

A premise: the “duties” of (X-ray) astronomers

- i) Starting point (fundamental!) :
What is **the** (open) astrophysical question/problem?
(i.e. read a lot of literature!)
- ii) Best Instrument?
- iii) Best Observation? Archival data?
- iv) Propose, (hopefully) get it approved, and perform the observation
- v) Data reduction:
 - i) Evt
 - ii) Calibration and attitude
 - iii) Scientific data
- vi) Extraction of science information (images, lc, spectra)
- vii) Scientific analysis (xspec, etc...)
- viii) Physical interpretation
- ix) Publish your results
 - i) In english (thus, learn english!)
 - ii) Go through referee peer review
 - iii) And “advertise” with, e.g., PPT at conference + outreach (plus!)



(RQ) AGN Astrophysics

Massimo Cappi
(INAF/OAS-Bologna)

Plan of this Lecture:

- Paradigm(s) (BH, AGN, Accretion disk, Photon ring)
- The “Unknowns” (open issues)
- The “Knowns” (models + basic physics)
- Physics and observations of reflection(s) and absorption(s) features

These lectures are “complementary” to the other two on

- i) (RL) AGN astrophysics (by P. Grandi) and,
- ii) AGN general/classification/evolution/formation (by C. Vignali)

Goal of the lectures: Give introductory informations on general “models” of AGNs, With only emphasis here on RQAGNs, and address the reflection(s) vs ejection(s) “controversy” and phenomena

Bibliography:

A. Mueller, PhD Thesis, Heidelberg, 2004

C. Done, Lectures, August 2010, arXiv:1008.2287v1

Give a panorama on theoretical models+spectral physics for AGNs&BHs

Goal of the lectures: Give introductory informations on general “models” of AGNs, and in particular on reflection vs absorption hypothesis in RQAGNs

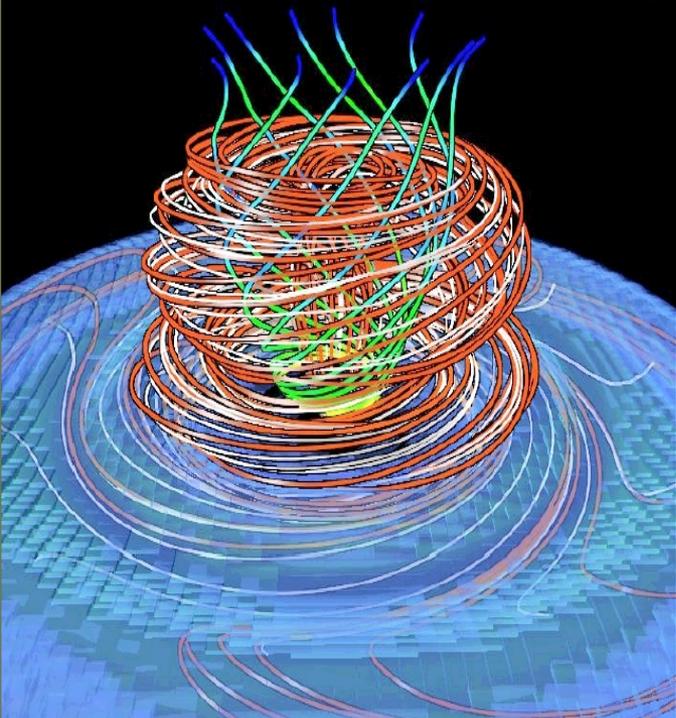
We will review basic physics with basic assumptions for 2 major “models” of AGN

- 1- The 2-Phases model (RQAGNs)
- 2- The Jet + Inefficient accretion model (RLAGNs+LLAGNs)

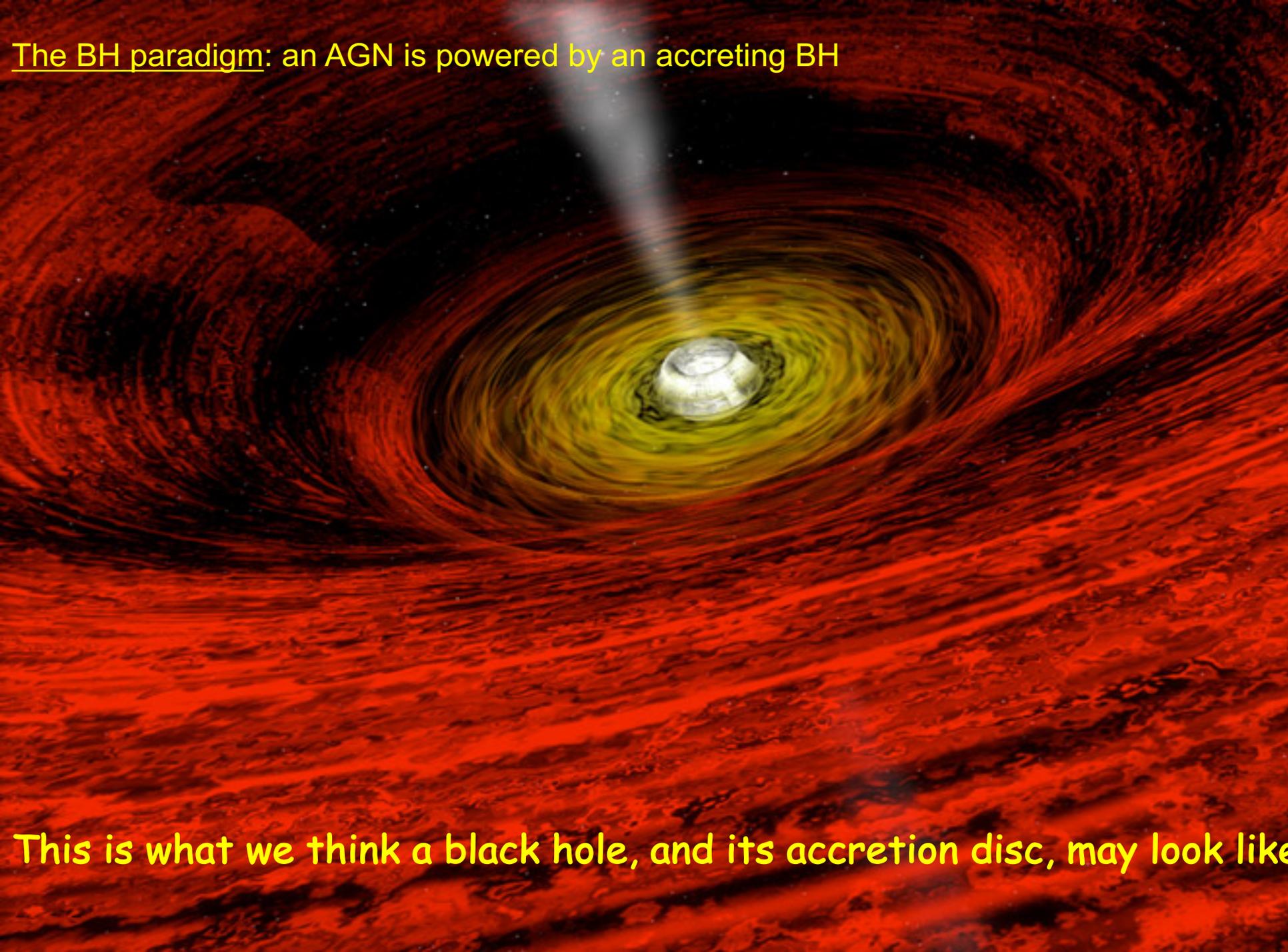
We will focus here mostly on 1, Paola will address 2. I will also address the reflection vs. absorption hypothesis to explain the X-ray spectra of RQAGNs

Not a “mere” fitting exercise but major physical differences in the two hypothesis:

- ✓ **Relativistic Reflection**: Produced within few (<10) R_g and carries information on BH spin and mass
- ✓ **(Very) Complex Absorption**: Produced farther away, at $>10s R_g$ and carries information on wind/jet base/feedback
- ✓ **But** distinguishing between the two is very difficult

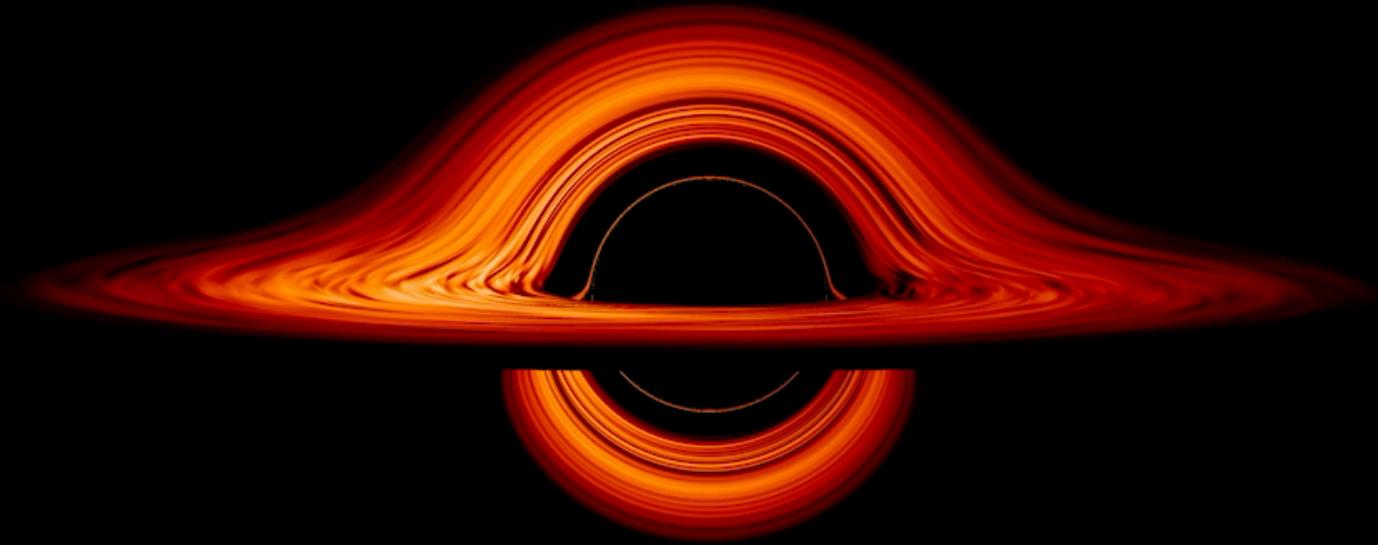


The BH paradigm: an AGN is powered by an accreting BH

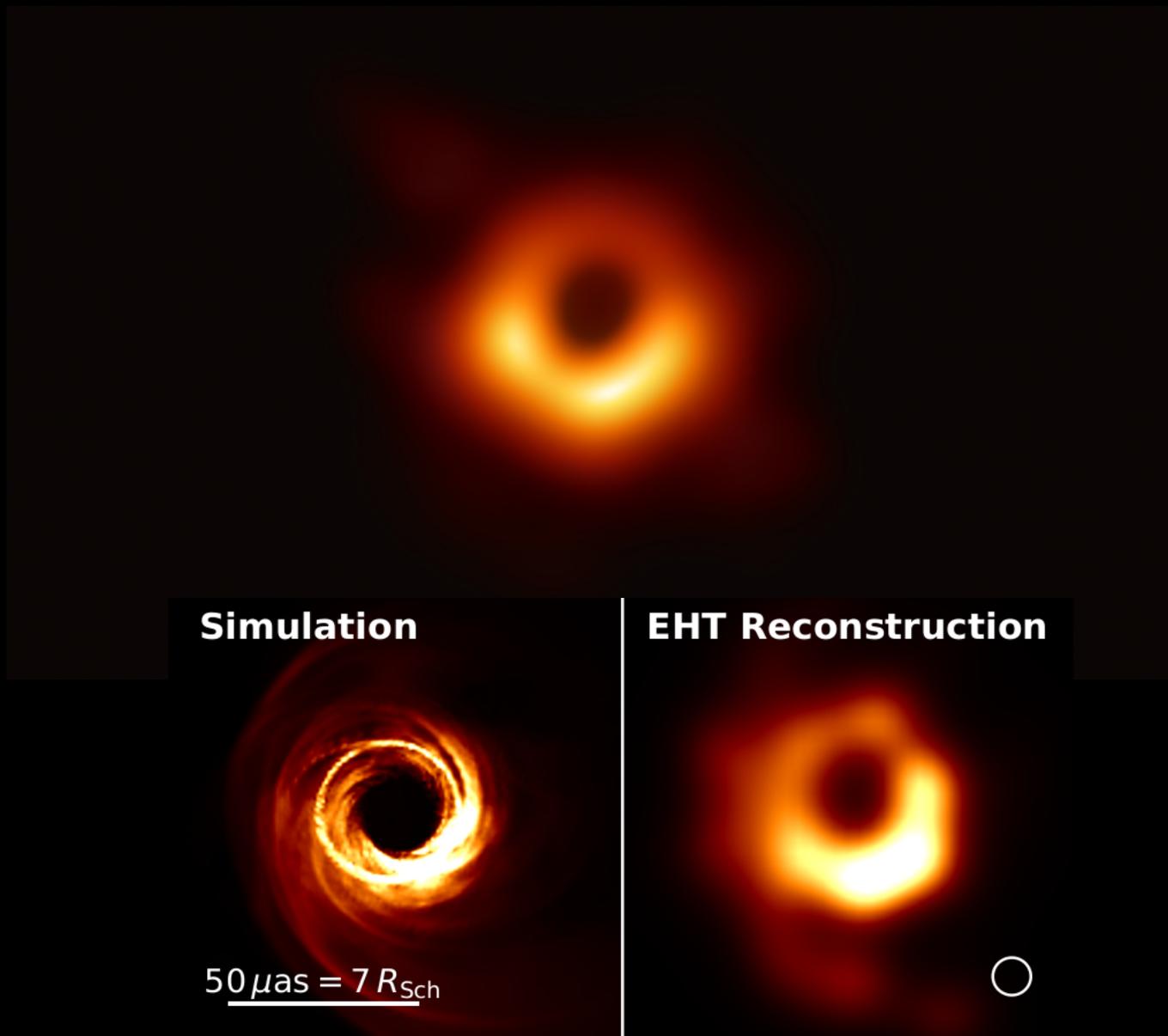


This is what we think a black hole, and its accretion disc, may look like

No, this is what we think a black hole, and its accretion disc, may look like



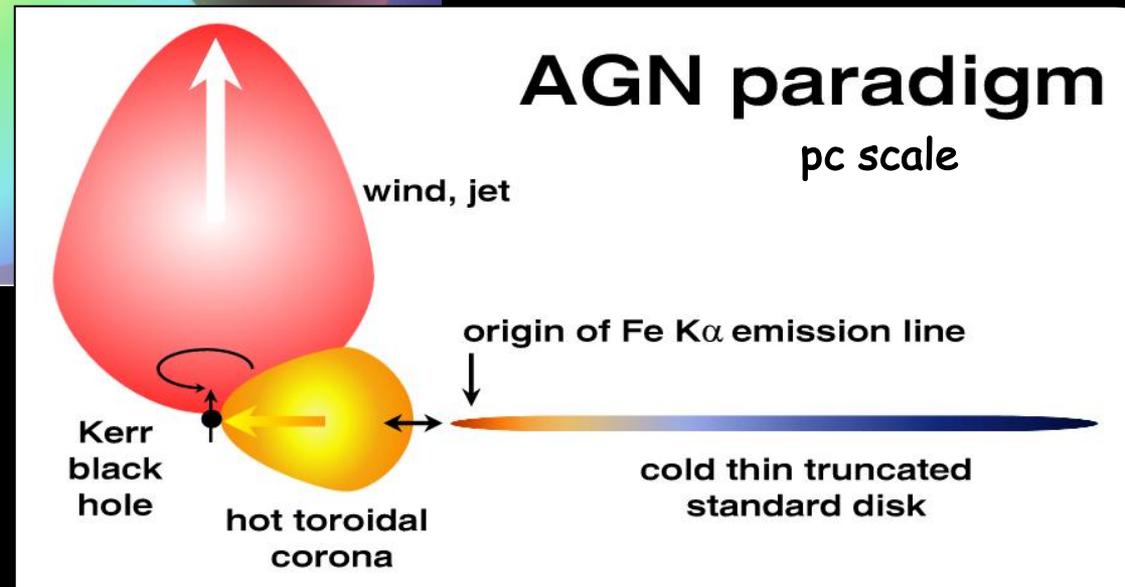
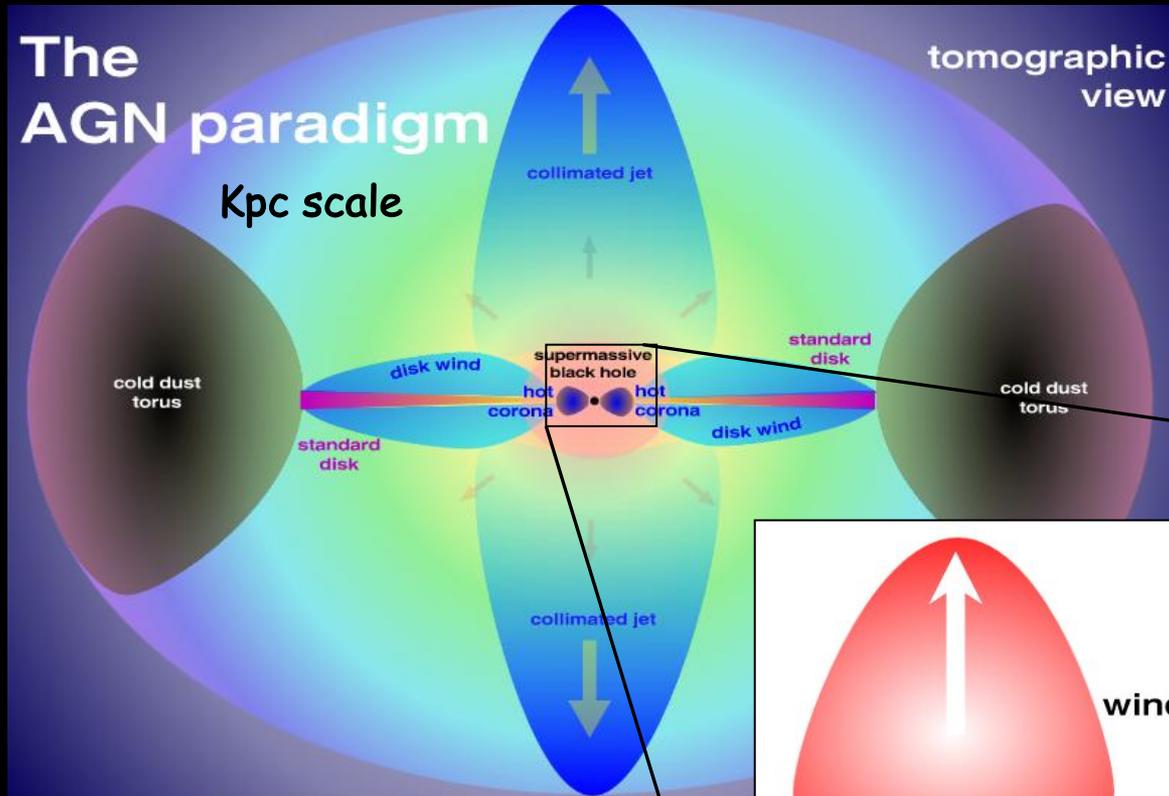
No, No, THIS IS what we think a black hole, and its accretion disc DO look like



EHT collab., 2019, ApJL

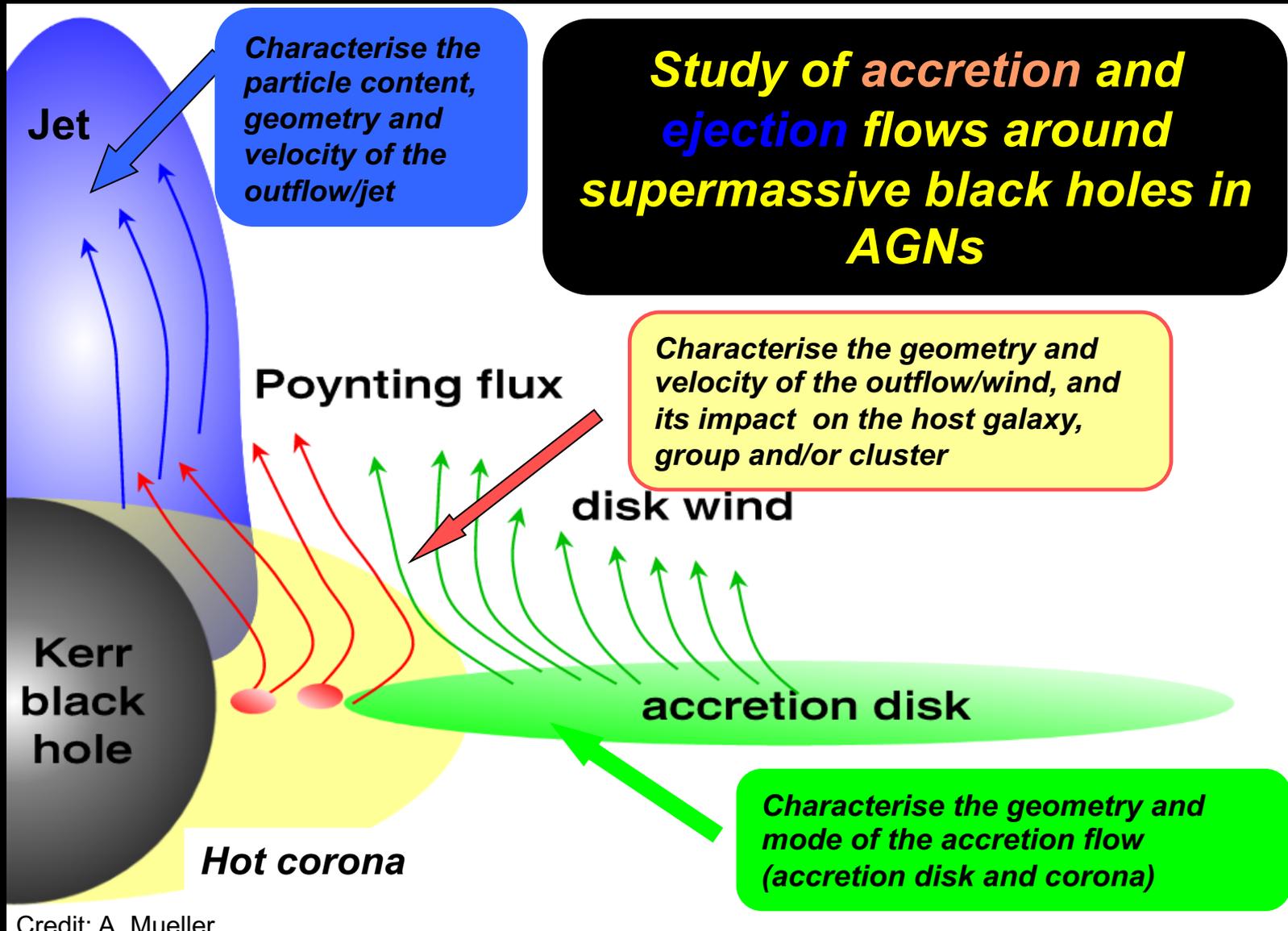
The AGN paradigm: Accretion onto a SMBH

We know (more or less) the ingredients: The AGN paradigm



Credit: A. Muller

Open issues/Unknowns



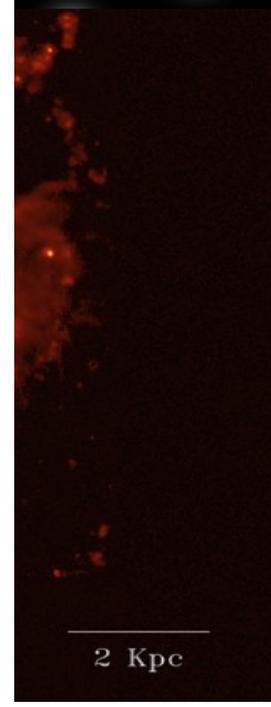
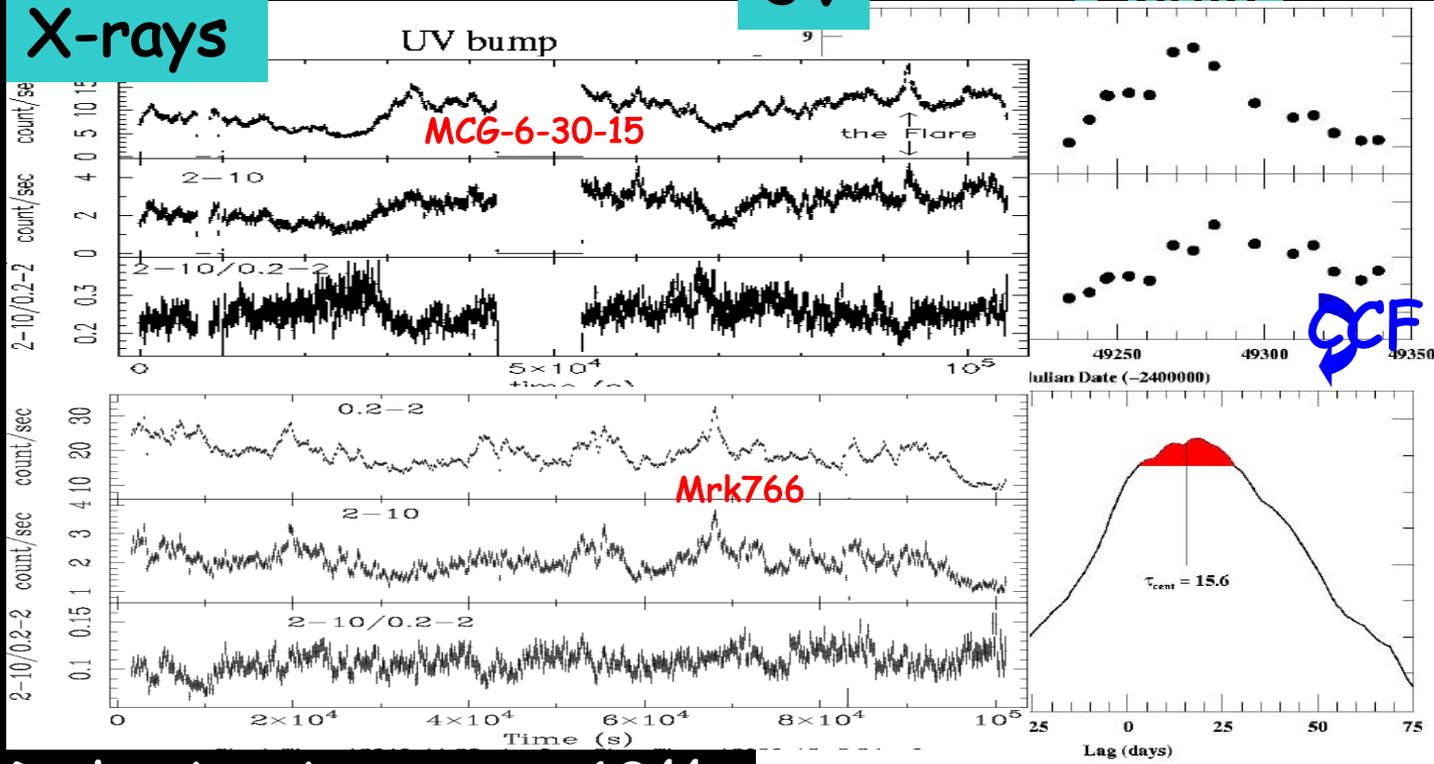
Why studying AGNs in X-rays?

