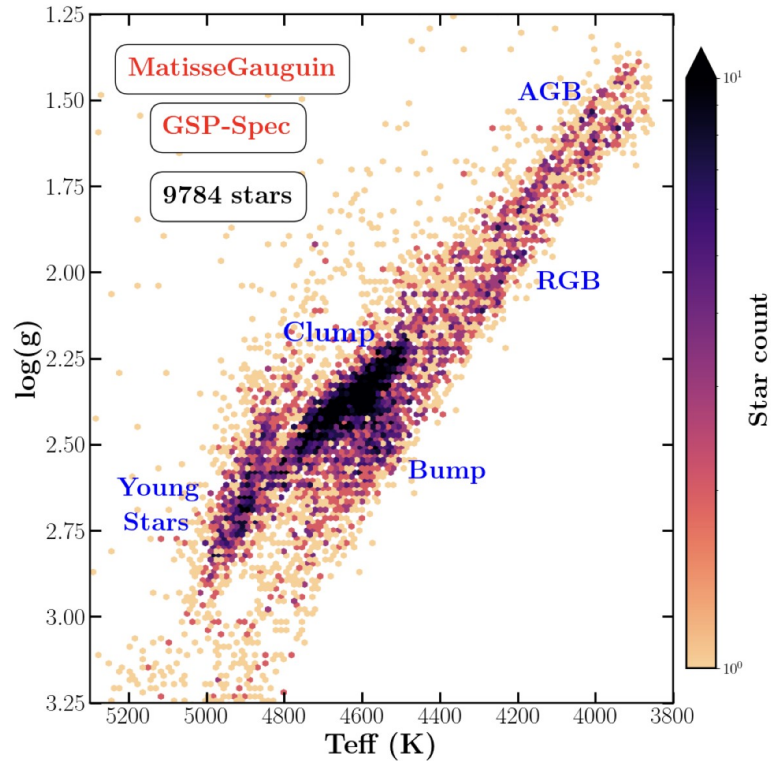


**DIB correlates with  
Teff-color discrepancy**

# SNR > 150

## High quality parameter flags



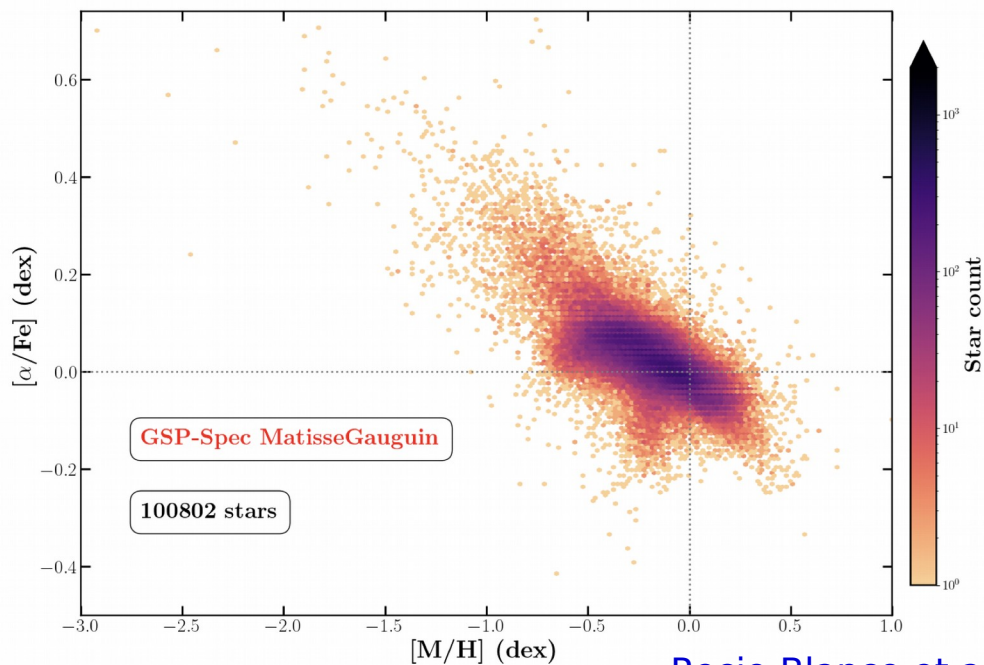


- Sample of stars with more than 68% of probability of solar metallicity ( $-0.05 \text{ dex} < [M/H] < 0.05 \text{ dex}$ )

Recio-Blanco et al. (2022)

## Thick-thin disc dichotomy

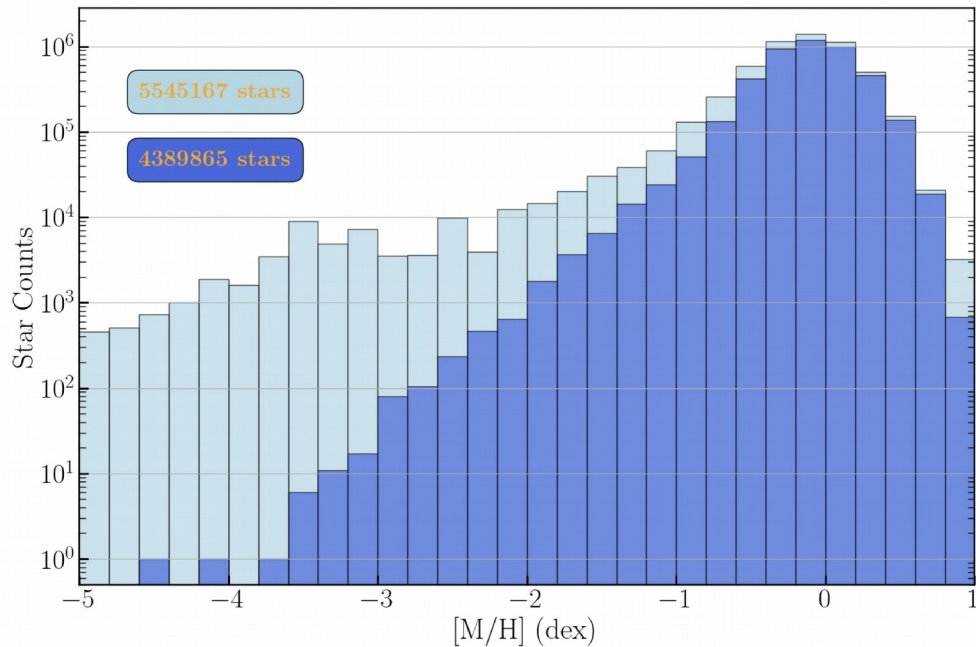
- RGB+Massive stars
- SNR>150



Recio-Blanco et al. (2022)

