

# Fermi acceleration in relativistic outflows

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+ A. Bykov (Ioffe), M. Malkov (UCSD), L. Comisso (Columbia), L. Sironi (Columbia)

# Fermi acceleration in relativistic outflows

## Outline:

1. General remarks
2. Fermi acceleration at relativistic shock waves
3. Fermi acceleration in turbulence (+shear)

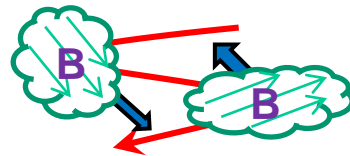
# Microphysics of particle acceleration in the high-energy Universe

→ Lorentz force:  $\frac{d\mathbf{p}}{dt} = q \left( \mathbf{E} + \frac{\mathbf{v}}{c} \times \mathbf{B} \right)$  ... what is the origin of  $\mathbf{E}$ ?

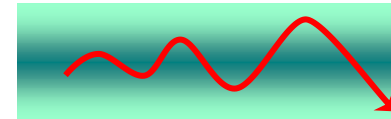
## 1. Acceleration à la Fermi: highly conducting plasma...

→ **large scale physics** ( $\leftrightarrow$  very high energies?): corresponds to ideal Ohm's law  $\mathbf{E} = -\mathbf{v}_p \times \mathbf{B} / c \dots$

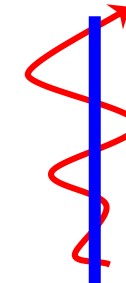
→ **Fermi-type scenarios: magnetized turbulence,**



**shear flows,**



**shock waves**



## 2. "Linear" accelerators: non-MHD flows: $\exists E_{\parallel}$

→ acceleration can proceed unbounded along  $\mathbf{E}$  (or at least  $\mathbf{E}_{\parallel}$ )...

→ **gaps in magnetospheres, reconnection**

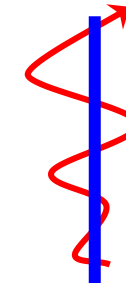
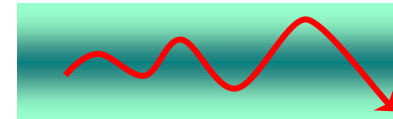
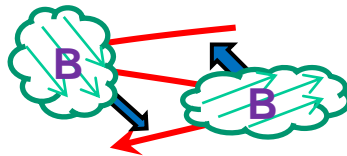
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→ Fermi-type scenarios: magnetized turbulence, shear flows, shock waves



→ acceleration timescale  $t_{\text{acc}} \propto 1/\beta_s^2$  ( $\beta_s = \text{velocity}/c$  of accelerating agents)

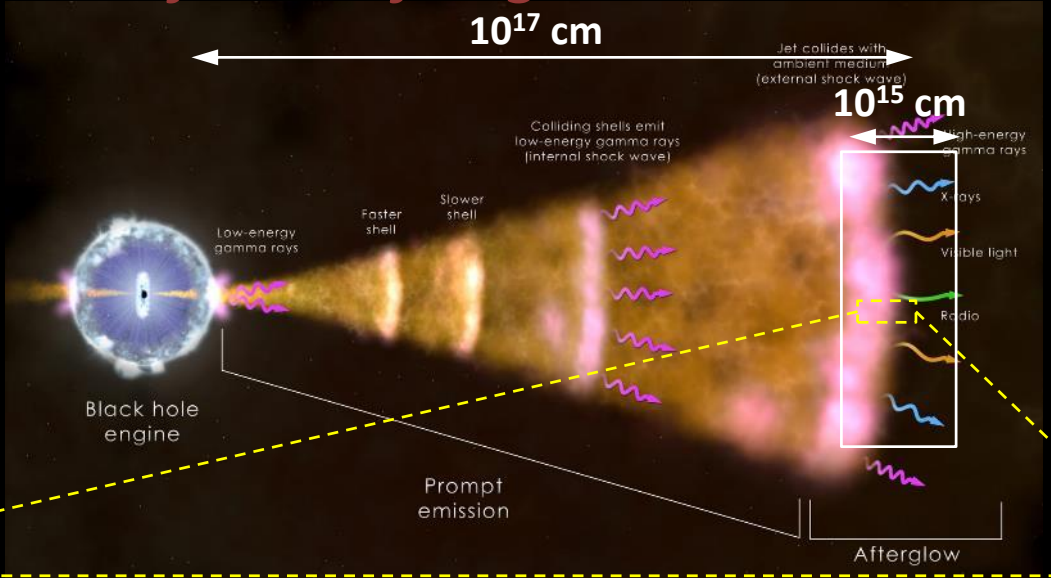
⇒ **extreme plasma physics: relativistic + collisionless plasmas, highly magnetized etc.**

→ scattering is essential to explore  $\mathbf{E}$  fields through cross- $\mathbf{B}$  transport: **turbulence!**

⇒ **a non-linear, multi-scale challenge in realistic conditions...**

→ **significant progress from particle-in-cell (PIC) numerical simulations... BUT: probe tiny length/time scales!**

# Length scales of GRB afterglows



... afterglow: SSC radiation from electrons accelerated in a self-generated electromagnetic turbulence...

... a huge gap in scales  $\gtrsim 10^5$ :

$$L_{\text{radiation}} \gg L_{\text{acceleration}}$$

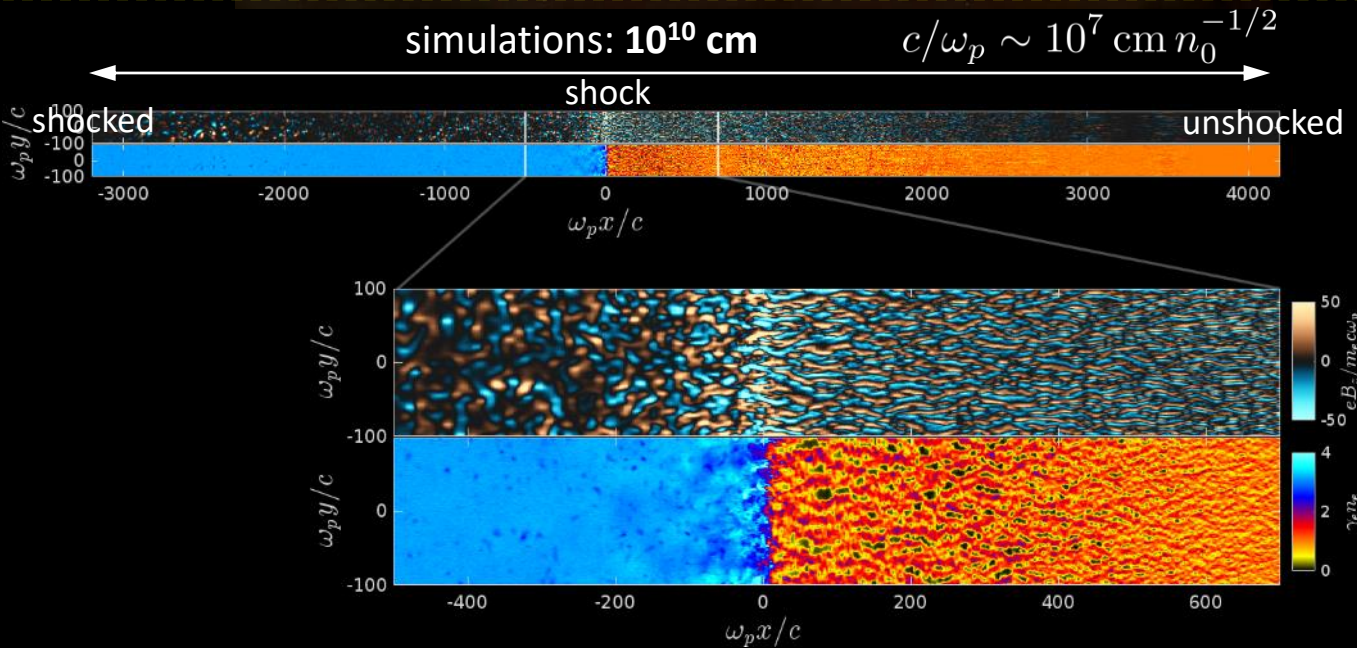
macrophysics ( $\sim$  source)      microphysics ( $\sim$  shock width)

... macro to micro: parameterize physics of acceleration and derive constraints on the parameters from observations, e.g.

- E: energy of the blast
- n: density of circumburst medium
- $\epsilon_e$ : energy fraction of suprathermal electrons
- $\epsilon_B$ : energy fraction of magnetized turbulence

... micro to macro: model acceleration from ab-initio principles, using e.g. PIC numerical experiments, and derive physical parameters for phenomenology...

but, **PIC limited to small scales: theory needed!**



PIC simulation © A. Vanthieghem, CALDER code (CEA)

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# Particle acceleration at relativistic shock fronts

→ Acceleration ... or not?

... acceleration = particle scatters around the shock<sup>1</sup> (—):  $\Delta p/p \sim 1$

... w/ background field, generic superluminal nature implies escape (⋯⋯⋯),  
 ⇒ advection away from shock, no acceleration

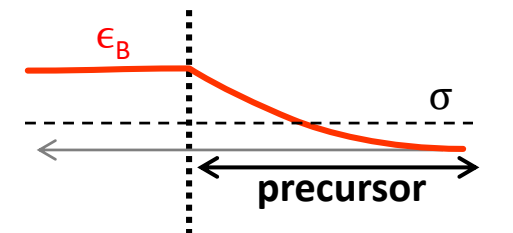
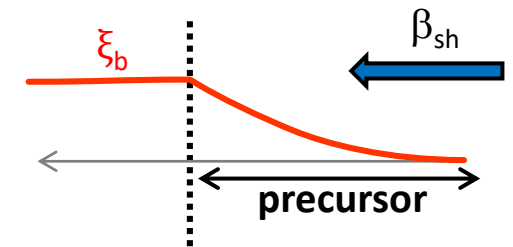
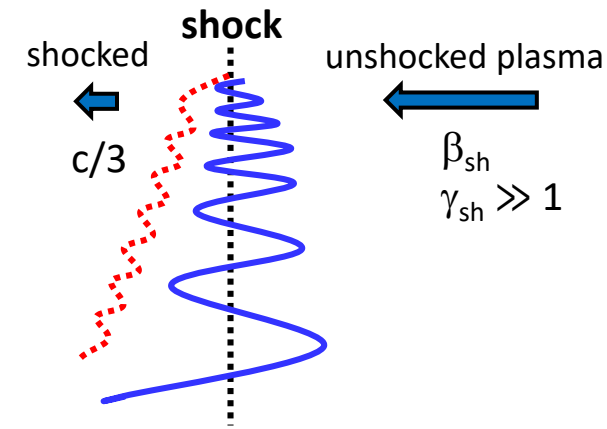
→ Way out to acceleration<sup>2,3</sup>: **low ambient magnetization**  $\sigma \equiv \frac{\text{magnetic energy density}}{\text{plasma energy density}}$