Optical/IR

UV

Radio

X-rays



$\Delta L \sim L \sim \text{up to } 10^{44} \text{ erg/s}$



Disklines reverberation mapping (X-rays)

BLR reverberation mapping (optical)
($v \sim \text{FWHM} \propto \text{delay} \sim \text{dist.}$)

Stellar motions dynamics (rot. Curves) + water masers
(v and $\sigma \propto \text{dist.}$)



$M_{\bullet} a$

(Probe GR within 10 R_s ,
i.e. strong field)

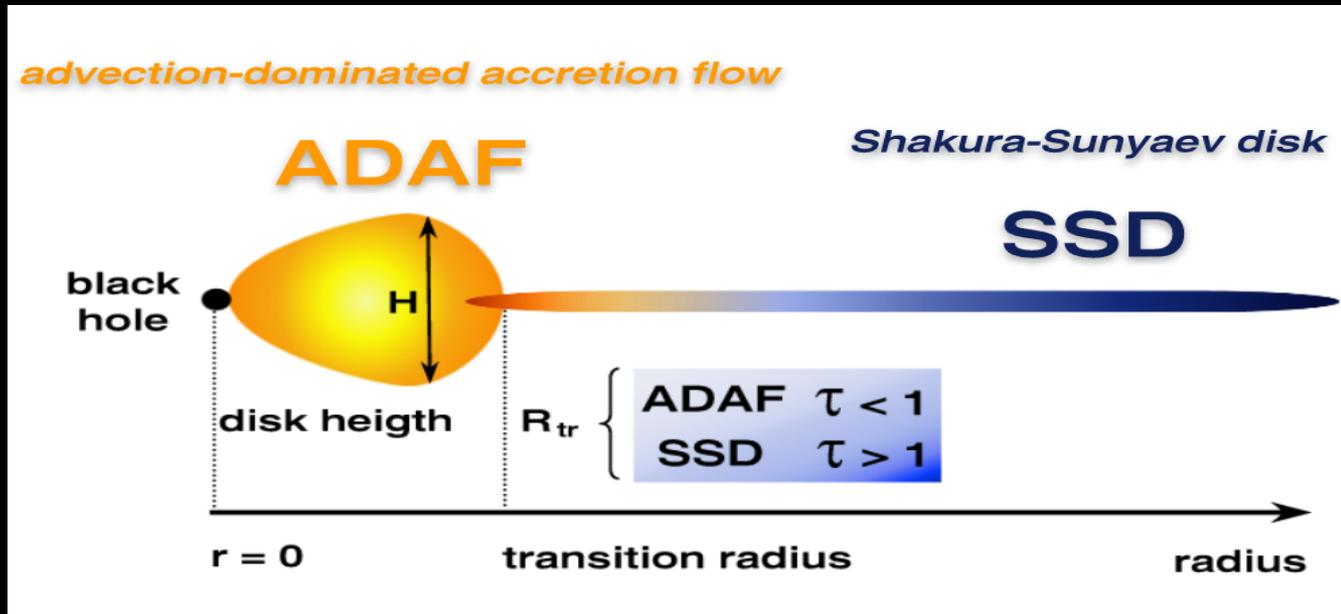
M_{\bullet}

M_{\bullet}

Accretion

Still, we don't know exactly the accretion mode/type (SAD, ADAF, RIAF, CDAF, etc.)...

To simplify here, two classes: SSD (or SAD) vs ADAF

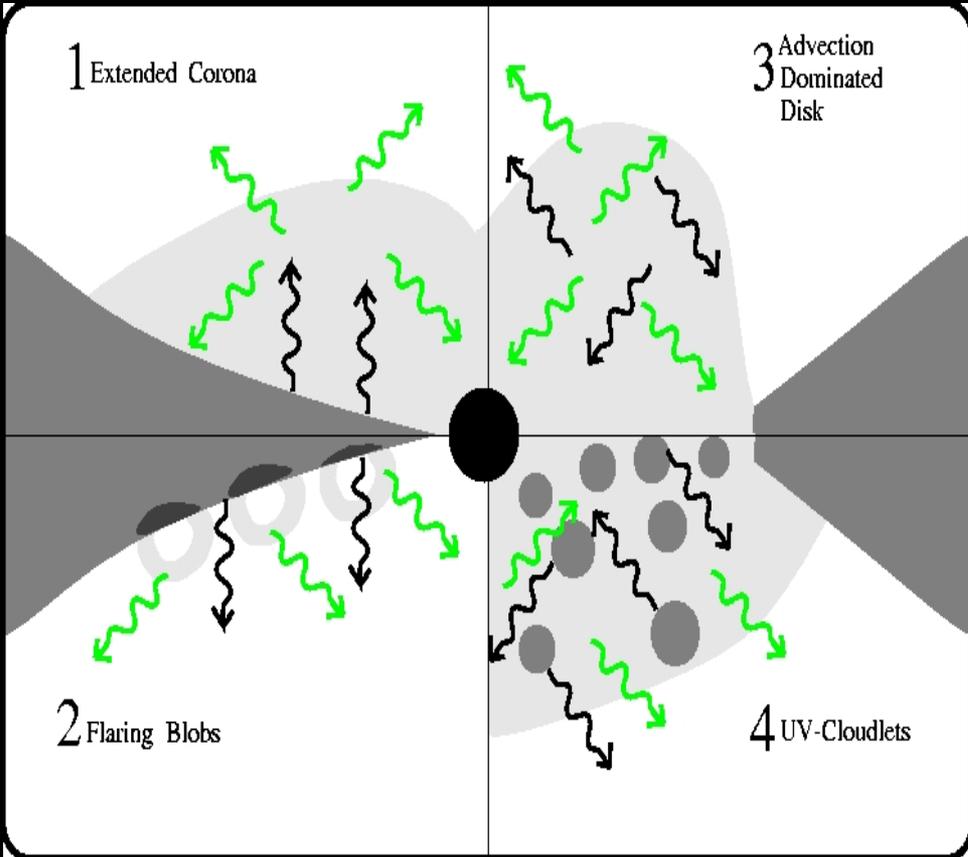


(Müller, '04)

- Shakura-Sunyaev disk (SSD) or equivalently standard accretion disk (SAD)
- advection-dominated accretion flow (ADAF)
- radiatively-inefficient accretion flow (RIAF)
- convection-dominated accretion flow (CDAF)
- slim disk
- truncated disk – advective tori (TDAT)
- non-radiative accretion flow (NRAF)

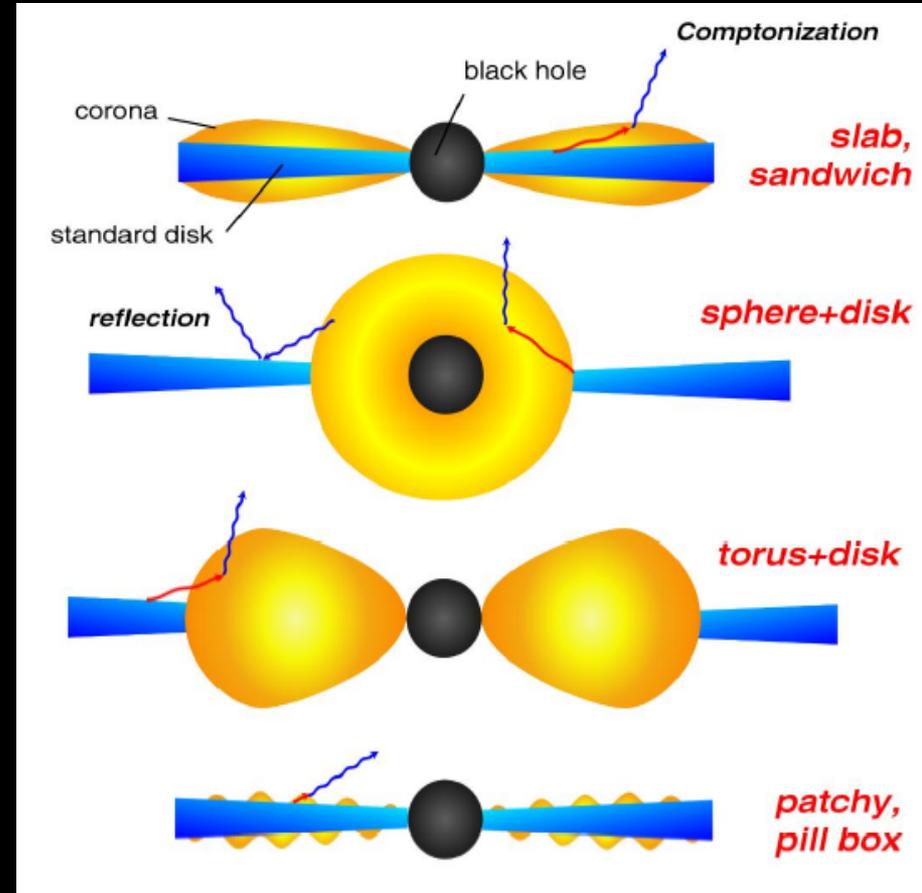
Accretion

... nor the disk-corona geometry



Lamp-post model

Patchy corona model



(Haardt '96)

BH paradigm + assumptions on geometry + emission mechanisms (physics) + Multi- ν observations
=> AGN “Model”

The two major AGN models are:

1: The Two-Phases (SAD-dominated) model (for

Radio-Quiet AGNs)

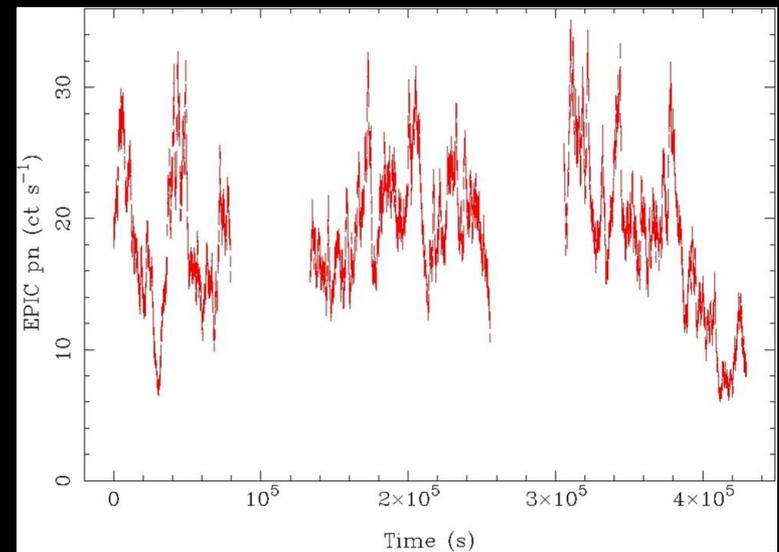
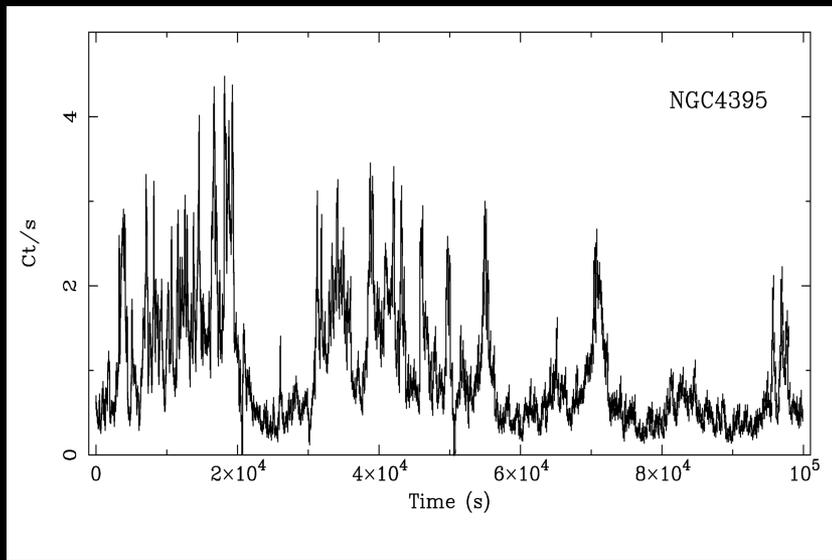
2: The jet model + “inefficient model” (ADAF

dominated) (for Radio-Loud AGNs and Low Luminosity AGNs)

Model 1

The Two-phases (or
SAD/efficient) model
for RQAGNs

Model I (RQ AGN): X-ray observations - Lightcurves



$\Delta L \sim L \sim \text{up to } 10^{44} \text{ erg/s}$

Light curves

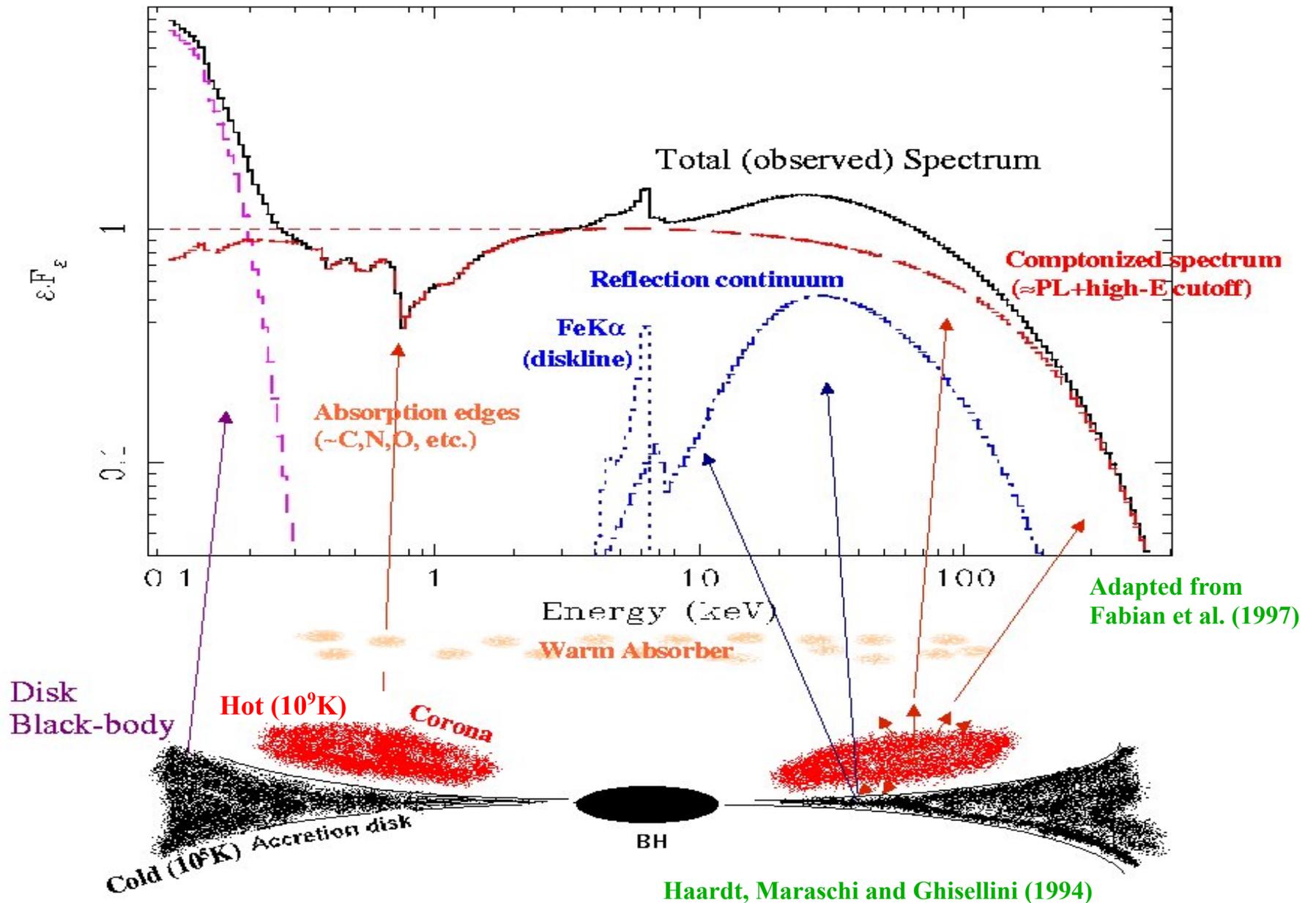


N.B: $\Delta t \sim 50 \text{ s}$ corresponds to $1 R_g$ for $M = 10^7 M_{\text{sol}}$
($\tau \sim R_g/c \sim GM/c^3 \sim 50 M_7 \text{ s}$)

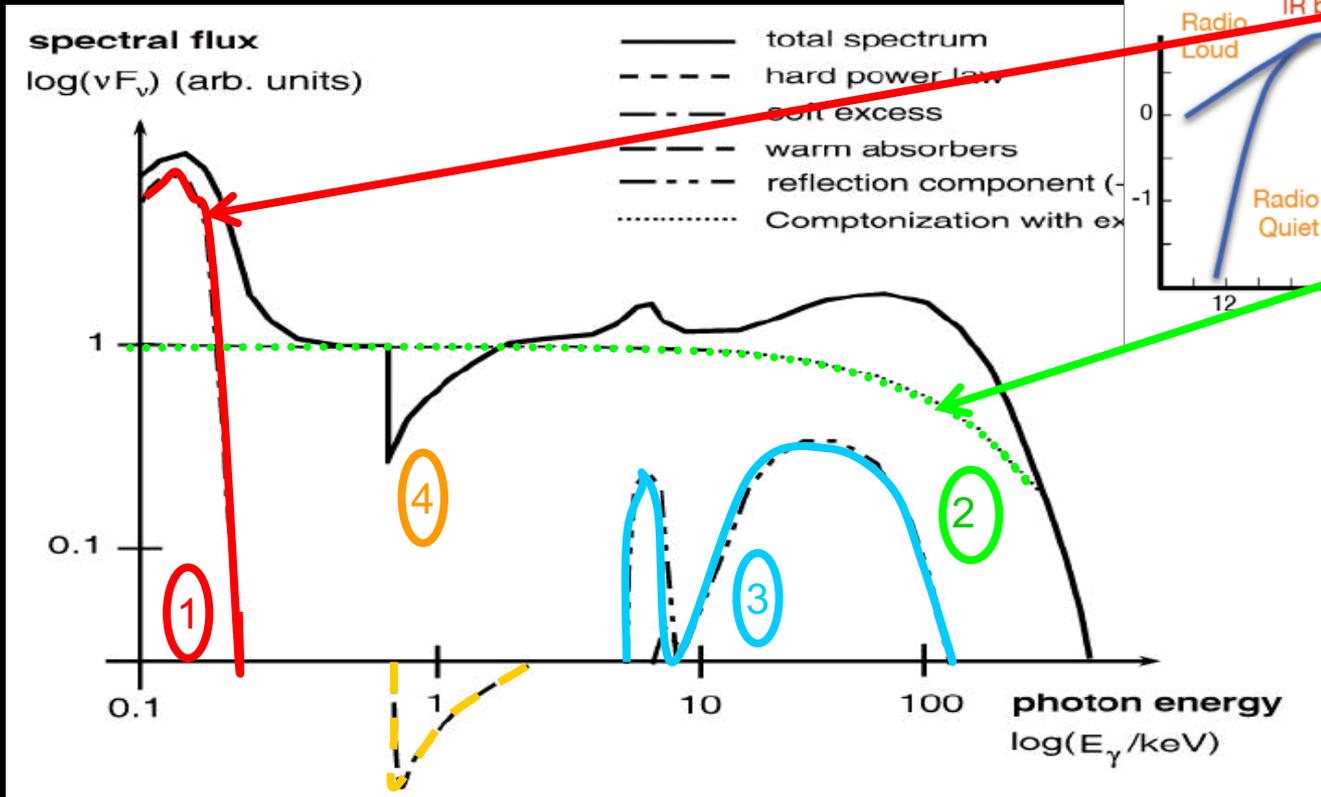
Implies most of radiation from compact and innermost regions

Typical X-ray Spectrum of a Seyfert 1 Galaxy

⇔ □ Standard two-phase Comptonization model



Model I (RQ AGN): X-ray observations - typical spectra



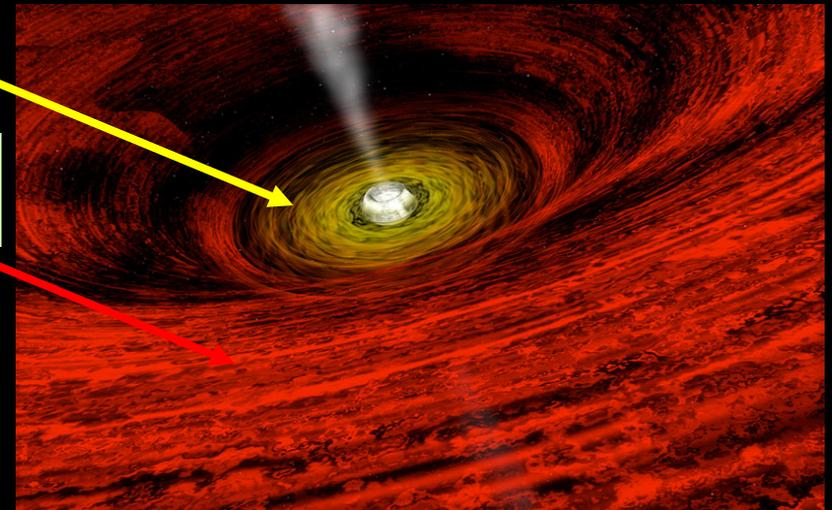
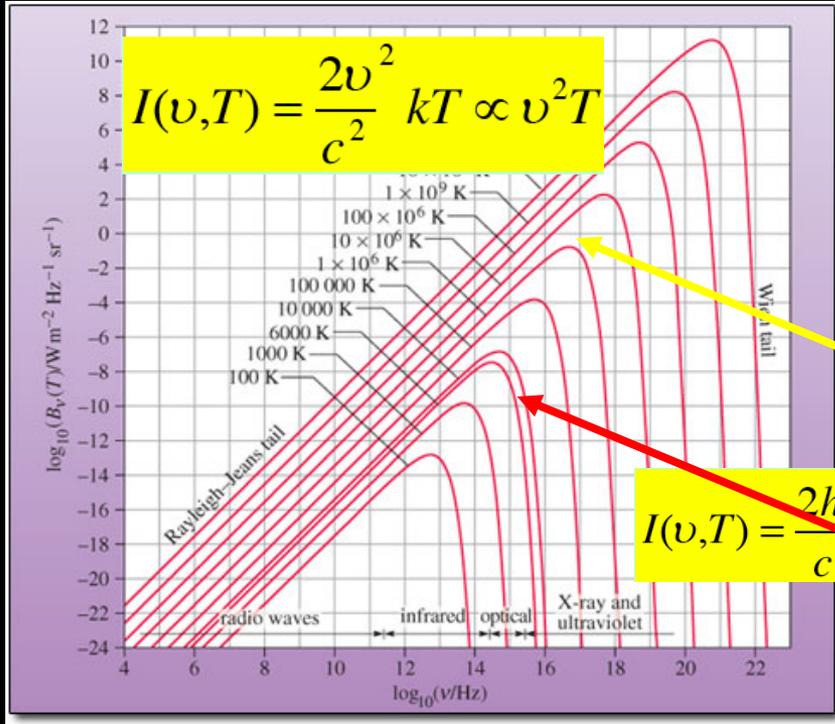
➔ (At least) 4 major spectral components:

1. Soft excess (Black body)
2. Power-law Component (Thermal Comptonization)
3. Reflection component (Fluorescence Lines + Compton hump)
4. Warm absorber (photoelectric absorption)

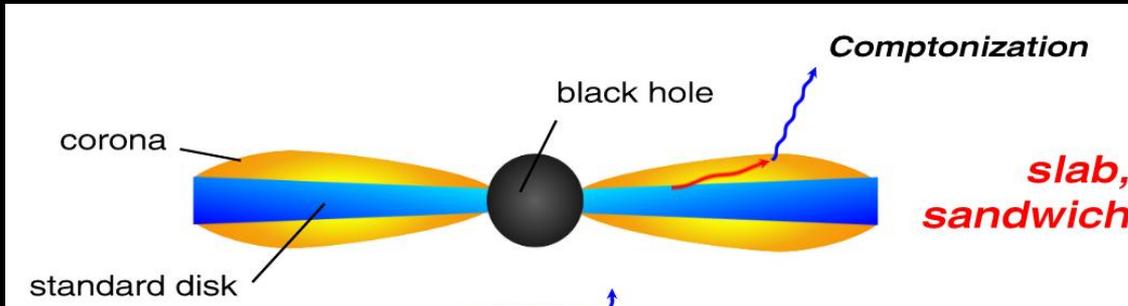
1- Black Body emission from accretion disk

Planck radiation law:

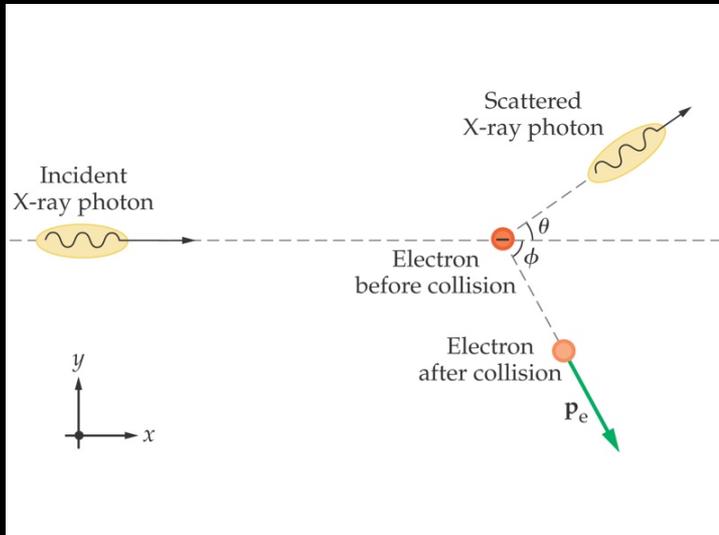
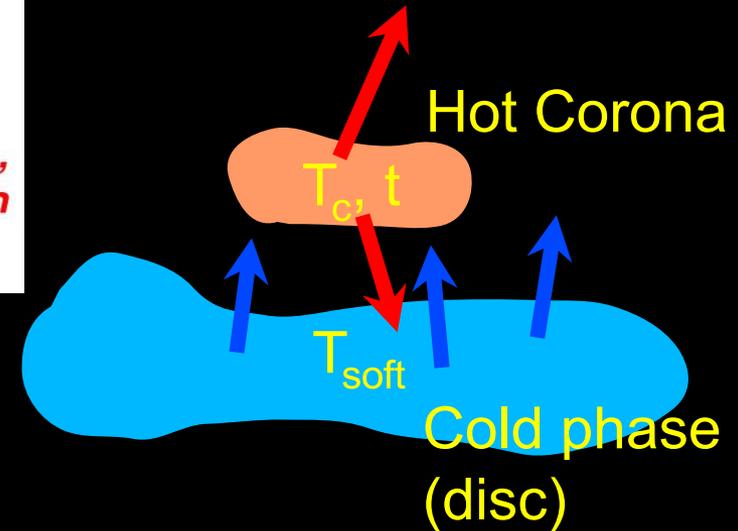
$$I(\nu, T) = \frac{2h\nu^3}{c^2} \frac{1}{e^{h\nu/kT} - 1}$$



II - Power-law (Thermal Comptonization from the corona)



Thermal comptonization from thermal electrons plasma with kT and optical depth τ



If electron at rest:

$$\Delta E = E' - E$$

$$\approx -\frac{E^2}{m_e c^2} (1 - \cos \theta)$$

For non-stationary electron:

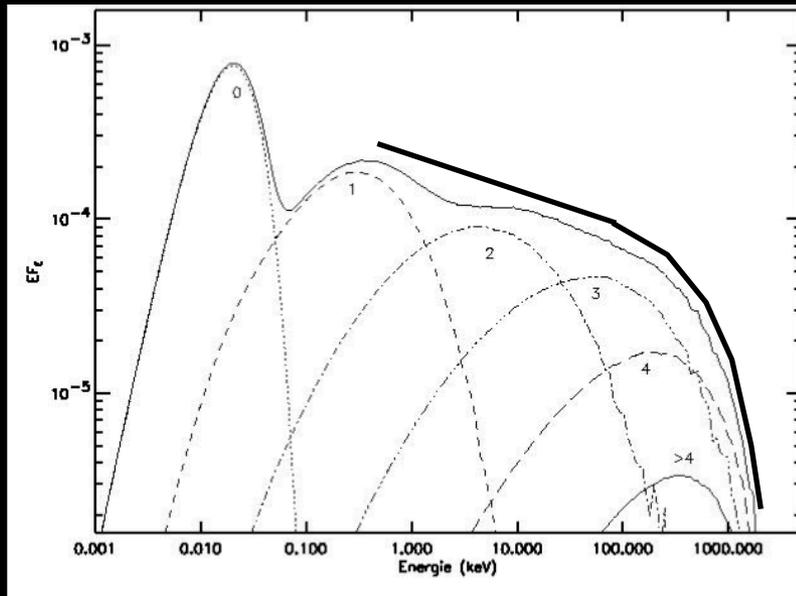
$$\Delta E < 0 \rightarrow \text{Compton}$$

$$\Delta E > 0 \rightarrow \text{Inverse Compton}$$

II - Power-law (Thermal Comptonization from the corona)

$$f_e(\epsilon) d\epsilon = \sqrt{\frac{1}{\pi \epsilon kT}} \exp\left[\frac{-\epsilon}{kT}\right] d\epsilon$$

Maxwellian Distribution of electron energies
 \Rightarrow produce power-law + high energy cut-off