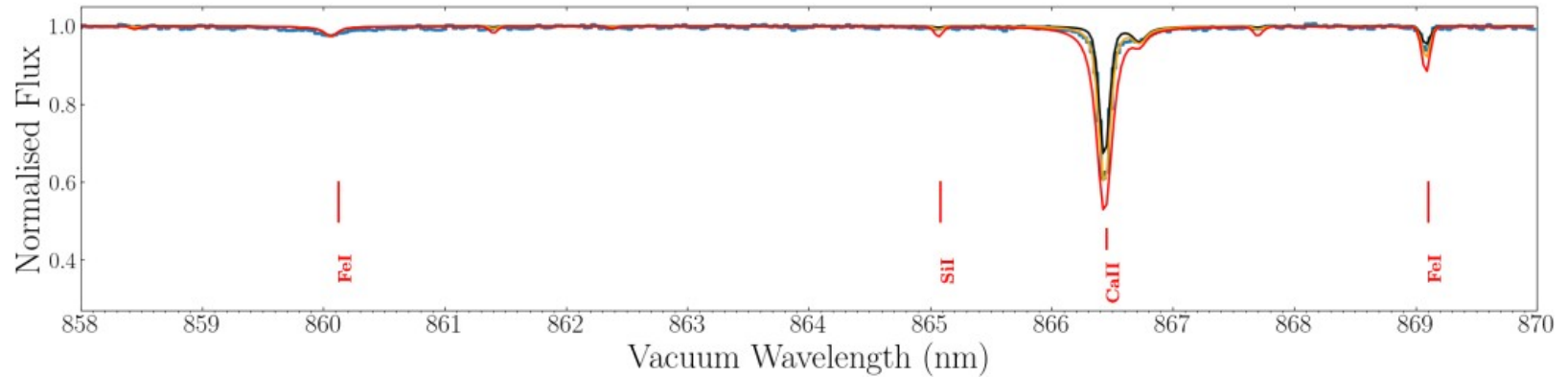
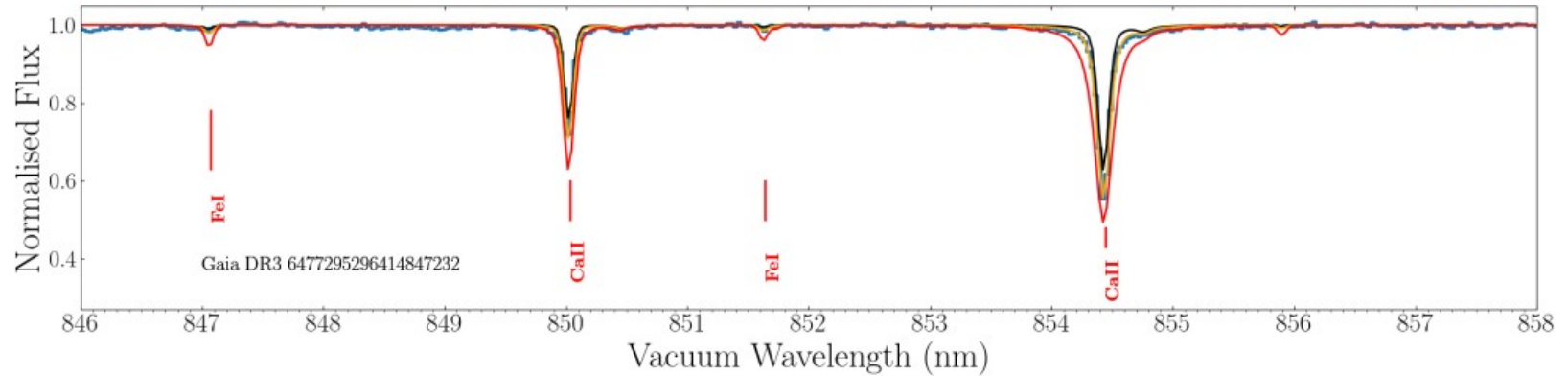


## Observing metal poor stars



Extremely metal-poor stars can be selected in the Gaia DR3 GSPspec table (special ADQL query is required [Section 10.5 in [Recio-Blanco et al. 2022](#)])

# Observing metal poor stars ([M/H]=-3.52 dex)



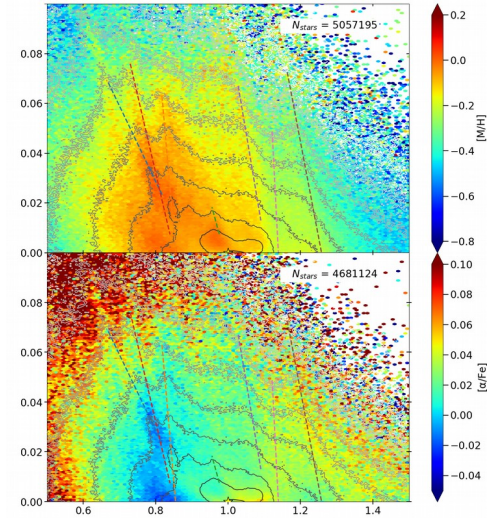
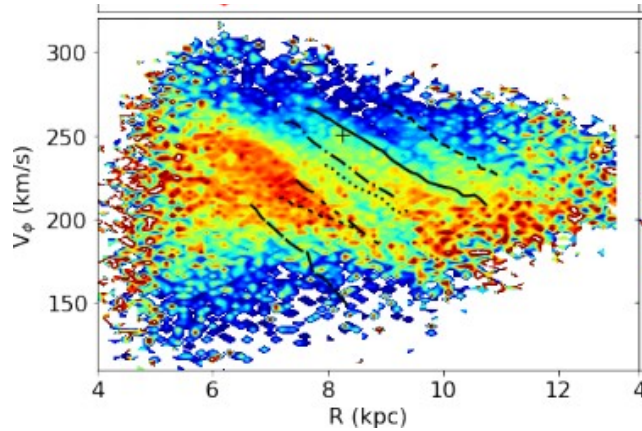
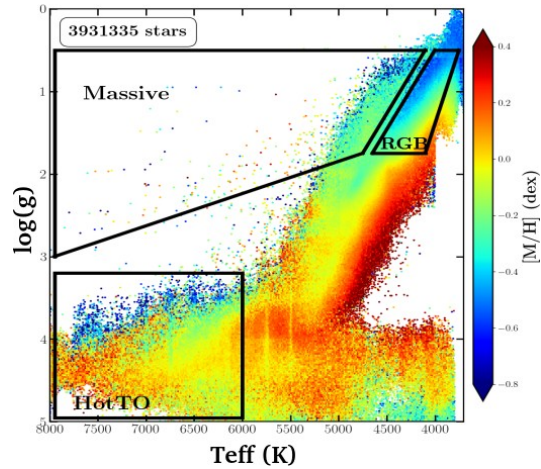
# Topics