... at low magnetization: scattering wins over advection

... at low magnetization: precursor large enough to allow growth of microinstabilities through the mixing of accelerated particles + unshocked plasma... [e.g. Weibel]

⇒ **particle acceleration at relativistic shock waves of low magnetization ( $\lesssim 10^{-4}$ )**

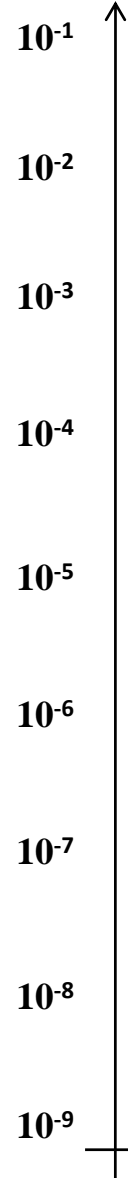
... in agreement with PIC simulations (Spitkovsky 08, Martins+09, Keshet+09, Sironi+11, 13, Sironi+15)



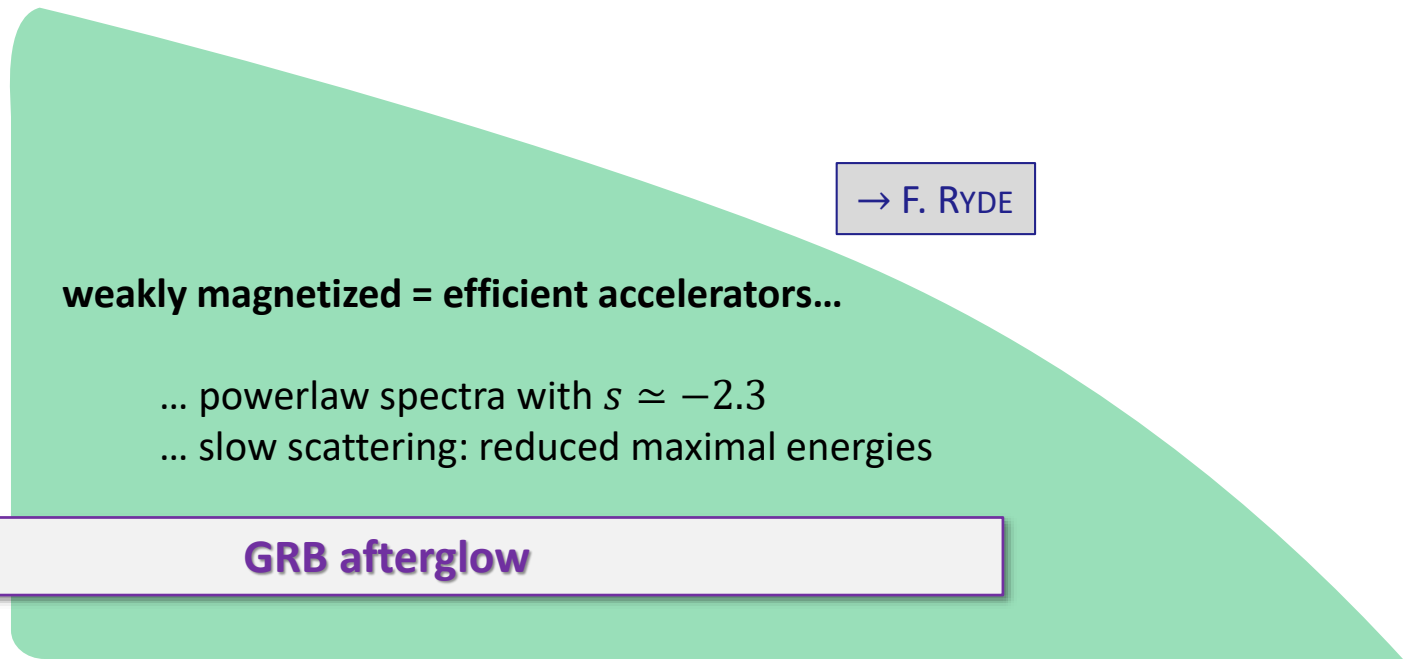
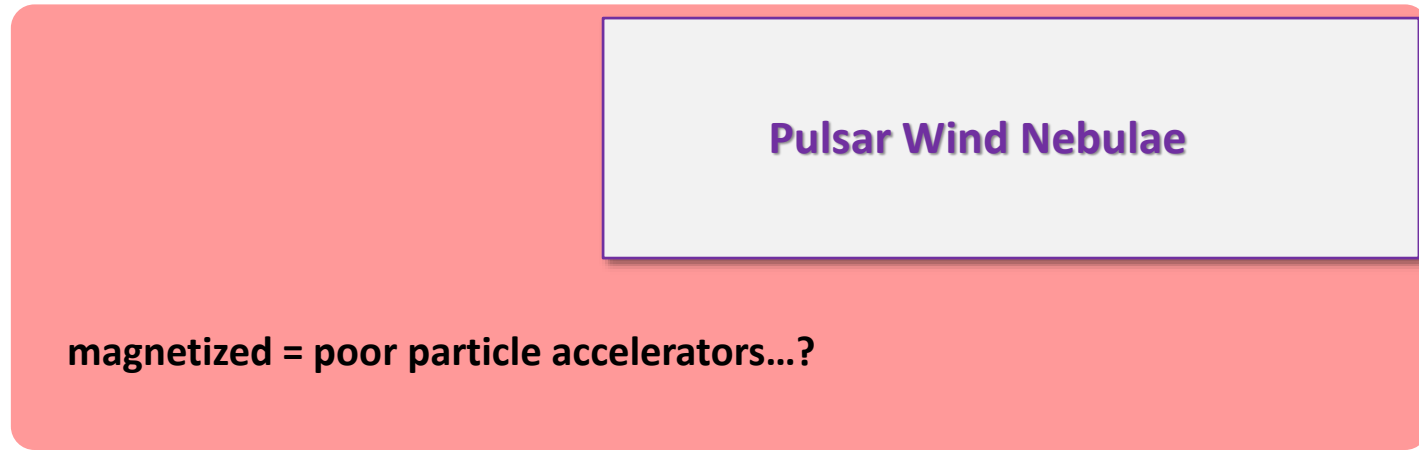
# The high-energy astrophysical shock landscape

External (pre-shock) magnetization

$$\sigma = u_A^2/c^2$$



Mildly relativistic shocks  
in GRB/AGN jets,  
Relativistic supernovae



GRB afterglow

1

10

100

$10^3$

Shock 4-velocity  
 $u_{sh}/c = \gamma_{sh}\beta_{sh}$

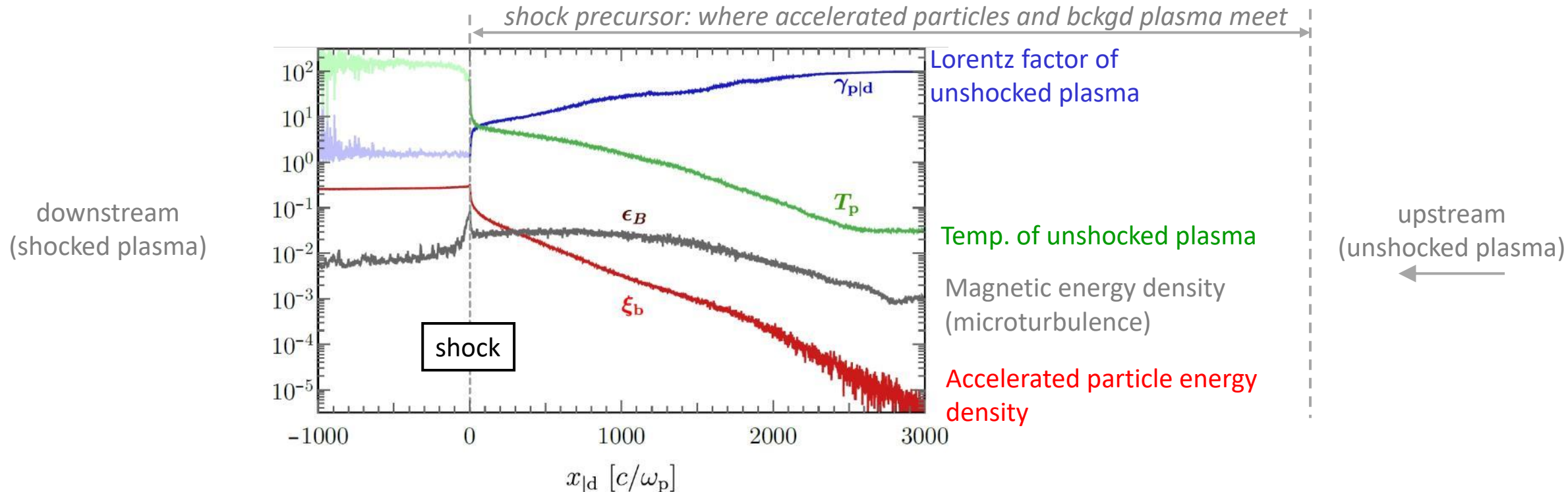


# Ab-initio model of weakly magnetized, relativistic collisionless shocks

→ Key features of weakly magnetized ( $\sigma \ll 10^{-5}$ ), relativistic shock waves:

1. the shock builds its own magnetization ( $\epsilon_B$ ) through plasma micro-instabilities generated by mixing of accelerated particles (fraction e. density  $\xi_b$ ) and unshocked plasma in shock precursor...
2. microturbulence mediates shock physics and sustains particle acceleration

→ Shock profile from a 2D PIC numerical experiment:



# From *ab-initio* shock physics toward phenomenology of GRB afterglows

→ Predictions/postdictions/interpretations for energy fraction parameters<sup>1</sup>:

$\epsilon_{\text{accelerated}} \simeq \xi_b \sim \mathbf{0.1 - 0.3}$  at injection<sup>2</sup>: blast dissipates 10% of energy into accelerated particles!

(condition to form shock: sufficient pressure in accelerated particles!)

$\epsilon_e \simeq \mathbf{0.1}$  due to efficient electron heating<sup>3</sup>... relativistic shock waves = highly efficient radiation engines

(electron heating in shocks through Joule process:  $\gamma_{e \text{ min}} \sim \epsilon_e \gamma_{\text{sh}} m_p / m_e$  !)