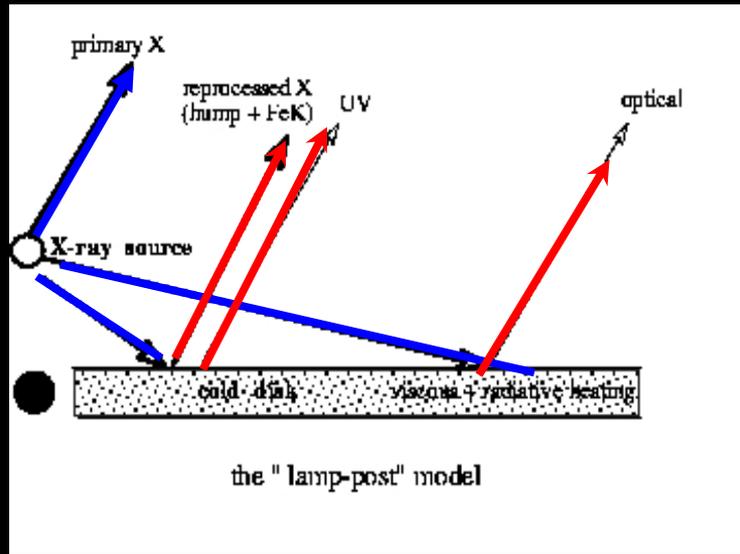
$$F_E \propto E^{-\Gamma(kT, \tau)} \exp\left(-\frac{E}{E_c(kT, \tau)}\right)$$

$$\begin{cases} \Gamma \propto \left(\frac{L_{heat}}{L_{cool}}\right)^{-\delta} \propto f(kT, \tau) \\ E_c \simeq kT \end{cases}$$

$\Gamma(kT, \tau) \rightarrow$ Spectral degeneration since different (kT, τ)
 can yield same Γ

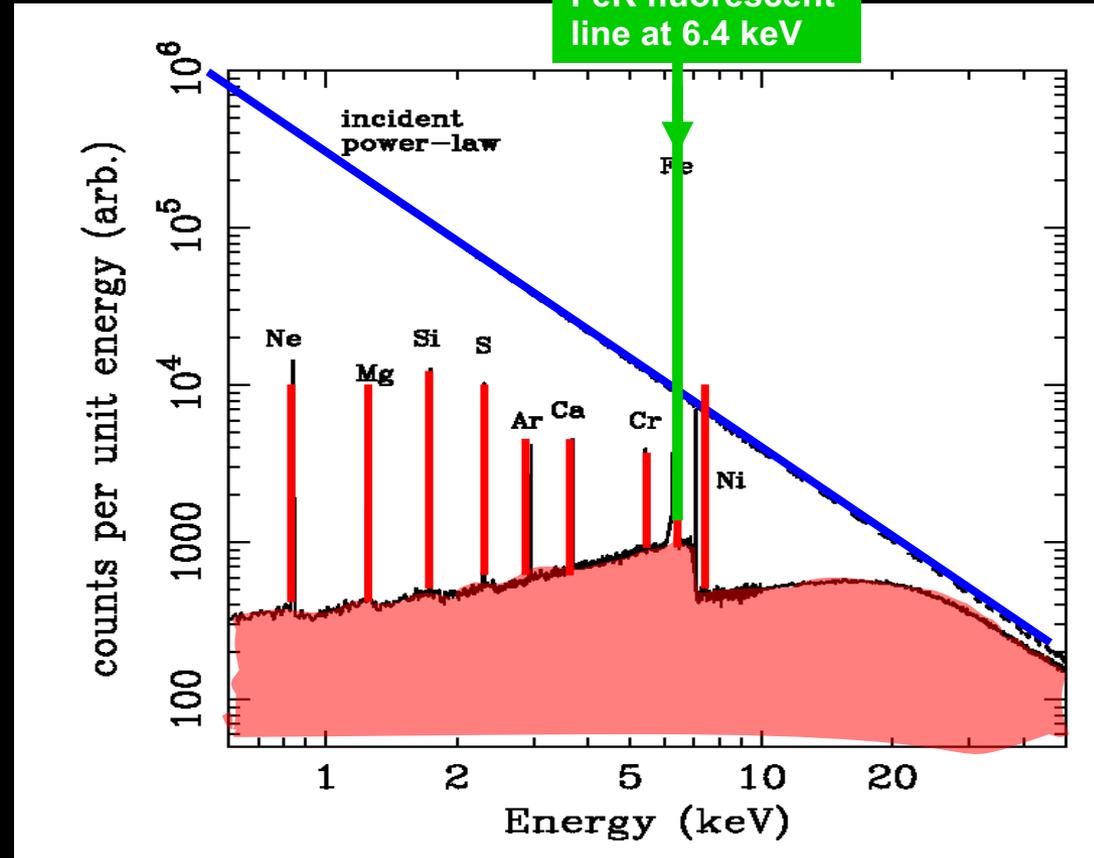
III - Reflection component (line + continuum)

Photoelectric absorption+fluorescence+Thomson/Rayleigh scattering+Compton down-scattering



(e.g. Reynolds et al. '94; Zycski and Czerny '94)

- i) Inclination
- ii) $\Omega/2\pi$ (coverage, isotropy)
- iii) Ab

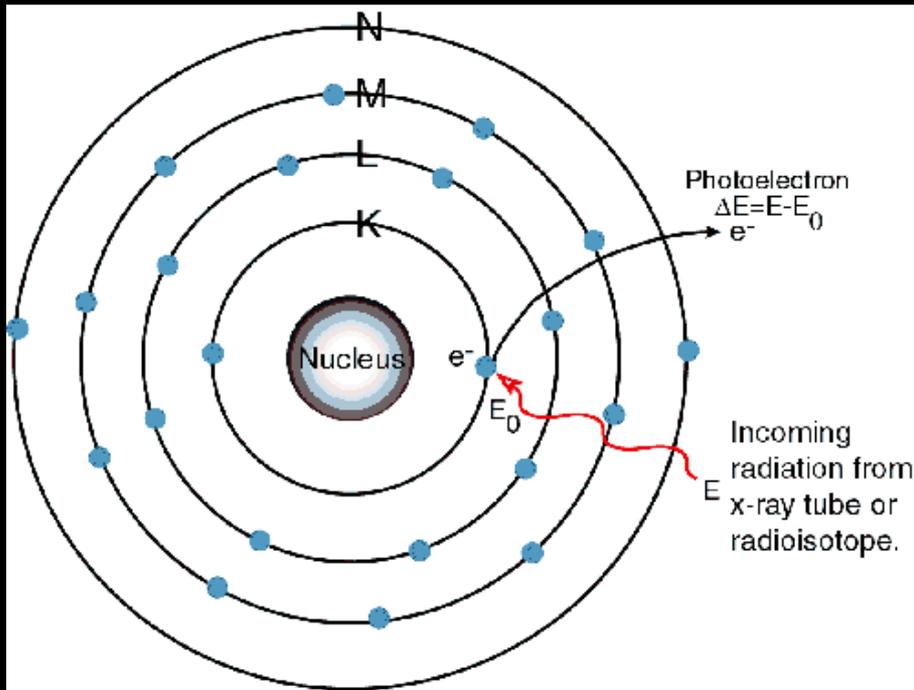


Major modifications expected:

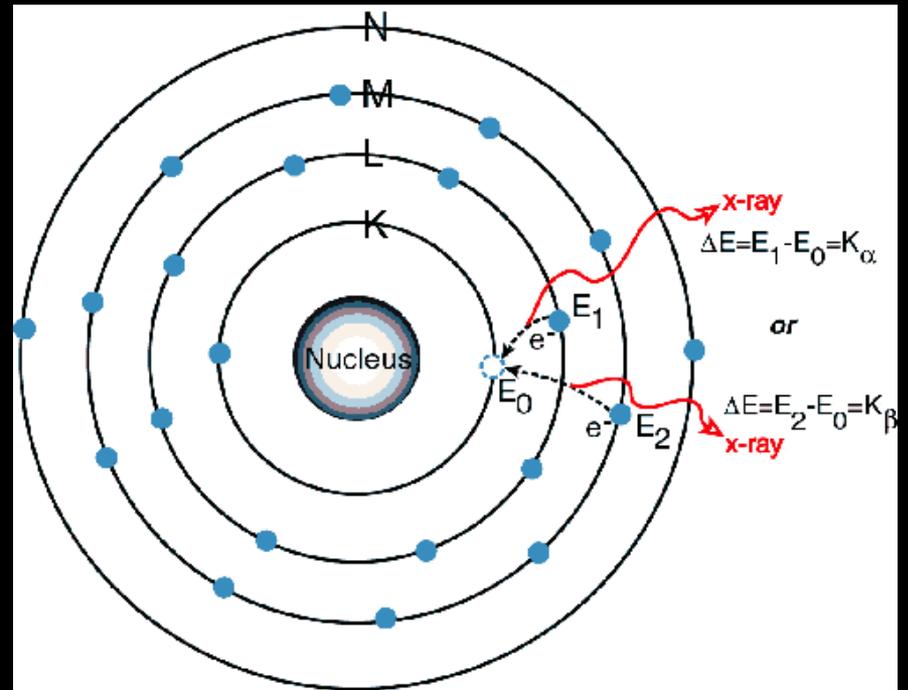
- a) Ionization effects
 - b) Relativistic effects
- or a combination of both...

(Fe) Fluorescence Emission Line

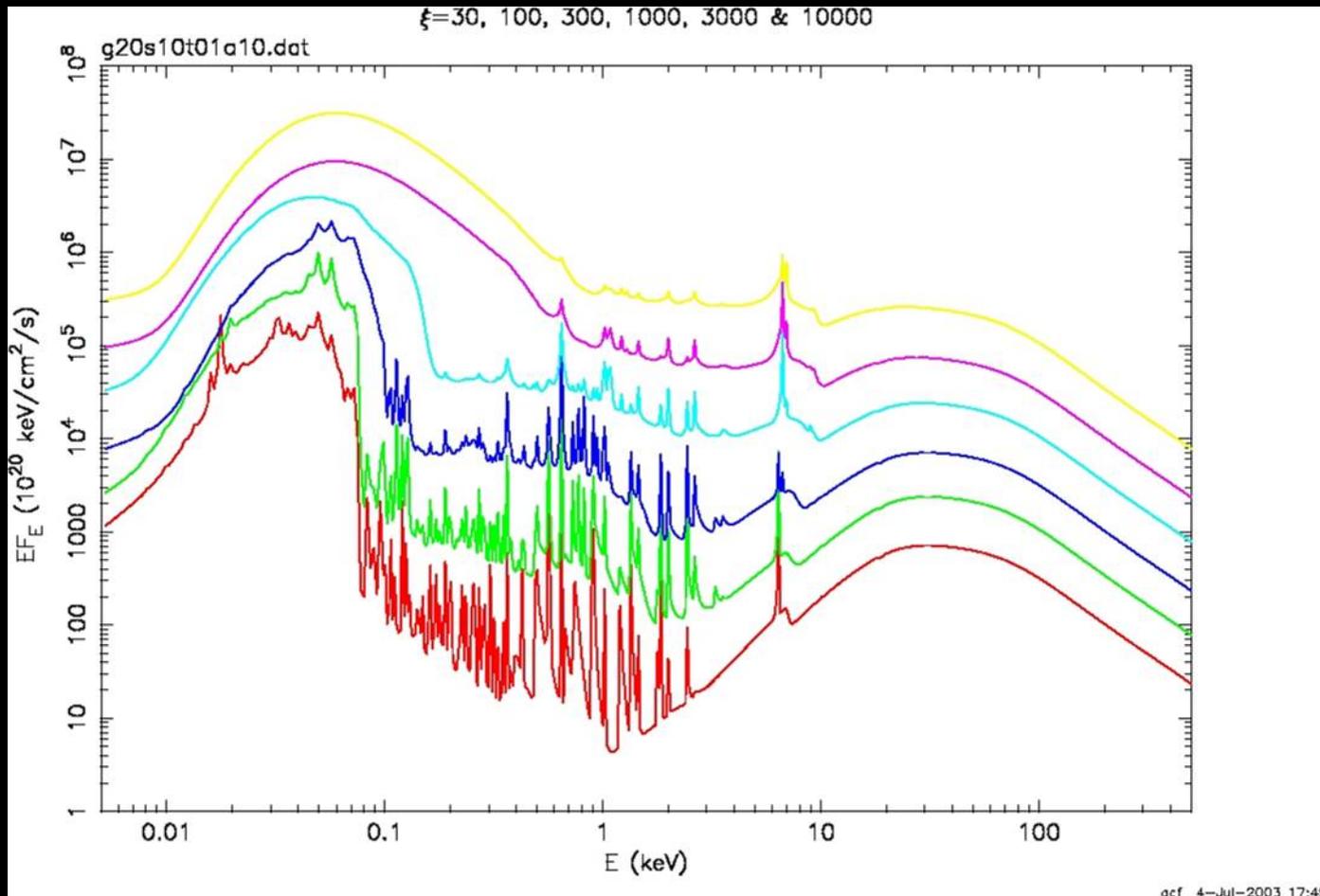
Photoelectric Absorption



Fluorescence (+ Auger for 60%)



A- Ionization effects



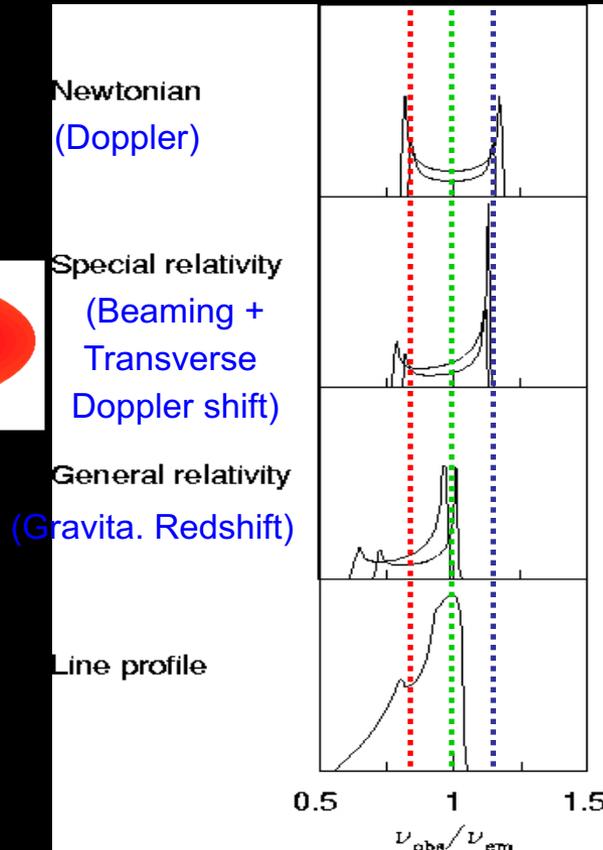
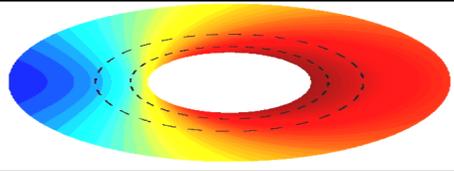
$$\xi=L/nR^2$$

Major variations:

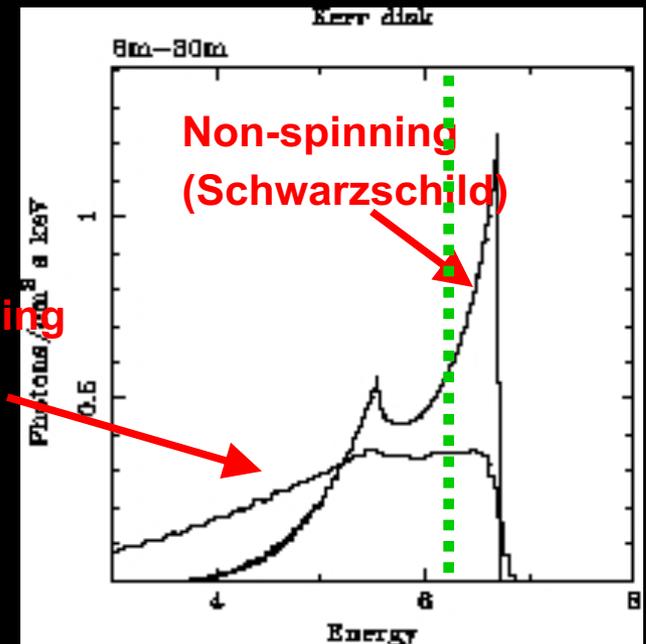
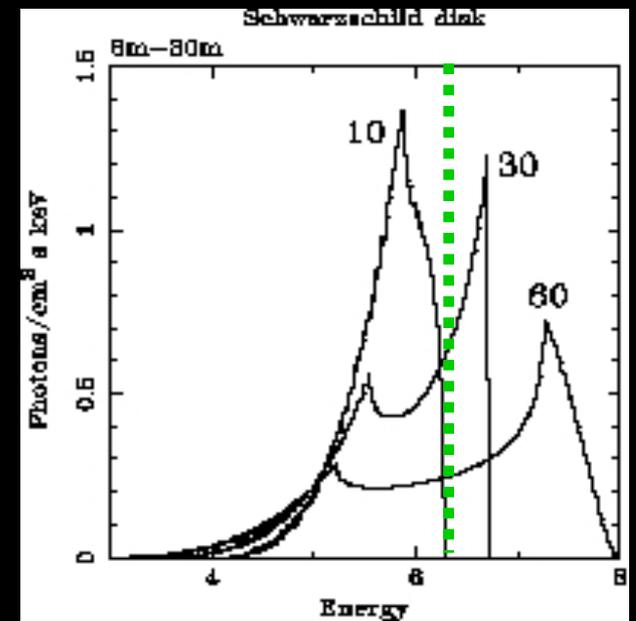
- 1) FeK energy (\uparrow)
- 2) FeK intensity ($\downarrow, \uparrow, \downarrow$)
- 3) Soft lines intensity/energy (\uparrow, \downarrow)

Ballantyne & Fabian '02, Ross & Fabian '93, '05,
Young+, Nayakshin+, Ballantyne+, Rozanska+, Dumont+

B - Relativistic effects



(e.g., Fabian et al. '89)



(Fabian et al. '00)

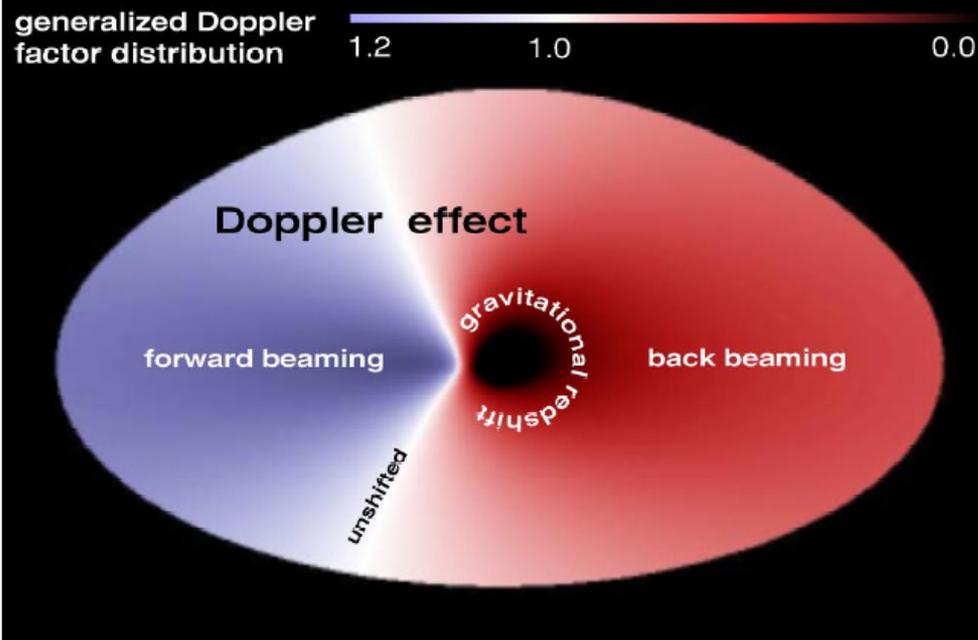


Figure 6.2: Simulated disk image around a central Kerr black hole color-coded in the generalized Doppler factor g . The distribution illustrates redshift $g < 1$ (black to red), no shift $g = 1$ (white) and blueshift $g > 1$ (blue). Regions of Doppler effect, beaming and gravitational redshift are marked. The inclination angle amounts $i = 60^\circ$.

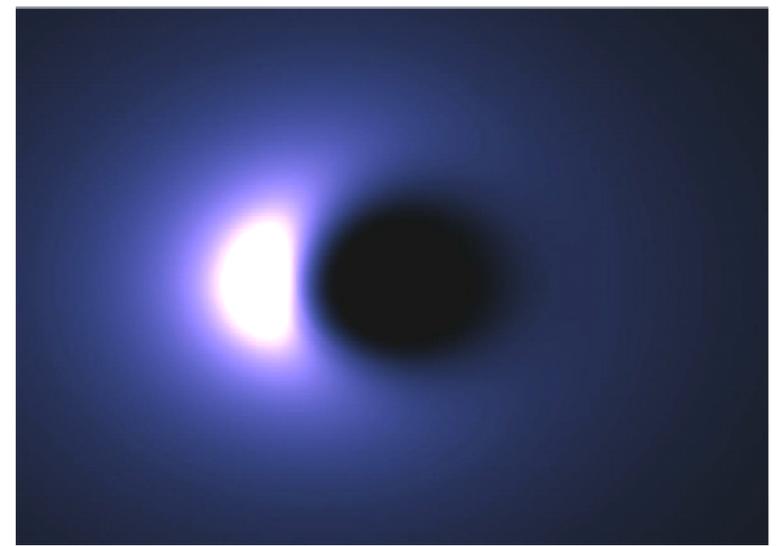
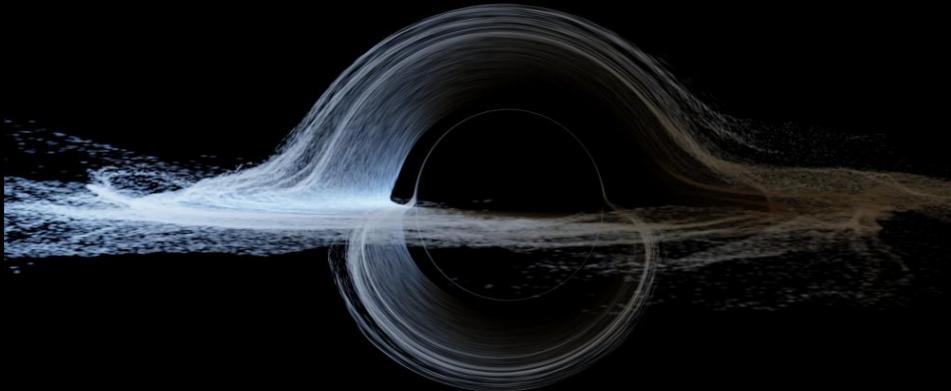
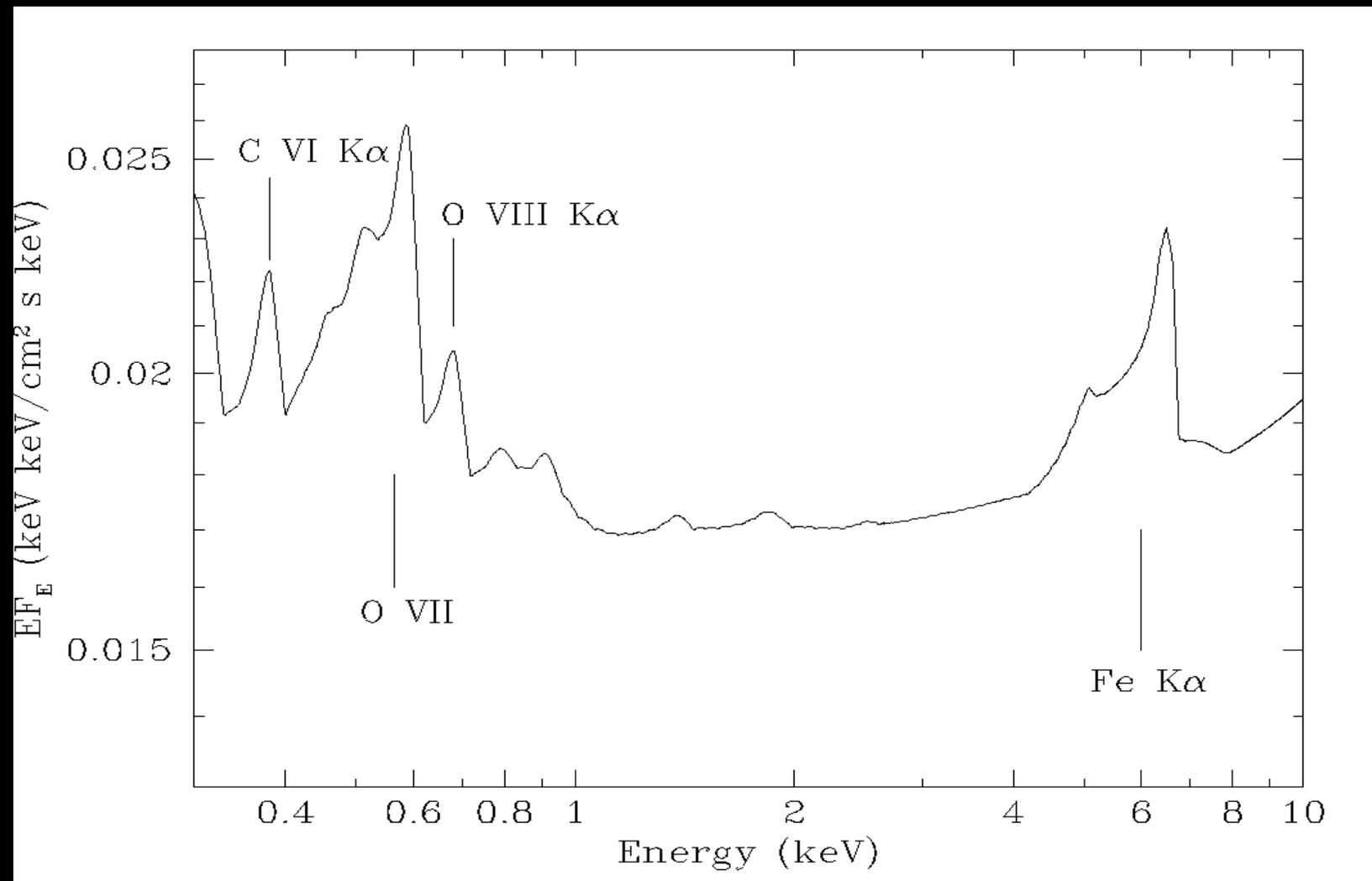


Figure 6.3: Simulated appearance of a uniformly luminous standard disk around a central Kerr black hole, $a \simeq 1$. The emission is color-coded and scaled to its maximum value (white). The disk is intermediately inclined to $i = 40^\circ$. The forward beaming spot of the counterclockwise rotating disk is clearly seen on the left whereas the right side exhibits suppressed emission due to back beaming. The black hole is hidden at the Great Black Spot in the center of the image.