---

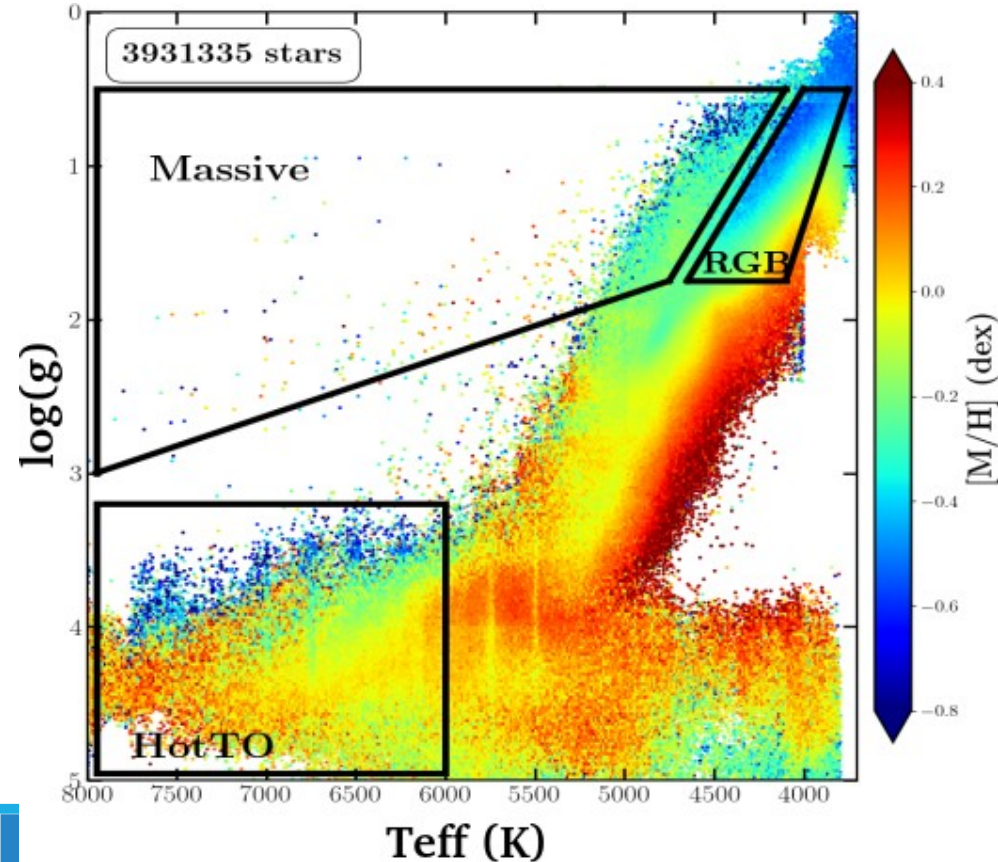
- Gaia RVS overview
- The GSP-Spec module
- The GSP-Spec output
- **Some scientific applications**
- Recommendations

# Scientific exploitation examples of GSP-Spec data

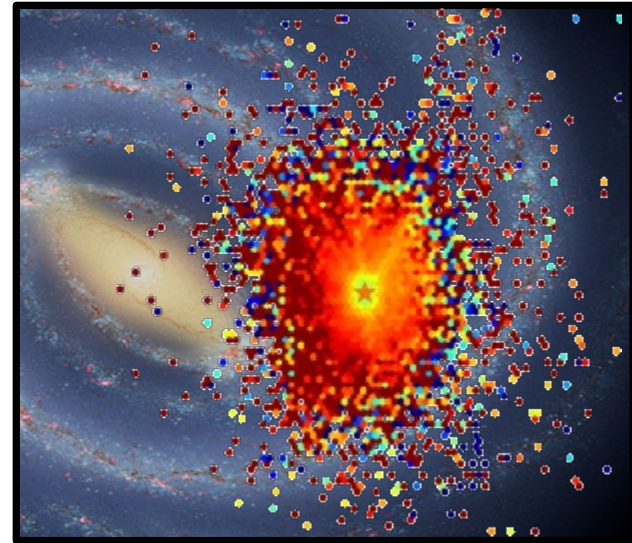
Many examples in the Performance Verification Paper *Chemical Cartography of the Milky Way* (Gaia Collaboration, Recio-Blanco et al. 2022)



# Hot Turn Off sample

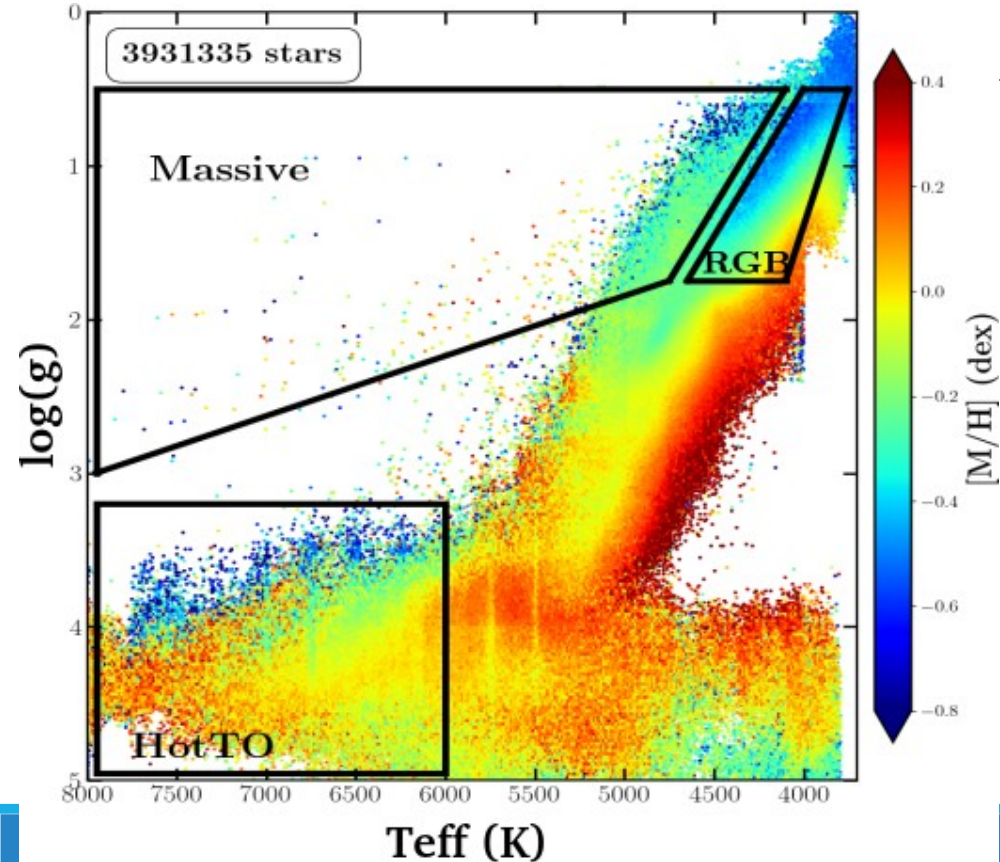


## Hot TO sample

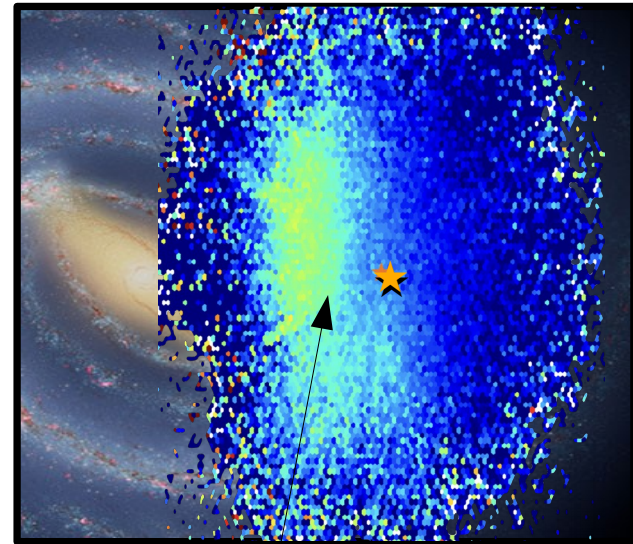


Most of them are within 1 kpc.