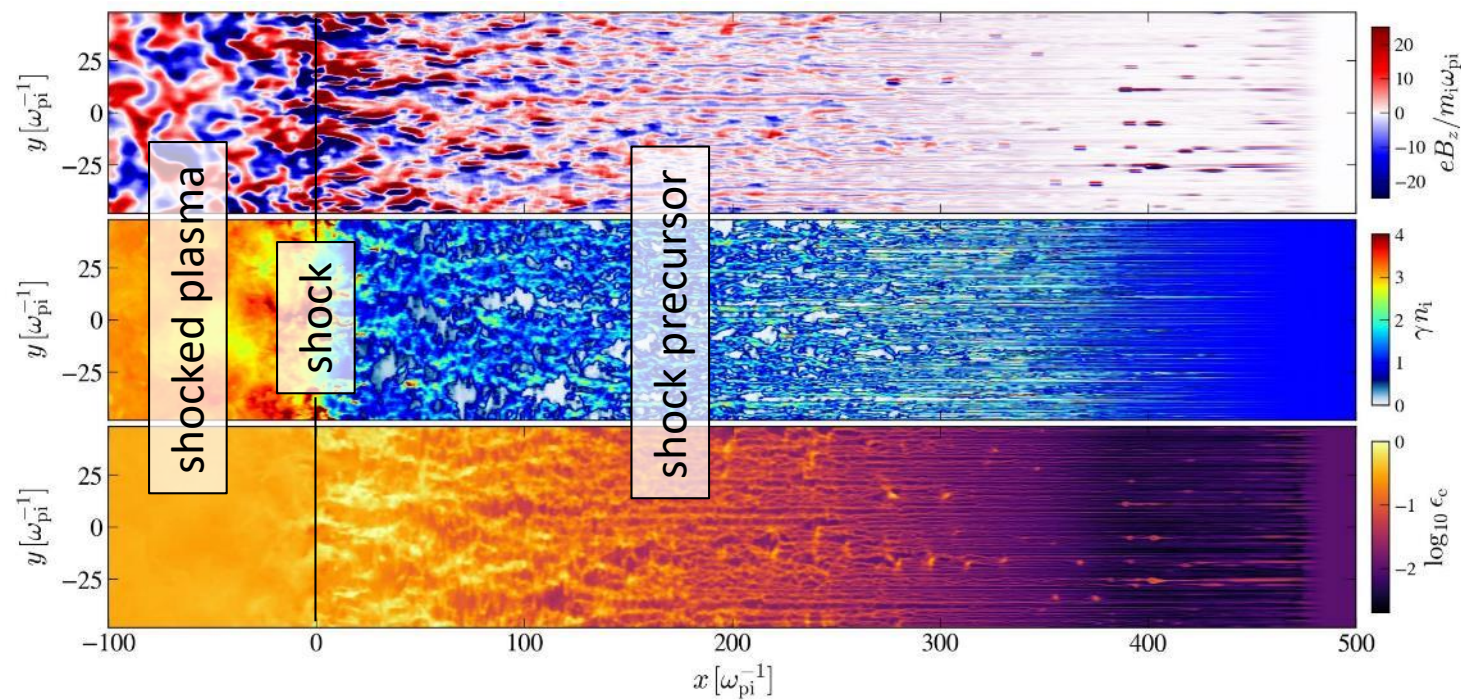
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... w/o e heating:

$$\gamma_{e \text{ min}} \sim \gamma_{\text{sh}}$$

... but, heating efficient:

$$\gamma_{e \text{ min}} \sim \epsilon_e \gamma_{\text{sh}} m_p / m_e$$

... note: relevant to other classes of objects, e.g. blazars (Zech+ML 21)

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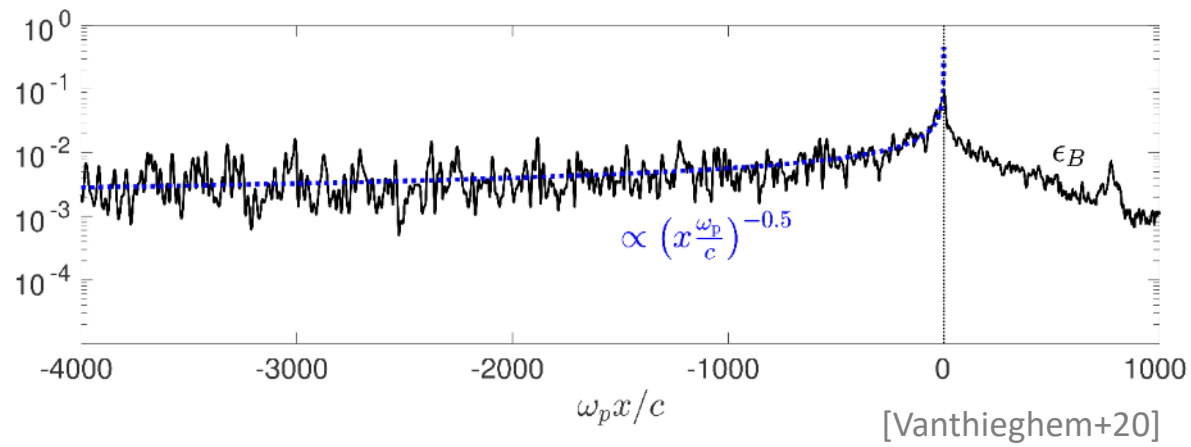
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$\epsilon_B \simeq \mathbf{0.01}$  in precursor,  $\simeq 0.1$  at shock, but much less downstream due to collisionless damping of microturbulence...



$\epsilon_B \ll \epsilon_e$  in radiation zone  $\omega_p x / c \sim 10^8$   
... expect Compton dominance<sup>4</sup>

... particles radiate in decaying turbulence:  
interesting signatures on GRB afterglows<sup>5</sup>

Refs.: 1. Vanthieghem+20    2. ML+19    3. Spitkovsky 08, Kumar+15, Vanthieghem+22    4. Sari+Esin01 etc.  
5. Rossi+Rees03, Derishev 07, M.L. 13, 15

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$\epsilon_B \simeq \mathbf{0.01}$  in precursor,  $\simeq 0.1$  at shock, but much less downstream due to collisionless damping of microturbulence...

(saturation of instability leads to 0.01 value... much less in radiation region)

powerlaw spectrum index<sup>4</sup>  $p \sim \mathbf{2.3}$

maximal electron energy<sup>5</sup>:  $E_{e, \text{max}} \sim \mathbf{1 \text{ TeV}}$  (scattering in microturbulence ... not Bohm regime!)

... maximal synchrotron photon energy:  $\epsilon_{\gamma, \text{max}} \sim \mathbf{1 \text{ GeV}}$  at  $\sim 100$ sec, then decreases in time

maximal ion energy:  $E_{p, \text{max}} \sim \mathbf{1 - 10 \text{ PeV}}$  (scattering in microturbulence ... not Bohm regime!)

# Observations: a first gamma-ray burst seen at TeV energies!

GRB190114C: an afterglow seen up to TeV energies by the MAGIC Collaboration

... parameter inference through modeling gives:

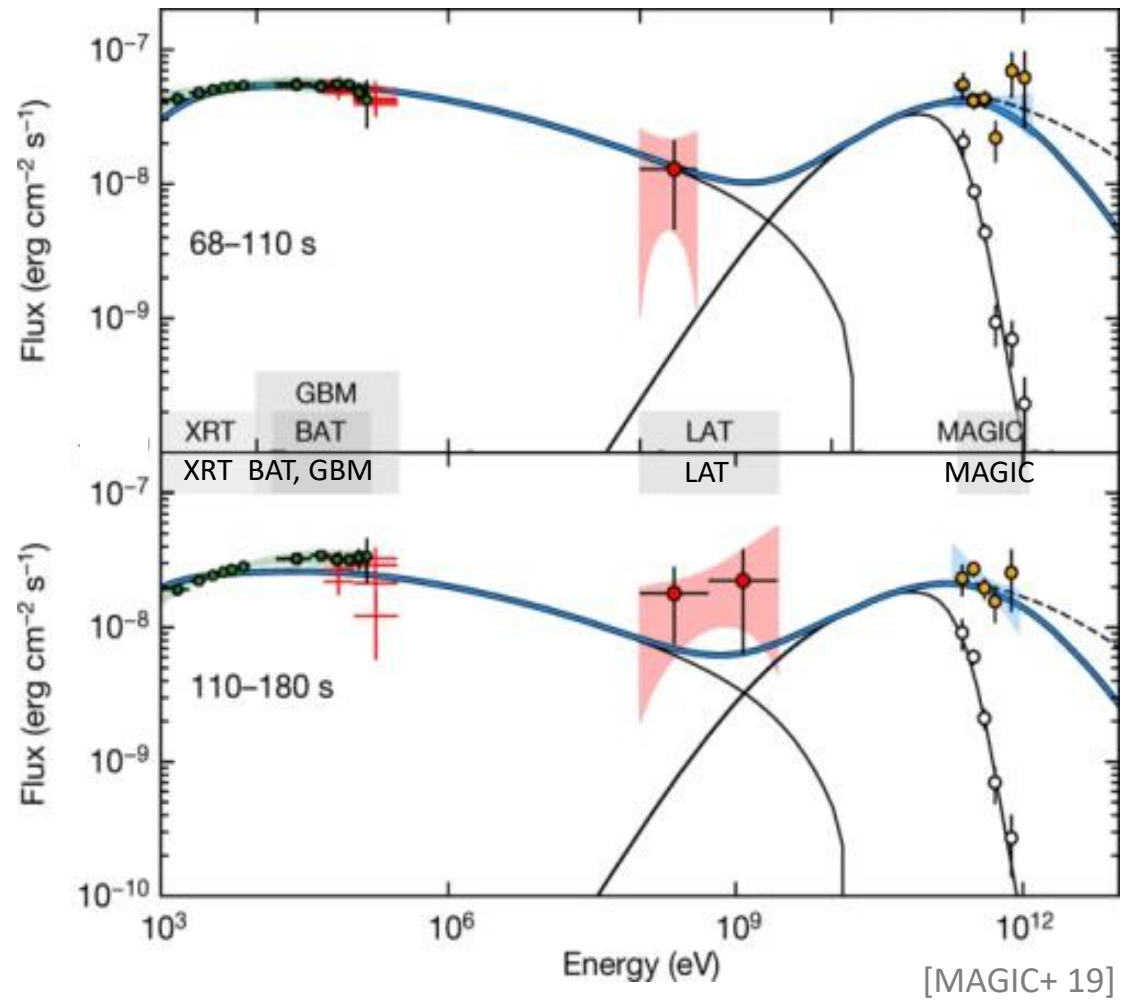
$$p \simeq 2.3, \epsilon_B \lesssim 10^{-4}, \epsilon_e \sim 0.1,$$

... note: SED (+evolution) suggests a synchrotron cut-off at GeV at early times, significant Compton emission in accord with low  $\epsilon_B$ ...

... much to be learned on afterglow physics from SSC component!<sup>1</sup>

→ J. GRANOT

Note: other GRBs seen at TeV, by H.E.S.S. and MAGIC... to be continued!



Refs.: 1. e.g., Nava 21, Gill+Granot 22

## Some key open questions...

→ Evolution of the magnetic on long timescales, far from the shock:

... expect powerlaw decay from damping of turbulence with generic value  $\epsilon_B \sim 10^{-5}$  ? Amplification by large-scale instability modes (e.g., R-T at contact discontinuity<sup>1</sup>, R-M at shock<sup>2</sup>, ...)? Pollution by magnetized ejecta?