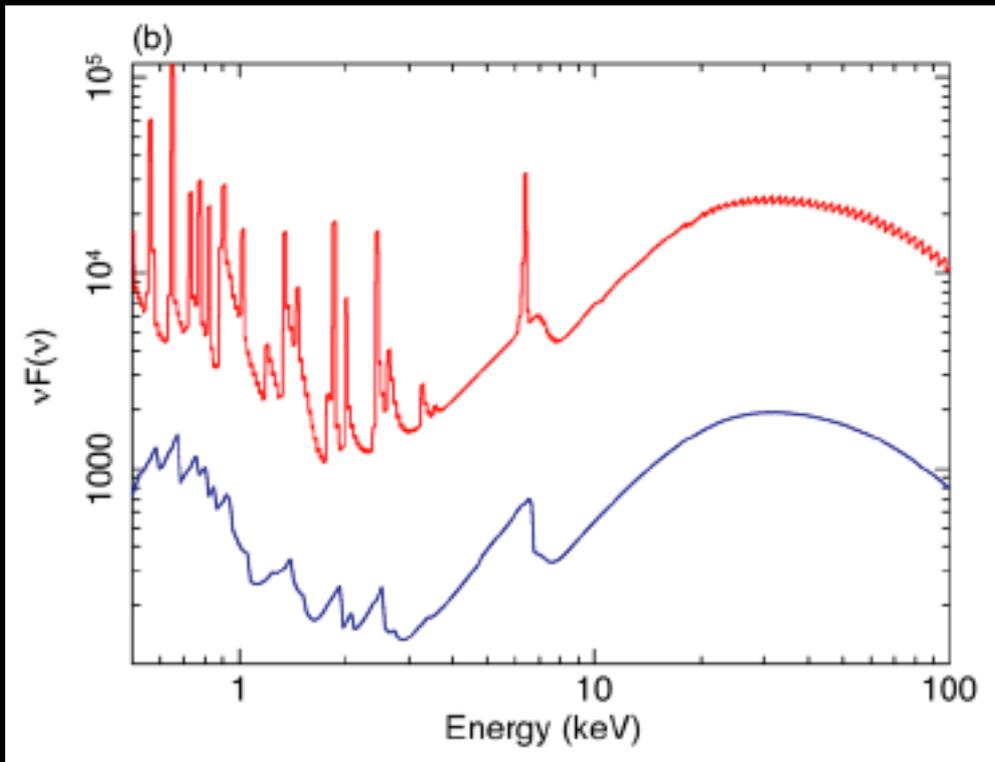
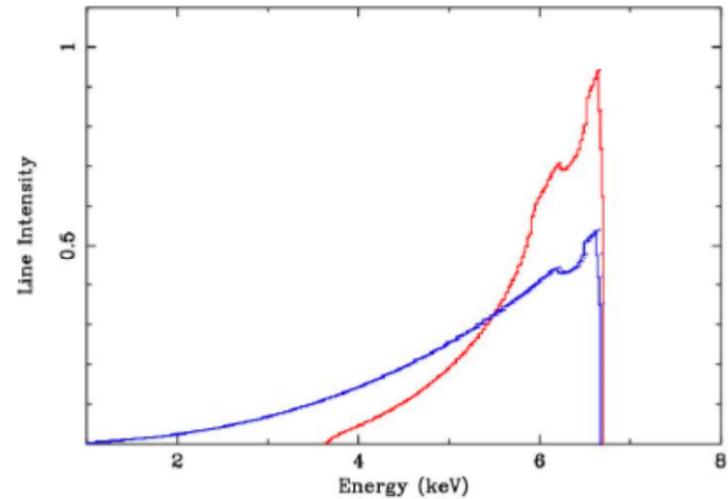
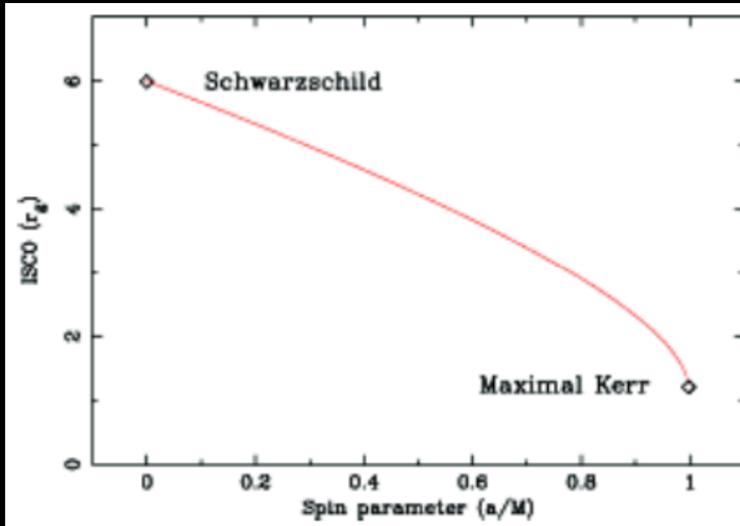
James, Tunzelman, Franlin
and Thorne, '95, arXiv:1502.03808
Black hole Gargantua in Interstellar

C - Ionization + relativistic effects



(e.g., Ballantyne & Fabian '02,
Matt et al. '93)

C - Ionization + relativistic effects



XSPEC models:

Pexrav \rightarrow pexriv

Relionx + kdblur

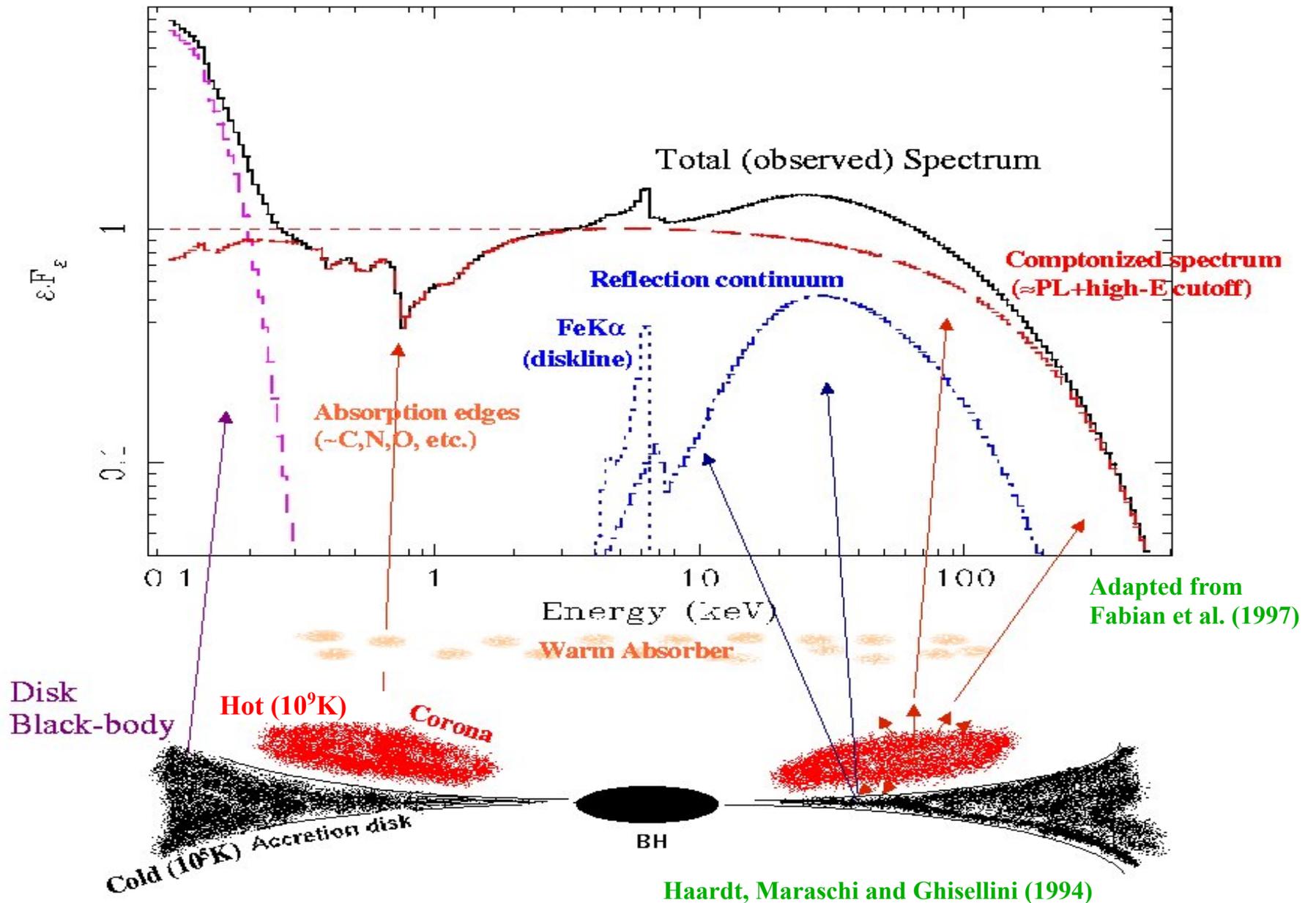
Kerdisk+kerconv

Relxill (=relconv+xillver)

Kyrlin+Kyrconv

Typical X-ray Spectrum of a Seyfert 1 Galaxy

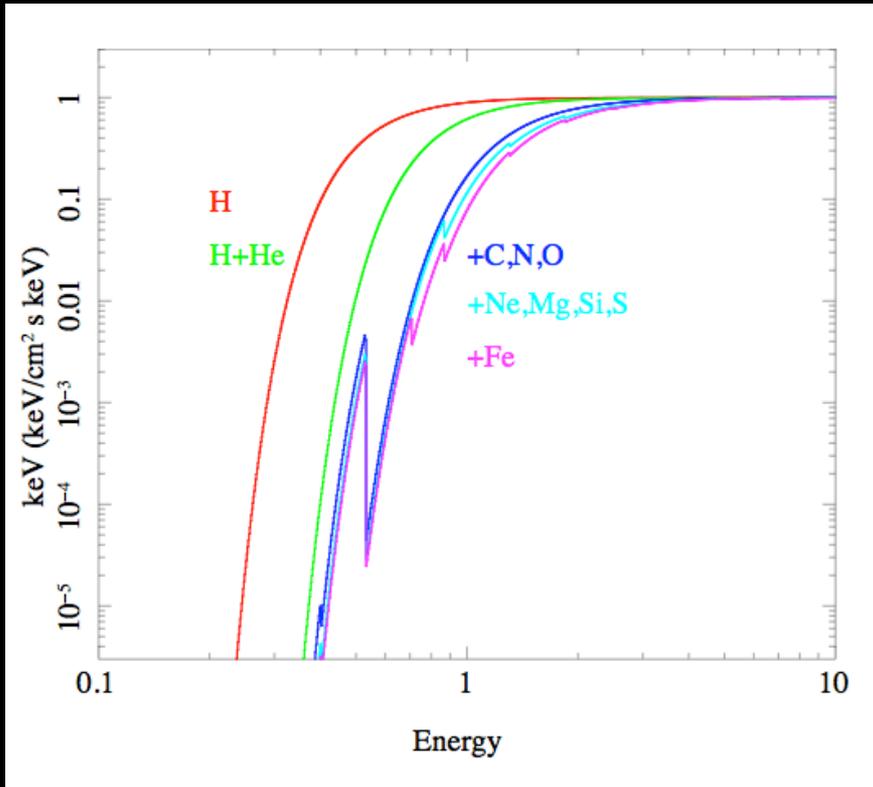
⇔ □ Standard two-phase Comptonization model



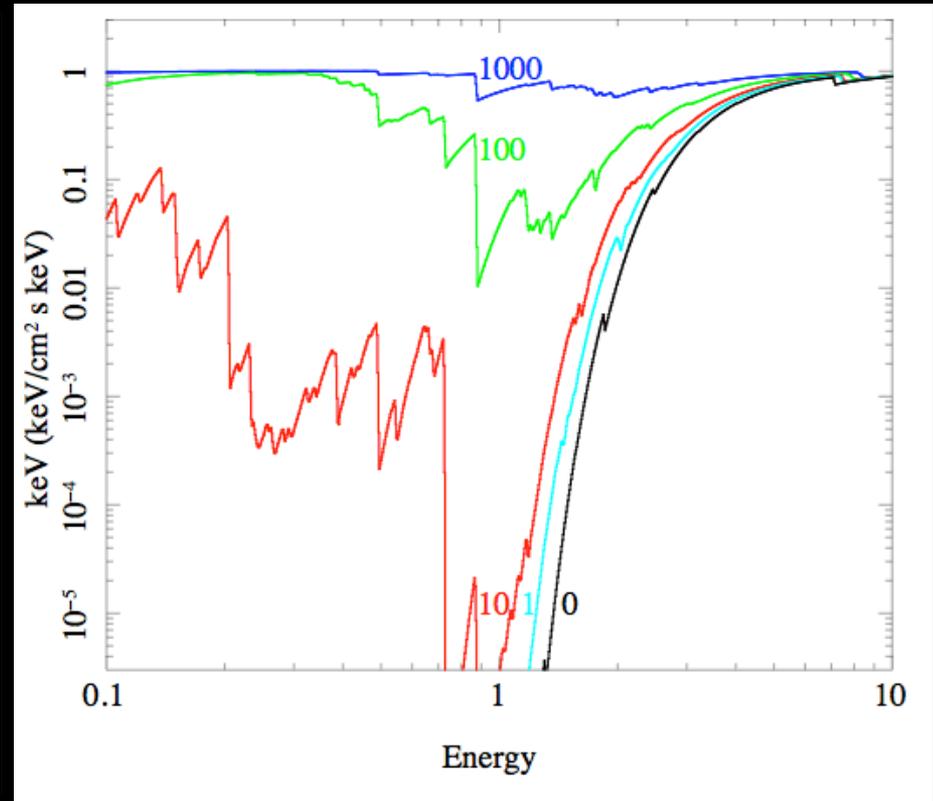
IV - Ionized absorption along the line of sight

Photoelectric absorption

Neutral

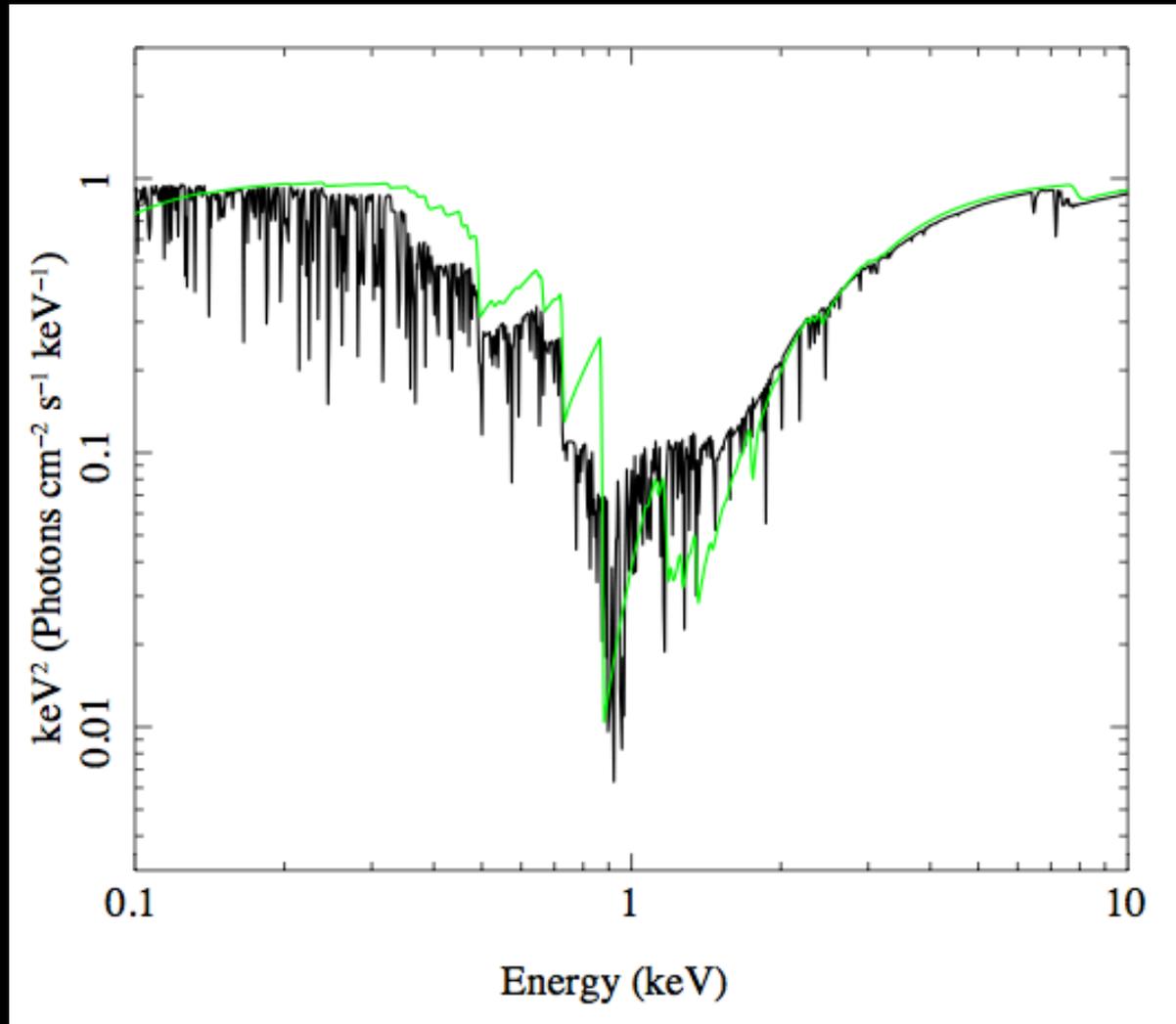


Ionized ($X_i=L/nR^{**2}$)



IV - Ionized absorption along the line of sight

XSTAR warm absorber model



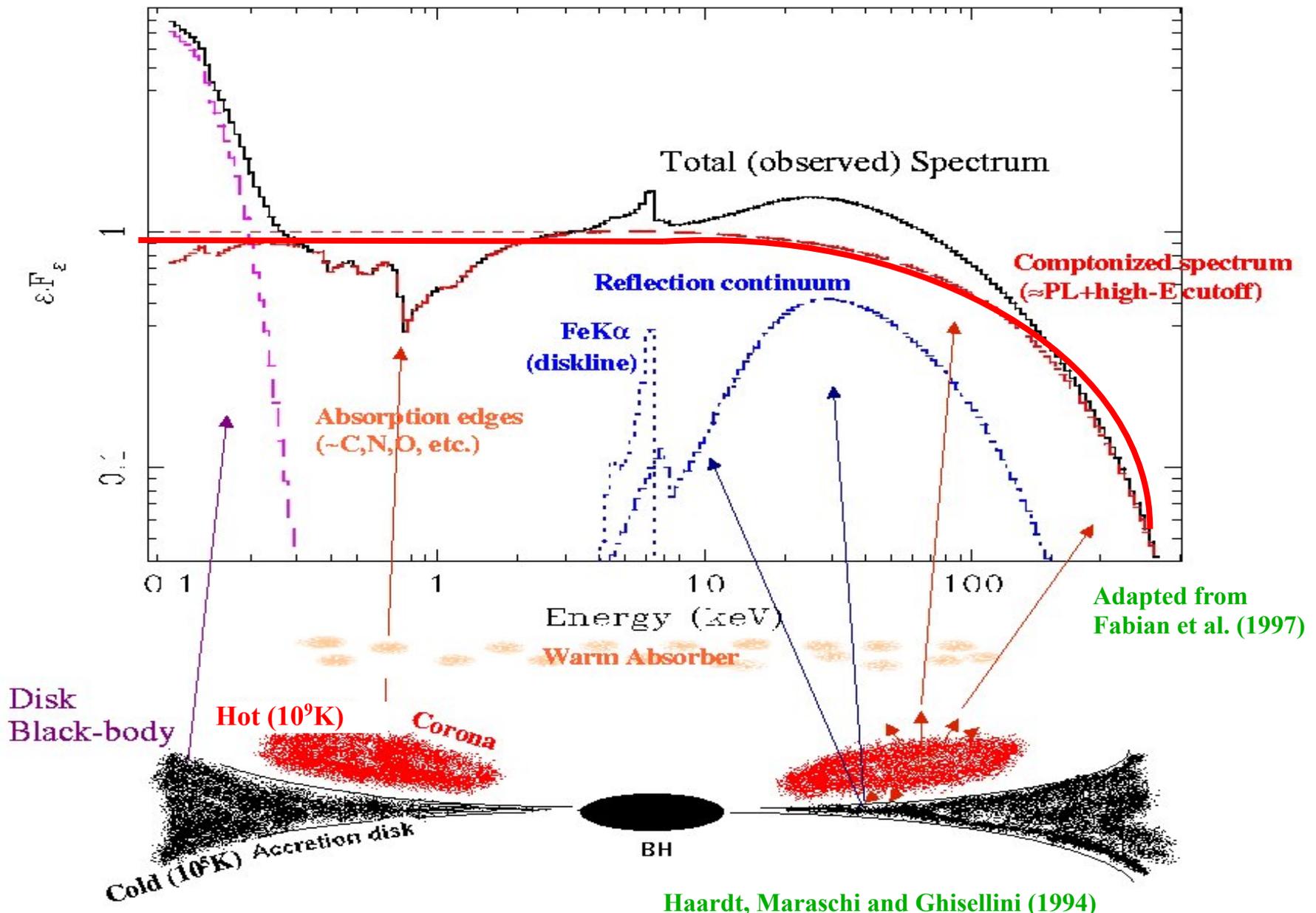
Questions



Reflection(s) VS Ejections(s)



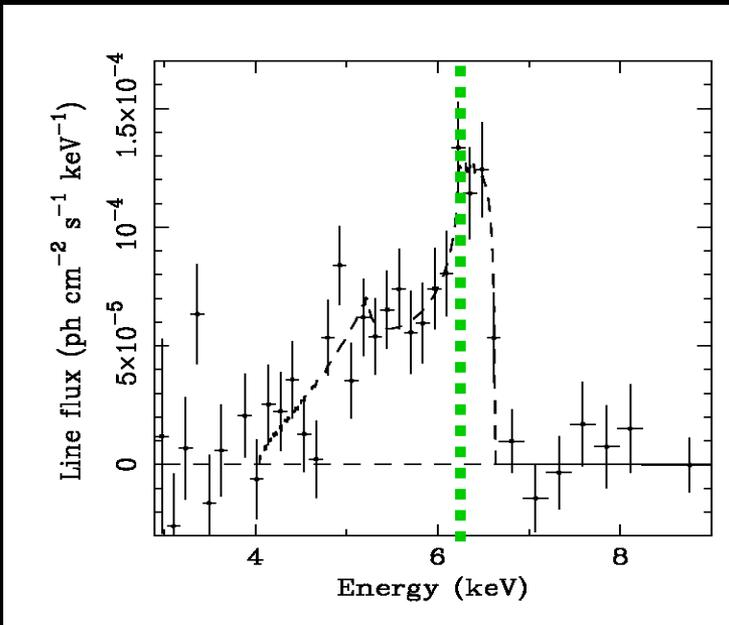
Typical X-ray Spectrum of a Seyfert 1 Galaxy ⇔ Standard two-phase Comptonization model



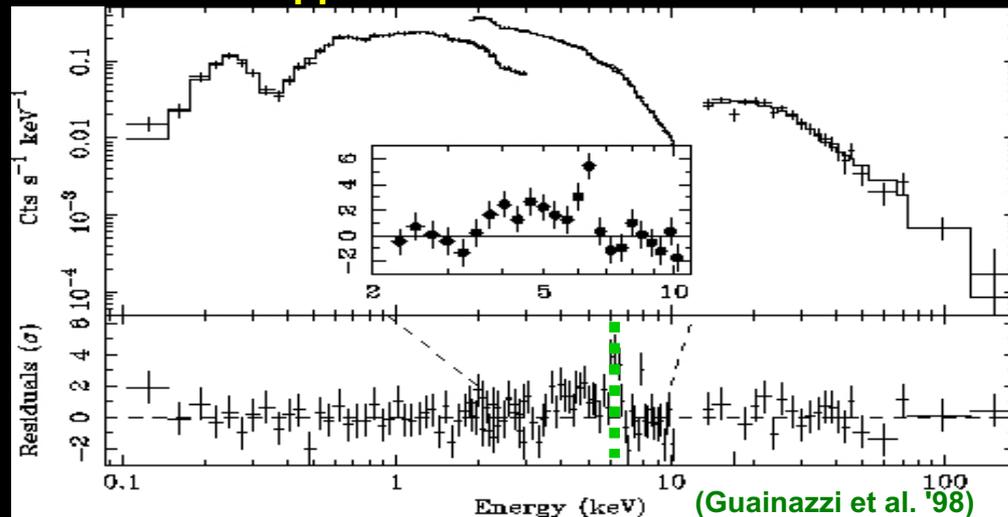
Emission lines...
i.e. pointing to Reflection(s)
(i.e. accretion)



BeppoSAX obs. of MCG-6-30-15

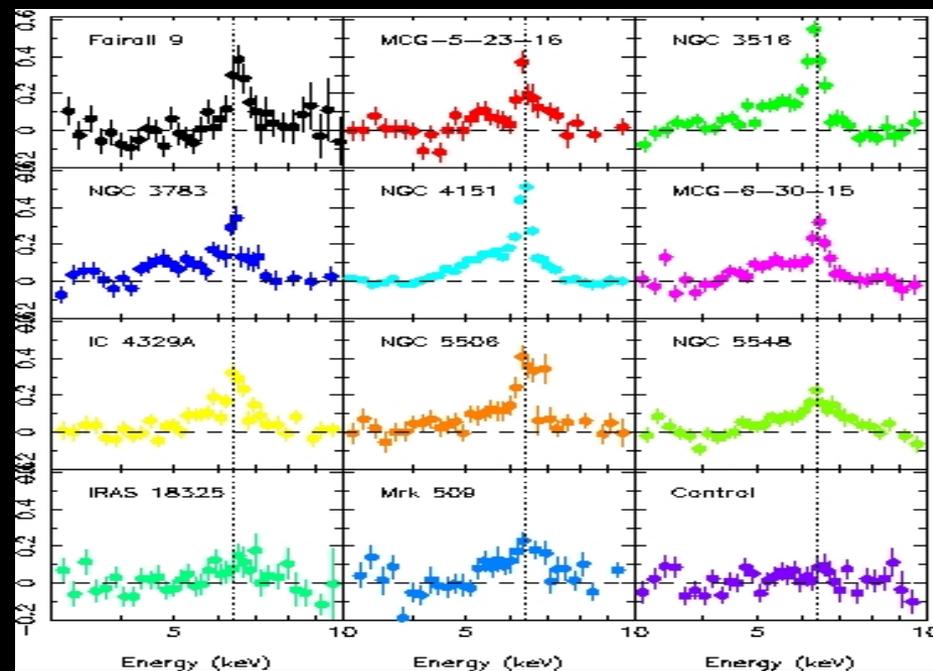


(Tanaka et al. '95)



(Guainazzi et al. '98)

ASCA ---> Broad (relativistic) lines are common, and ubiquitous (?) in Seyfert1s!

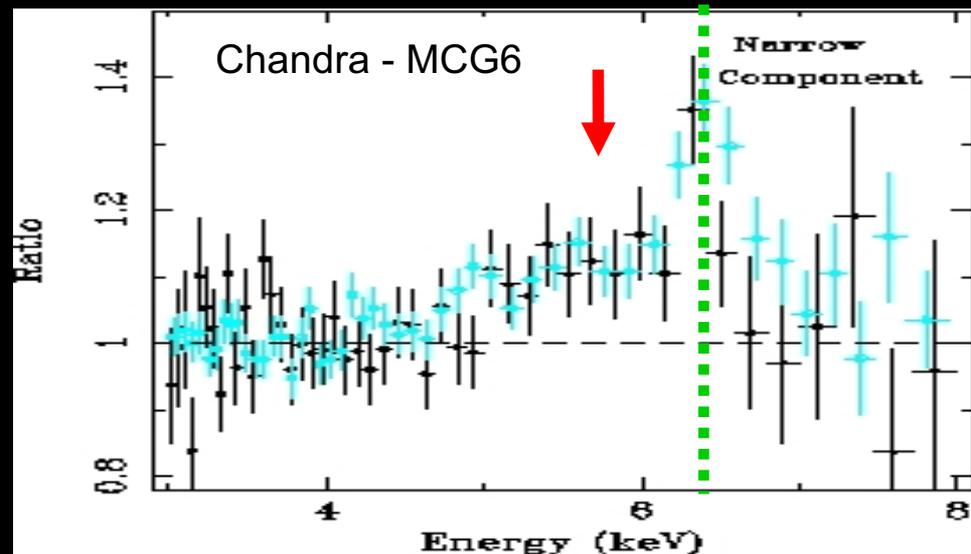


(Nandra et al. '98)

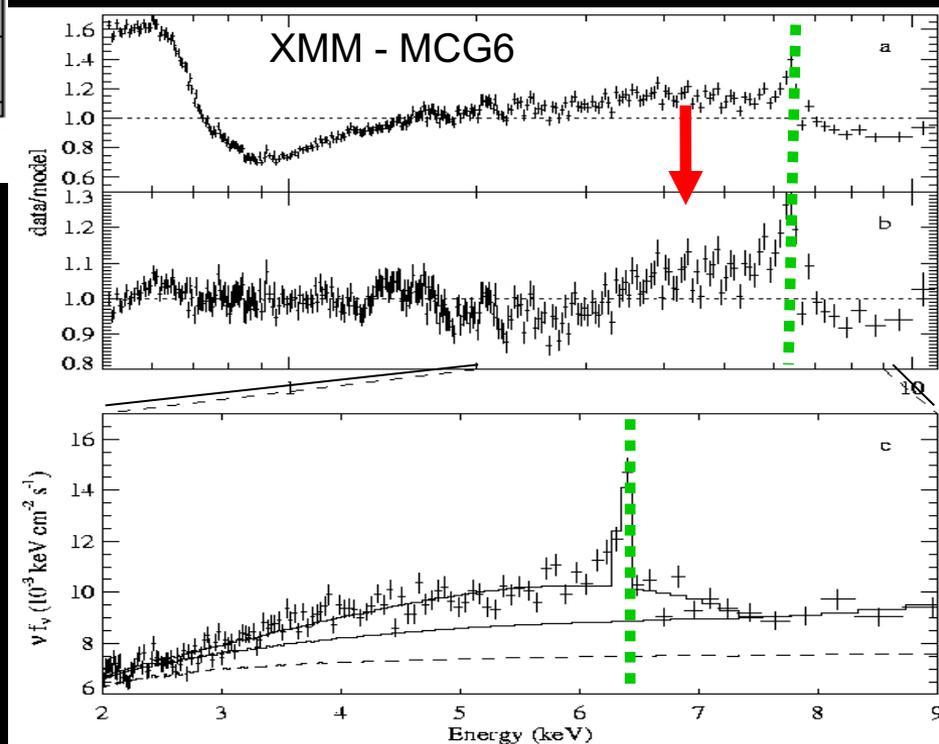
Reflection: Observations

Post-Chandra & XMM-Newton

Yes, we see broad lines indeed!