# Bright RGB

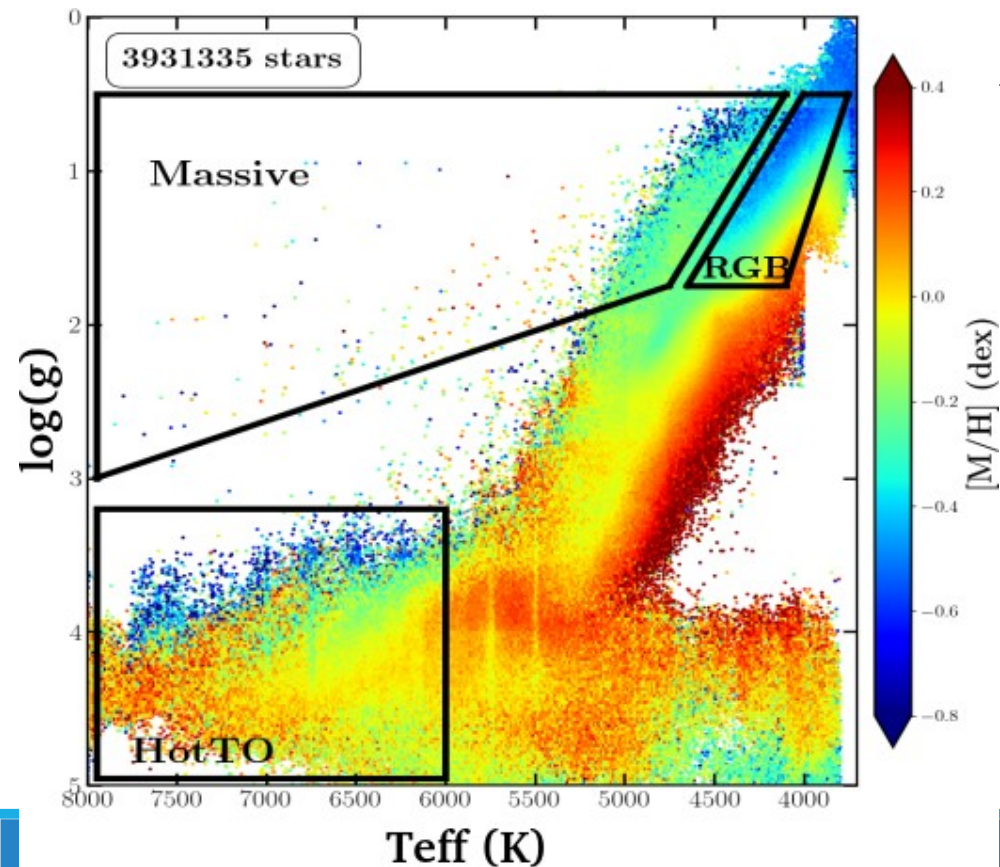


## Bright RGB sample

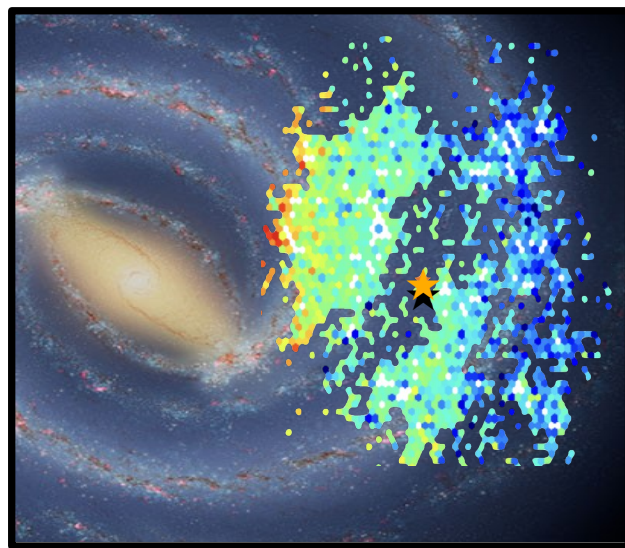


Innermost spiral arm and bar

# Young population in the spiral arms

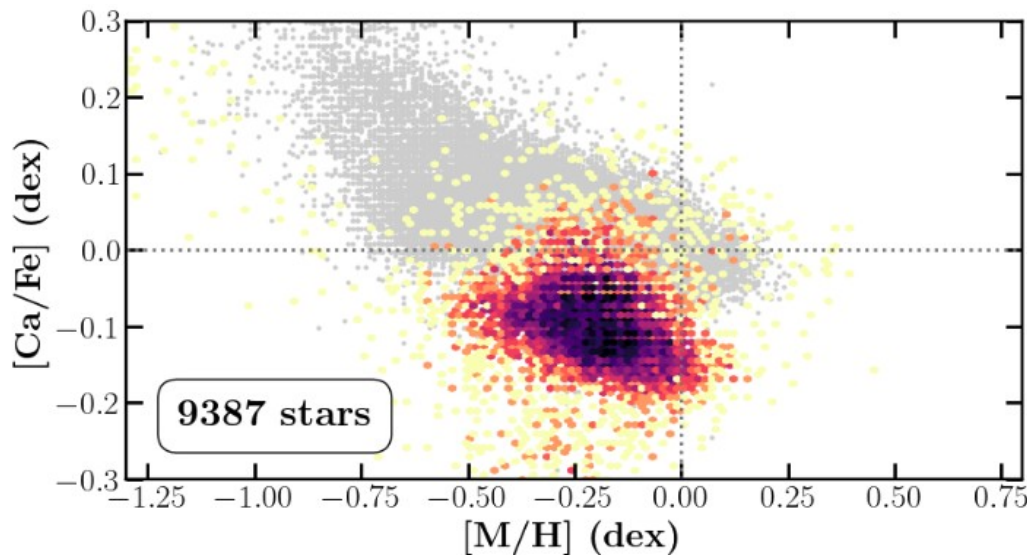


## Massive sample



The **massive sample** traces the spiral arms.

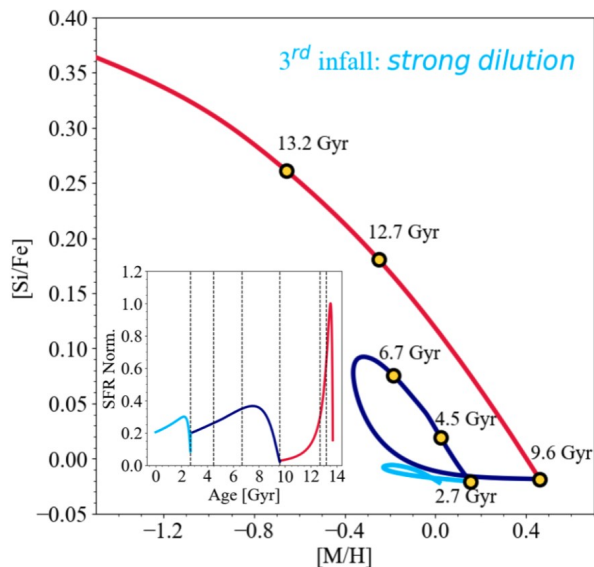
# Chemical impoverishment ?