→ Evolution of instabilities (turbulence) on long timescales:

... evolution of the generic scale toward larger values<sup>3</sup>, with positive impact on maximum energies (enhanced scattering)?

→ Radiative feedback ... photon-photon pair creation upstream of the shock:

... either from prompt photons<sup>4</sup>, or afterglow photons<sup>5</sup>?

... pair loading can change dramatically the shock structure and acceleration physics<sup>5</sup>!

Refs.:

1. Levinson 10, Duffell+MacFadyen14  
Peterson+21,22, Groselj+21, Bresci+22

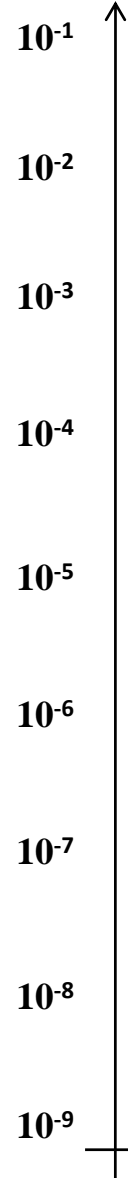
2. Inoue+11, Tomita+22  
4. e.g. Beloborodov 05, Hascoet+14

3. Medvedev 05, Ruyer+15, Naseri+18,  
5. Derishev+Piran 16, 21, Groselj+22

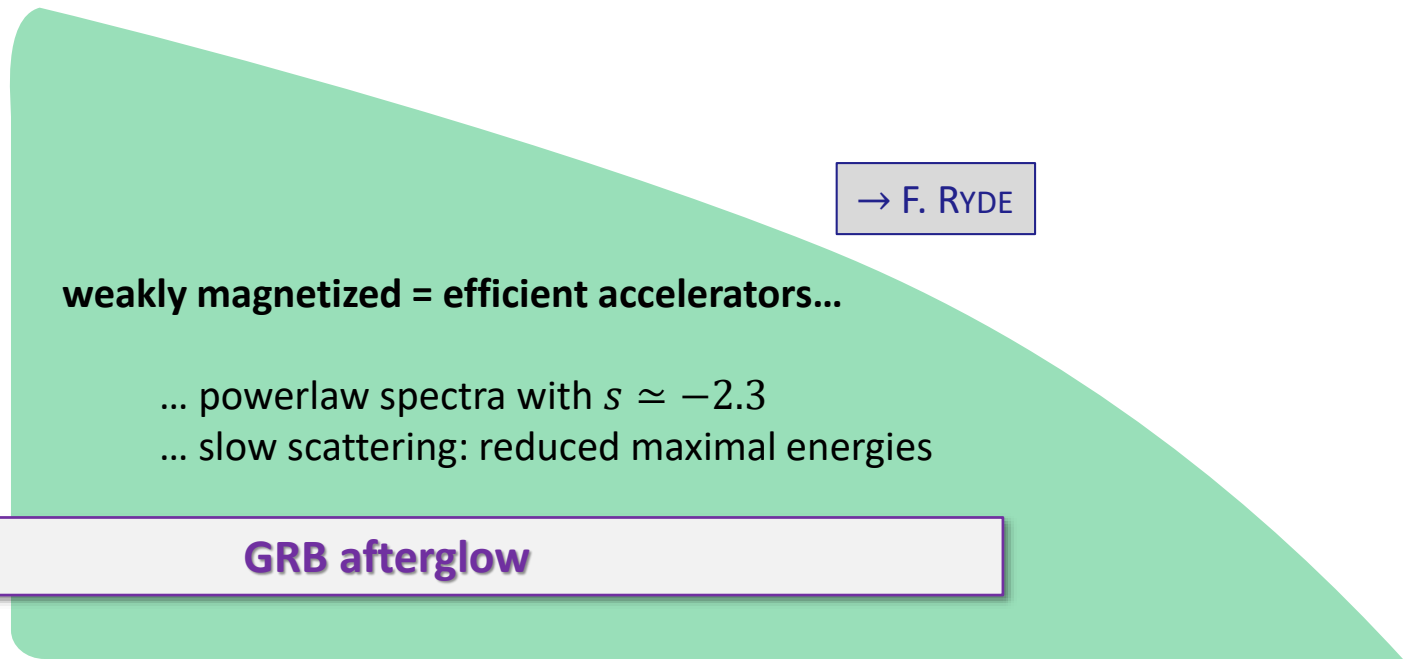
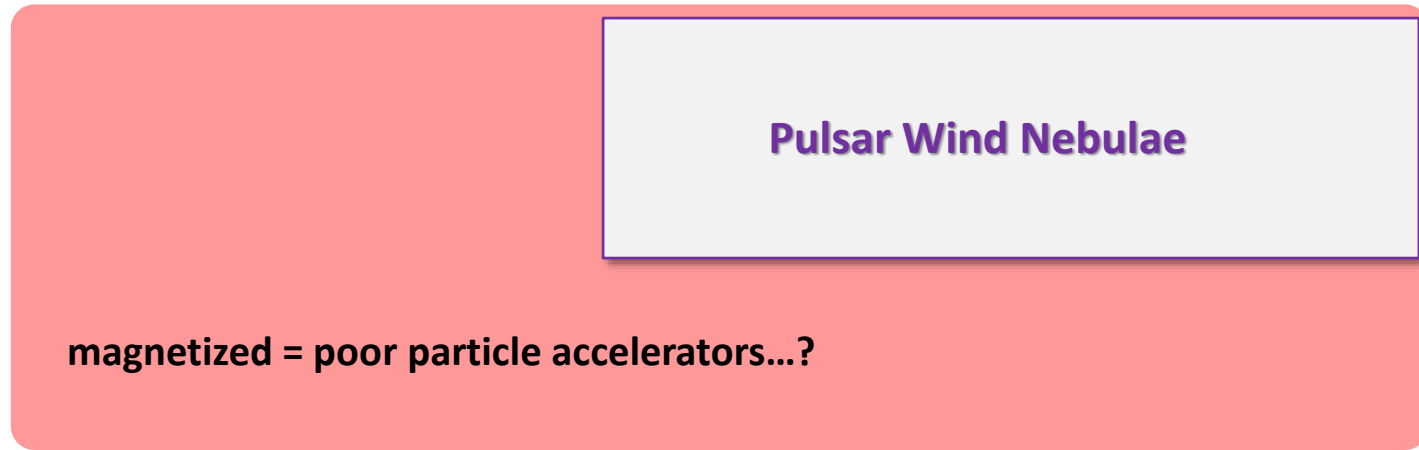
# The high-energy astrophysical shock landscape

External (pre-shock) magnetization

$$\sigma = u_A^2/c^2$$



Mildly relativistic shocks  
in GRB/AGN jets,  
Relativistic supernovae



→ F. RYDE

1

10

100

10<sup>3</sup>

Shock 4-velocity  
 $u_{sh}/c = \gamma_{sh}\beta_{sh}$



# Going beyond the standard shock model ... to find acceleration?

→ Including radiation backgrounds:

e.g. « converter » mechanism, Fermi-type acceleration through charged – neutral conversions by photo-interactions... (Derishev+ 03, Derishev+Piran16)

→ Electromagnetic (non-MHD) shocks:

conversion of the pulsar wind into a superluminal e.m. wave, destabilized in the shock precursor... (Arka+ 12, Amano+Kirk 13)

→ Including magnetic annihilation (shock+reconnection):

e.g. particle acceleration at the demagnetized termination shock of PWNe through reconnection of the striped wind... (Lyubarsky 03, Sironi +11, Lu+21)

→ Corrugation of the shock front (shock+turbulence):

deformation of the shock front, converting incoming ordered magnetic energy into downstream turbulence... (ML+16, ML 16, Demidem + 18, Bresci+22, Demidem+22)

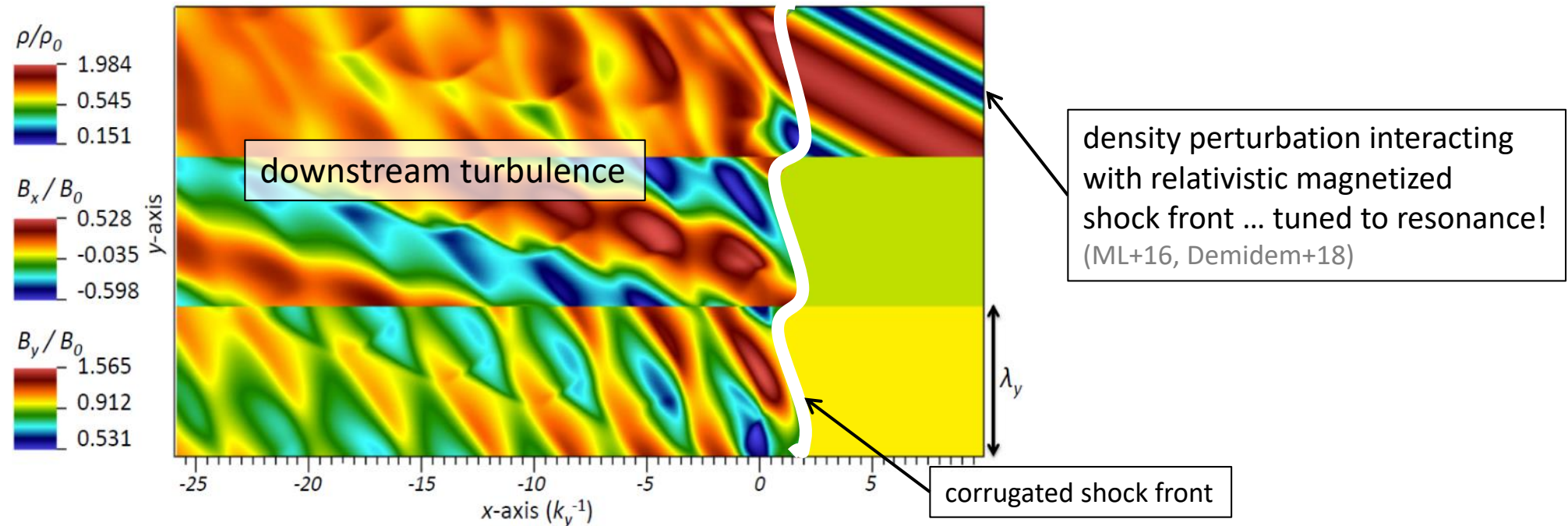
→ Sheared magnetic field configuration (shock+shear):

orbits in a strongly sheared magnetic field (alternate polarity)... (Cerutti+Giacinti 20)

# Corrugation of shock waves by external turbulence

→ shock-turbulence interaction:

... at a corrugated shock front, incoming energy is (partly) converted into turbulence, with potentially significant phenomenological consequences for particle acceleration...



... shock becomes a dissipative turbulent layer  $\Rightarrow$  dissipation of magnetic energy into particles?

... particles with  $r_g > l_c$  are injected in shock acceleration?