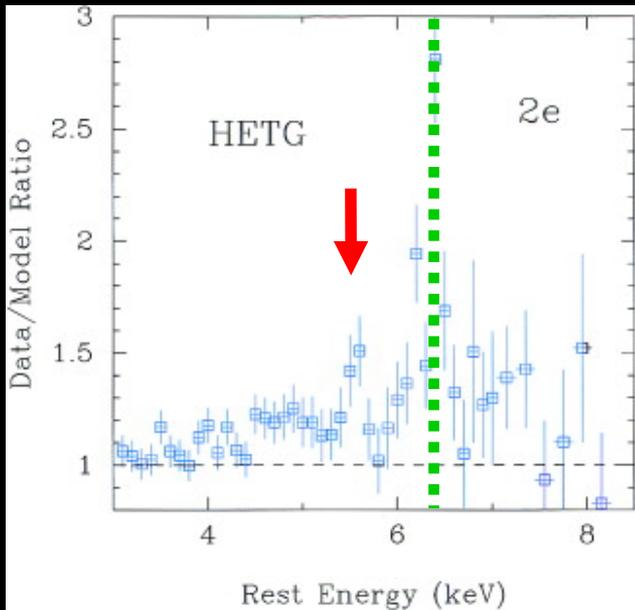
(Lee et al. '02)



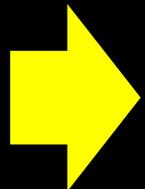
(Wilms et al. '02
Fabian et al. '04)

Origin in innermost regions of accretion disk

Also some narrow redshifted lines...

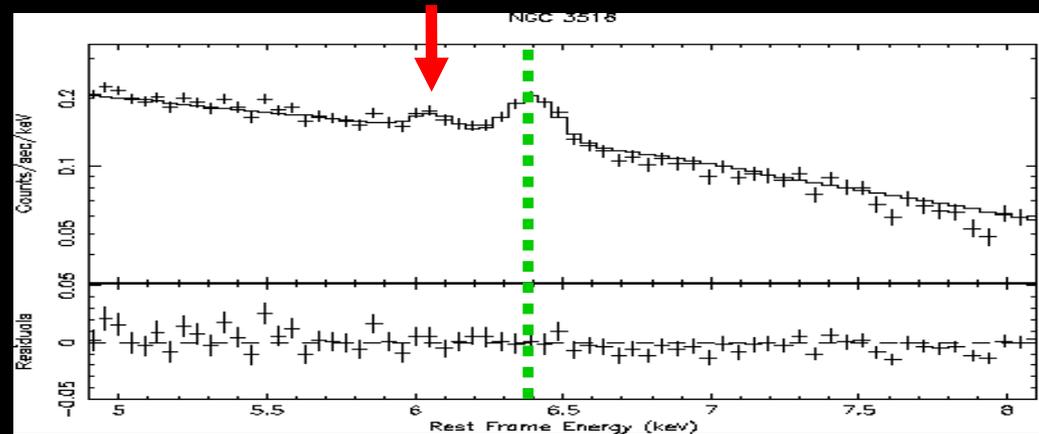


(Turner et al. '02)

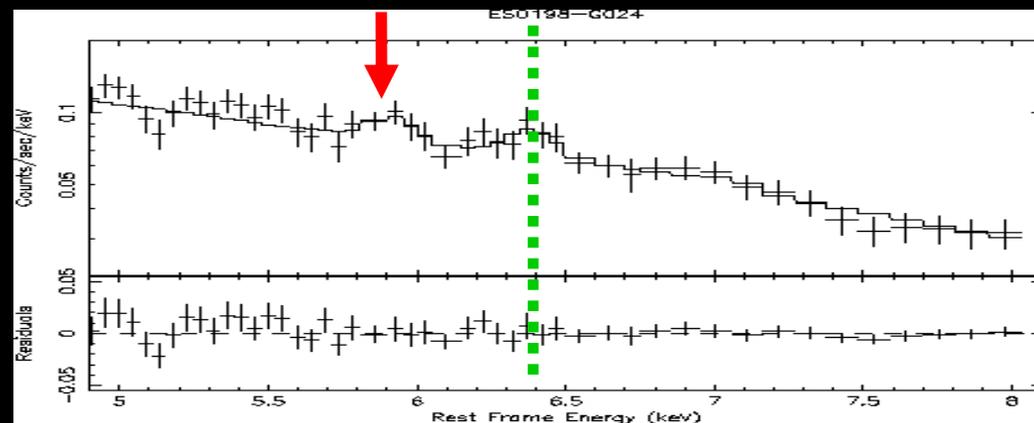


Origin in innermost regions of accretion disk+ blob-like structure (or inflowing blobs?)

Dovciak et al., 2004



Bianchi et al., 2004

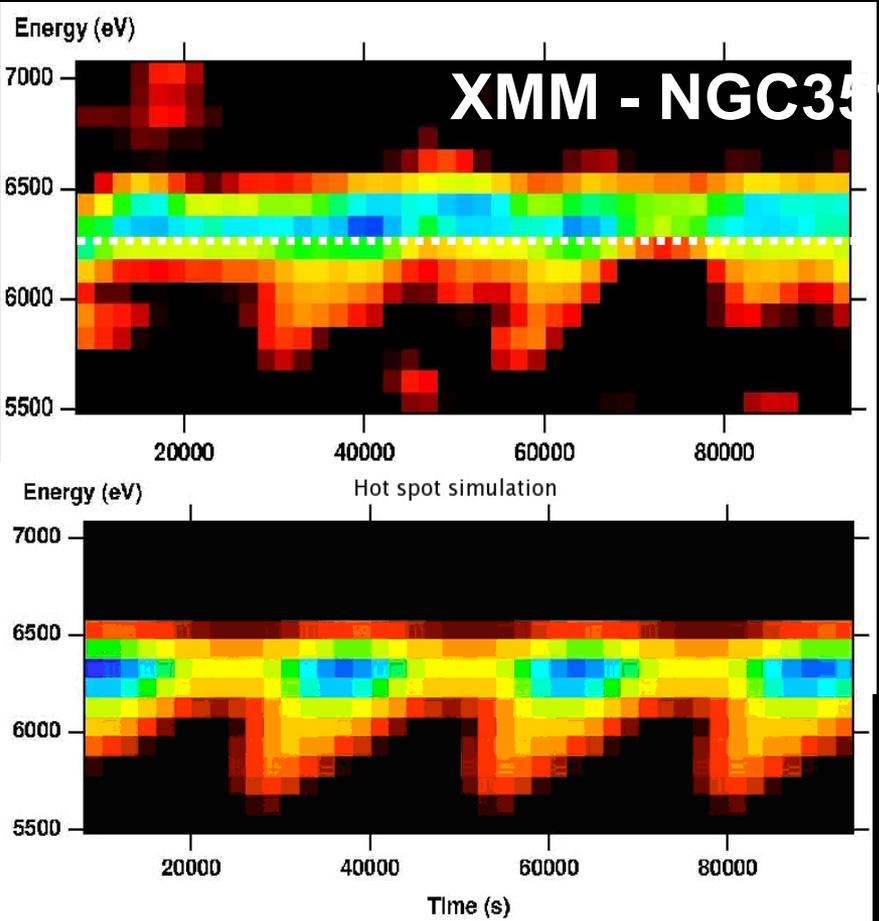


Guainazzi et al., 2003

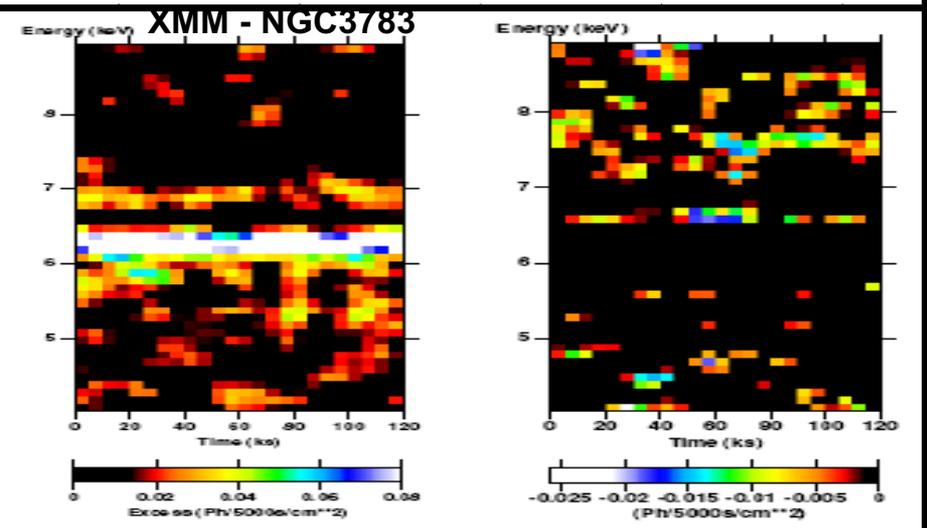
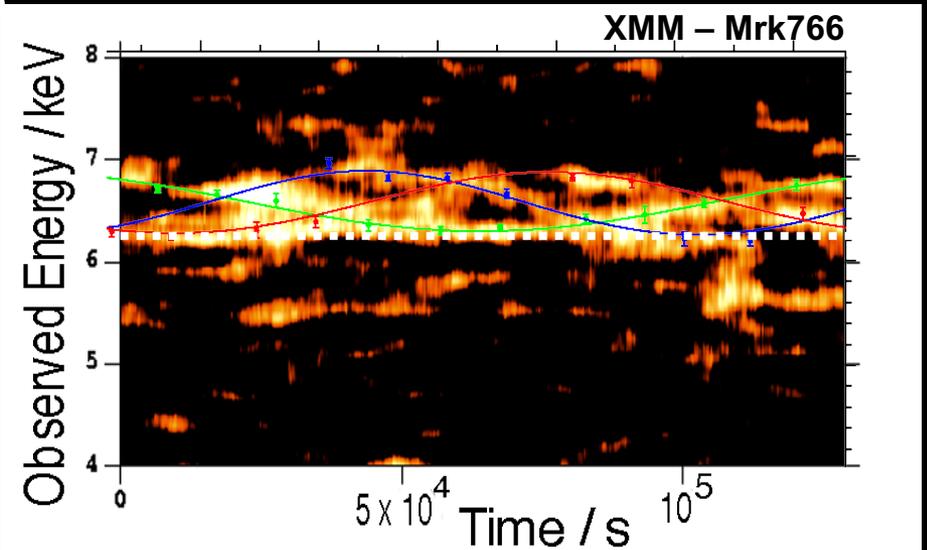
Reflection: Variability

Post-Chandra & XMM-Newton

Everything is getting more complex, but key point is that Fe lines DO show fast time variations and redshifted energies!!



Can fit line maxima by three Keplerian orbits with same inclination & central mass !! (Turner et al. 2005)

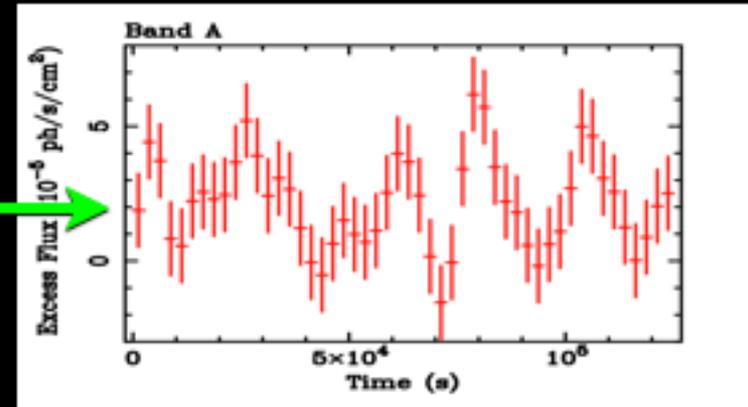
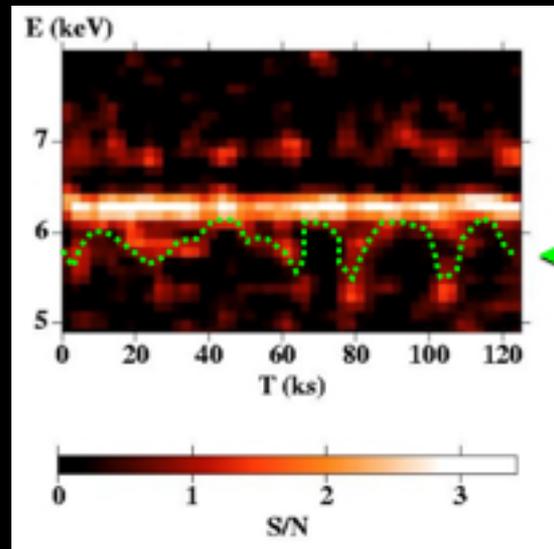


Origin from hot spots in innermost regions of accretion disk?
De Marco et al., 2009, PhD Thesis

Reflection: Variability

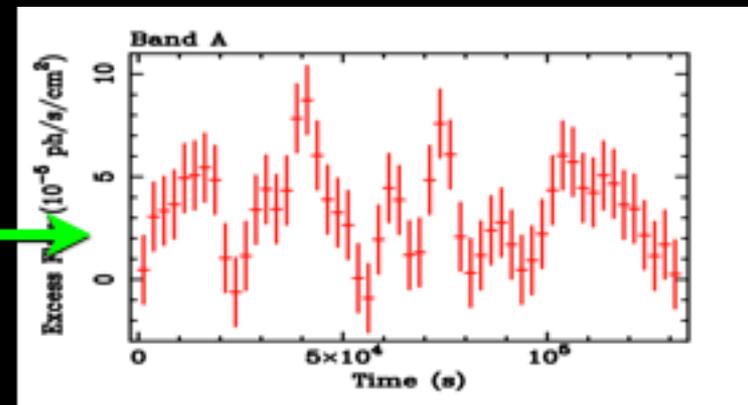
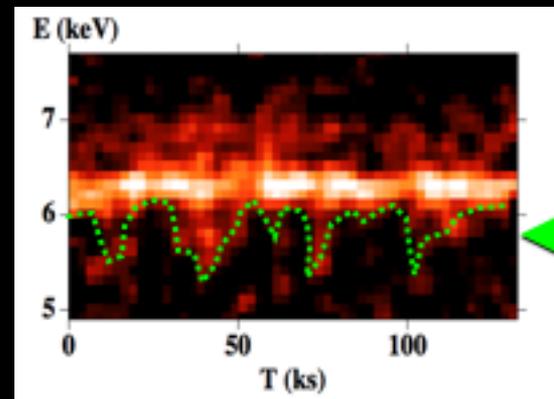
NGC3783

Tombesi et al. 2007



IC4329a

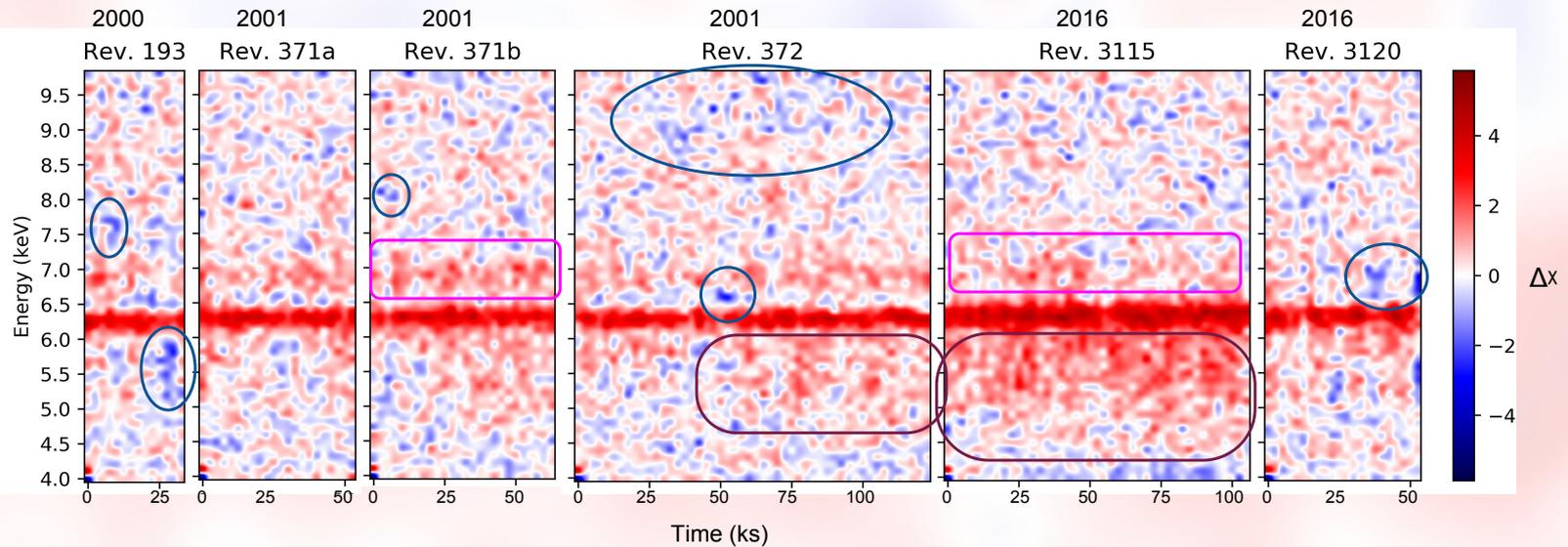
DeMarco et al. 2010b



\Rightarrow Consistent with origin from hot spots, or spiral waves, in inner regions of accretion disk?

Reflection: Variability

NGC 3783



- Fe K α always present, variable intensity
- Variable Fe K β / ionized K α blend
- Variable broadened line component
- Multiple absorptions

NGC3783

Costanzo et al. 2019,
Tesi di Laurea, paper in prep

⇒ Consistent with origin from hot spots, or
spiral waves in inner regions of accretion disk?

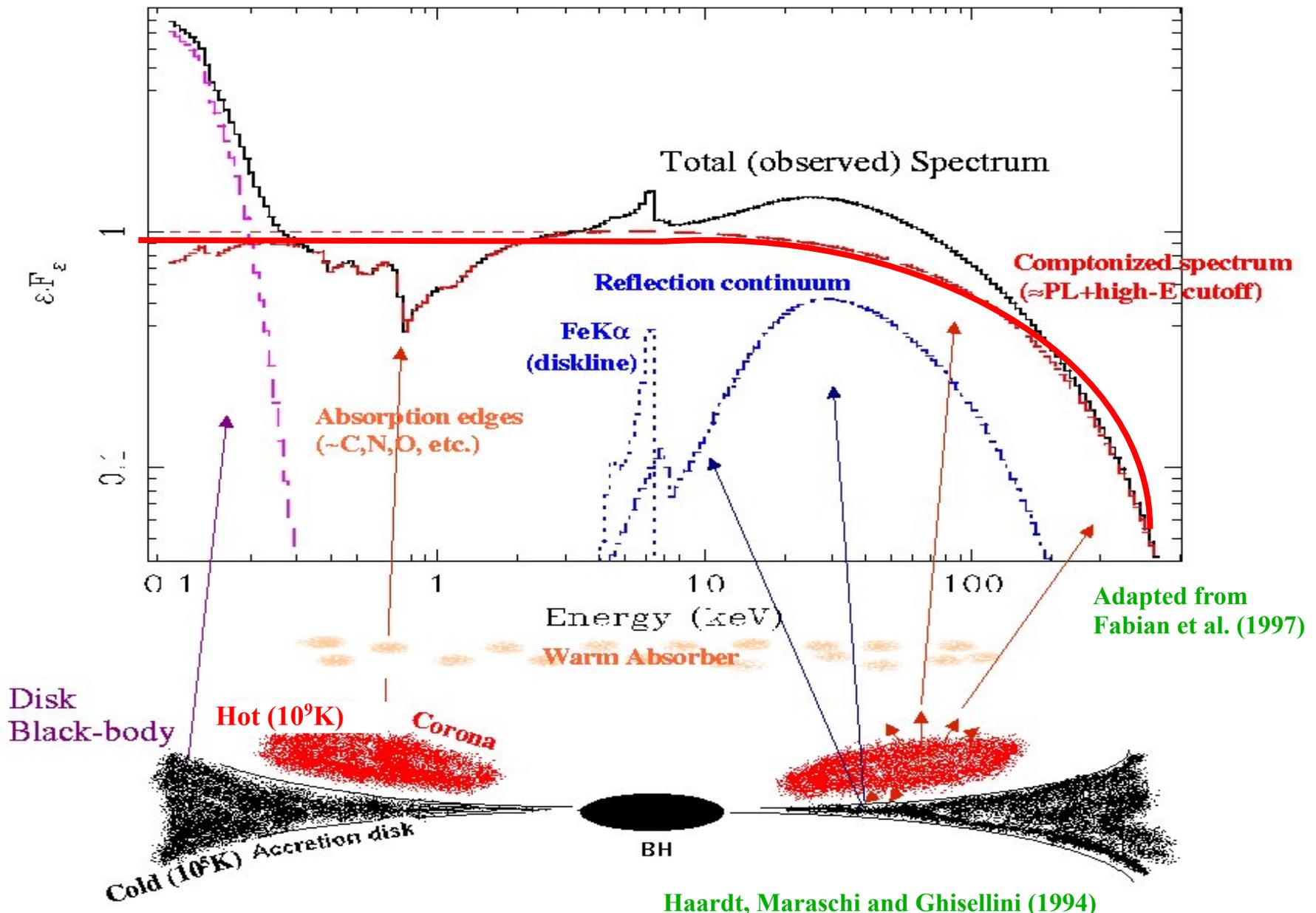
Questions

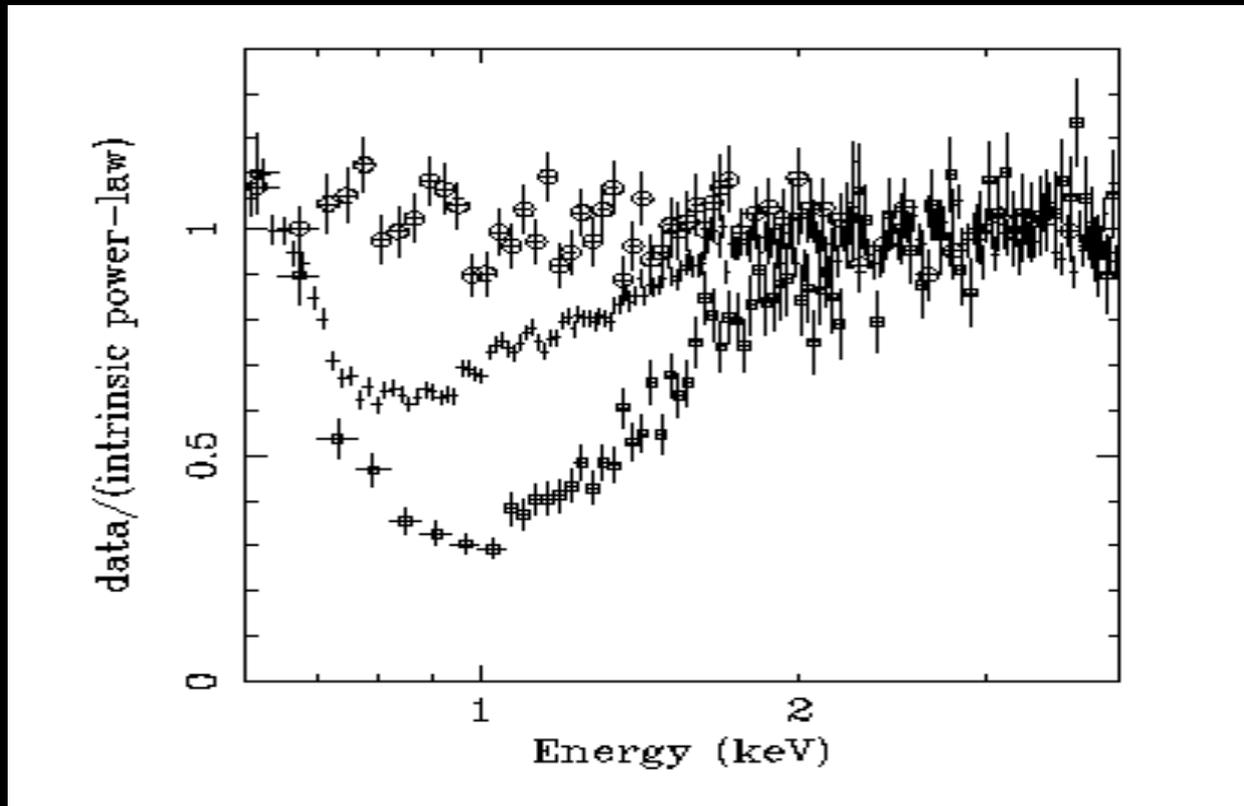


Absorption lines...
i.e. pointing to absorber(s)
(i.e. ejection(s))



Typical X-ray Spectrum of a Seyfert 1 Galaxy ⇔ Standard two-phase Comptonization model





Reynolds et al. '97
Georges et al. '97

Clear since years that warm absorbers must be dynamically important (radiatively driven outflow located in BLR and NLR)

Open Problem: Characterisation of warm absorber? (cov. Factor, ion. state, mass/energy outflow, etc.)