Gaia Collaboration, Recio-Blanco et al. (2022)

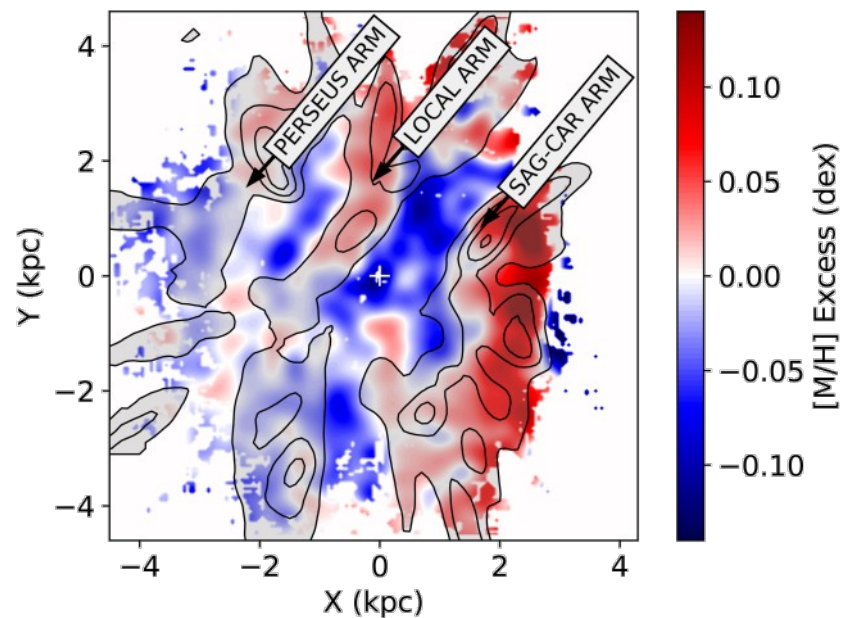
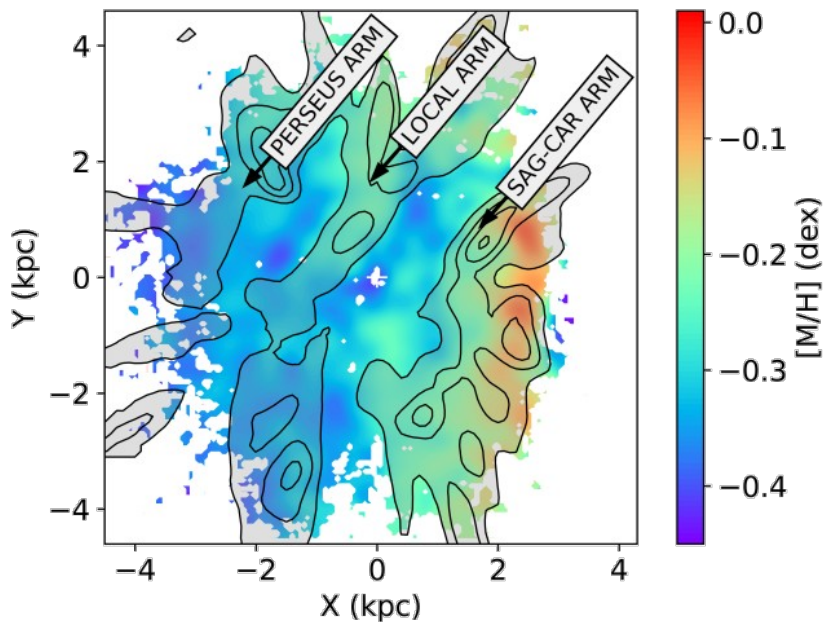
Depletion consistent with other HR surveys (APOGEE)

- Recent gas infall?
- Missing physics?