... relation to PWNe: pulsar wind may turn turbulent before passing through termination shock?

# (Mildly) relativistic shock interacting with turbulent plasma: simulations

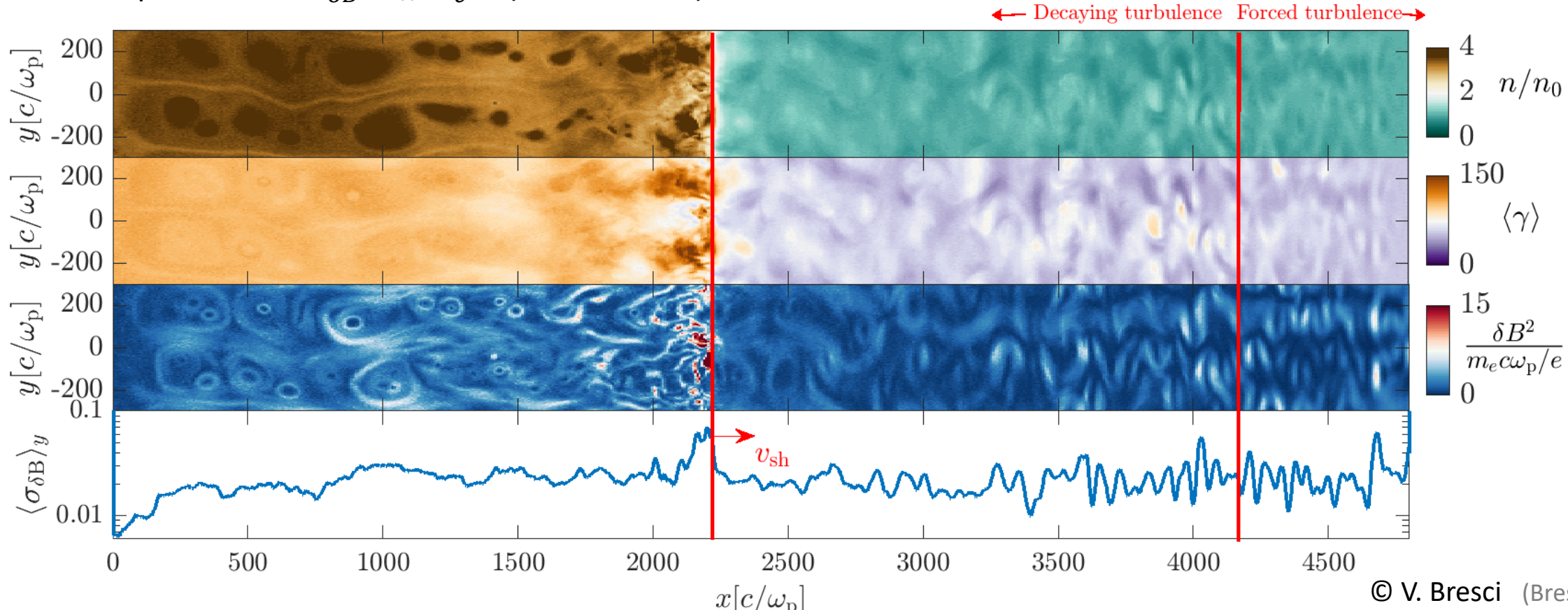
→ PIC simulations:

1. inject plasma moving at  $u_\infty \simeq -2 c$  from RHS
2. drive turbulence in rest frame of plasma
3. plasma reflects off mirror on LHS
- ... mimics 2 equal counterstreaming plasmas (Spitkovsky 08)
- ⇒ triggers shock interacting with turbulence

→ in detail:

$L_x \times L_y \times T = 4\,800 \times 600 \times 12\,000 \text{ } c^2/\omega_p^3$   
 (in cells: 48 000 x 6 000 over 120 000 time steps)  
 $N_{ppc} = 20$ , composition: pairs  
 $u_\infty \simeq -2c$ ,  $\sigma_{\delta B} \simeq 0.03$ ,  $\sigma_B \simeq 0.001$ ,  $\ell_c \simeq 300 \text{ } c/\omega_p$

... main parameters:  $\sigma_{\delta B}$ ,  $u_\infty$ ,  $\ell_c$  (here  $\delta B > B$ )



# (Mildly) relativistic shock interacting with turbulent plasma: particle acceleration

→ key features:

**1.** in absence of turbulence (dashed), particle acceleration does not happen: particles are locked on (perpendicular) magnetic field lines and advected away from shock...

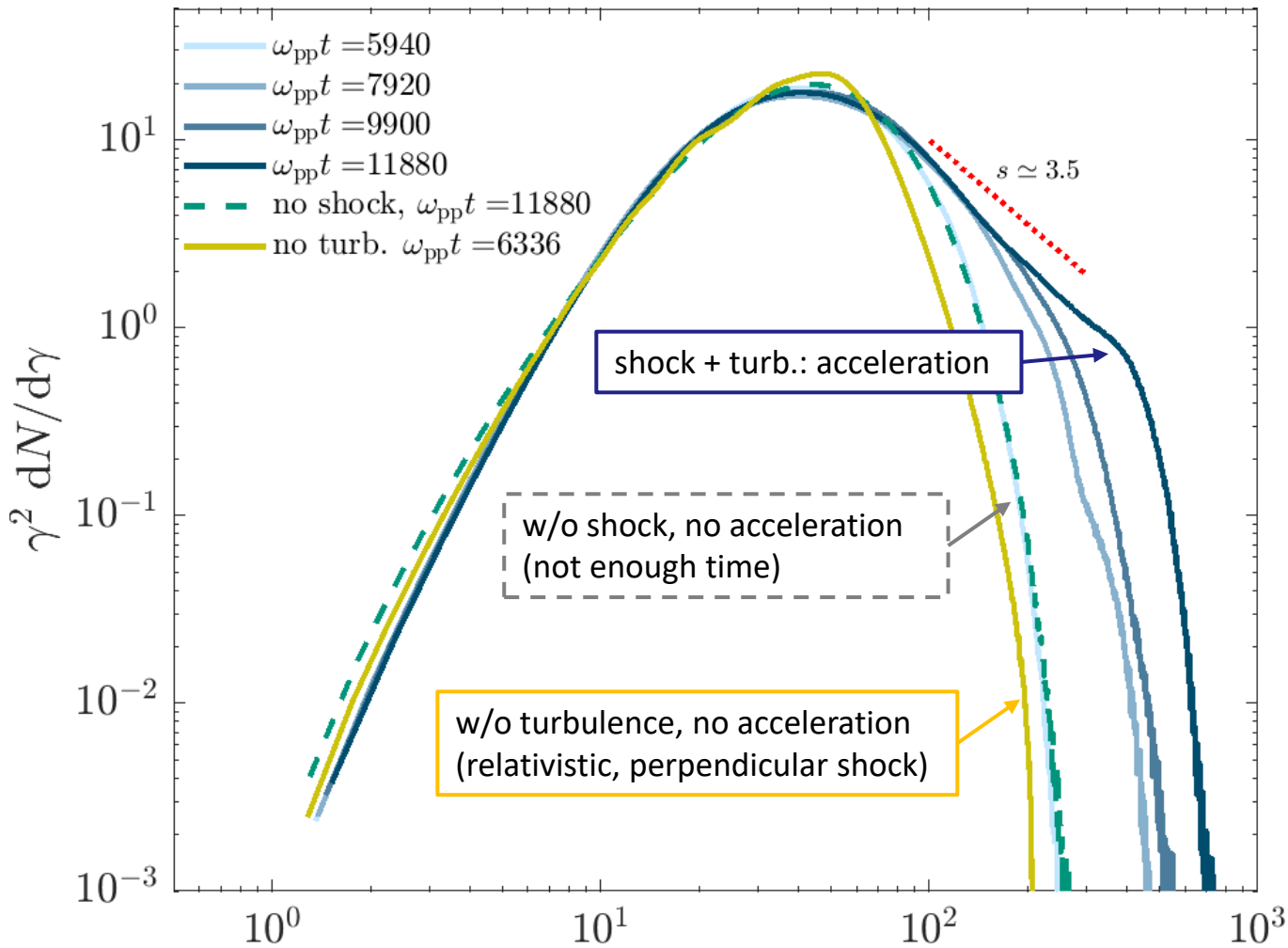
**2.** in absence of shock, particle acceleration has not taken place in turbulence on simulation timescale:  $t_{acc} \sim \ell_c / \sigma_{\delta B} \sim 10^4 / \omega_p$

(magnetization:  $\sigma_{\delta B} \approx v_A^2 / c^2$ )

**3.** in shock+turbulence configuration: particle acceleration takes place, develops powerlaw with index  $s \approx 3.5$  ( $\frac{dN}{dp} \propto p^{-s}$ )

(shock formation time =  $5940 \omega_p^{-1}$ )

**4.** at large magnetizations  $\sigma_{\delta B} \gtrsim 0.1$ , particle acceleration in pre-shock turbulence takes over...



© V. Bresci [V. Bresci, ML, L. Gremillet, 22, submitted]

⇒ interesting prospects for particle acceleration in magnetized, relativistic environments... (to be continued)

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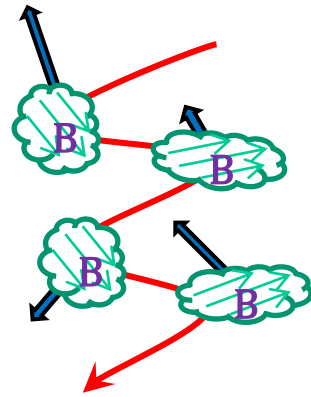
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# Two pictures for particle acceleration in magnetized turbulence

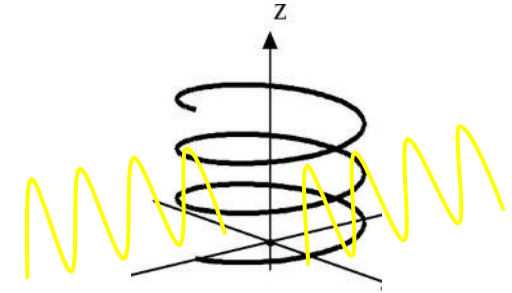
→ Original Fermi acceleration<sup>1</sup>: scattering off moving magnetic scatterers, with  $\mathbf{E}=\mathbf{0}$  in local rest frame

isotropic + elastic scattering in scattering center rest frame

⇒  $\Delta p > 0$  for head-on,  $\Delta p < 0$  tail-on



→ Quasilinear theory: transport in a bath of linear waves (e.g. Alfvén, magnetosonic)... energy gain through resonant interactions<sup>2</sup>



... interactions dominated by resonances, e.g.  $k r_g \sim 1$

→ in phenomenology... Fokker-Planck equation: 
$$\frac{\partial}{\partial t} f(p, t) = \frac{1}{p^2} \frac{\partial}{\partial p} \left[ p^2 D_{pp} \frac{\partial}{\partial p} f(p, t) \right]$$

→ issues:

1. how to calculate the diffusion coefficient  $D_{pp}$  in realistic environments + strong turbulence?
2. solution to Fokker-Planck with  $D_{pp} \propto p^2$  does not reproduce observed spectra from PIC simulations...
3. relativistic regime?