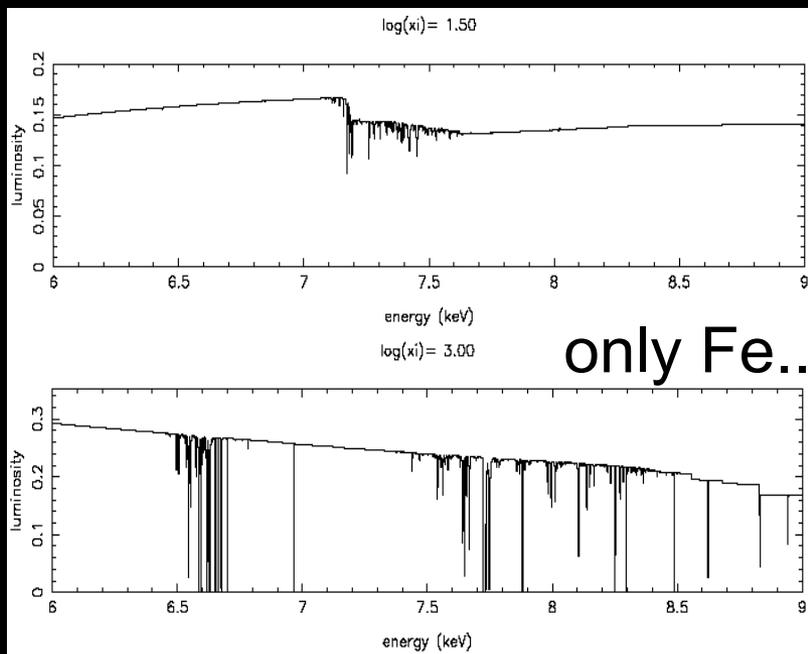
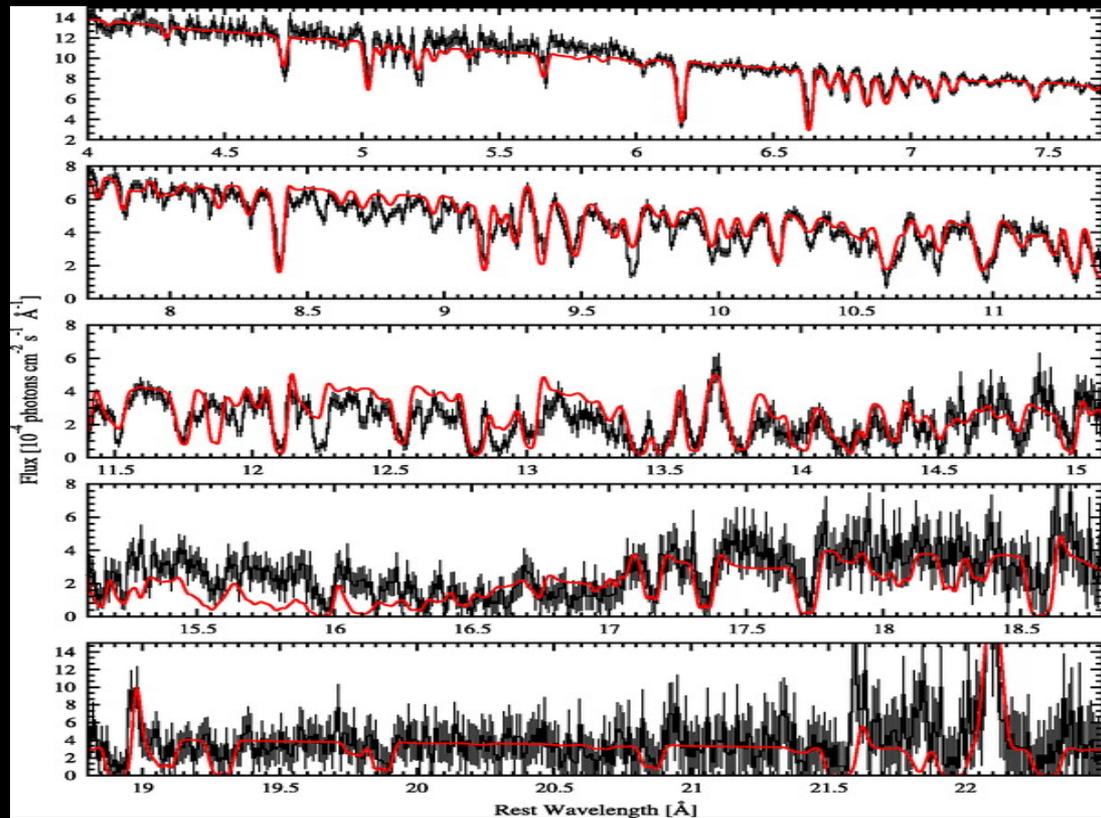
Absorption: warm absorbers

Post-Chandra & XMM-Newton

Many more details from Chandra gratings

NGC3783 Exp=900 ks

Consistent with models which predict many absorption features



Kallman et al. '05

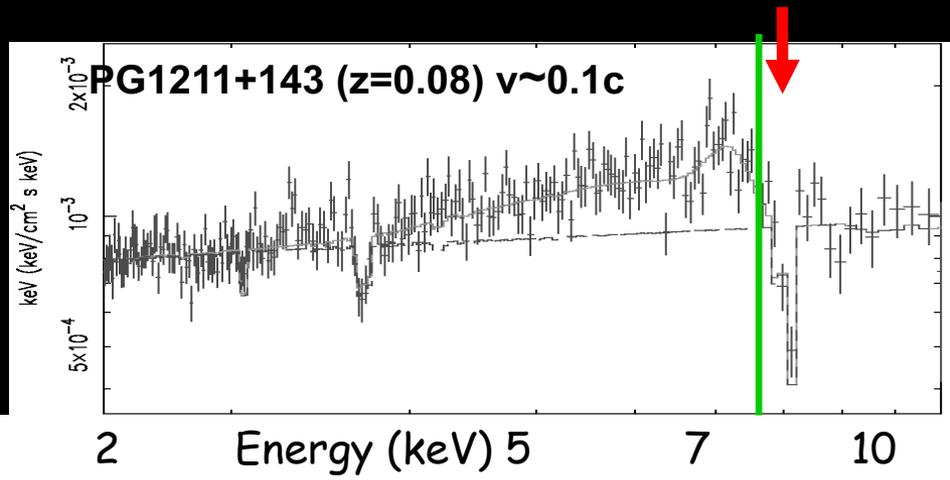
Kaspi et al. '01
Netzer et al. '02
Georges et al. '03



Clear now that often multiple ionization & kinetic components: outflows with ~ 100 - 1000 km/s

Absorption: UFOs

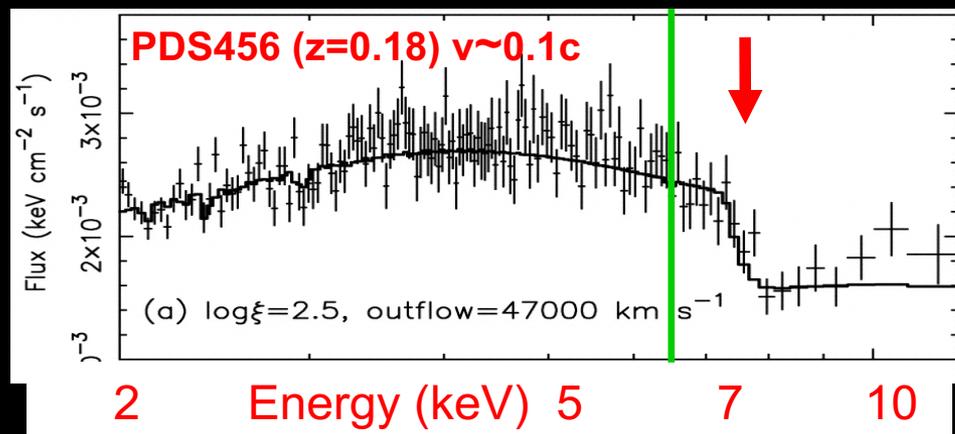
New and unexpected results from Chandra and XMM-Newton observations



Blue-shifted absorption lines/edges – **High- v**

Pounds et al. 2003a,b

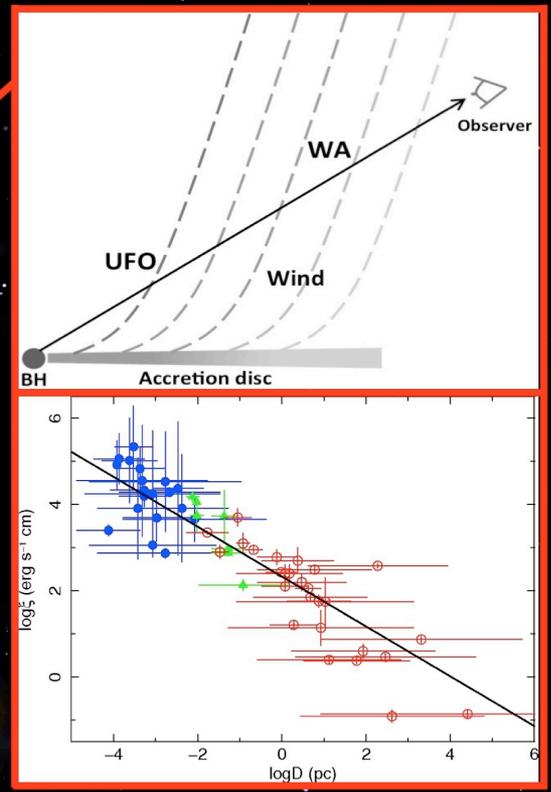
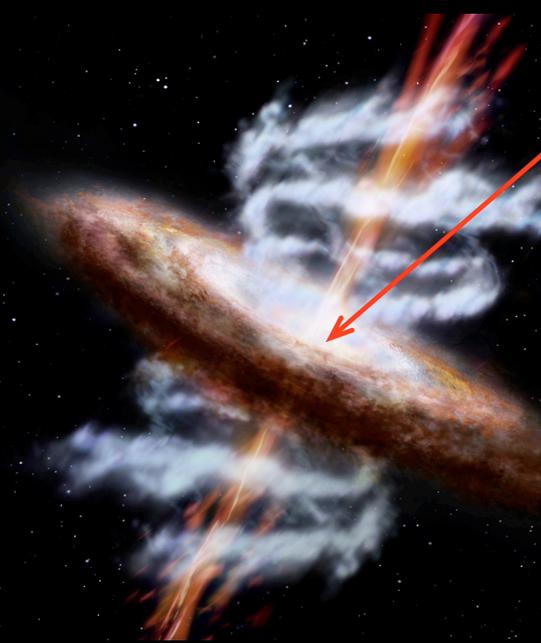
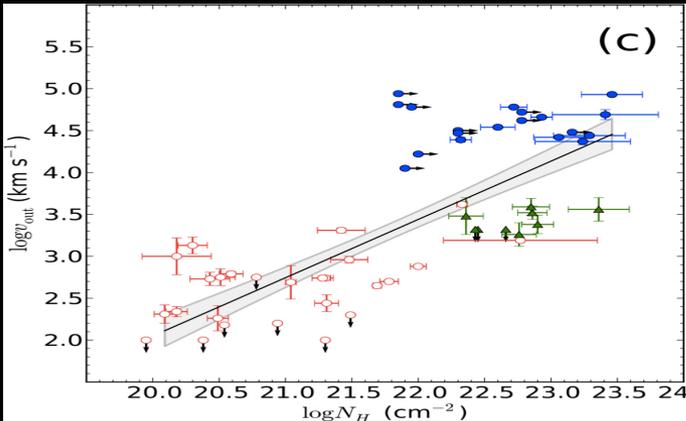
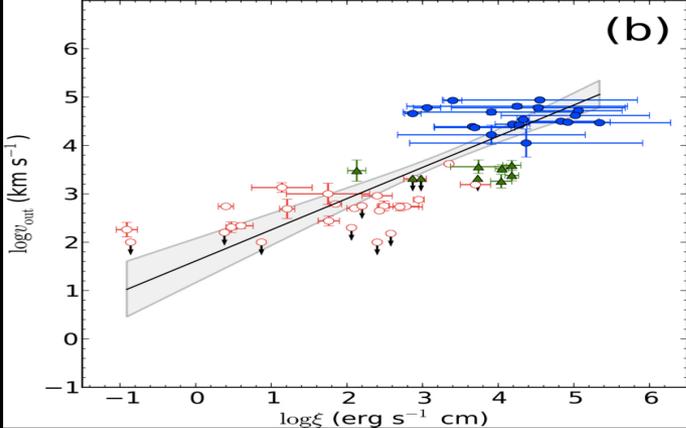
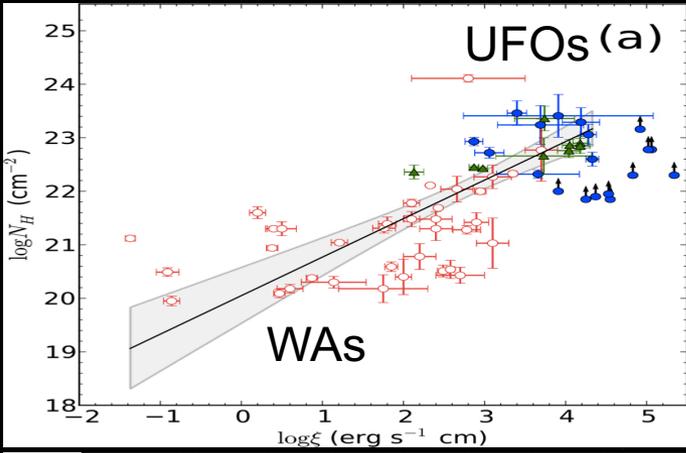
(If) interpreted as $K\alpha$ resonant absorption by Fe XXV (6.70 keV) or FeXXVI (6.96 keV)



Reeves et al. 2003

\Rightarrow massive, **high velocity** and highly ionized outflows in several RQ AGNs/QSOs
 Mass outflow rate: comparable to Edd. Acc. rate ($\sim M_{\odot}/\text{yr}$); velocity $\sim 0.1-0.2 c$

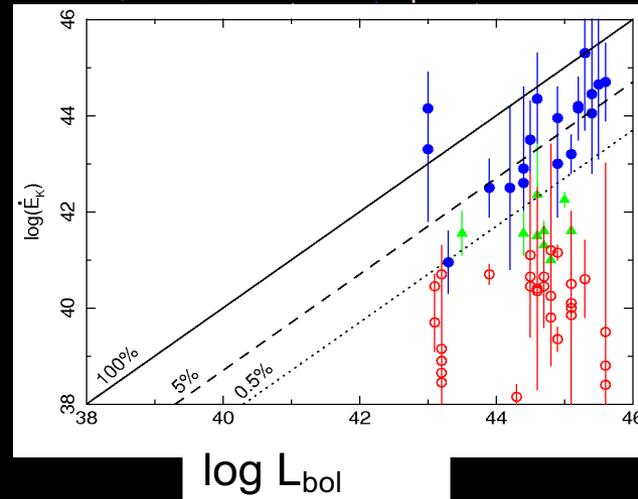
A (unifying) X-ray view of UFOs and non-UFOs (WAs)



INAF Press releases
in '10, '12, '13, plus NASA
and ESA in 2012

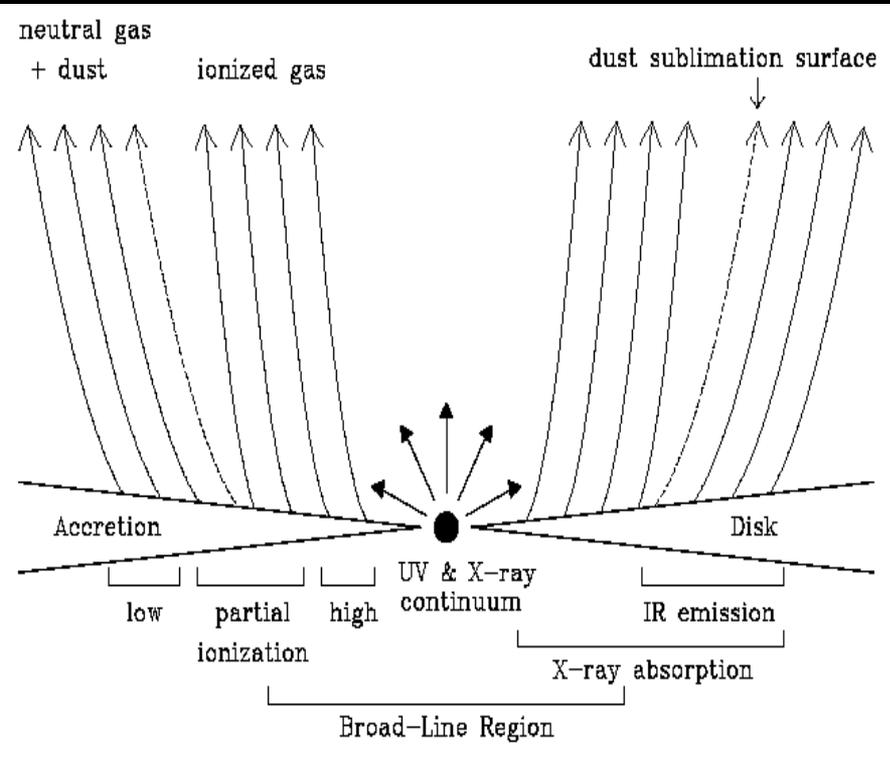
Tombesi, MC
et al., '12a,b, '13

$\log \dot{E}_{\text{out}}$



Absorption: Interpretation - Three main wind dynamical models

i) Thermally driven winds from BLR or torus

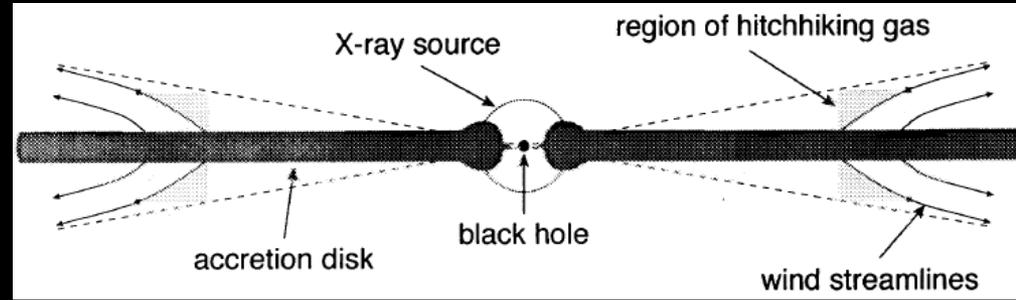


Balsara & Krolik, 93; Woods et al. '96

i) \Rightarrow Large R , low v

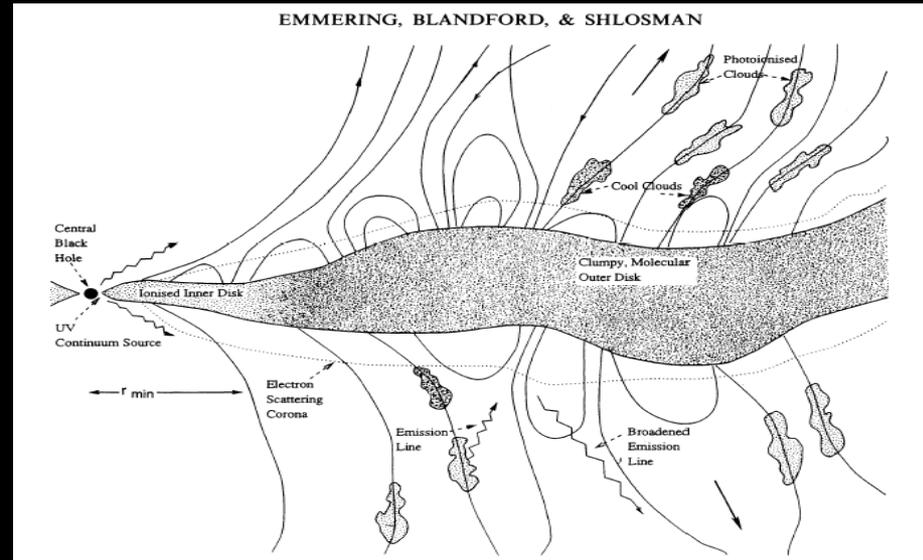
ii) and iii) \Rightarrow Low R and large v

ii) Radiative-driven wind from accretion disk



Murray et al. '95, Proga et al. '00

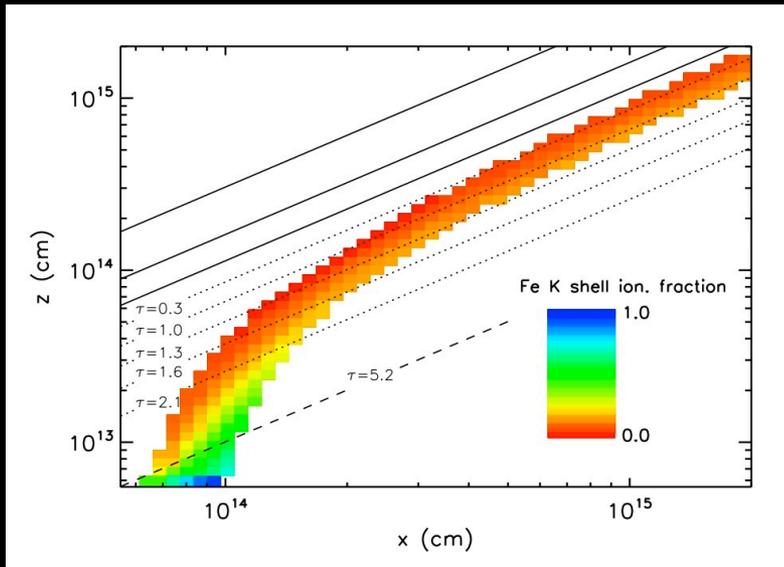
iii) Magnetically driven winds from accretion disk



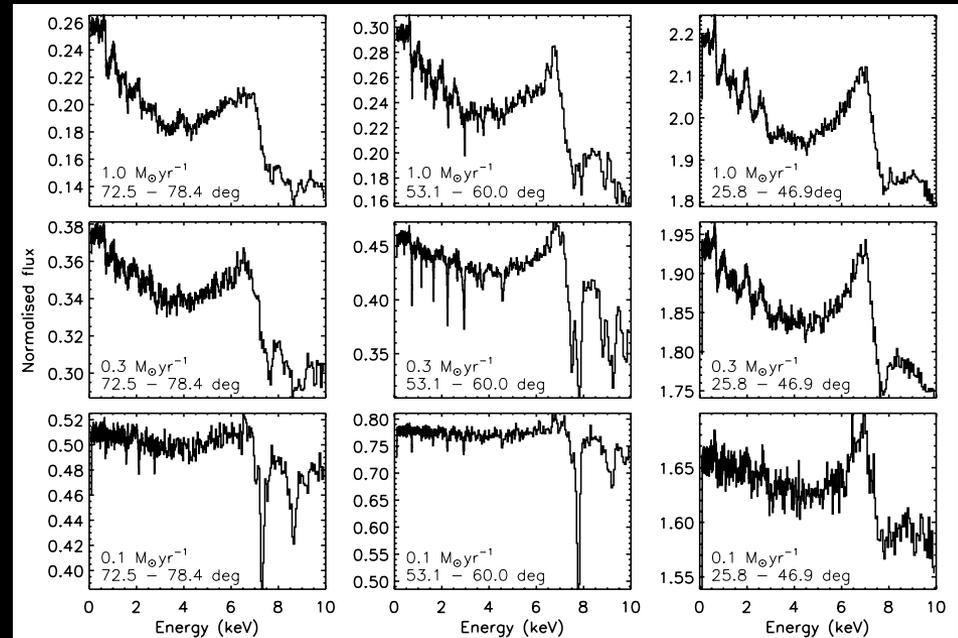
Emmering, Blandford & Shlosman, '92; Kato et al. '03

UFOs/outflows/winds in AGNs & QSOs: Possible models

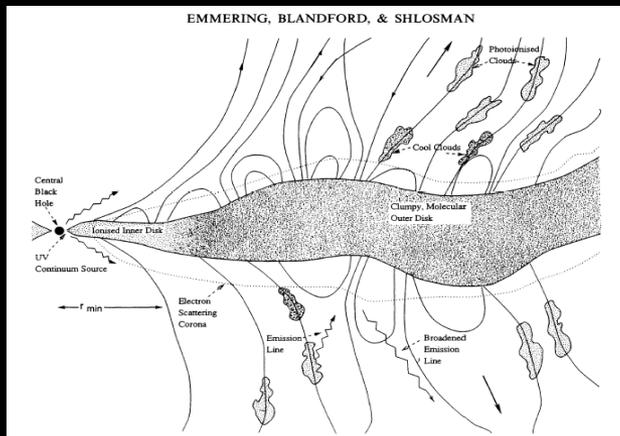
Radiatively driven accretion disc winds



Sim et al., '08, '10ab Murray et al. '95,



Magnetically driven winds from accretion disk



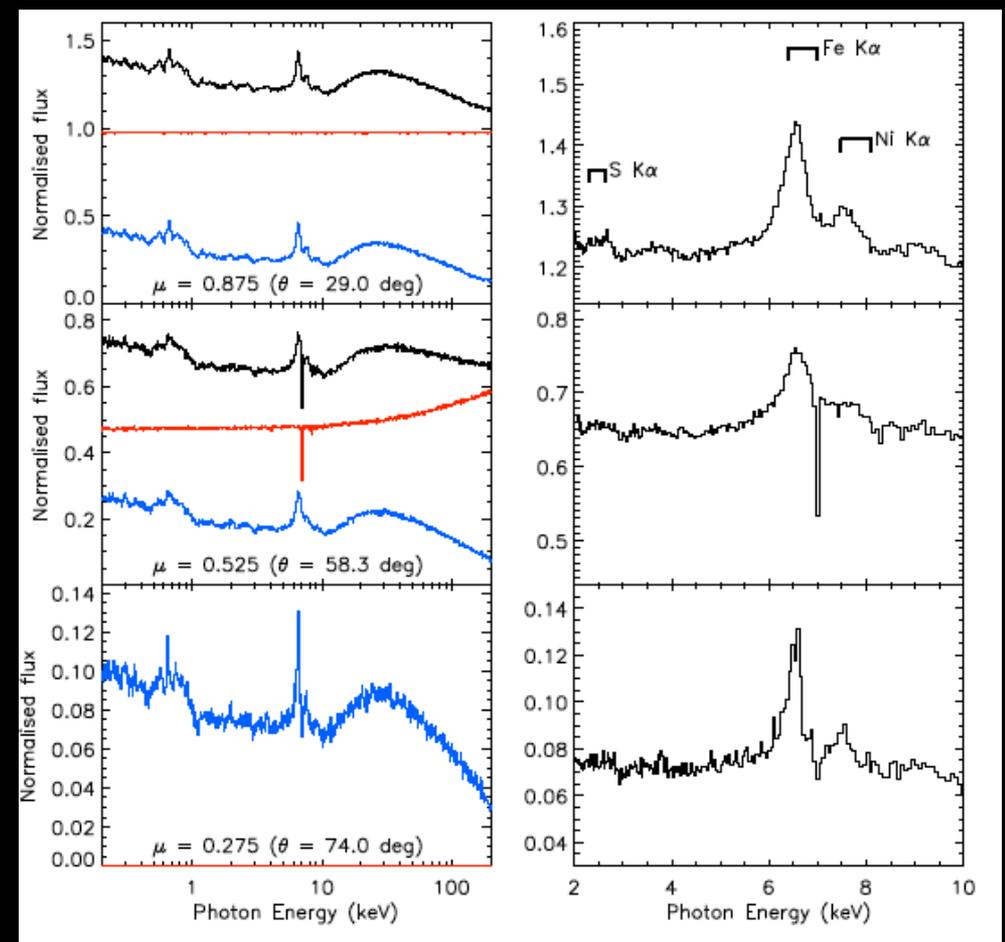
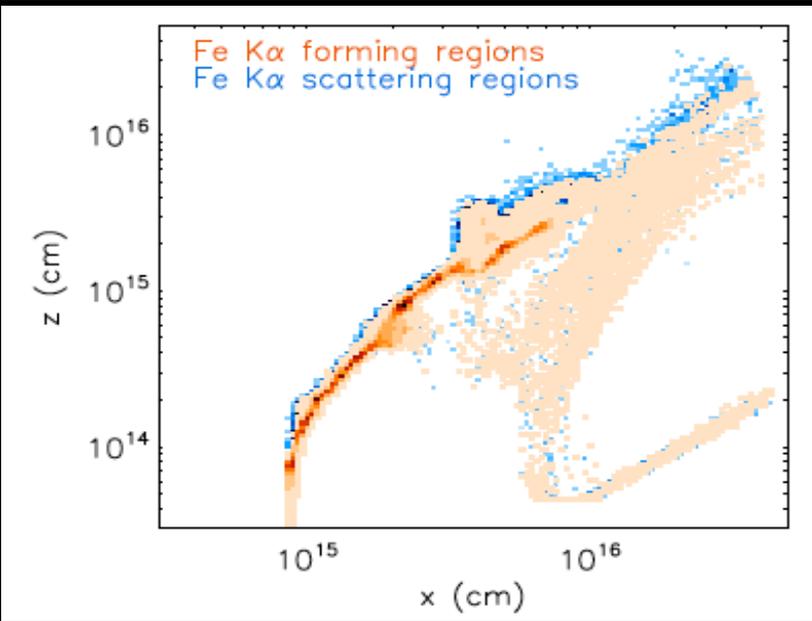
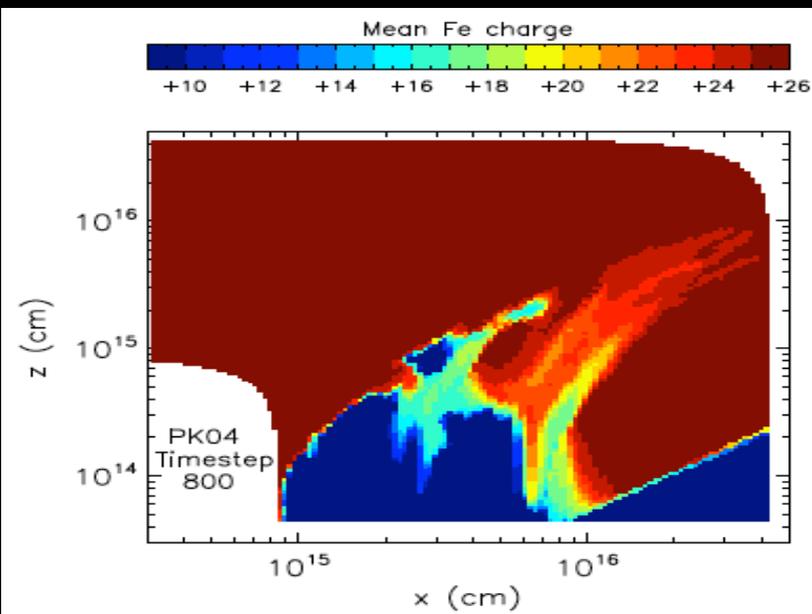
Emmering,
Blandford &
Shlosman, '92;
Kato et al. '03