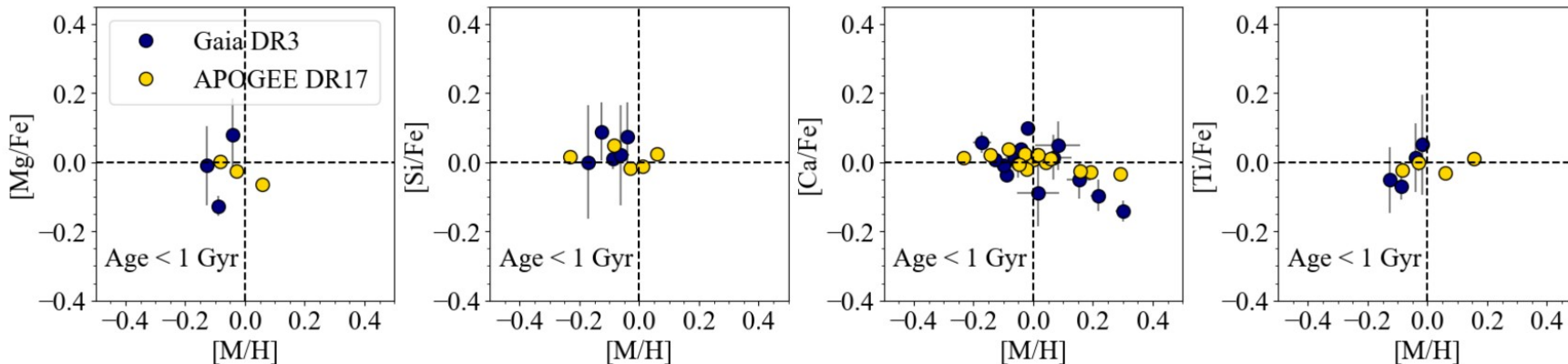




# Signatures of the spiral arms in the metallicity distribution

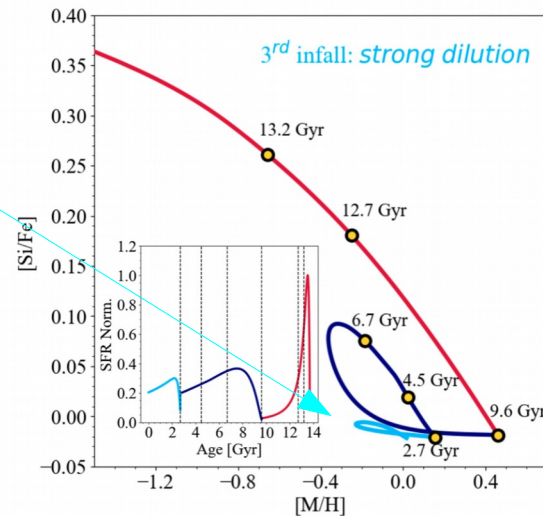


Poggio et al. 2022

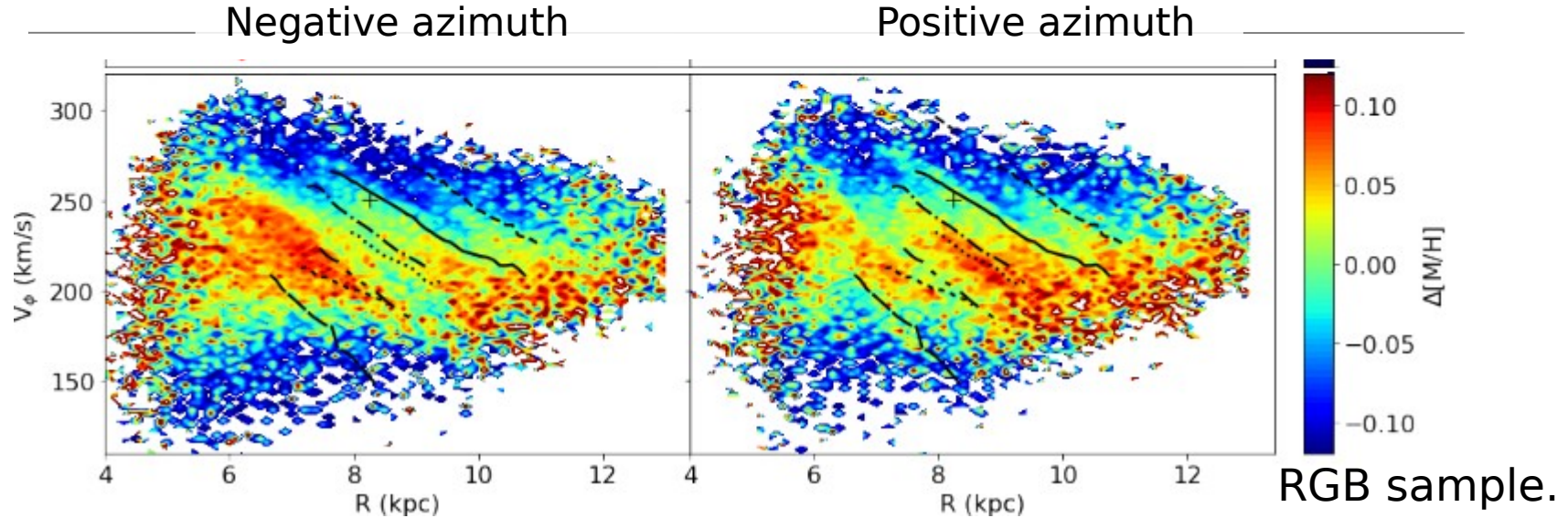


## Spitoni et al. 2023

(We have more young metal poor stars than these shown above, but they are not in common with APOGEE DR17)

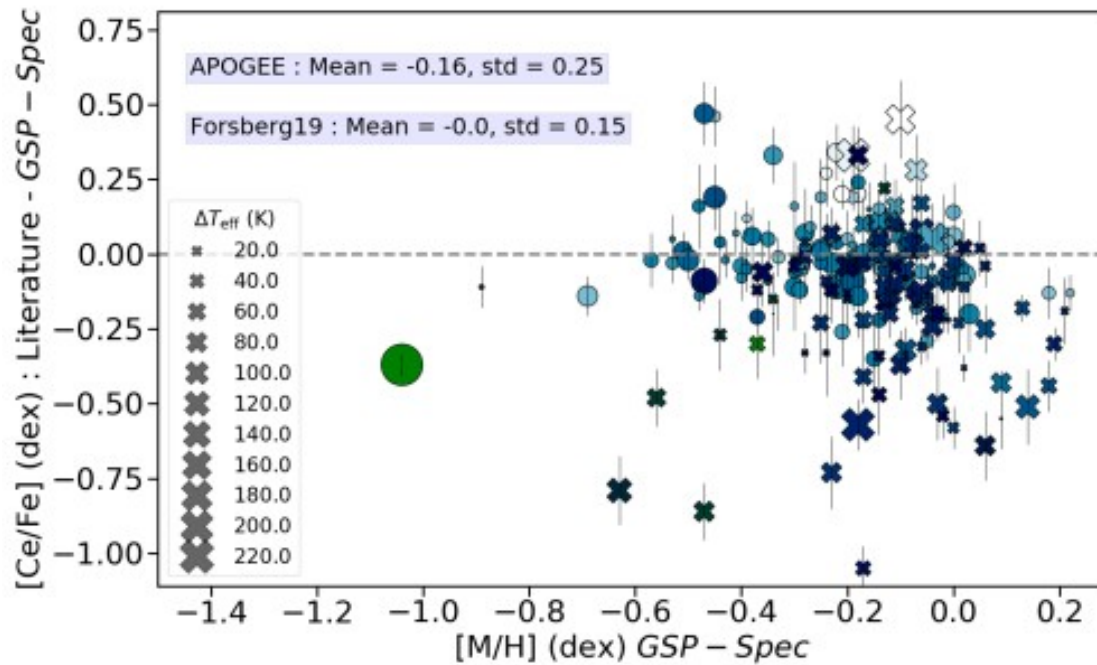


# Ridges in the Chemodynamical space (vel. profile)



Ridges in metallicity excess suggest a connection with these in azimuthal velocity (moving groups?). [Gaia Collab., Recio-Blanco et al. 2022](#)