# Particle acceleration in magnetized turbulence

→ L. COMISSO

... HPC kinetic simulations have started to probe particle acceleration in large-scale turbulence<sup>1</sup>...

→ Key features: (here: assume pair plasma)

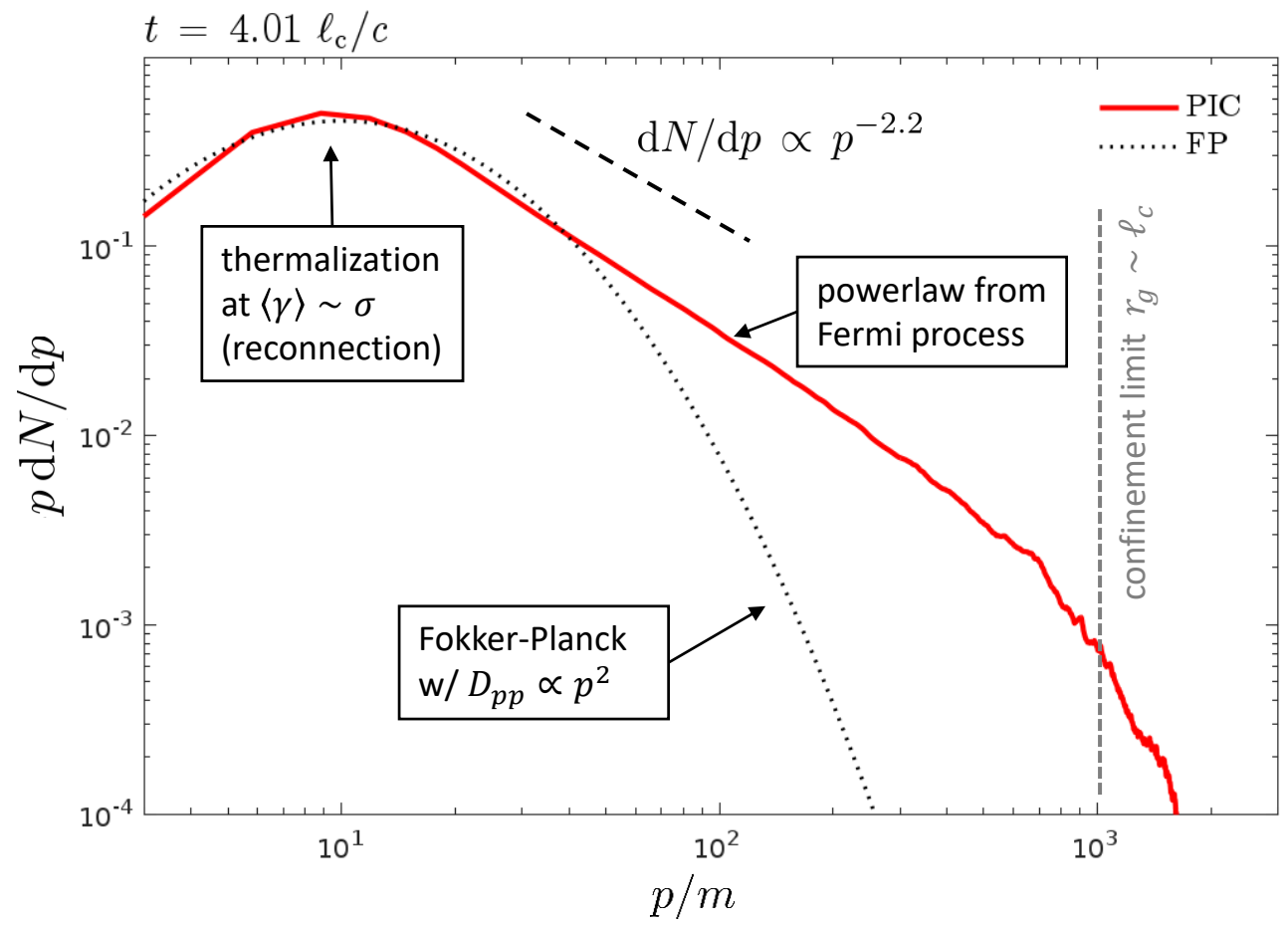
... particle acceleration fast in relativistic regime  $\sigma \gtrsim 1$   
( $v_A \sim c$ )

$$t_{acc} \sim \frac{c \ell_c}{\langle \delta u^2 \rangle} \sim \frac{\ell_c}{\sigma c} \quad \ell_c : \text{coherence scale, } \langle \delta u^2 \rangle \sim u_A^2 = \sigma$$

... acceleration in two stages:  
reconnection up to  $\gamma \sim \text{few} \times \sigma$ ,  
then Fermi-type in turbulence

... diffusion coefficient:  $D_{pp} \propto p^2$

... energy spectrum: broad powerlaw tails with index  $s \sim 3 \dots 2$  ... writing  $dN/dp \propto p^{-s}$



© V. Bresci, M. L., L. Gremillet: 2D PIC, driven turb.,  $e^+e^-$ ,  $10\,000^2$ ,  $\delta B/B \sim 3$ ,  $\sigma \sim 1$

# Generalized Fermi acceleration in magnetized turbulence

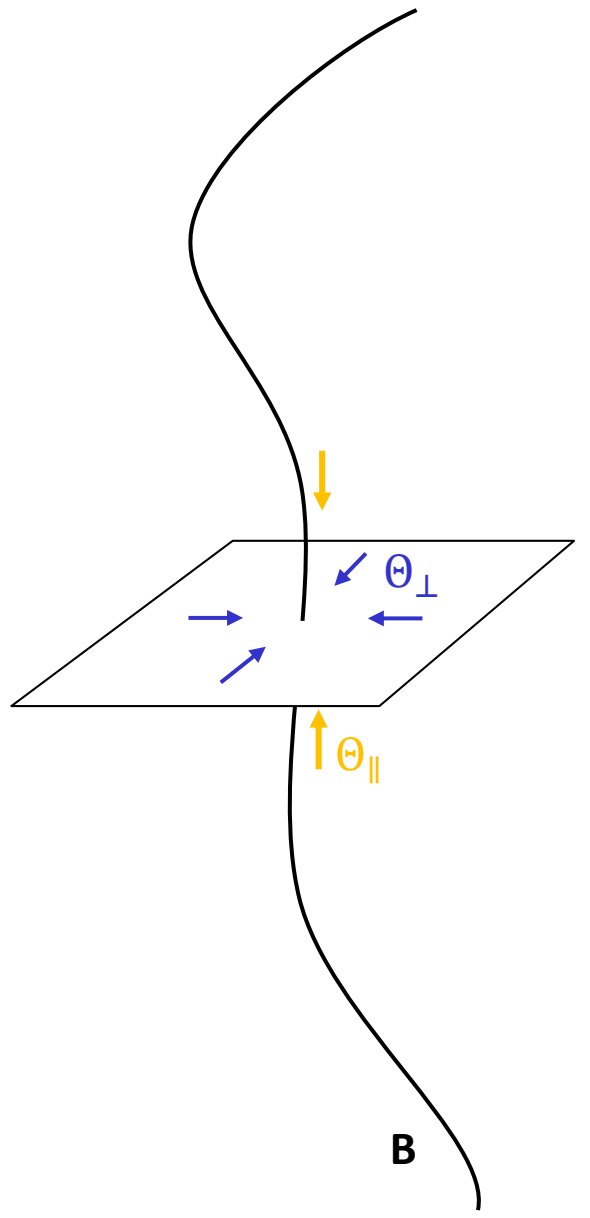
→ original Fermi model (= discrete interactions with “magnetic clouds”):  
 ... to compute energy gain/loss, follow momentum in “magnetic cloud” frame where  $\mathbf{E} = 0$   
 (ideal MHD:  $\mathbf{E} = -\mathbf{v} \times \mathbf{B} / c$ )  
 ... jump from cloud frame to cloud frame by Lorentz transform

→ generalization to turbulence<sup>1</sup> (= continuous random flow):  
 ... follow momentum in instantaneous frame where  $\mathbf{E} = 0$  ... velocity  $\mathbf{u}_E \propto \mathbf{E} \times \mathbf{B} / B^2$   
 in that frame, no electric field...  
 ⇒  $\Delta$  energy  $\propto$  non-inertial forces characterized by velocity shear of  $\mathbf{u}_E$

→ model<sup>2</sup>:

$$\frac{d\gamma'}{d\tau} = -\gamma' u'_{\parallel} \mathbf{a}_E \cdot \mathbf{b} - u'^2_{\parallel} \Theta_{\parallel} - \frac{1}{2} u'^2_{\perp} \Theta_{\perp}$$