Fukumura, et al. 2010
Kazanas et al. 2012



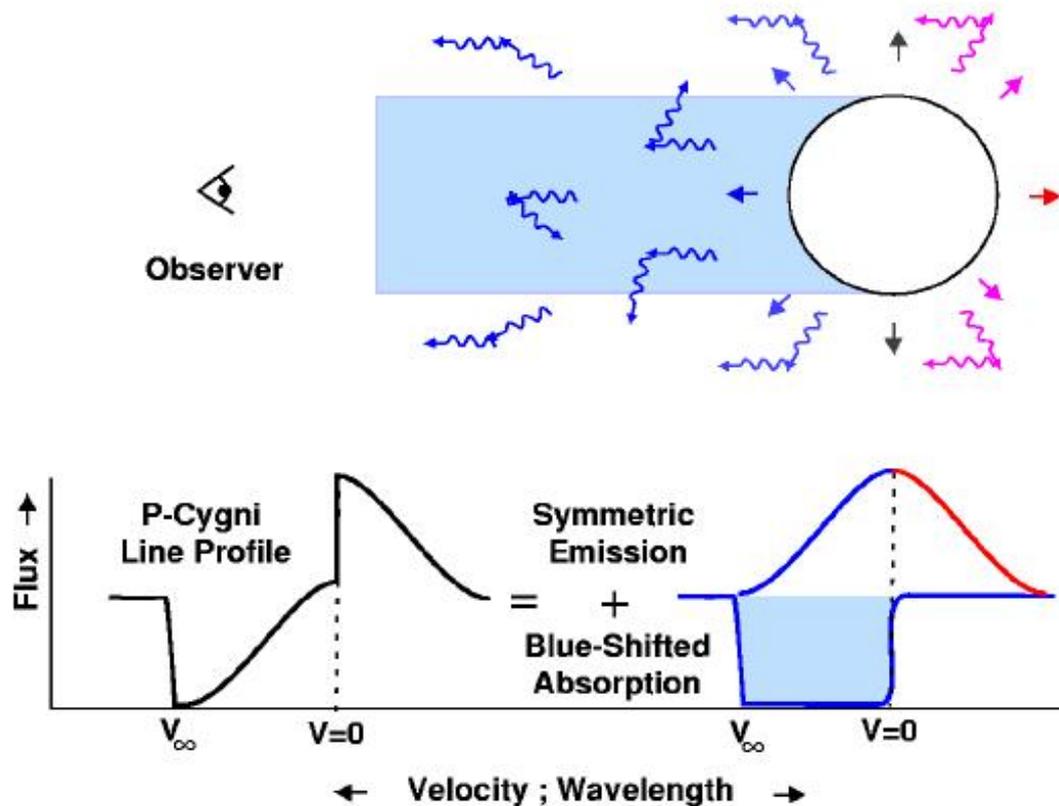
Proga et al. '00; '10

Absorption: Data Interpretation



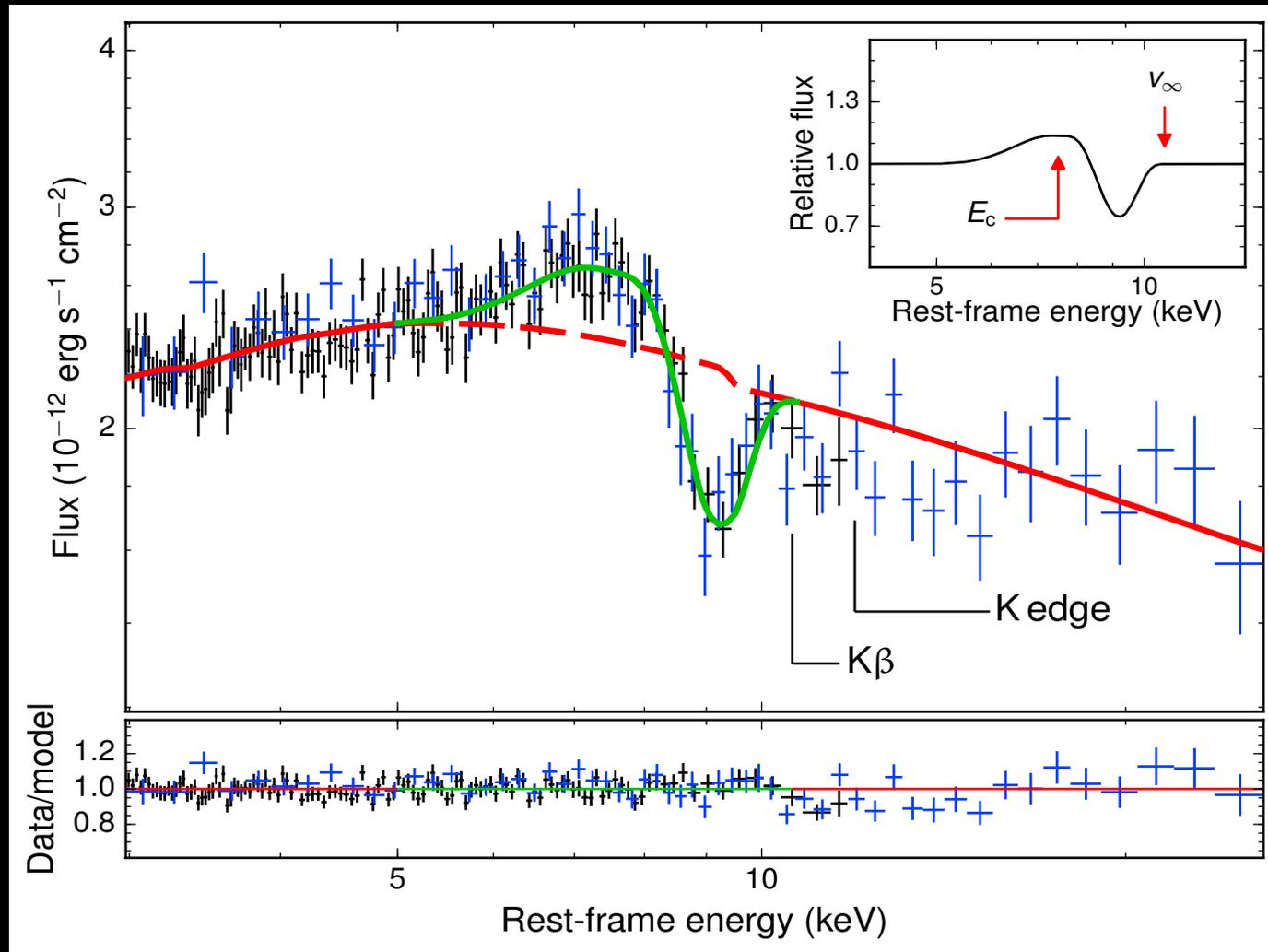
X-ray spectra of winds/outflows

Formation of a P-Cygni Line- Profile



Covering factor measured DIRECTLY from P-Cygni profile

PDS456 ($z=0.18$)



$v_{out} \sim 0.3c$ and $\Omega > 2\pi$ sr

Nardini, Reeves et al., Science '15

Summary (1/2)

After introducing the BH and AGN paradigm, we have reviewed 1 major “model” of AGN:

Model I: 2-phase/SAD model (radio-quiet AGNs)

1. Multi-T black-body emission (soft-excess)
2. Thermal Comptonization (power-law)
3. Reflection (FeK line + Compton hump)
4. Absorption (ionized, partially covering, etc.)

Model IIa: Jet Model (radio-loud AGNs)

1. Synchrotron
2. Inverse Compton (non-thermal)

Model IIb: Inefficient model (LLAGNs)

1. Synchrotron
2. Bremsstrahlung (thermal)

See
Paola
Grandi's
lesson

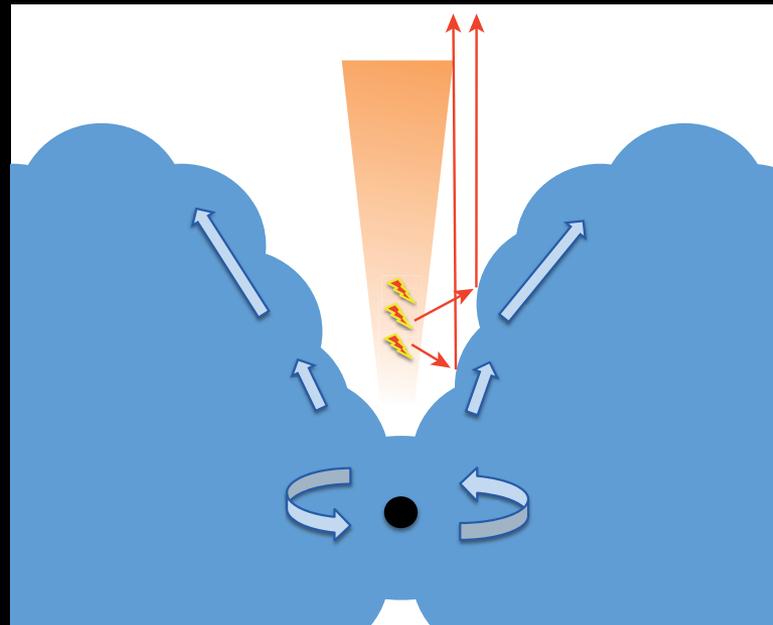
Summary (2/2)

Goal of the lectures: Give introductory information on the two-phases model of RQAGNs, and in particular on reflection vs absorption phenomena

N.B: This is not a “mere” fitting exercise but major physical differences in the two hypothesis:

- ✓ **Relativistic Reflection:** Produced within few (<10) R_g and carries information on BH spin and mass
- ✓ **(Very) Complex Absorption:** Produced farther at 100s R_g and carries information on wind/jet base/feedback
- ✓ **Very difficult to distinguish**, case by case, between the two hypotheses. Maybe interlinked phenomena!?

A unified view? within $100R_g$?
A relativistic, outflowing, accretion disc?



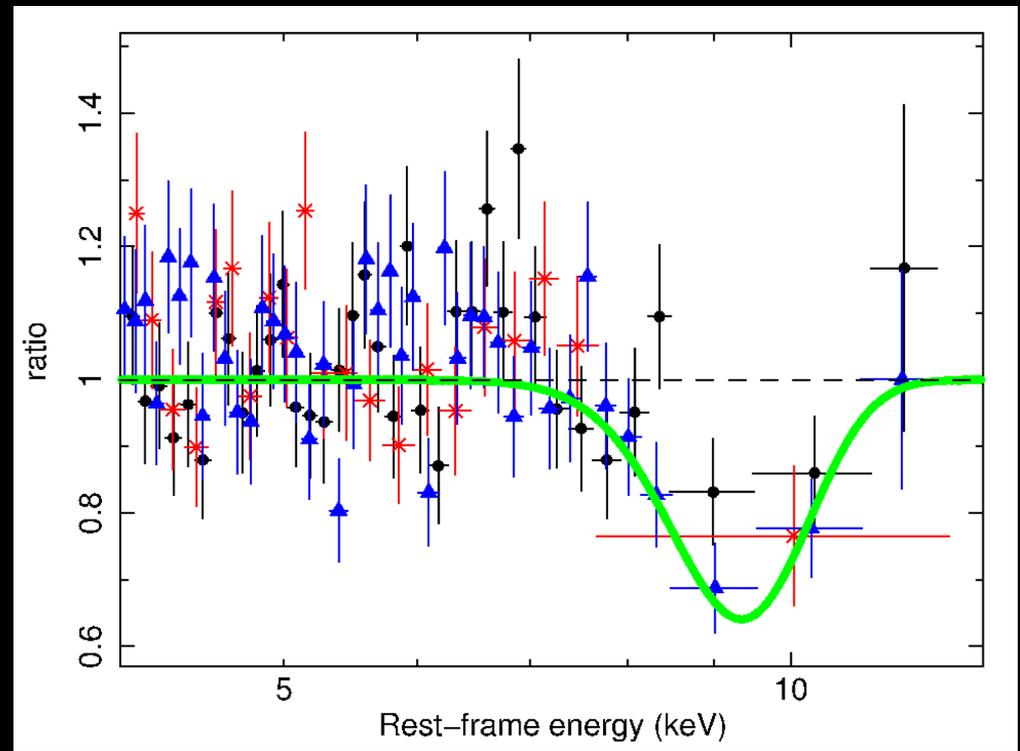
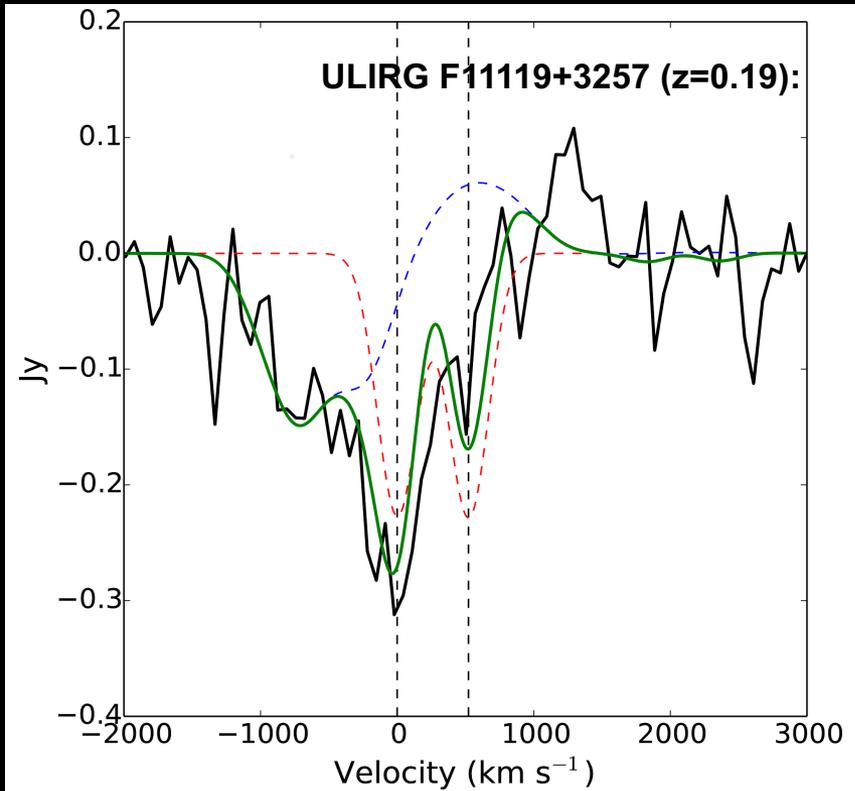
Kara et al. '16
Super-Edd. discs

Grazie per la vostra attenzione
e divertitevi al laboratorio!
(approfittatene...)

Questions



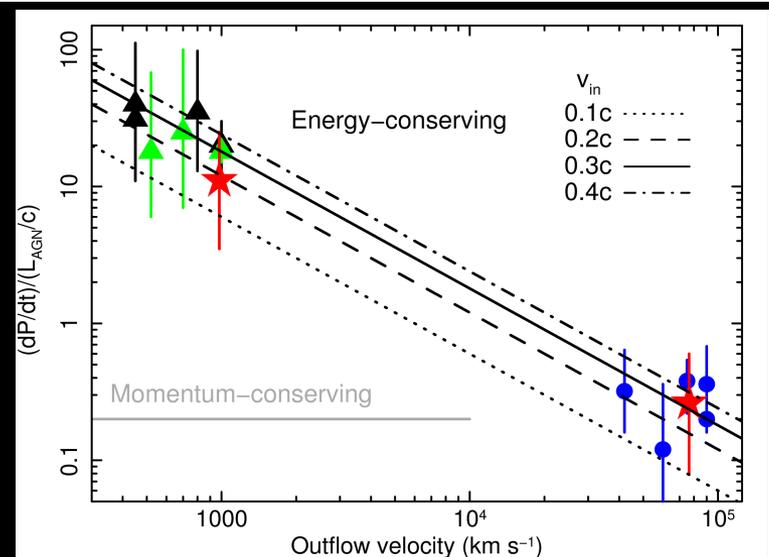
Are galaxy-scale massive molecular outflows energized by UFOs?



Veilleux et al. 2013

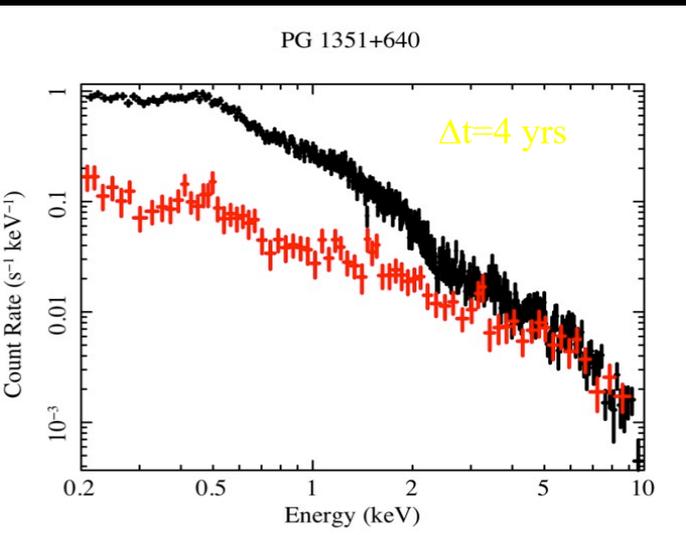
UFO detection ($v \sim 0.3c$) consistent with energy-conserving outflow from Inner X-rays to outer molecular outflow

Tombesi et al. 2015, Nature

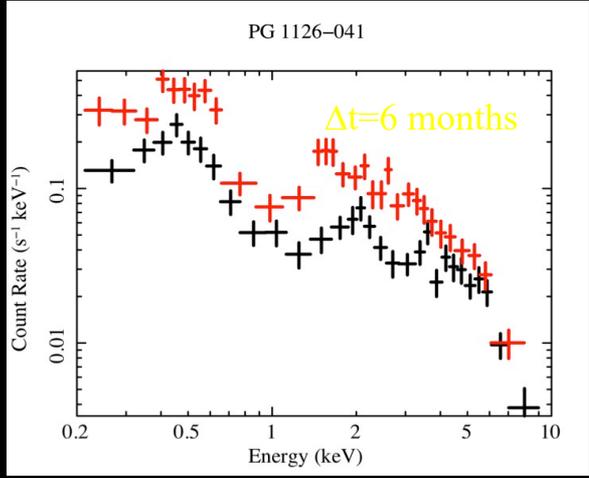


The "new" X-ray view: Variability in (nearby) PG QSOs

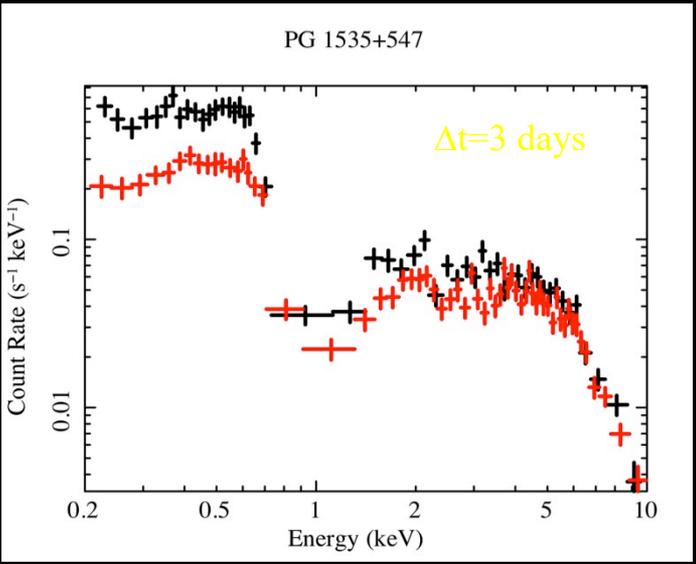
Sample: 15 UV *AL QSOs with 32 XMM exposures



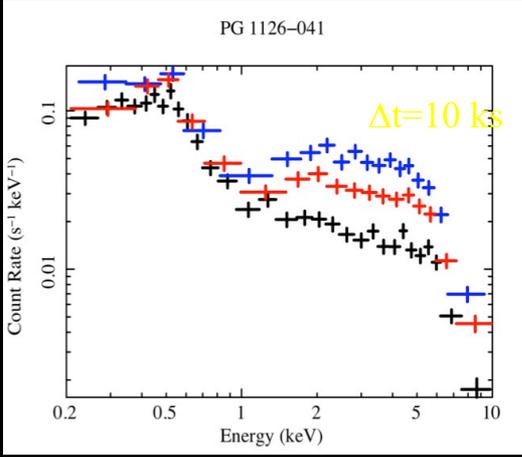
on time scales of years



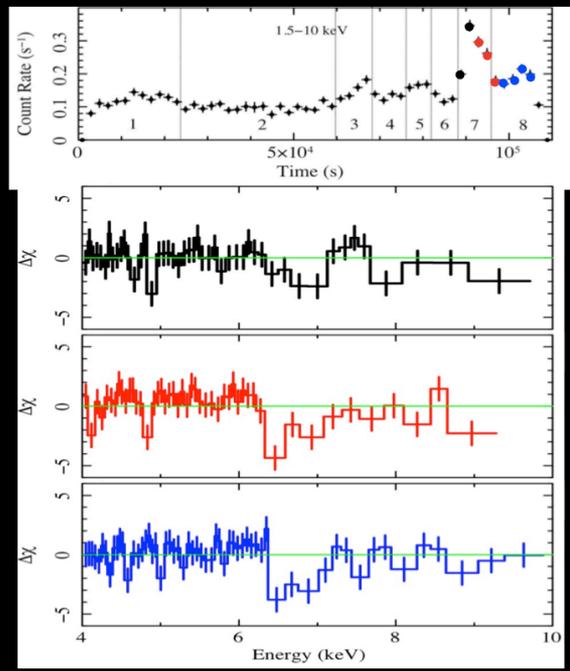
on time scales of months



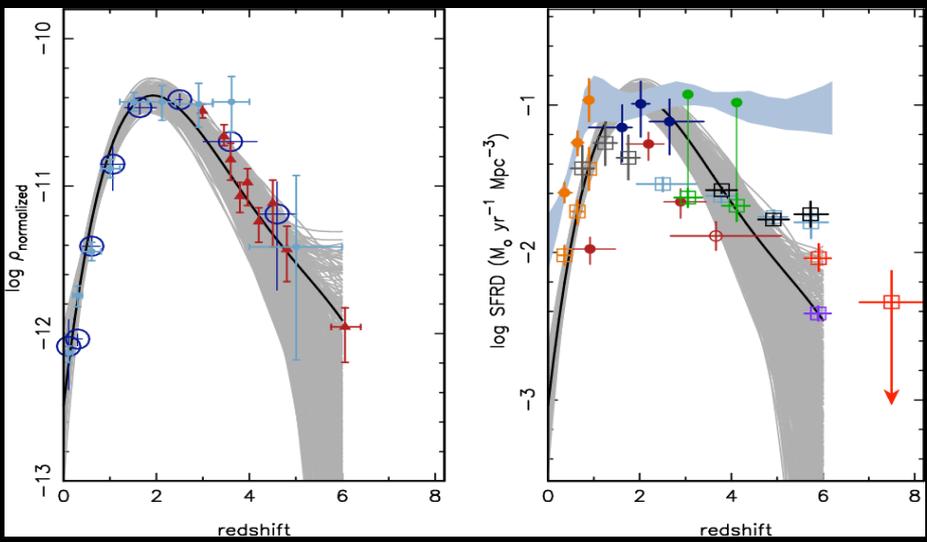
on time scales of days



on time scales of hours



UFOs and/or FeK complex features seen also (no, always!) in lensed high-z QSOs

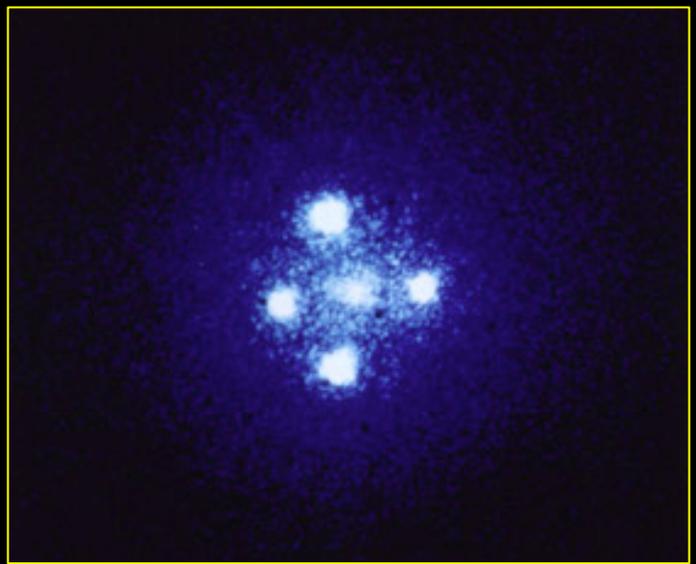


QSO space density

Madau et al. '96;

SFR space density

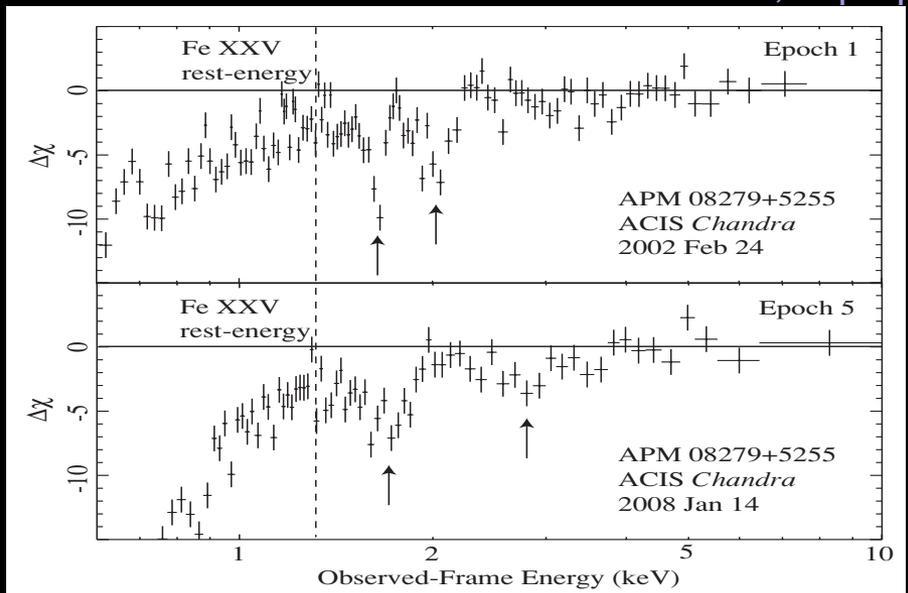
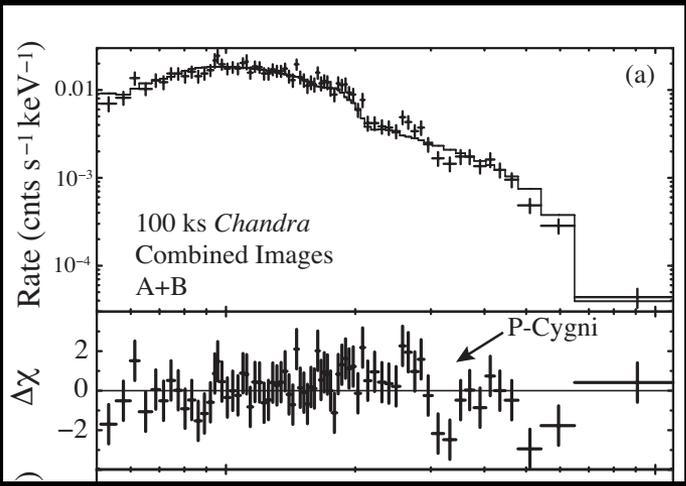
Wall et al. '05



$V_{out} \sim 0.2-0.76 c$

Chartas et al. 2009
Bertola et al. '19, in prep

Chartas et al. 2014

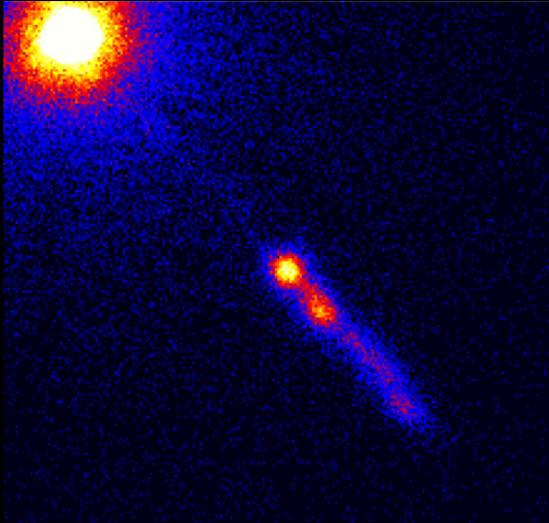


Model 2

The relativistic Jet model
(RLAGNs)