# Cerium abundances

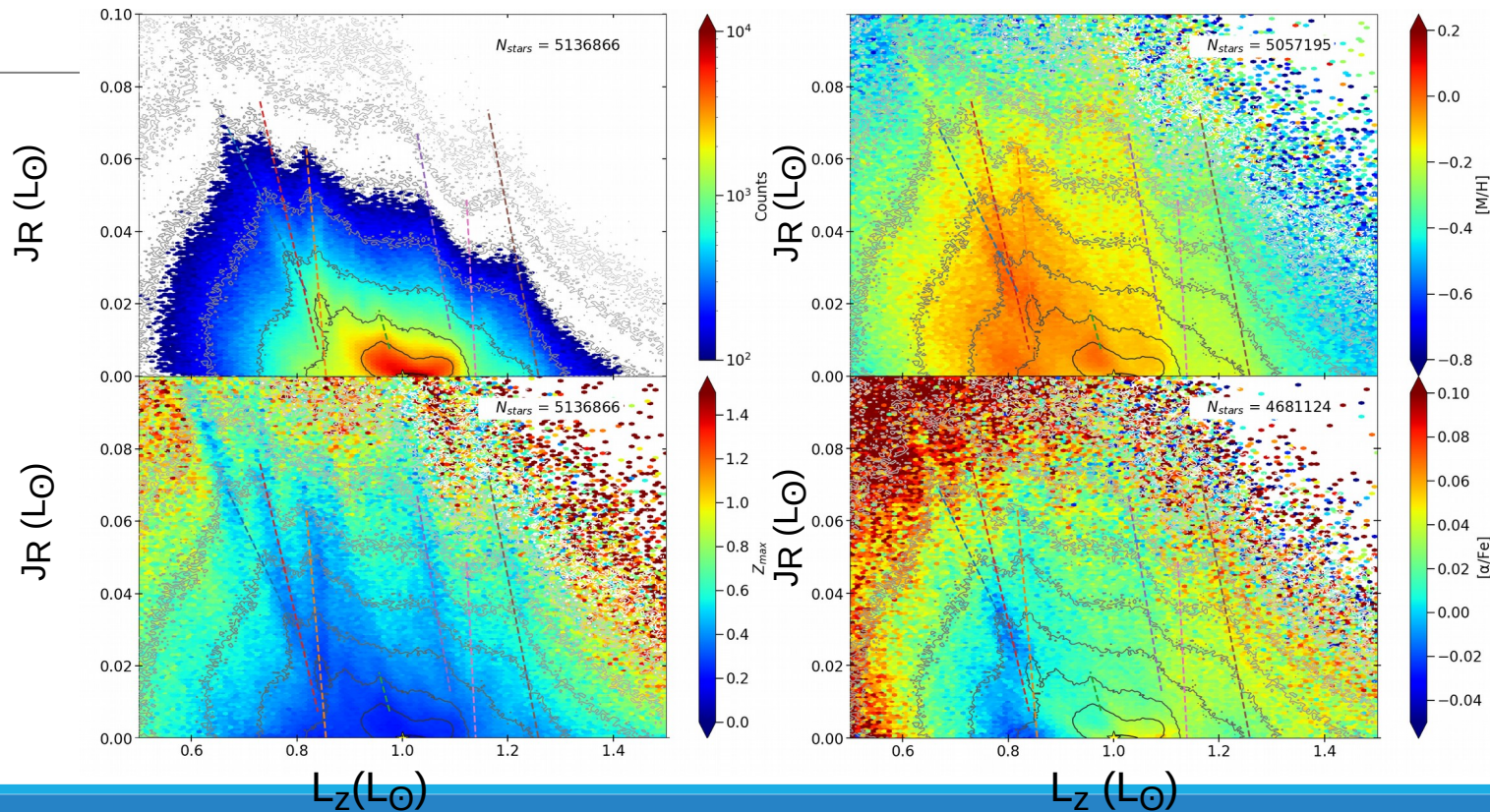


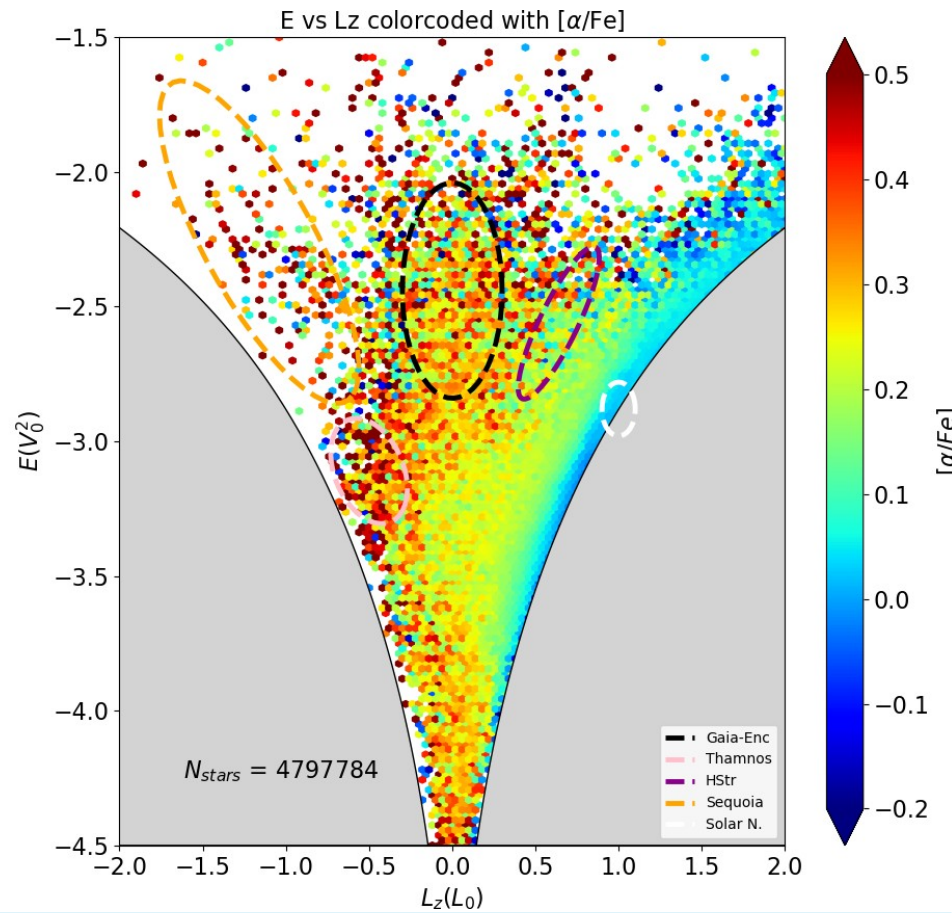
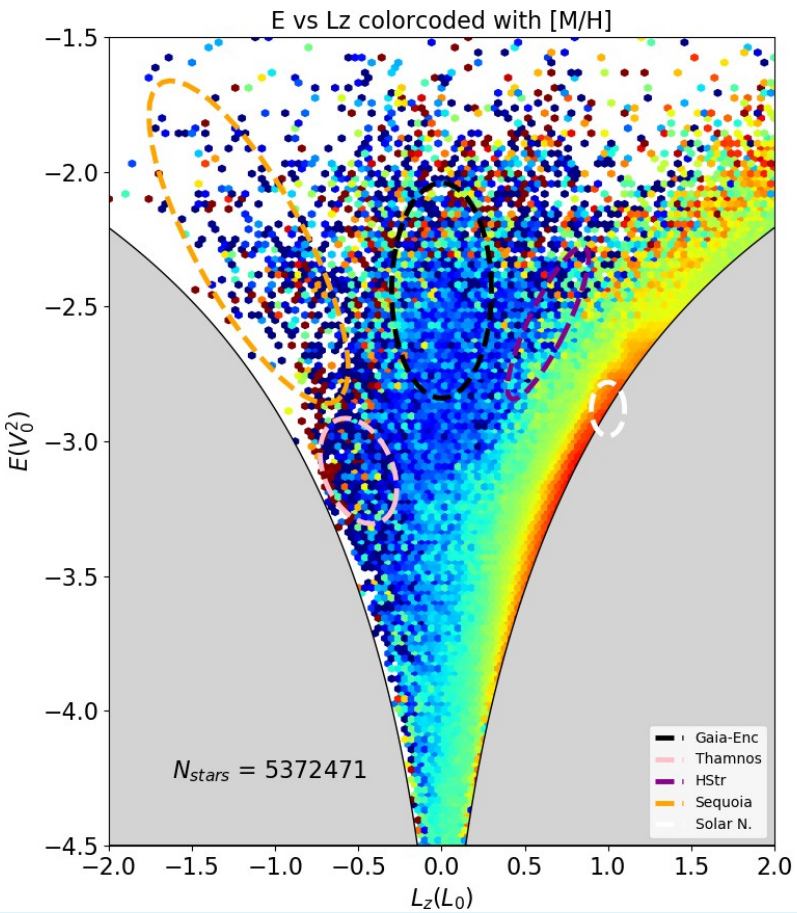
Contursi et al. 2023