energy change	effective gravity along field line $\mathbf{a}_E = u_E^{\alpha} \partial_{\alpha} \mathbf{u}_E$	velocity shear along field line $\Theta_{\parallel} = b^{\alpha} b^{\beta} \partial_{\alpha} u_{E\beta}$ [Fermi type-B] [field line curvature]	compression transverse to field line $\Theta_{\perp} = (\eta^{\alpha\beta} - b^{\alpha} b^{\beta}) \partial_{\alpha} u_{E\beta}$ [Fermi type-A] [magnetic mirrors]
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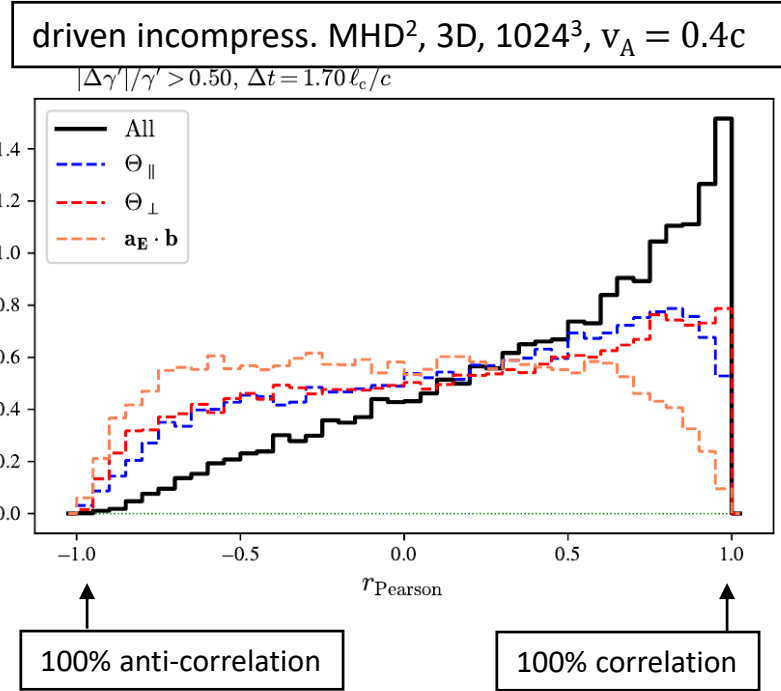
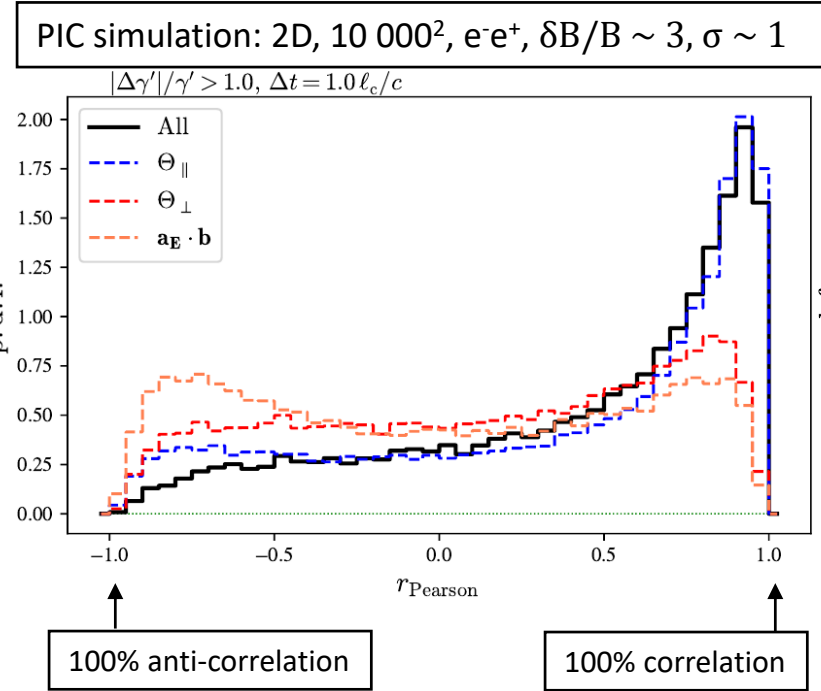
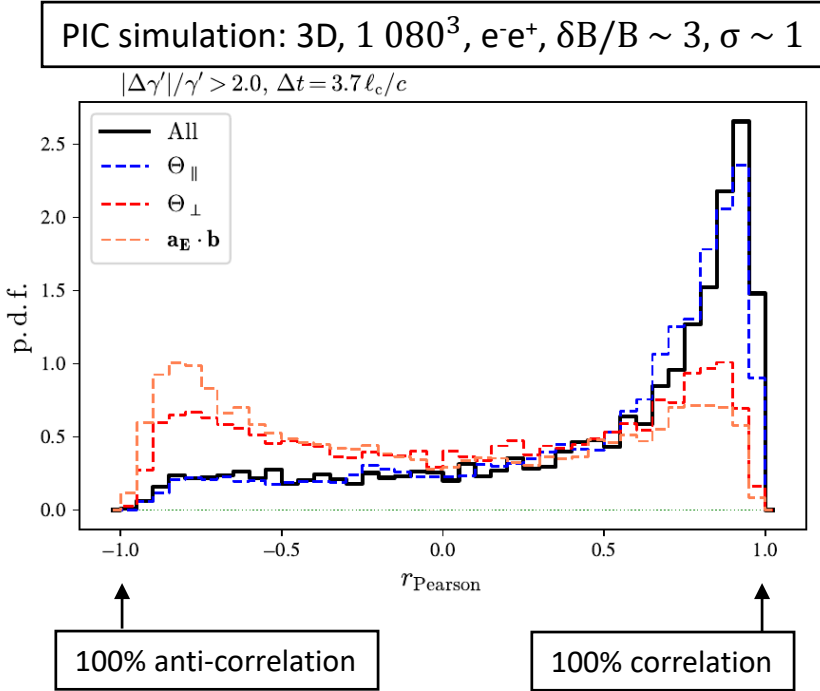
Refs: 1. ML19 2. ML21 (assumes gyroradius  $\ll$  coherence scale of turbulence)



# Comparison between model and simulations

→ model: 
$$\frac{d\gamma'}{d\tau} = -\gamma' u'_{\parallel} \mathbf{a}_E \cdot \mathbf{b} - u'_{\parallel}{}^2 \Theta_{\parallel} - \frac{1}{2} u'_{\perp}{}^2 \Theta_{\perp}$$

→ test<sup>1</sup>: for each particle history in a simulation, reconstruct  $\gamma'(t)$  using above model and velocity gradients measured in the simulation at  $\mathbf{x}, t$ , then measure degree of correlation  $r_{\text{Pearson}}$  between the observed and reconstructed  $\gamma'(t)$



**⇒ model captures the dominant contribution to particle energization**

Refs.: 1. V. Bresci, ML, L. Gremillet, L. Comisso, L. Sironi, C. Demidem 22

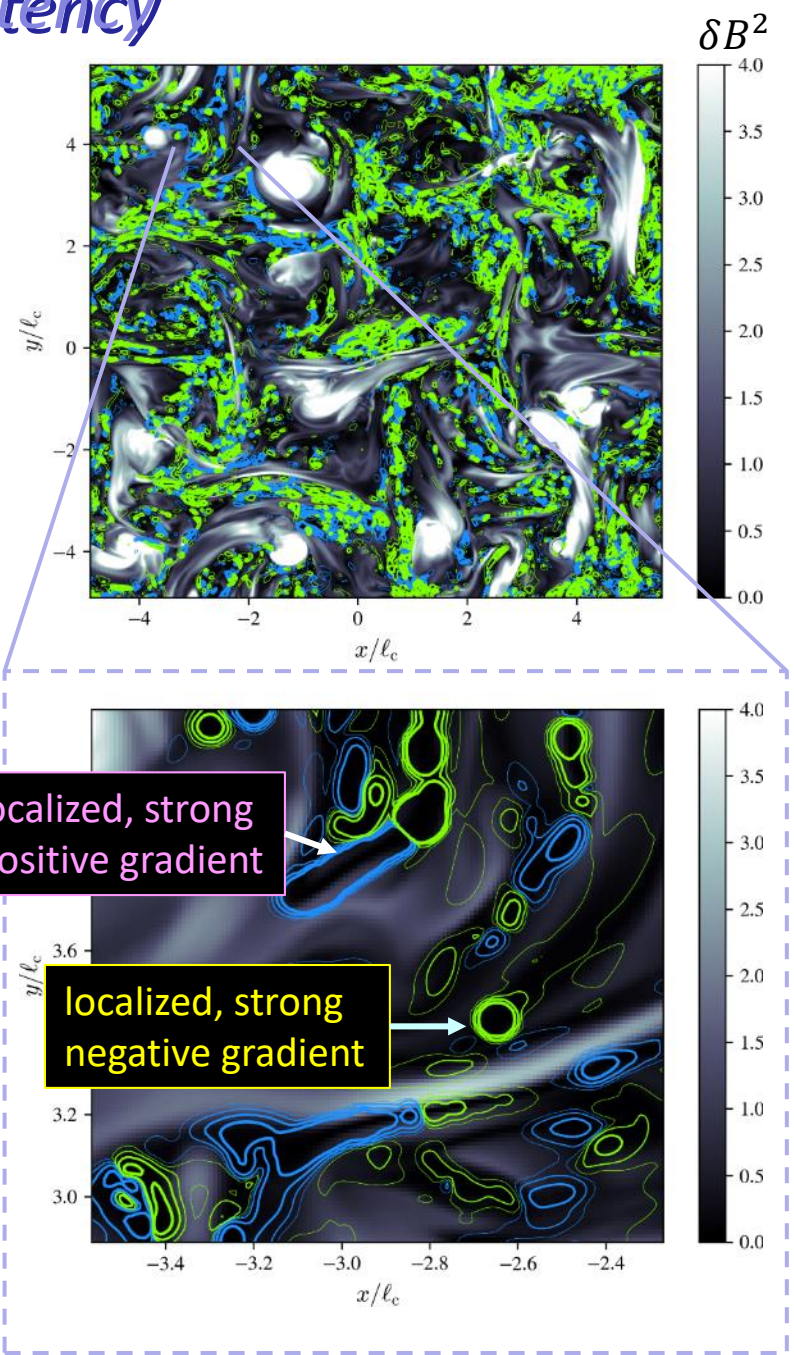
2. Eyink+13, JHU database

# Powerlaw spectra: a consequence of turbulence intermittency

→ statistics of the random force ( $\sim$ velocity gradient):  
... velocity gradients become increasingly non-Gaussian (intermittent) at small scales ( $\leftrightarrow$  small gyroradii), taking large values in localized regions...

→ particle acceleration<sup>1</sup>:  
... some particles interact frequently with strong scattering centers, some not at all over long timescales  
 **$\Rightarrow$  anomalous transport + powerlaws in momentum**

→ transport equation for distribution function<sup>2</sup>:  
... failure of Fokker-Planck: noise is non-Gaussian, not white noise...  
... derivation of a new transport equation:  
pdf(momentum jump)  $\sim$  intermittency statistics  
... transport equation produces powerlaws, accounts for particle spectra from time-dependent tracking in MHD simulation



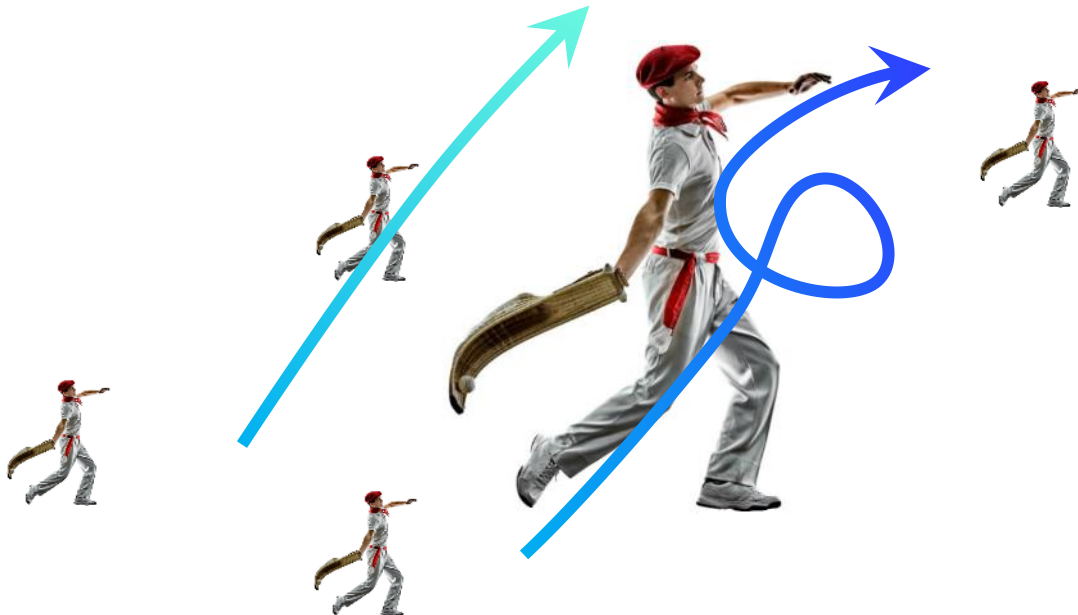
# Colourizing the Fermi picture...