Model II (RL AGNs): X-ray observations - Images + lightcurves

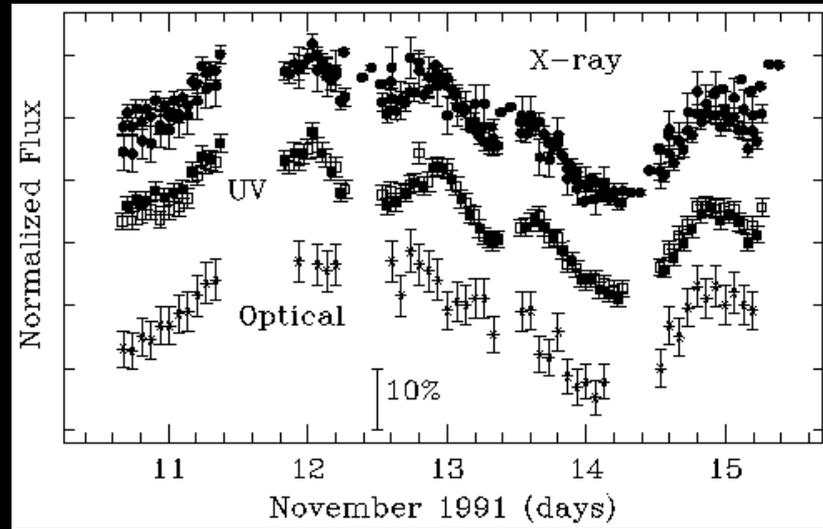
Images



+

Light curves

PKS2155-304

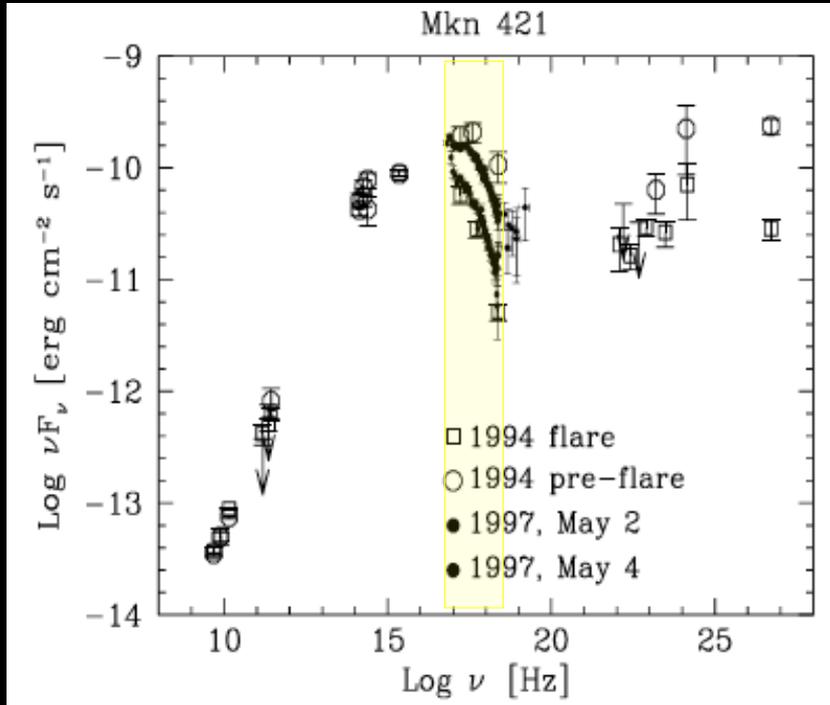


X-ray jets

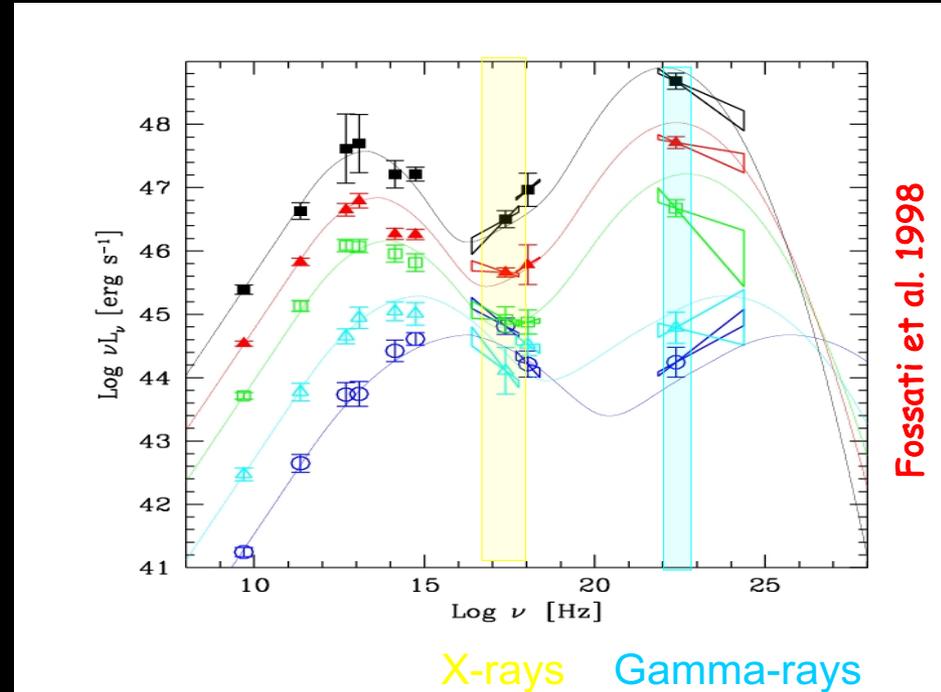


Most of radiation produced
in a relativistic jet

Spectra (SEDs):



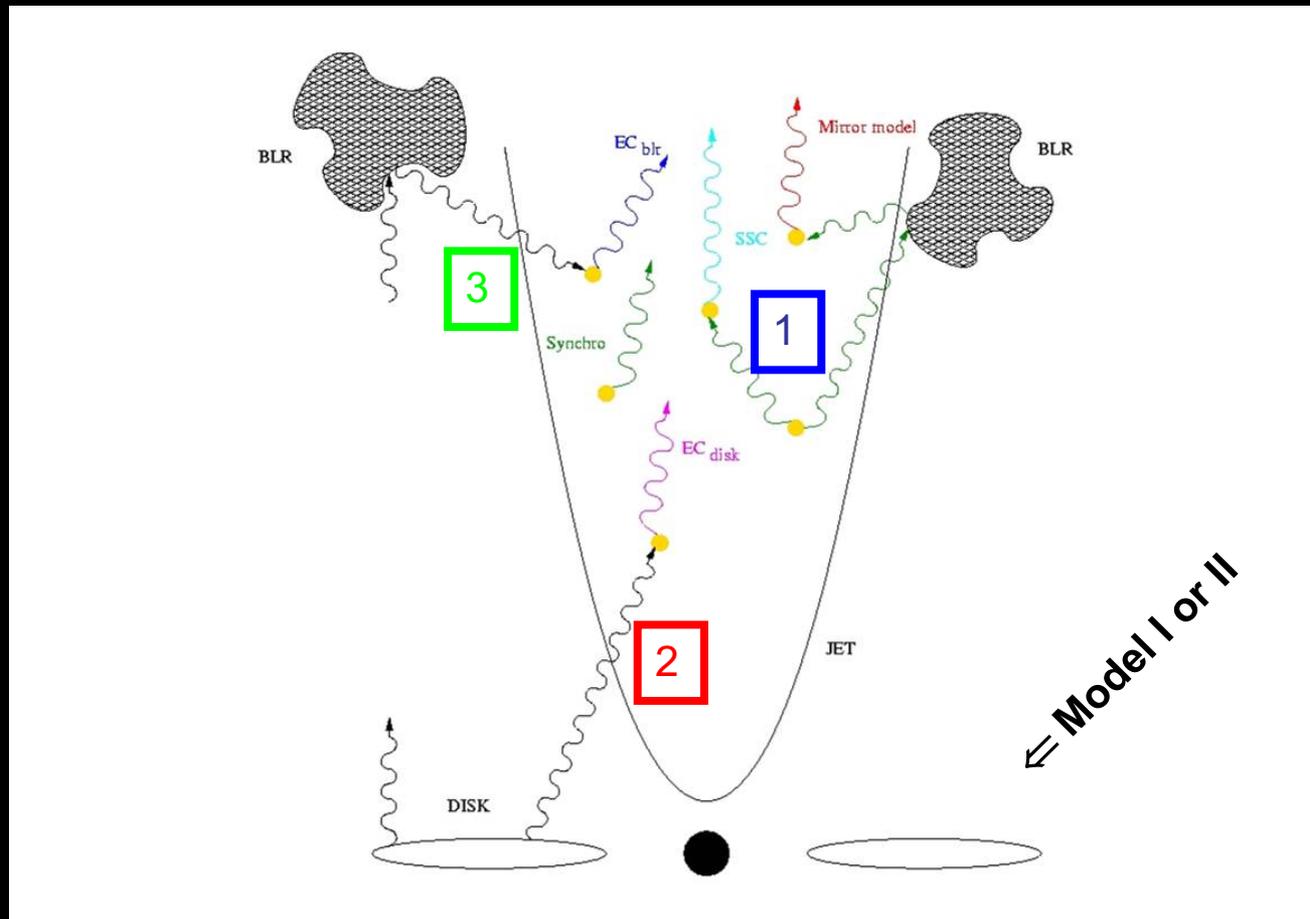
The Blazars “Sequence”



(At least) 2 major spectral components:

1. Low frequency peak (Synchrotron)
2. High frequency peak (Compton inverso)

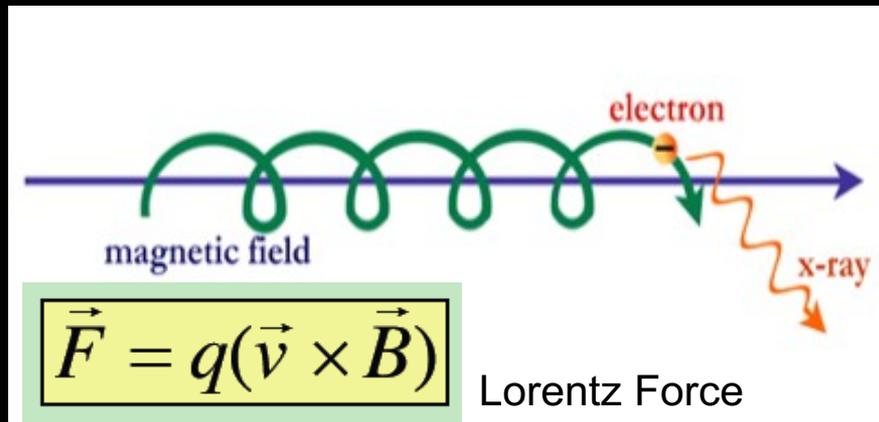
Modello II (RL AGNs) = Model I + Relativistic Jet



3 likely possibilities:

1. Synchrotron + Self Compton
2. Synchrotron + External Compton (disk)
3. Synchrotron + External Compton (BLR)

Modello II (RL AGNs) = Model I + Relativistic Jet



Synchrotron (non-thermal emission)

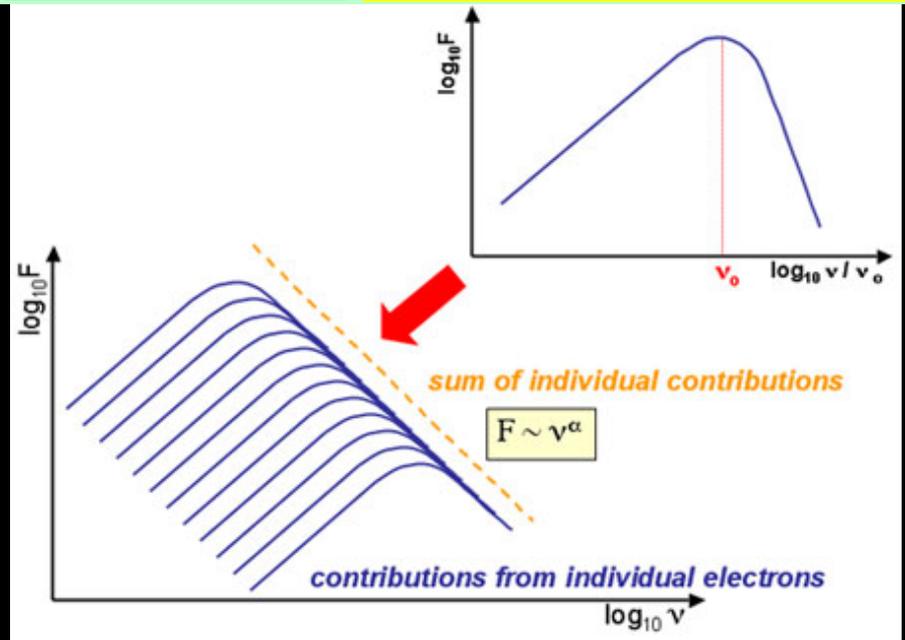
Emission from one electron

$$-dE/dt = \frac{4}{3} c \sigma_T \beta^2 \gamma^2 U_B \propto E^2 B^2$$

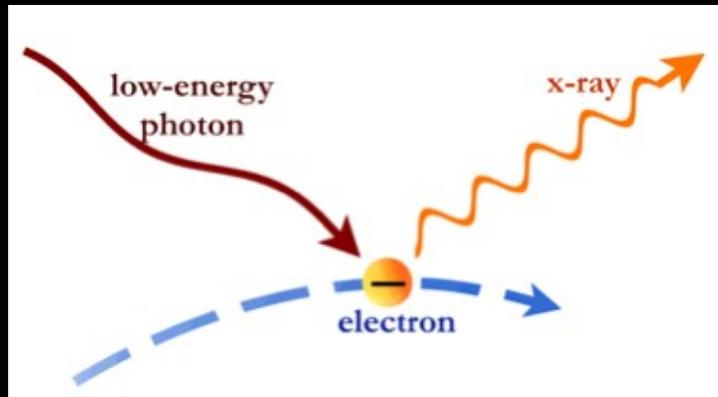
Multiple electrons:

$$N(E) = N_0 E^{-\delta}$$

$$F(\nu) = A(\delta) K B^{(\delta+1)/2} \nu^{-\alpha}$$



Modello II (RL AGNs) = Model I + Relativistic Jet



Inverse Compton Scattering:

SSC if IC onto Synchrotron radiation
SEC if IC onto BLR or disc photons

Inverse Compton scattering: volume emissivity

Population of relativistic electrons, each of energy $\gamma m_e c^2$, with $\gamma \gg 1$, in a sea of photons with energy density U_{ph} , and photon energies negligible compared with the IC upscattered energies

$$j_{IC} = \frac{4}{3} \sigma_T c \gamma^2 \beta^2 n_e U_{ph}$$

Integrated volume emissivity [W/m³]

$$(\gamma h\nu \ll m_e c^2, \\ h\nu_{av} \ll h\nu_{S,iso})$$

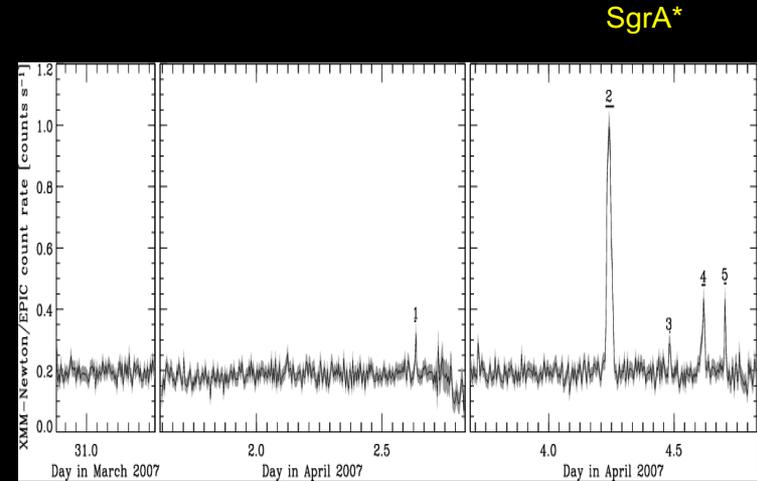
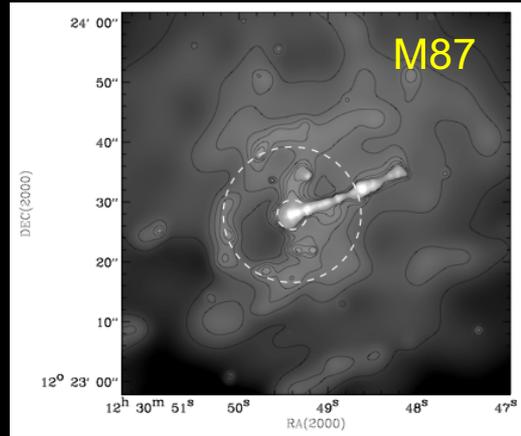
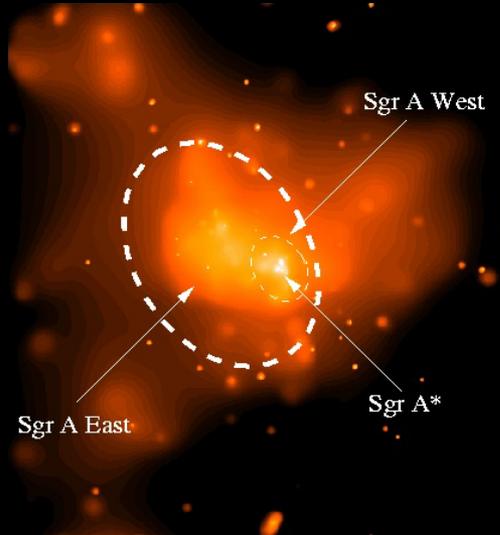
$$N(E) = N_0 E^{-\delta} \longrightarrow j_{IC}(\nu) \propto \nu^{-(\delta-1)/2} = \nu^{-\alpha}$$

Model 3

The radiatively
inefficient model
(LLAGNs)

SgrA*

Images + Lightcurves



Low-L and diffuse X-ray source

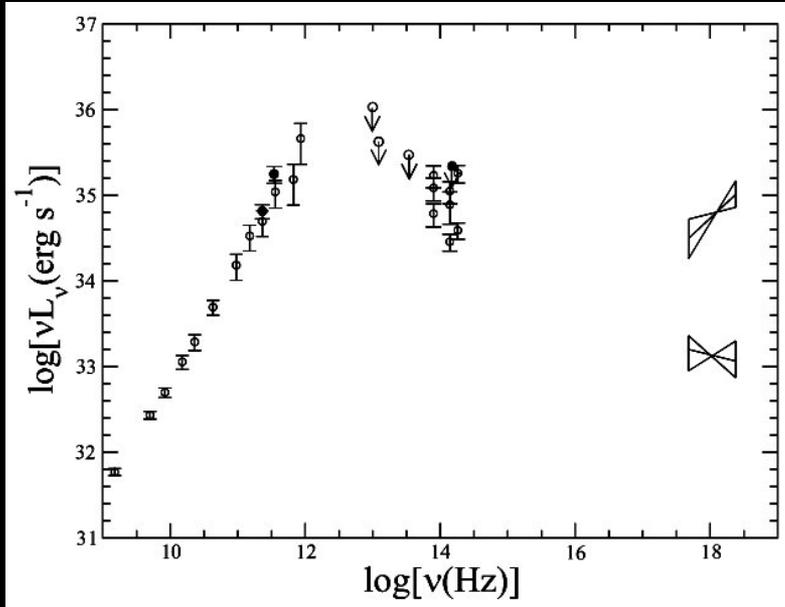


N.B: $\Delta t \sim 50$ s corresponds to $1 R_g$ per $M=10^7 M$
($\tau \sim R_g/c \sim GM/c^3 \sim 50 M_7$ s)

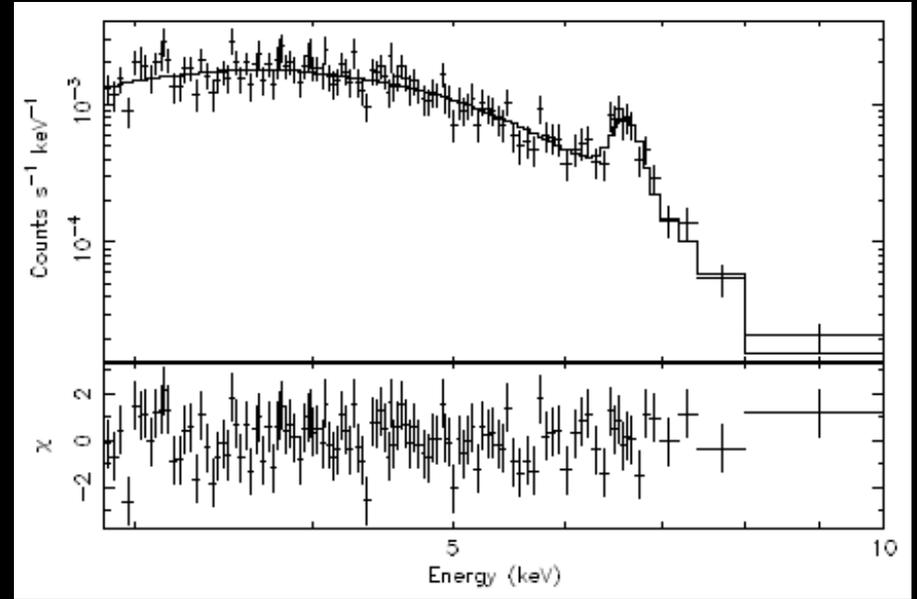
Low-L, likely diffused emission
+ isolated flares (otherwise quiescent)

Model III (LL AGN): X-ray observations - Typical Spectra

Spectra:



$L_x \sim 2 \times 10^{33} \text{ erg/s} < 10^{-11} L_{\text{Edd}}$



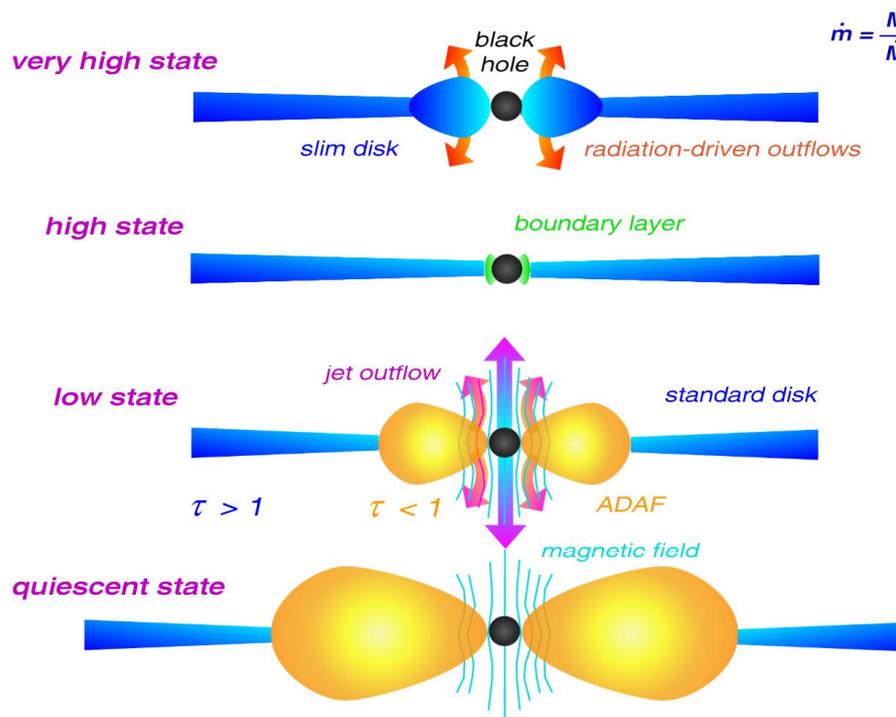
Bremsstrahlung Thermal-like quiescent spectrum



(At least) 2 major spectral components:

1. Synchrotron emission
2. Bremsstrahlung (+ power-laws during flares)

Model III (LL AGN):



Two types of accretion flows onto a black hole

Normal thin disk - for high mass accretion rate

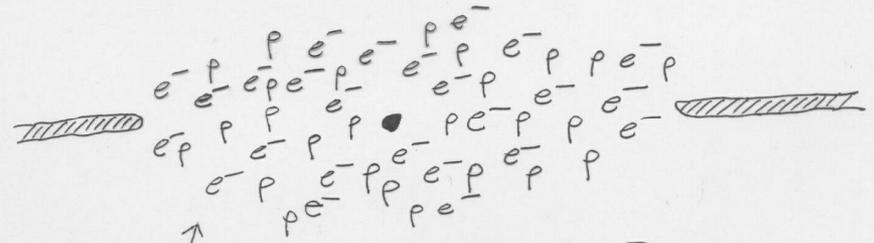
(side view)



- geometrically thin, optically thick accretion disk
- disk efficiently radiates the gravitational potential energy lost as matter spirals inward

ADAF - for low mass accretion rate

(side view)



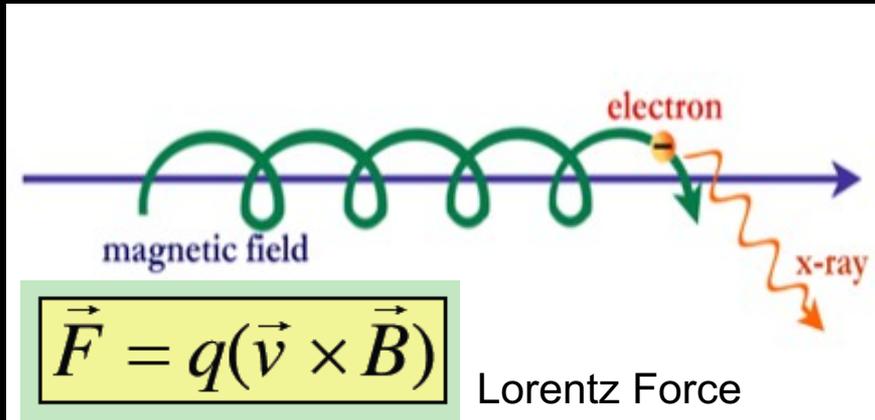
- geometrically thick, optically thin ADAF
- p Temperature much higher than e^- temperature
- matter inefficient at radiating the lost grav. pot. energy - it appears as thermal motions and is advected into black hole

Simil-ADAFs:

- advection-dominated accretion flow (ADAF)
- radiatively-inefficient accretion flow (RIAF)
- convection-dominated accretion flow (CDAF)
- slim disk
- truncated disk - advective tori (TDAT)
- non-radiative accretion flow (NRAF)

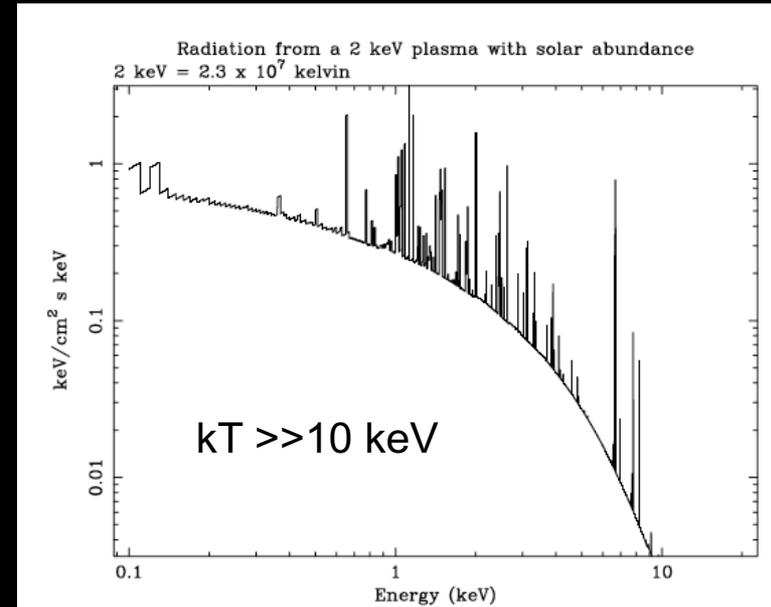
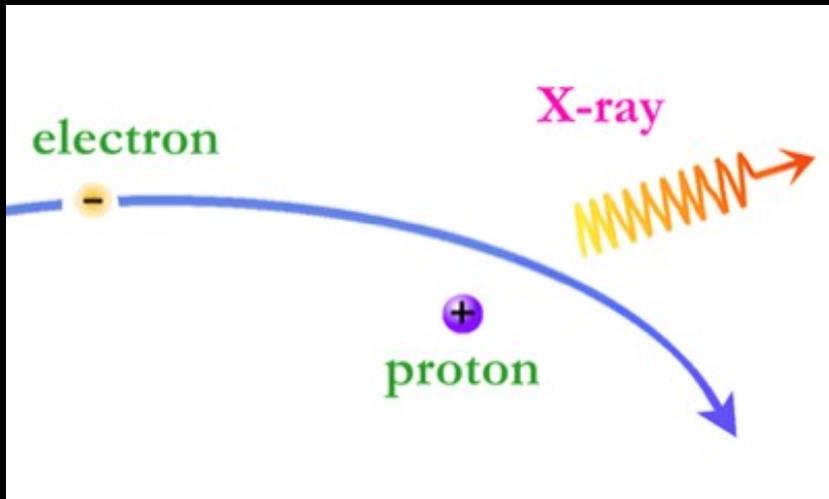
From N. Brandt (I think)

Modello III (LL AGN): ADAFs model



Synchrotron
(non-thermal emission)

+ Thermal Bremsstrahlung from
a very hot, optically thin,
geometrically thick flow



Goal of the lectures: Give introductory informations on general “models” of AGNs, and in particular on reflection vs absorption hypothesis in RQAGNs

We have reviewed basic physics with basic assumptions for 3 major “models” of AGN

- 1- The 2-Phases model (RQAGNs)
- 2- The Jet model (RLAGNs)
- 3- The Inefficient model (LLAGNs)

We have focused mostly on 1, and address the reflection vs. absorption hypothesis to explain the X-ray spectra of RQAGNs

Not a “mere” fitting exercise but major physical differences in the two hypothesis:

- ✓ **Relativistic Reflection:** Produced within few (<10) R_g and carries information on BH spin and mass
- ✓ **(Very) Complex Absorption:** Produced farther at 100s R_g and carries information on wind/jet base/feedback