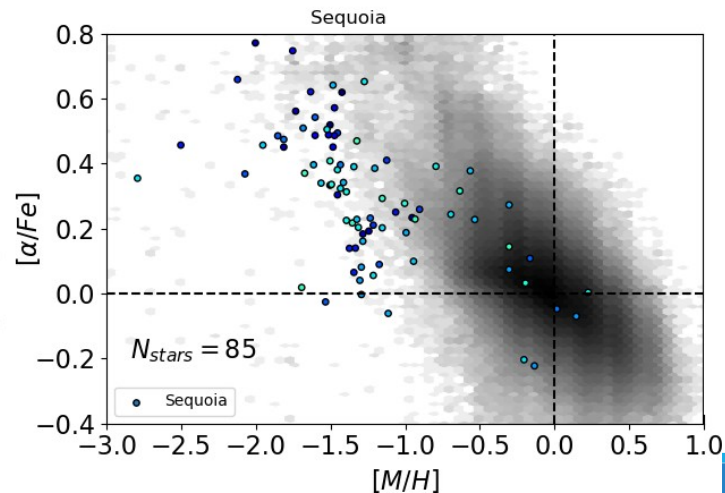
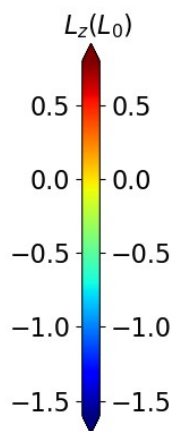
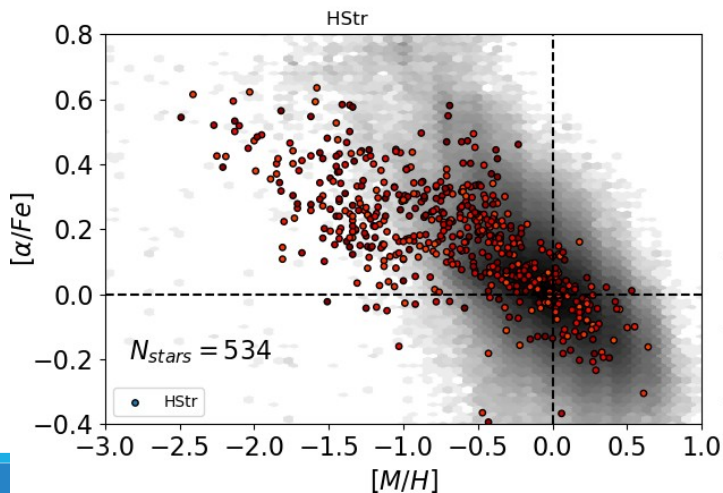
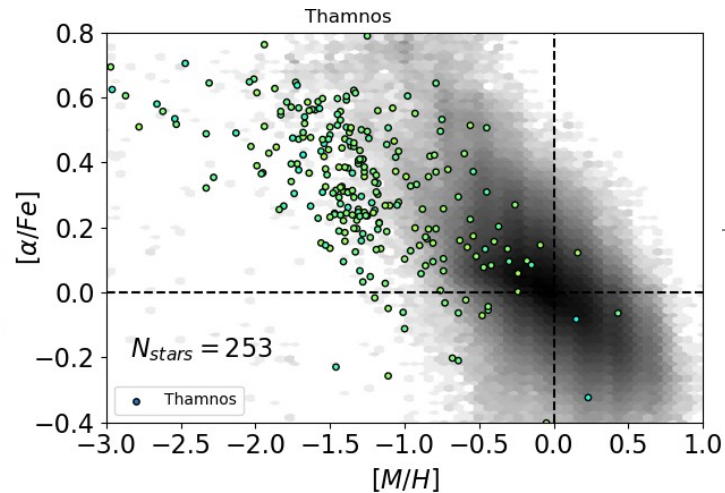
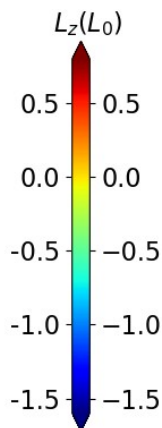
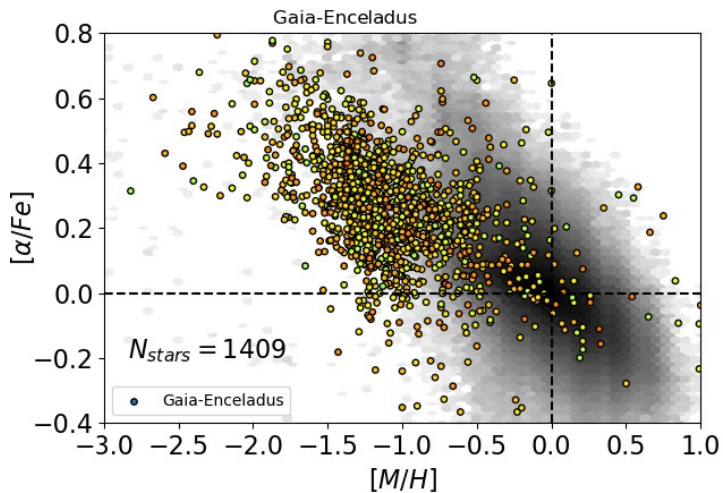
# Ridges in the Chemodynamical space (actions)

$J_R$  (radial action) is a momentum variable that tunes the motion in the radial direction.

$L_z$  (angular mom.) determines the “guiding radii” around the Galactic Center.







# Topics

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- Gaia RVS overview
- The GSP-Spec module
- The GSP-Spec output
- Some scientific applications
- **Recommendations**
- Conclusions



# Good practices

**Calibration is mandatory:** Always start with the calibrated values

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Use the flags!

- A good initial flag filtering is to **keep the 13<sup>th</sup> first flags equal to zero**.
- Depending on the volume, quality and application, relax this condition.
- Specific filtering suggestions were provided in **Recio-Blanco et al.** (2022) for the Chem. Abundances

You can (reasonably) apply your own bias correction when comparing with other surveys.

# Good practices

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- **Best quality sample**: the 13<sup>th</sup> first flags equal to zero.
- **Medium quality sample**: Like the best quality sample but flags lower or equal to one, except KMflag (still 0), fluxnoise flag (<4)
- **More specific samples**: Appendix B of “Chemical Cartography of the Milky Way” (Gaia Collaboration, Recio-Blanco et al. 2022)

# Conclusions

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- **Gaia DR3 GSP-Spec** constitutes the **largest all-sky chemical survey ever** (data for 5.6 million sources).
- It is the **first** based on satellite observations.
- It provides **very competitive** results compared to other large spectroscopic surveys, although **calibration** and **filtering** may be required.
- **Calibration is mandatory**. Flags must be used (Gaia archive, python or topcat, but use them!)
- A wide variety of **scientific results** has already been discovered using the GSP-Spec catalog (Spiral structure, accreted systems, chemical evolution, chemodynamic relations...)