→ the original picture: stochastic acceleration as Brownian motion...



Brownian motion  $\leftrightarrow$  Fokker-Planck description,  
characterized by one diffusion coefficient  $D_{pp}$  (+advection)

→ the colourized picture: stochastic interactions with *intermittent gradients*...

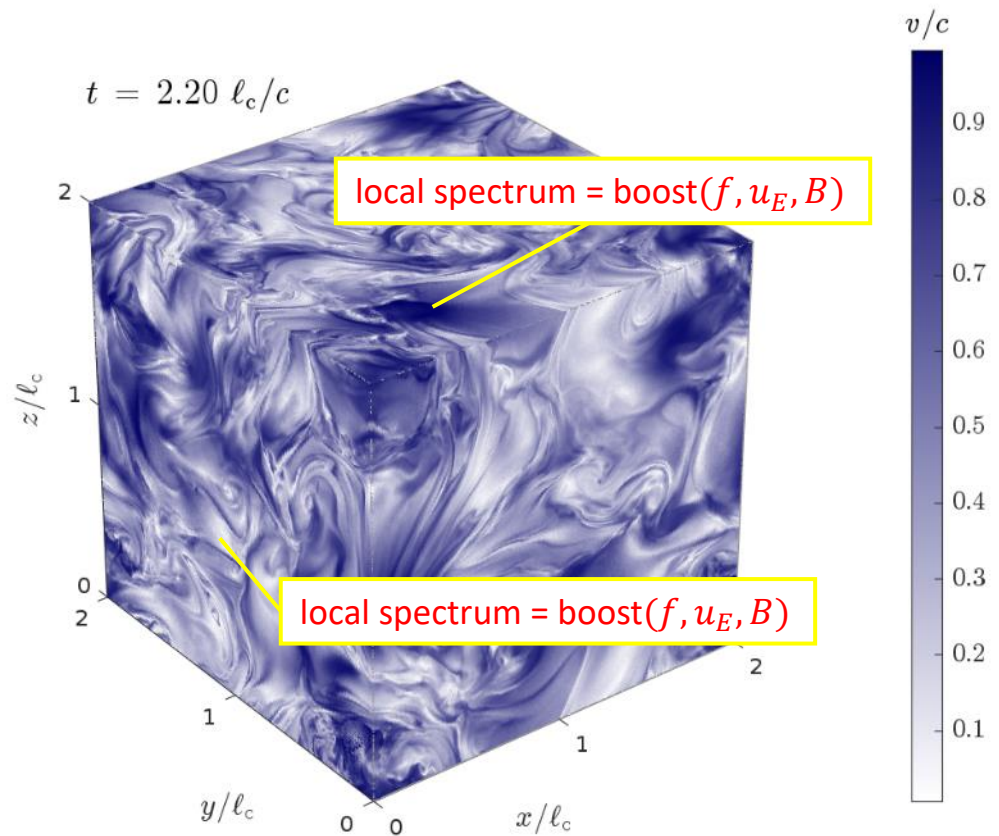


one diffusion coefficient  $D_{pp}$  does not describe spectra...  
... particle acceleration dominated by intermittency...  
... spectra exhibit powerlaw shapes...  
... dominant acceleration: field line curvature...

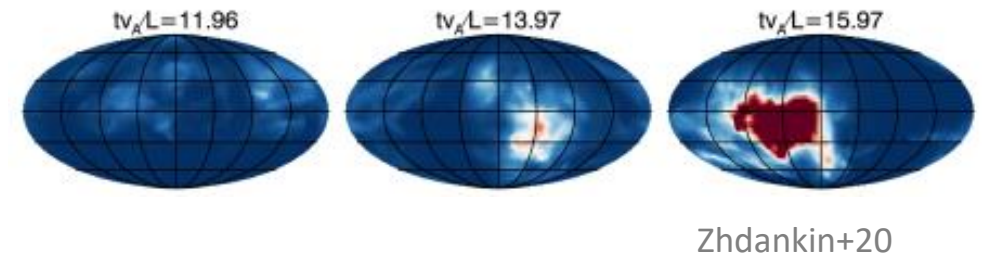
# Stochastic acceleration... some implications for phenomenology

→ interesting signatures:

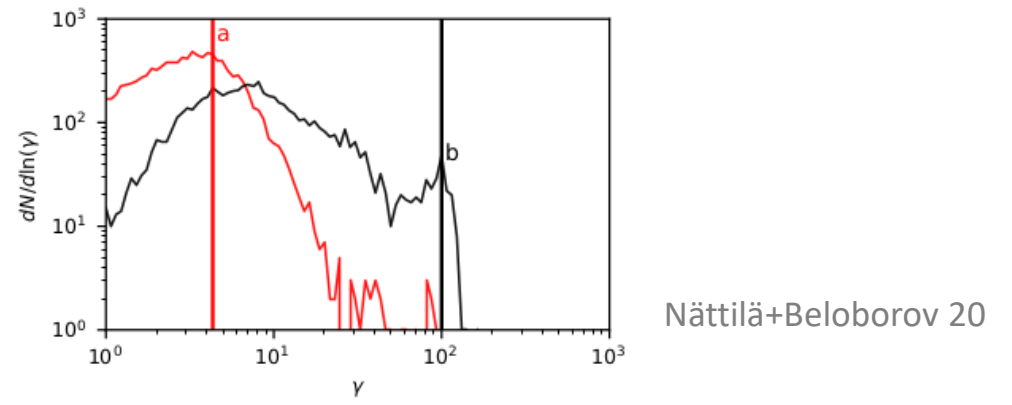
- inhomogeneous, fast moving structures... consequences for flaring? (time profile?)
- inhomogeneities (spectra, B, etc.) in one volume  $\ell_c^3$ ... consequences for radiative spectra?



## velocity space anisotropies at large momenta



## location-dependent spectra



## anisotropies of the distribution function

e.g., synchrotron spectra (Comisso+20, Sobacchi+21)

## consequences for maximal energy (synchrotron photon energy?)

e.g., Bykov+13 in connection to Crab flares, Khangulyan+21 for synchrotron in inhomogeneous B

# Shear acceleration... in one slide

→Fermi shear acceleration:

... the electric field in a sheared velocity flow ( $\Delta u$ ) cannot be boosted away globally: particles gain energy by exploring the shear gradient...

... acceleration timescale:  $t_{\text{acc}} \sim \frac{\Delta r^2}{t_{\text{scatt}}} \frac{1}{\Delta u^2 / \gamma_u^2}$

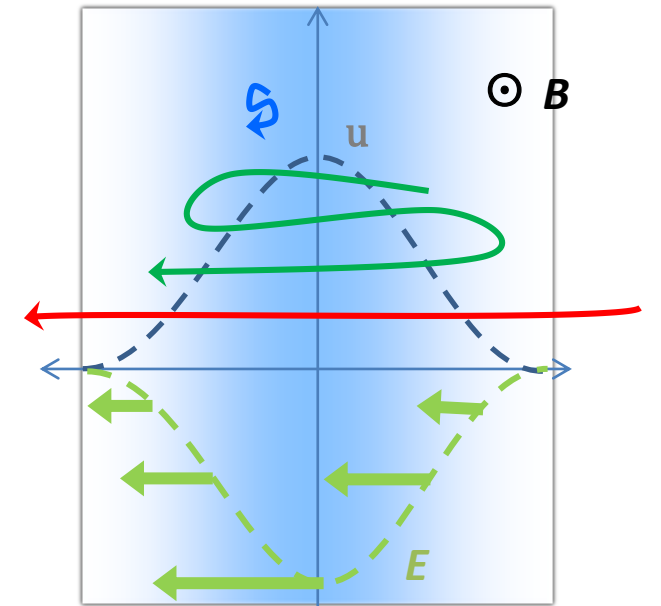
inefficient at low energies (S), as particles cannot explore shear...  
⇒ requires a seed population of particles

optimal efficiency at « confinement energy » (S)  $c t_{\text{scatt}} \sim r_g \sim \Delta r$ :  
 $t_{\text{acc}} \sim r_g / c$  (Bohm) for  $\Delta u \sim u \sim c$

inefficient at higher energies (S) decoupling from turbulence)

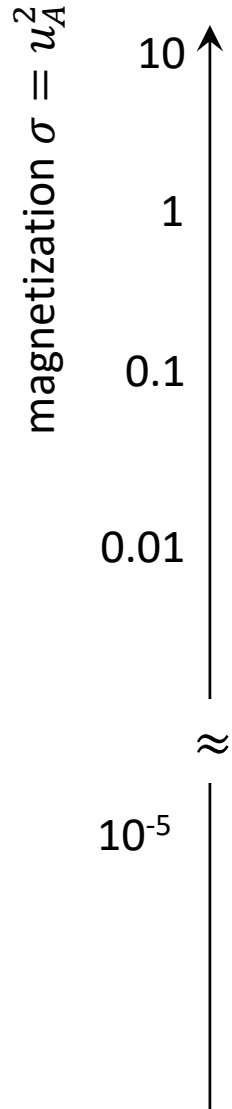
⇒ shear may provide sustain energy population of electrons over distances > cooling length...

⇒ reacceleration of a population of energetic CRs in mildly relativistic shear may reach confinement energy...



particles with larger mean free paths explore larger gradient of E  
⇒ faster acceleration...

# Summary + perspectives: Fermi acceleration in relativistic outflows



**relativistic reconnection:**  
 ... fast up to few x  $\sigma$ ,  
 ... hard powerlaw spectra at  $\sigma \geq 10$ ,  
 $t_{\text{acc}} \sim 10 r_g/c$

**relativistic, magnetized shock+turbulence:**  
 ... acceleration observed, in spite of superluminal configuration...  
 →  $s \sim 2.5 - 3.5$  for mildly relativistic  
 → extension to truly relativistic regime?  
 → promising phenomenological signatures

**turbulence:**  
 ... efficient at large Alfvén velocity  $u_A = \sqrt{\sigma}$   
 ... hard powerlaw spectra at large  $\delta B/B, \sigma_{\delta B}$  ...  
 $t_{\text{acc}} \sim 10 \ell_c/\sigma_{\delta B}c$   
 → mechanism: generalized Fermi in random velocity flow...  
 → promising phenomenological signatures

**weakly magnetized, relativistic shocks:**  
 ... acceleration in self-generated turbulence,  
 $t_{\text{acc}} \sim 10 r_g (r_g \frac{c}{\omega_p})$   
 ⇒ increasingly slow at high energies...  
 ... nicely accounts for GRB afterglow